# CS344: Introduction to Artificial Intelligence

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Lecture 17– Theorems in A\* (admissibility, Better performance of more informed heuristic, Effect of Monotone Restriction or Triangular Inequality) [Main Ref: Principle of AI by N.J. Nilsson]

## General Graph search Algorithm



Graph G = (V, E)

1) Open List :  $S^{(\emptyset, 0)}$ 6) OL :  $E^{(B,7)}$ ,  $F^{(D,8)}$ ,  $G^{(D, 9)}$ Closed list :  $\emptyset$ CL : S, A, B, C, D

2) OL :  $A^{(S,1)}$ ,  $B^{(S,3)}$ ,  $C^{(S,10)}$ CL : S 7) OL : F<sup>(D,8)</sup>, G<sup>(D,9)</sup> CL : S, A, B, C, D, E

3) OL :  $B^{(S,3)}$ ,  $C^{(S,10)}$ ,  $D^{(A,6)}$ CL : S, A CL : S, A, B, C, D, E, F

4) OL :  $C^{(S,10)}$ ,  $D^{(A,6)}$ ,  $E^{(B,7)}$  9) OL : Ø CL: S, A, B CL : S, A, B, C, D, E, F, G

5) OL :  $D^{(A,6)}$ ,  $E^{(B,7)}$ CL : S, A, B , C

### Steps of GGS (*principles of AI, Nilsson,*)

- I. Create a search graph G, consisting solely of the start node S; put S on a list called OPEN.
- 2. Create a list called CLOSED that is initially empty.
- 3. Loop: if *OPEN* is empty, exit with failure.
- 4. Select the first node on *OPEN*, remove from *OPEN* and put on *CLOSED*, call this node *n*.
- 5. if *n* is the goal node, exit with the solution obtained by tracing a path along the pointers from *n* to *s* in *G*. (ointers are established in step 7).
- 6. Expand node *n*, generating the set *M* of its successors that are not ancestors of *n*. Install these memes of *M* as successors of *n* in *G*.

## GGS steps (contd.)

- 7. Establish a pointer to *n* from those members of *M* that were not already in *G* (*i.e.*, not already on either *OPEN* or *CLOSED*). Add these members of *M* to *OPEN*. For each member of *M* that was already on *OPEN* or *CLOSED*, decide whether or not to redirect its pointer to *n*. For each member of M already on *CLOSED*, decide for each of its descendents in *G* whether or not to redirect its pointer.
- 8. Reorder the list *OPEN* using some strategy.
- 9. Go *LOOP.*

## Algorithm A

A function *f* is maintained with each node

f(n) = g(n) + h(n), n is the node in the open list

Node chosen for expansion is the one with least *f* value

# Algorithm A\*

- One of the most important advances in AI
- g(n) = least cost path to n from S found so far
- h(n) <= h\*(n) where h\*(n) is the actual cost of optimal path to G(node to be found) from n</li>





### A\* Algorithm- Properties

- Admissibility: An algorithm is called admissible if it always terminates and terminates in optimal path
- Theorem: A\* is admissible.
- Lemma: Any time before A\* terminates there exists on OL a node n such that f(n) <= f\*(s)</li>
- **Observation:** For optimal path  $s \rightarrow n_1 \rightarrow n_2 \rightarrow ... \rightarrow g$ 
  - 1.  $h^*(g) = 0, g^*(s)=0$  and
  - 2.  $f^*(s) = f^*(n_1) = f^*(n_2) = f^*(n_3) \dots = f^*(g)$

### A\* Properties (contd.)

 $f^{*}(n_{i}) = f^{*}(s), \qquad n_{i} \neq s \text{ and } n_{i} \neq g$ Following set of equations show the above equality:  $f^{*}(n_{i}) = g^{*}(n_{i}) + h^{*}(n_{i})$  $f^{*}(n_{i+1}) = g^{*}(n_{i+1}) + h^{*}(n_{i+1})$  $g^{*}(n_{i+1}) = g^{*}(n_{i}) + c(n_{i}, n_{i+1})$  $h^{*}(n_{i+1}) = h^{*}(n_{i}) - c(n_{i}, n_{i+1})$ 

Above equations hold since the path is optimal.

### Admissibility of A\*

A\* always terminates finding an optimal path to the goal if such a path exists.

#### **Intuition**



(1) In the open list there always exists a node n such that  $f(n) \le f^*(S)$ .

(2) If  $A^*$  does not terminate, the *f* value of the nodes expanded become unbounded.

1) and 2) are together inconsistent

Hence A\* must terminate

#### <u>Lemma</u>

Any time before A\* terminates there exists in the open list a node n' such that  $f(n') <= f^*(S)$ 



For any node  $n_i$  on optimal path,  $f(n_i) = g(n_i) + h(n_i)$   $<= g^*(n_i) + h^*(n_i)$ Also  $f^*(n_i) = f^*(S)$ Let n' be the first node in the optimal path that is in OL. Since <u>all</u> parents of n' have gone to CL,

 $g(n') = g^{*}(n')$  and  $h(n') \le h^{*}(n')$ =>  $f(n') \le f^{*}(S)$ 

#### If A\* does not terminate

Let *e* be the least cost of all arcs in the search graph.

Then  $g(n) \ge e.l(n)$  where l(n) = # of arcs in the path from *S* to *n* found so far. If A\* does not terminate, g(n) and hence  $f(n) = g(n) + h(n) [h(n) \ge 0]$  will become unbounded.

This is not consistent with the lemma. So A\* has to terminate.

#### $2^{nd}$ part of admissibility of A\*

The path formed by A\* is optimal when it has terminated

Proof

Suppose the path formed is not optimal Let G be expanded in a non-optimal path. At the point of expansion of G,

$$f(G) = g(G) + h(G) = g(G) + 0 > g^{*}(G) = g^{*}(S) + h^{*}(S) = f^{*}(S) [f^{*}(S) = \text{cost of optimal path}]$$

This is a contradiction So path should be optimal

# Better Heuristic Performs Better

#### Theorem

A version  $A_2^*$  of  $A^*$  that has a "better" heuristic than another version  $A_1^*$  of  $A^*$  performs at least "as well as"  $A_1^*$ 

<u>Meaning of "better"</u>  $h_2(n) > h_1(n)$  for all n

<u>Meaning of "as well as"</u>  $A_1^*$  expands at least all the nodes of  $A_2^*$ 



<u>Proof</u> by induction on the search tree of  $A_2^*$ .

A\* on termination carves out a tree out of G

Induction

on the depth k of the search tree of  $A_2^*$ .  $A_1^*$  before termination expands all the nodes of depth k in the search tree of  $A_2^*$ .

k=0. True since start node S is expanded by both

Suppose  $A_1^*$  terminates without expanding a node *n* at depth (*k*+1) of  $A_2^*$  search tree.

Since  $A_1^*$  has seen all the parents of *n* seen by  $A_2^*$  $g_1(n) \le g_2(n)$  (1)



Since  $A_1^*$  has terminated without expanding *n*,  $f_1(n) \ge f^*(S)$  (2)

Any node whose f value is strictly less than  $f^*(S)$  has to be expanded. Since  $A_2^*$  has expanded n $f_2(n) <= f^*(S)$  (3)

From (1), (2), and (3)  $h_1(n) >= h_2(n)$  which is a contradiction. Therefore,  $A_1^*$  has to expand all nodes that  $A_2^*$  has expanded.

#### Exercise

If better means  $h_2(n) > h_1(n)$  for some *n* and  $h_2(n) = h_1(n)$  for others, then Can you prove the result ?

### Monotone Restriction or Triangular Inequality of the Heuristic Function

#### Statement:

- if monotone restriction (also called triangular inequality) is satisfied, then for nodes in the closed list, redirection of parent pointer is not necessary.
- In other words, if any node 'n' is chosen for expansion from the open list, then  $g(n)=g(n^*)$ , where g(n) is the cost of the path from the start node 's' to 'n' at that point of the search when 'n' is chosen, and  $g(n^*)$ is the cost of the optimal path from 's' to 'n'.
- A heuristic h(p) is said to satisfy the monotone restriction, if for all 'p',  $h(p) <= h(p_c) + cost(p, p_c)$ , where 'p<sub>c</sub>' is the child of 'p'.

# Proof

- Let S-N<sub>1</sub>- N<sub>2</sub>- N<sub>3</sub>- N<sub>4</sub>... N<sub>m</sub> ... N<sub>k</sub> be an optimal path from S to N<sub>k</sub> (all of which might or might not have been explored).
- Let N<sub>m</sub> be the last node on this path which is on the open list, i.e., all the ancestors from S up to N<sub>m-1</sub> are in the closed list.

## Proof (contd.)

- For every node  $N_{\rho}$  on the optimal path,
  - $g^*(N_p) + h(N_p) <= g^*(N_p) + C(N_p, N_{p+1}) + h(N_{p+1})$ , by monotone restriction
  - $g^*(N_p)+h(N_p) \le g^*(N_{p+1})+h(N_{p+1})$  on the optimal path
  - $g^*(N_m) + h(N_m) \le g^*(N_k) + h(N_k)$  by transitivity
- Since all ancestors of N<sub>m</sub> in the optimal path are in the closed list,
  - $g(N_m) = g^*(N_m)$
  - $=> f(N_m) = g(N_m) + h(N_m) = g^*(N_m) + h(N_m) < = g^*(N_k) + h(N_k)$

## Proof (contd.)

- For every node  $N_{\rho}$  on the optimal path,
  - $g^*(N_p) + h(N_p) <= g^*(N_p) + C(N_p, N_{p+1}) + h(N_{p+1})$ , by monotone restriction
  - $g^*(N_p)+h(N_p) \le g^*(N_{p+1})+h(N_{p+1})$  on the optimal path
  - $g^*(N_m) + h(N_m) \le g^*(N_k) + h(N_k)$  by transitivity
- Since all ancestors of N<sub>m</sub> in the optimal path are in the closed list,
  - $g(N_m) = g^*(N_m)$
  - $=> f(N_m) = g(N_m) + h(N_m) = g^*(N_m) + h(N_m) < = g^*(N_k) + h(N_k)$

Proof (contd.)

• Now if  $N_{k}$  is chosen in preference to  $N_{m}$ •  $f(N_k) \leq f(N_m)$ •  $q(N_{\nu}) + h(N_{\nu}) <= g(N_m) + h(N_m)$  $= q^{*}(N_{m}) + h(N_{m})$  $<= q^{*}((N_{\nu}) + h(N_{\nu}))$ Hence,  $q(N_k) < = g^*(N_k)$ • But  $q(N_{\nu}) > = q^{*}(N_{\nu})$ , by definition • Hence  $q(N_{\mu}) = q^*(N_{\mu}) - proved$ 

Relationship between Monotone Restriction and Admissibility

- MR=>Admissibility, but not vice versa
  - *i.e.*, if a heuristic *h(p)* satisfies the monotone restriction, for all 'p',
     *h(p)<=h(p\_c)+cost(p, p\_c)*, where 'p\_c' is the child of 'p', then

h\*(p)<=h\*(p), for all p</p>

## Forward proof

- Let  $p \rightarrow n_1 \rightarrow n_2 \rightarrow n_3 \rightarrow \dots n_{k-1} \rightarrow G = n_k$  be the *optimal* from *p* to *G*
- By definition, *h(G)=0*
- Since  $p \rightarrow n_1 \rightarrow n_2 \rightarrow n_3 \rightarrow \dots n_{k-1} \rightarrow G = n_k$  is the optimal path from p to G,
- $C(n_1, n_2) + c(n_2, n_3) + \dots + c(n_{k-1}, n_k) = h^*(p)$

## Forward proof (contd.)

### Now by M.R.

$$\begin{split} h(p) &<= h(n_1) + c(p, n_1) \\ h(n_1) &<= h(n_2) + c(n_1, n_2) \\ h(n_2) &<= h(n_3) + c(n_2, n_3) \\ h(n_3) &<= h(n_4) + c(n_3, n_4) \end{split}$$

. . .

$$h(n_{k-1}) < = h(G) + c(n_{k-1},G)$$

*h*(*G*)=0; summing the inequalities,

 $h(p) < = C(n_1, n_2) + c(n_2, n_3) + ... + c(n_{k-1}, n_k) = h^*(p); proved$ Backward proof, by producing a counter example.

# Lab assignment

- Implement A\* algorithm for the following problems:
  - 8 puzzle
  - Missionaries and Cannibals
  - Robotic Blocks world
- Specifications:
  - Try different heuristics and compare with baseline case, *i.e.*, the breadth first search.
  - Violate the condition h ≤ h\*. See if the optimal path is still found. Observe the speedup.