

# CS344: Introduction to Artificial Intelligence

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## Lecture 30: Probabilistic Parsing: Algorithmics

(Lecture 28-29: two hours on student seminars on Default Reasoning, Child Language Acquisition and Short Term and Long Term Memory)

# Formal Definition of PCFG

- A PCFG consists of
  - A set of terminals  $\{w_k\}$ ,  $k = 1, \dots, V$   
 $\{w_k\} = \{ \text{child, teddy, bear, played...} \}$
  - A set of non-terminals  $\{N^i\}$ ,  $i = 1, \dots, n$   
 $\{N_i\} = \{ \text{NP, VP, DT...} \}$
  - A designated start symbol  $N^1$
  - A set of rules  $\{N^i \rightarrow \zeta^j\}$ , where  $\zeta^j$  is a sequence of terminals & non-terminals  
 $\text{NP} \rightarrow \text{DT NN}$
  - A corresponding set of rule probabilities

# Rule Probabilities

- Rule probabilities are such that

$$\forall i \sum_j P(N^i \rightarrow \zeta^j) = 1$$

*E.g.*,  $P(\text{NP} \rightarrow \text{DT NN}) = 0.2$

$$P(\text{NP} \rightarrow \text{NN}) = 0.5$$

$$P(\text{NP} \rightarrow \text{NP PP}) = 0.3$$

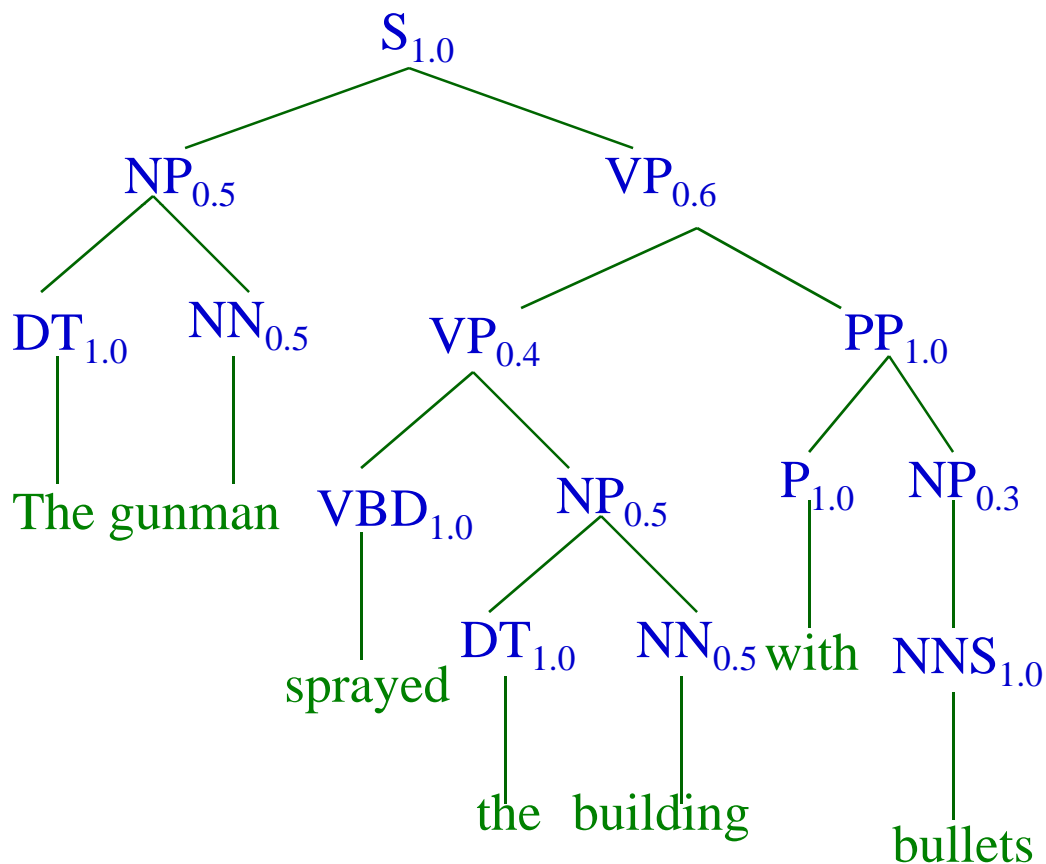
- $P(\text{NP} \rightarrow \text{DT NN}) = 0.2$ 
  - Means 20 % of the training data parses use the rule  $\text{NP} \rightarrow \text{DT NN}$

# Probabilistic Context Free Grammars

- $S \rightarrow NP VP$  1.0
- $NP \rightarrow DT NN$  0.5
- $NP \rightarrow NNS$  0.3
- $NP \rightarrow NP PP$  0.2
- $PP \rightarrow P NP$  1.0
- $VP \rightarrow VP PP$  0.6
- $VP \rightarrow VBD NP$  0.4
- $DT \rightarrow the$  1.0
- $NN \rightarrow gunman$  0.5
- $NN \rightarrow building$  0.5
- $VBD \rightarrow sprayed$  1.0
- $NNS \rightarrow bullets$  1.0

# Example Parse $t_1$

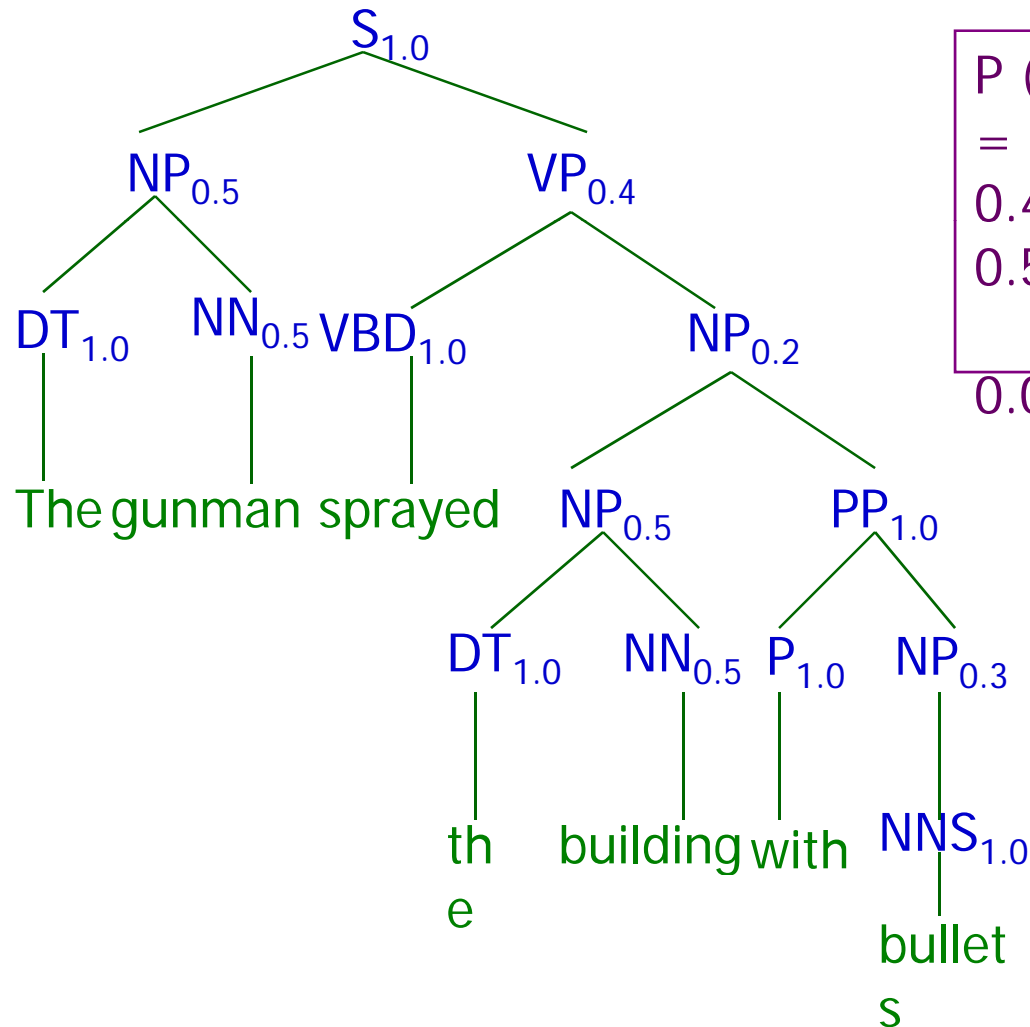
- The gunman sprayed the building with bullets.



$$\begin{aligned} P(t_1) &= 1.0 * \\ &0.5 * 1.0 * 0.5 * 0.6 * 0.4 * 1.0 \\ &* 0.5 * 1.0 * 0.5 * 1.0 * 1.0 * \\ &0.3 * 1.0 &= \\ &0.00225 \end{aligned}$$

# Another Parse $t_2$

- The gunman sprayed the building with bullets.

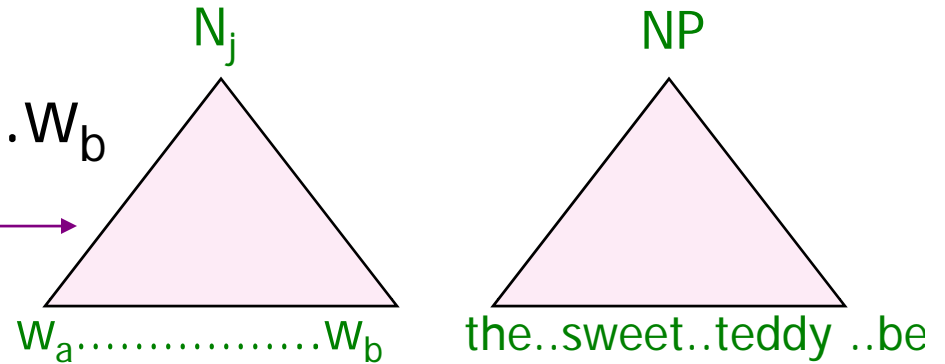


$$\begin{aligned} P(t_2) &= 1.0 * 0.5 * 1.0 * 0.5 * \\ &0.4 * 1.0 * 0.2 * 0.5 * 1.0 * \\ &0.5 * 1.0 * 1.0 * 0.3 * 1.0 \\ &= \\ &0.0015 \end{aligned}$$

# Probability of a sentence

## ■ Notation :

- $w_{ab}$  – subsequence  $w_a \dots w_b$
- $N_j$  dominates  $w_a \dots w_b$   
or  $\text{yield}(N_j) = w_a \dots w_b$



$$\begin{aligned}
 P(w_{1m}) &= \sum_t P(w_{1m}, t) \quad \rightarrow \text{Where } t \text{ is a parse tree of the sentence} \\
 &= \sum_t P(t) P(w_{1m} | t) \\
 &= \sum_{t: \text{yield}(t)=w_{1m}} P(t) \quad \because P(w_{1m} | t) = 1
 \end{aligned}$$

If  $t$  is a parse tree for the sentence  $w_{1m}$ , this will be 1 !!

# Assumptions of the PCFG model

- Place invariance :

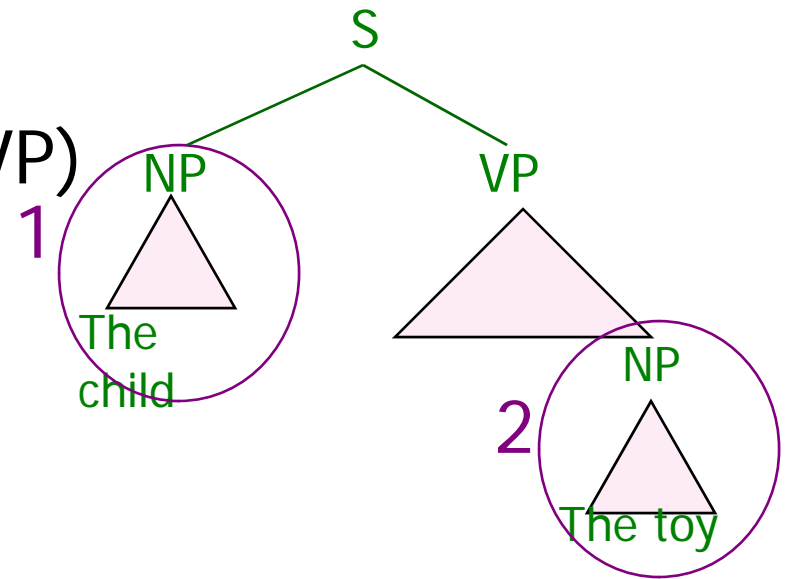
$P(\text{NP} \rightarrow \text{DT NN})$  is same in locations 1 and 2

- Context-free :

$P(\text{NP} \rightarrow \text{DT NN} \mid \text{anything outside "The child"})$   
 $= P(\text{NP} \rightarrow \text{DT NN})$

- Ancestor free : At 2,

$P(\text{NP} \rightarrow \text{DT NN} \mid \text{its ancestor is VP})$   
 $= P(\text{NP} \rightarrow \text{DT NN})$

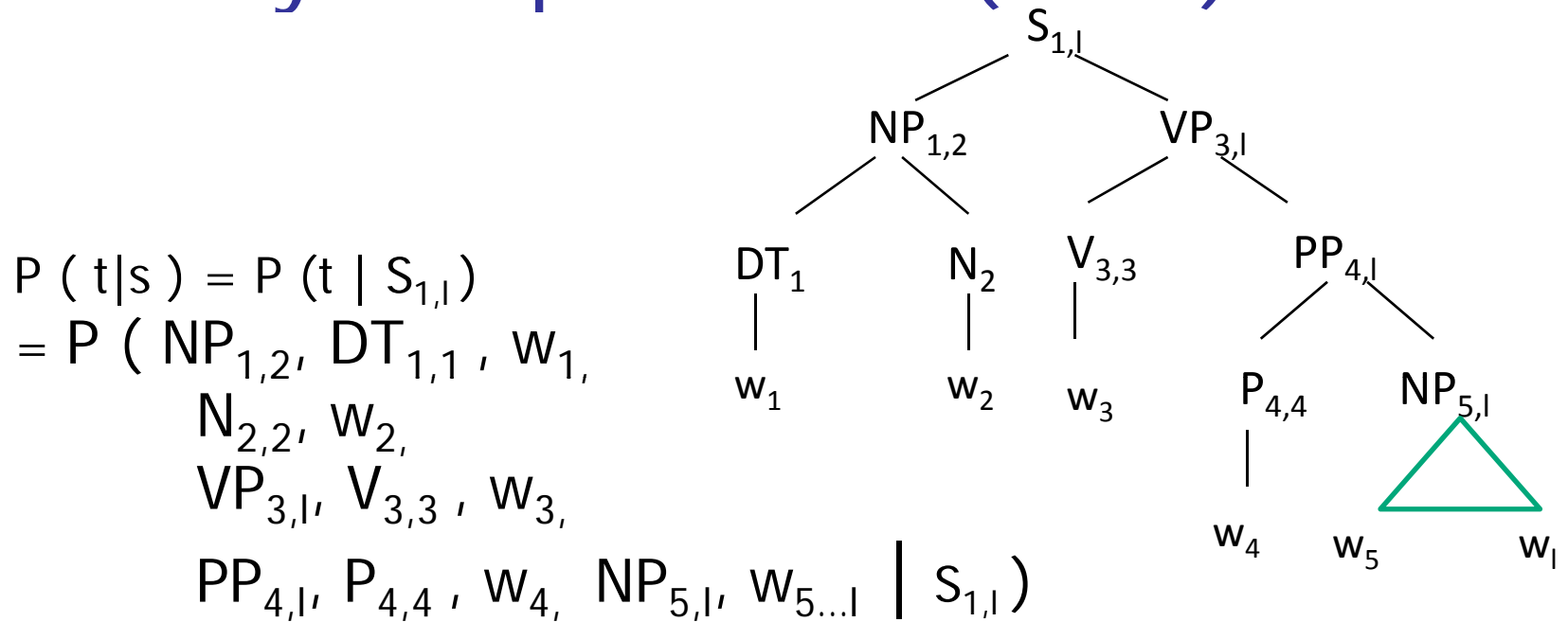




# Probability of a parse tree

- *Domination* : We say  $N_j$  dominates from  $k$  to  $l$ , symbolized as  $N_{k,l}^j$ , if  $W_{k,l}$  is derived from  $N_j$
- $P(\text{tree} \mid \text{sentence}) = P(\text{tree} \mid S_{1,l})$   
where  $S_{1,l}$  means that the start symbol  $S$  dominates the word sequence  $W_{1,l}$
- $P(t \mid s)$  approximately equals joint probability of constituent non-terminals dominating the sentence fragments (next slide)

# Probability of a parse tree (cont.)



$$\begin{aligned}
 &= P(NP_{1,2}, VP_{3,1} | S_{1,1}) * P(DT_{1,1}, N_{2,2} | NP_{1,2}) * D(w_1 | DT_{1,1}) * \\
 &P(w_2 | N_{2,2}) * P(V_{3,3}, PP_{4,1} | VP_{3,1}) * P(w_3 | V_{3,3}) * P(P_{4,4}, NP_{5,1} | \\
 &PP_{4,1}) * P(w_4 | P_{4,4}) * P(w_{5..1} | NP_{5,1})
 \end{aligned}$$

*(Using Chain Rule, Context Freeness and Ancestor Freeness)*

# HMM $\leftrightarrow$ PCFG

- $O$  observed sequence  $\leftrightarrow W_{1m}$  sentence
- $X$  state sequence  $\leftrightarrow t$  parse tree
- $\mu$  model  $\leftrightarrow G$  grammar
  
- Three fundamental questions

## HMM $\leftrightarrow$ PCFG

- How likely is a certain observation given the model?  $\leftrightarrow$  How likely is a sentence given the grammar?

$$P(O | \mu) \leftrightarrow P(w_{1m} | G)$$

- How to choose a state sequence which best explains the observations?  $\leftrightarrow$  How to choose a parse which best supports the sentence?

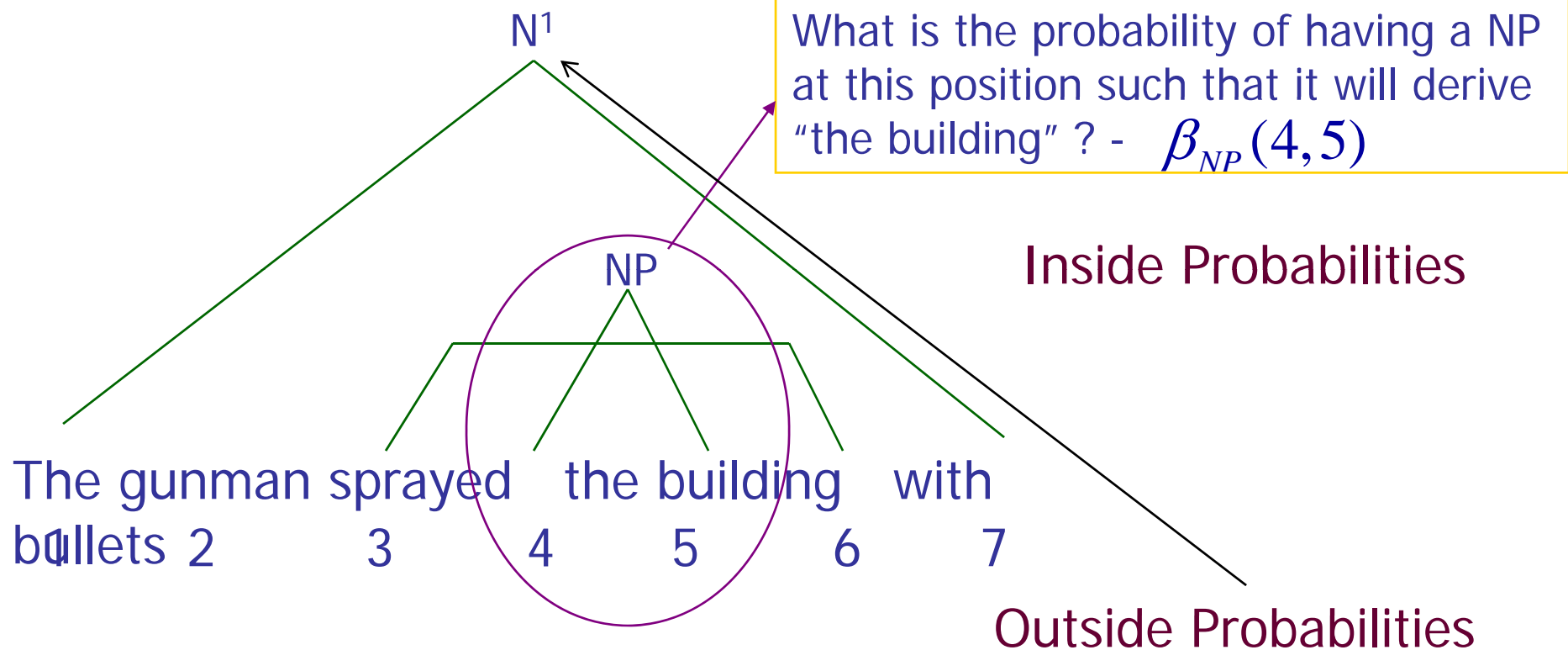
$$\arg \max_X P(X | O, \mu) \leftrightarrow \arg \max_t P(t | w_{1m}, G)$$

# HMM $\leftrightarrow$ PCFG

- How to choose the model parameters that best explain the observed data?  $\leftrightarrow$  How to choose rule probabilities which maximize the probabilities of the observed sentences?

$$\arg \max_{\mu} P(O | \mu) \leftrightarrow \arg \max_G P(w_{1m} | G)$$

# Interesting Probabilities



What is the probability of having a NP at this position such that it will derive "the building" ? -  $\beta_{NP}(4,5)$

What is the probability of starting from N<sup>1</sup> and deriving "The gunman sprayed", a NP and "with bullets" ? -  $\alpha_{NP}(4,5)$

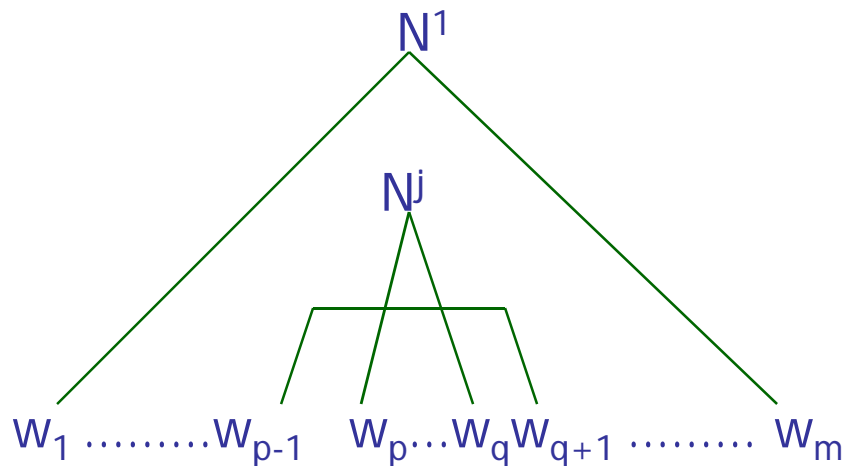
# Interesting Probabilities

- Random variables to be considered
  - The non-terminal being expanded.  
*E.g.*, NP
  - The word-span covered by the non-terminal.  
*E.g.*, (4,5) refers to words “the building”
- While calculating probabilities, consider:
  - The rule to be used for expansion :  
*E.g.*, NP → DT NN
  - The probabilities associated with the RHS non-terminals : *E.g.*, DT subtree’s inside/outside probabilities & NN subtree’s inside/outside probabilities

# Outside Probability

- $\alpha_j(p, q)$  : The probability of beginning with  $N^1$  & generating the non-terminal  $N^j_{pq}$  and all words outside  $w_p \dots w_q$

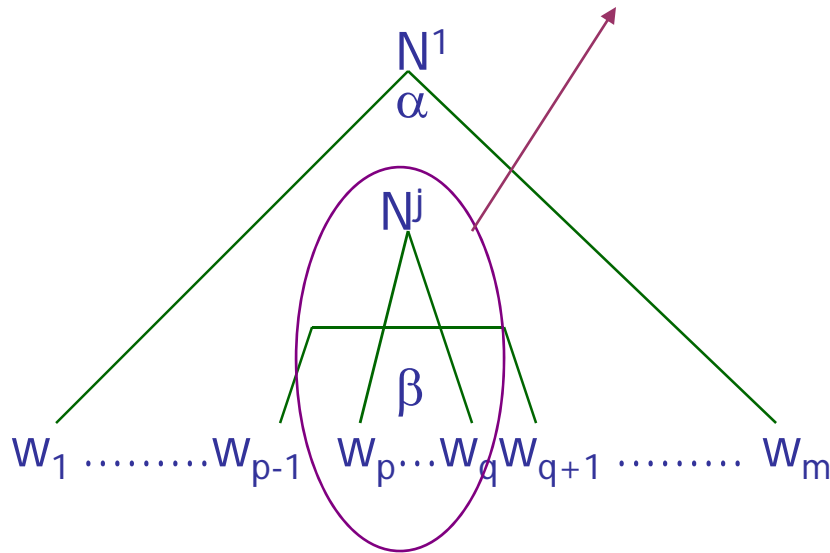
$$\alpha_j(p, q) = P(w_{1(p-1)}, N^j_{pq}, w_{(q+1)m} \mid G)$$





# Inside Probabilities

- $\beta_j(p, q)$  : The probability of generating the words  $w_p \dots w_q$  starting with the non-terminal  $N_{pq}^j$ .
- $$\beta_j(p, q) = P(w_{pq} \mid N_{pq}^j, G)$$

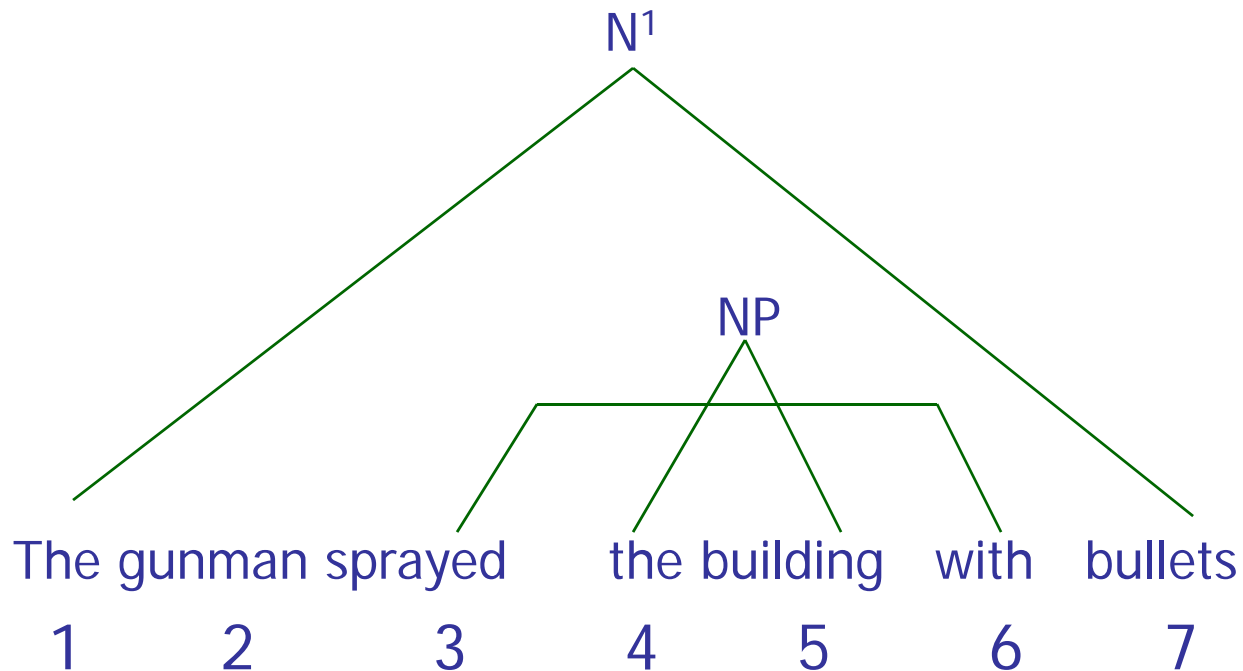


# Outside & Inside Probabilities:example

$\alpha_{NP}(4,5)$  for "the building"

$= P(\text{The gunman sprayed, } NP_{4,5}, \text{ with bullets} \mid G)$

$\beta_{NP}(4,5)$  for "the building"  $= P(\text{the building} \mid NP_{4,5}, G)$



# Inside probabilities $\beta_i(p, q)$

Base case:

$$\beta_j(k, k) = P(w_k | N_{kk}^j, G) = P(N_{kk}^j \rightarrow w_k | G)$$

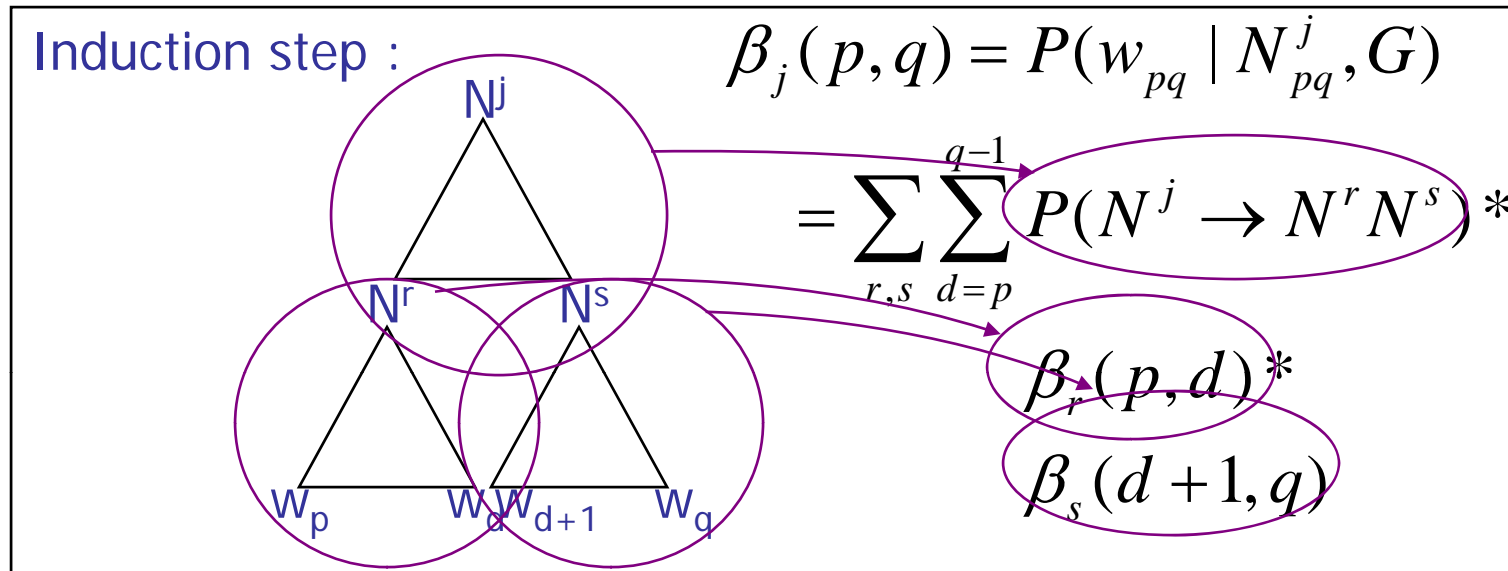
- Base case is used for rules which derive the words or terminals directly

*E.g.*, Suppose  $N^j = NN$  is being considered &  $NN \rightarrow \text{building}$  is one of the rules with probability 0.5

$$\beta_{NN}(5, 5) = P(\text{building} | NN_{5,5}, G)$$

$$= P(NN_{5,5} \rightarrow \text{building} | G) = 0.5$$

# Induction Step



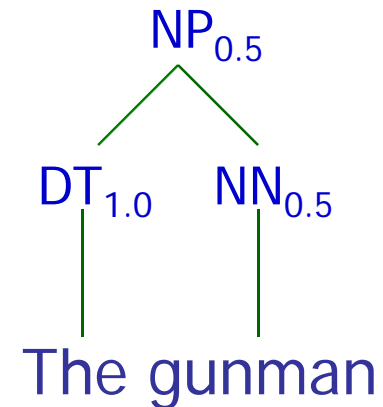
- Consider different splits of the words - indicated by  $d$   
*E.g., the huge building*  
 Split here for  $d=2$        $d=3$
- Consider different non-terminals to be used in the rule:  
 $NP \rightarrow DT NN$ ,  $NP \rightarrow DT NNS$  are available options  
 Consider summation over all these.

# The Bottom-Up Approach

- The idea of induction
- Consider “the gunman”
- Base cases : Apply unary rules

DT  $\rightarrow$  the                      Prob = 1.0

NN  $\rightarrow$  gunman                  Prob = 0.5



- Induction : Prob that a NP covers these 2 words  
=  $P(\text{NP} \rightarrow \text{DT NN}) * P(\text{DT deriving the word "the"}) * P(\text{NN deriving the word "gunman"})$   
=  $0.5 * 1.0 * 0.5 = 0.25$

# Parse Triangle

- A parse triangle is constructed for calculating  $\beta_j(p, q)$
- Probability of a sentence using  $\beta_j(p, q)$ :

$$P(w_{1m} | G) = P(N^1 \rightarrow w_{1m} | G) = P(w_{1m} | N_{1m}^1, G) = \beta_1(1, m)$$

# Parse Triangle

	The (1)	gunman (2)	sprayed (3)	the (4)	building (5)	with (6)	bullets (7)
1	$\beta_{DT} = 1.0$						
2		$\beta_{NN} = 0.5$					
3			$\beta_{VBD} = 1.0$				
4				$\beta_{DT} = 1.0$			
5					$\beta_{NN} = 0.5$		
6						$\beta_P = 1.0$	
7							$\beta_{NNS} = 1.0$

- Fill diagonals with  $\beta_j(k, k)$

# Parse Triangle

	The (1)	gunman (2)	sprayed (3)	the (4)	building (5)	with (6)	bullets (7)
1	$\beta_{DT} = 1.0$	$\beta_{NP} = 0.25$					
2		$\beta_{NN} = 0.5$					
3			$\beta_{VBD} = 1.0$				
4				$\beta_{DT} = 1.0$			
5					$\beta_{NN} = 0.5$		
6						$\beta_P = 1.0$	
7							$\beta_{NNS} = 1.0$

- Calculate using induction formula

$$\beta_{NP}(1, 2) = P(\text{the gunman} \mid NP_{1,2}, G)$$

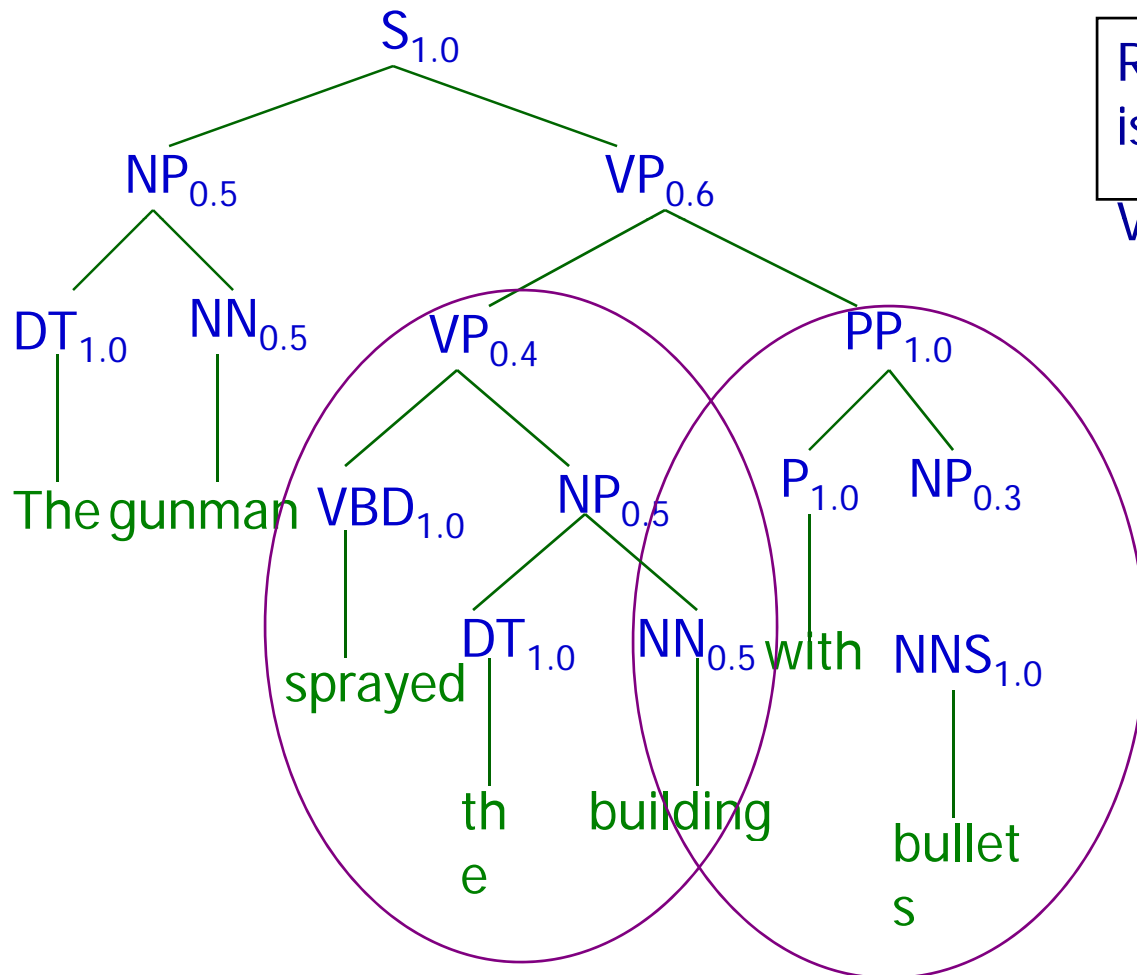
$$= P(NP \rightarrow DT \ NN) * \beta_{DT}(1, 1) * \beta_{NN}(2, 2)$$

$$= 0.5 * 1.0 * 0.5 = 0.25$$



# Example Parse $t_1$

- The gunman sprayed the building with bullets.

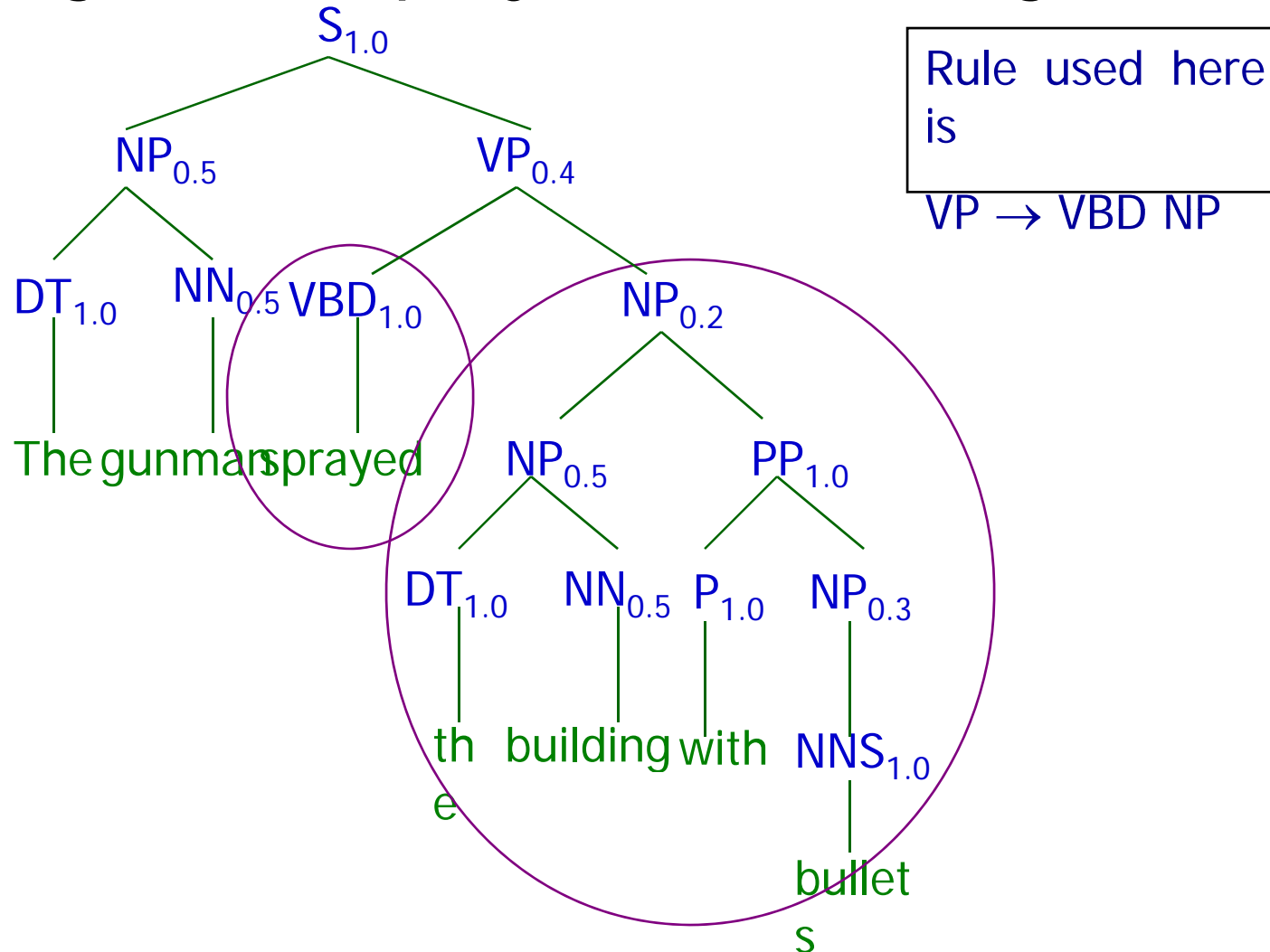


Rule used here  
is

$VP \rightarrow VP PP$

# Another Parse $t_2$

- The gunman sprayed the building with bullets.



# Parse Triangle

	The (1)	gunman (2)	sprayed (3)	the (4)	building (5)	with (6)	bullets (7)
1	$\beta_{DT} = 1.0$	$\beta_{NP} = 0.25$					$\beta_S = 0.0465$
2		$\beta_{NN} = 0.5$					
3			$\beta_{VBD} = 1.0$		$\beta_{VP} = 1.0$		$\beta_{VP} = 0.186$
4				$\beta_{DT} = 1.0$	$\beta_{NP} = 0.25$		$\beta_{NP} = 0.015$
5					$\beta_{NN} = 0.5$		
6						$\beta_P = 1.0$	$\beta_{PP} = 0.3$
7							$\beta_{NNS} = 1.0$

$$\beta_{VP}(3, 7) = P(\text{sprayed the building with bullets} \mid VP_{3,7}, G)$$

$$= P(VP \rightarrow VP PP) * \beta_{VP}(3, 5) * \beta_{PP}(6, 7)$$

$$+ P(VP \rightarrow VBD NP) * \beta_{VBD}(3, 3) * \beta_{NP}(4, 7)$$

$$= 0.6 * 1.0 * 0.3 + 0.4 * 1.0 * 0.015 = 0.186$$

# Different Parses

- Consider
  - Different splitting points :  
*E.g.*, 5th and 3<sup>rd</sup> position
  - Using different rules for VP expansion :  
*E.g.*,  $VP \rightarrow VP PP$ ,  $VP \rightarrow VBD NP$
- Different parses for the VP “sprayed the building with bullets” can be constructed this way.

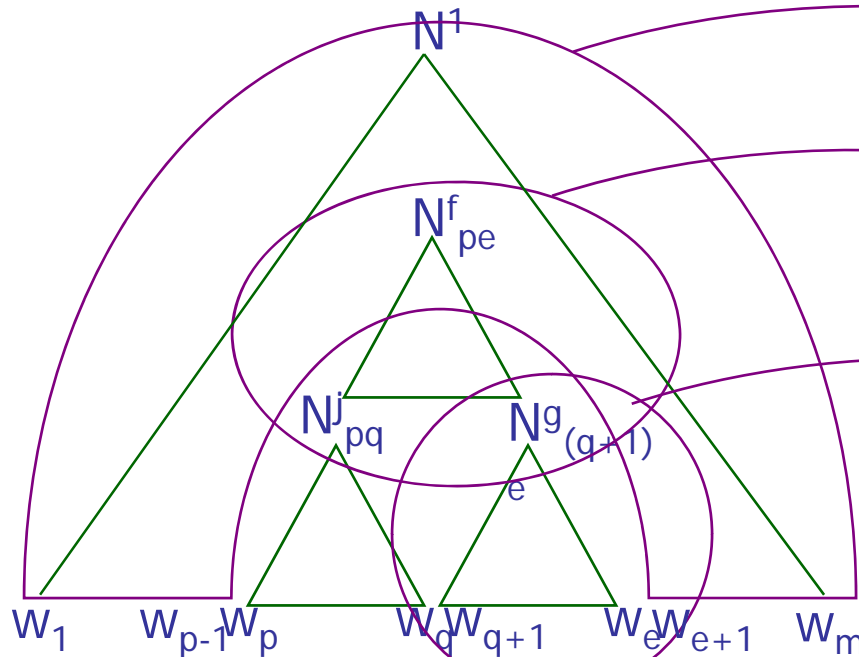
# Outside Probabilities $\alpha_j(p, q)$

Base case:

$$\alpha_1(1, m) = 1 \text{ for start symbol}$$

$$\alpha_j(1, m) = 0 \text{ for } j \neq 1$$

Inductive step for calculating  $\alpha_j(p, q)$



$$\alpha_f(p, e)$$

$$P(N^f \rightarrow N^j N^g)$$

$$\beta_g(q+1, e)$$

Summation  
over  $f, g$  &  
 $e$

# Probability of a Sentence

$$P(w_{1m}, N_{pq} | G) = \sum_j P(w_{1m} | N_{pq}^j, G) = \sum_j \alpha_j(p, q) \beta_j(p, q)$$

- Joint probability of a sentence  $w_{1m}$  and that there is a constituent spanning words  $w_p$  to  $w_q$  is given as:

$$\begin{aligned} &P(\text{The gunman...bullets}, N_{4,5} | G) \\ &= \sum_j P(\text{The gunman...bullets} | N_{4,5}^j, G) \\ &= \alpha_{NP}(4, 5) \beta_{NP}(4, 5) \\ &\quad + \alpha_{VP}(4, 5) \beta_{VP}(4, 5) + \dots \end{aligned}$$

