

# CS344: Introduction to Artificial Intelligence (associated lab: CS386)

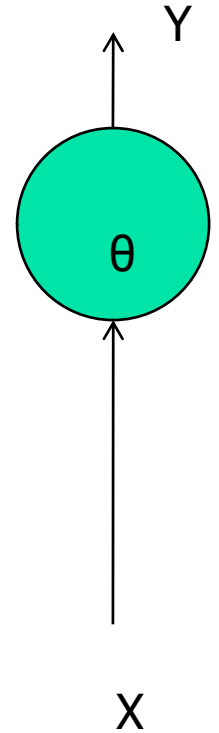
Pushpak Bhattacharyya  
CSE Dept.,  
IIT Bombay

Lecture 25: Perceptrons; # of regions;  
training and convergence

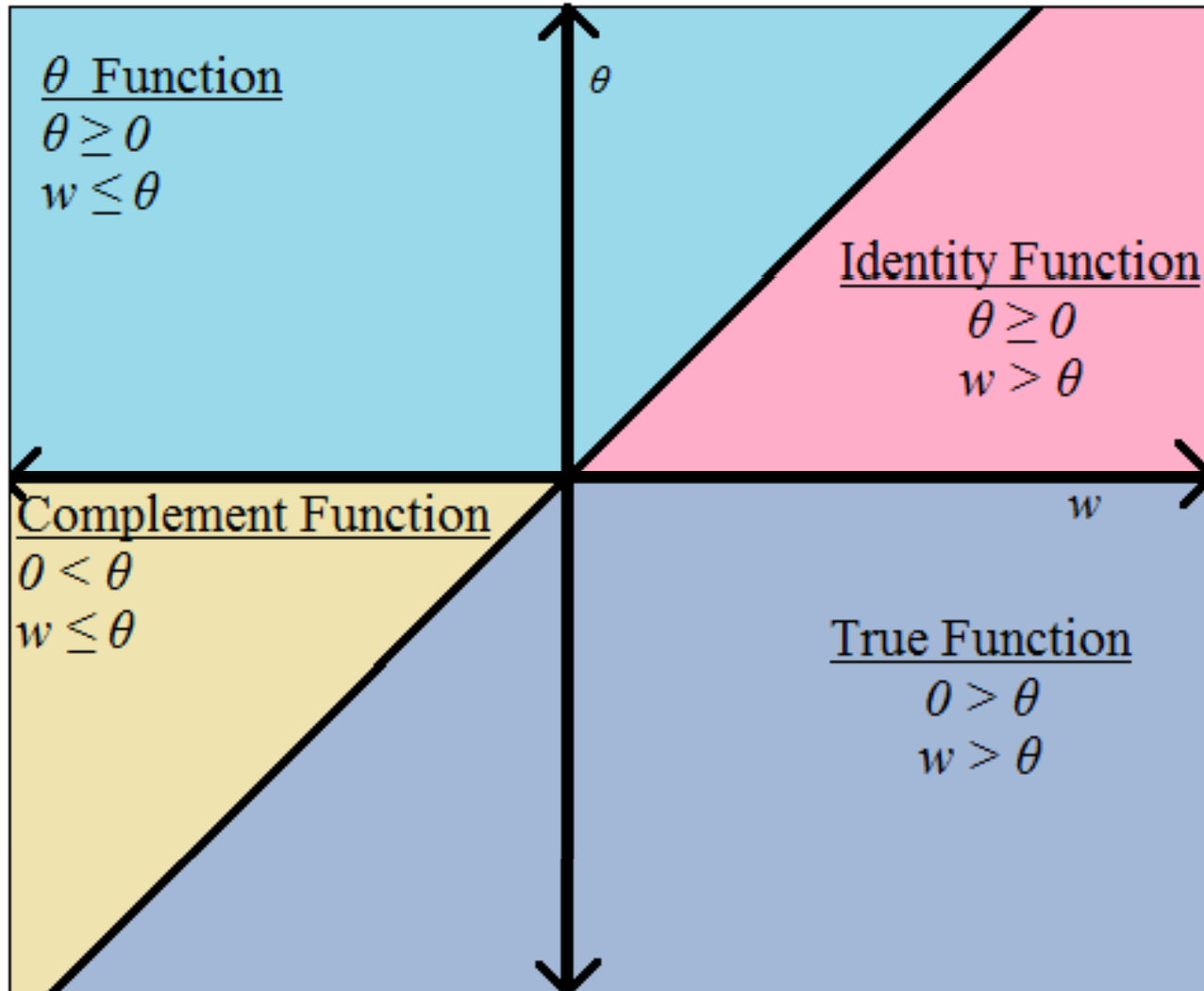
14<sup>th</sup> March, 2011

# Functions in one-input Perceptron

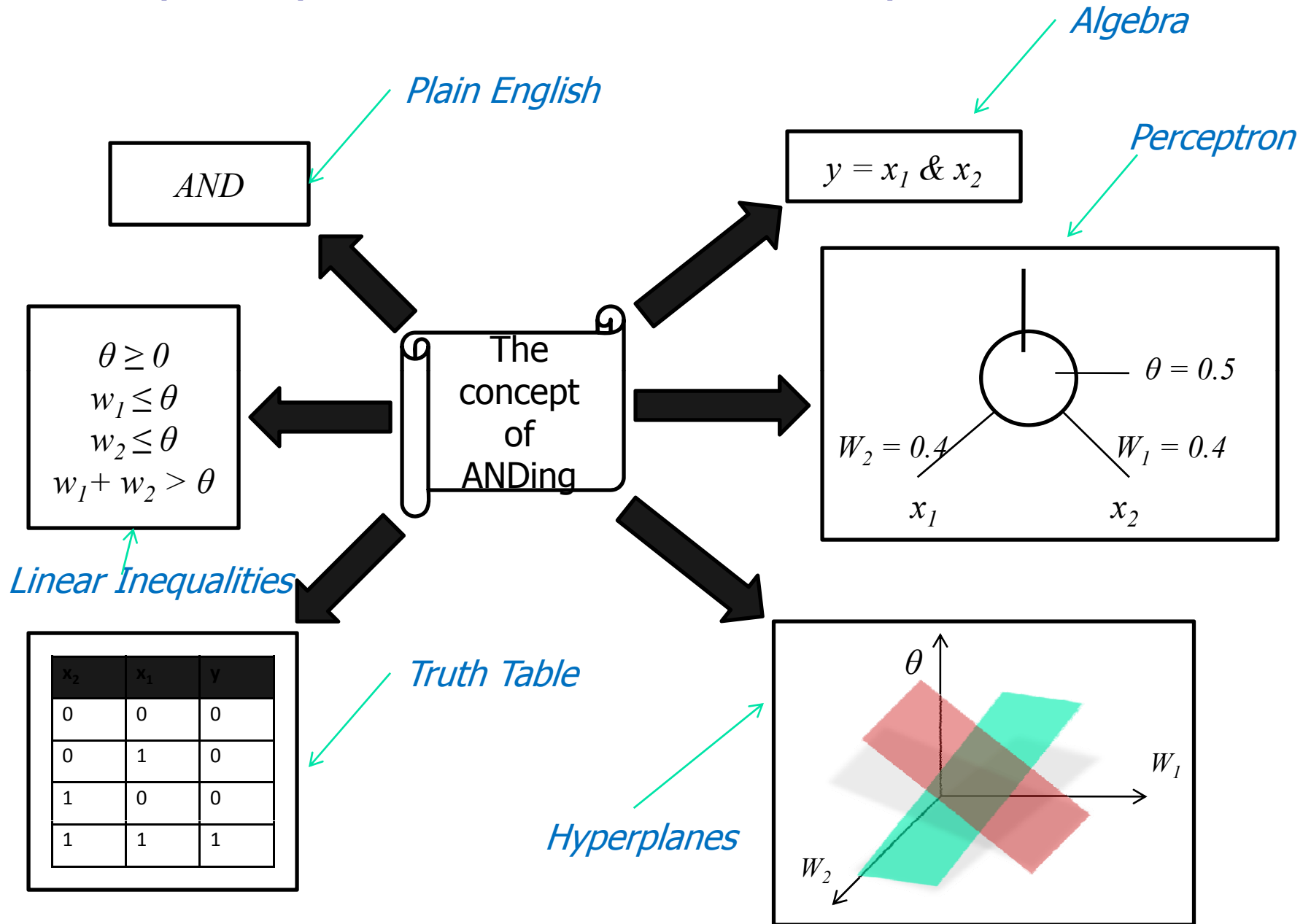
$w \cdot 0 > \theta$	<i>i.e.</i>	$0 > \theta$	} True function
$w \cdot 1 > \theta$		$w > \theta$	
$w \cdot 0 \leq \theta$	<i>i.e.</i>	$\theta \geq 0$	} $\theta$ function
$w \cdot 1 \leq \theta$		$w \leq \theta$	
$w \cdot 0 \leq \theta$	<i>i.e.</i>	$\theta \geq 0$	} Identity function
$w \cdot 1 > \theta$		$w > \theta$	
$w \cdot 0 > \theta$	<i>i.e.</i>	$0 < \theta$	} Complement function
$w \cdot 1 \leq \theta$		$w \leq \theta$	



# Functions in Simple Perceptron



# Multiple representations of a concept



# Inductive Bias

- Once we have decided to use a particular representation, we have assumed “inductive bias”
- The inductive bias of a learning algorithm is the set of assumptions that the learner uses to predict outputs given inputs that it has not encountered (Mitchell, 1980).

- You can refer to:

A theory of the Learnable

LG Valiant - Communications of the ACM, 1984

# Fundamental Observation

- The number of TFs computable by a perceptron is equal to the number of regions produced by  $2^n$  hyper-planes, obtained by plugging in the values  $\langle x_1, x_2, x_3, \dots, x_n \rangle$  in the equation

$$\sum_{i=1}^n w_i x_i = \theta$$

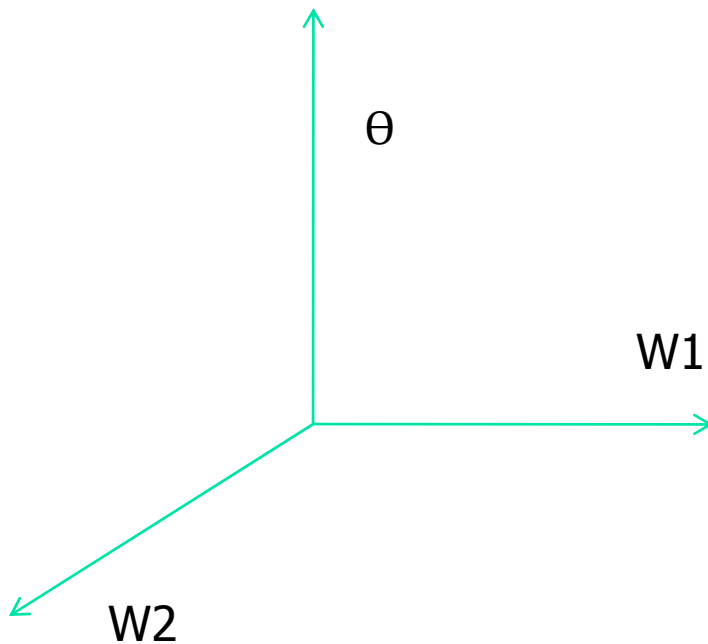
# The geometrical observation

- **Problem:**  $m$  linear surfaces called hyper-planes (each hyper-plane is of  $(d-1)$ -dim) in  $d$ -dim, then what is the max. no. of regions produced by their intersection?

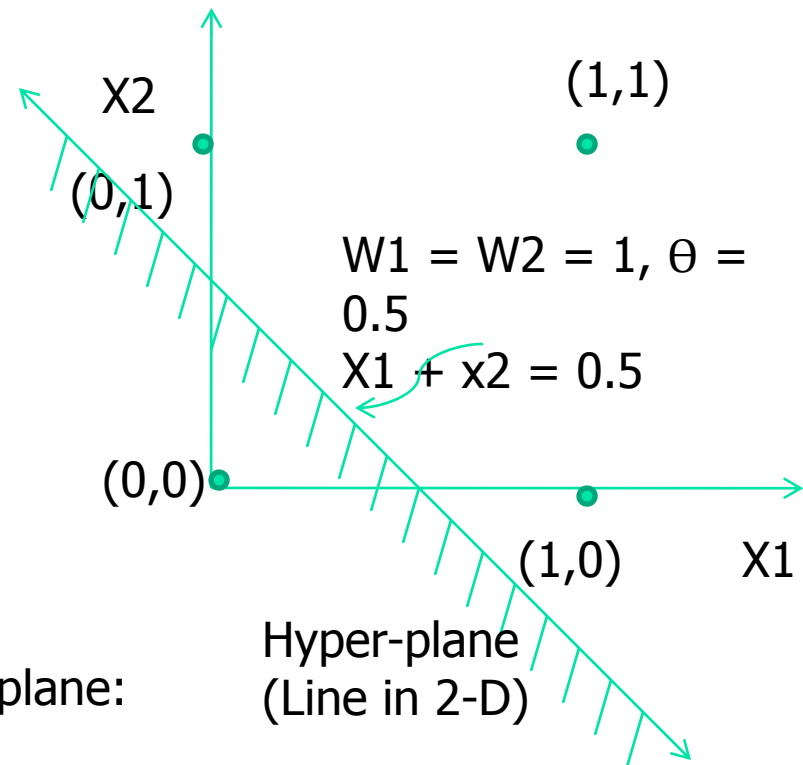
*i.e.,  $R_{m,d} = ?$*

# Co-ordinate Spaces

We work in the  $\langle X_1, X_2 \rangle$  space or the  $\langle w_1, w_2, \theta \rangle$  space

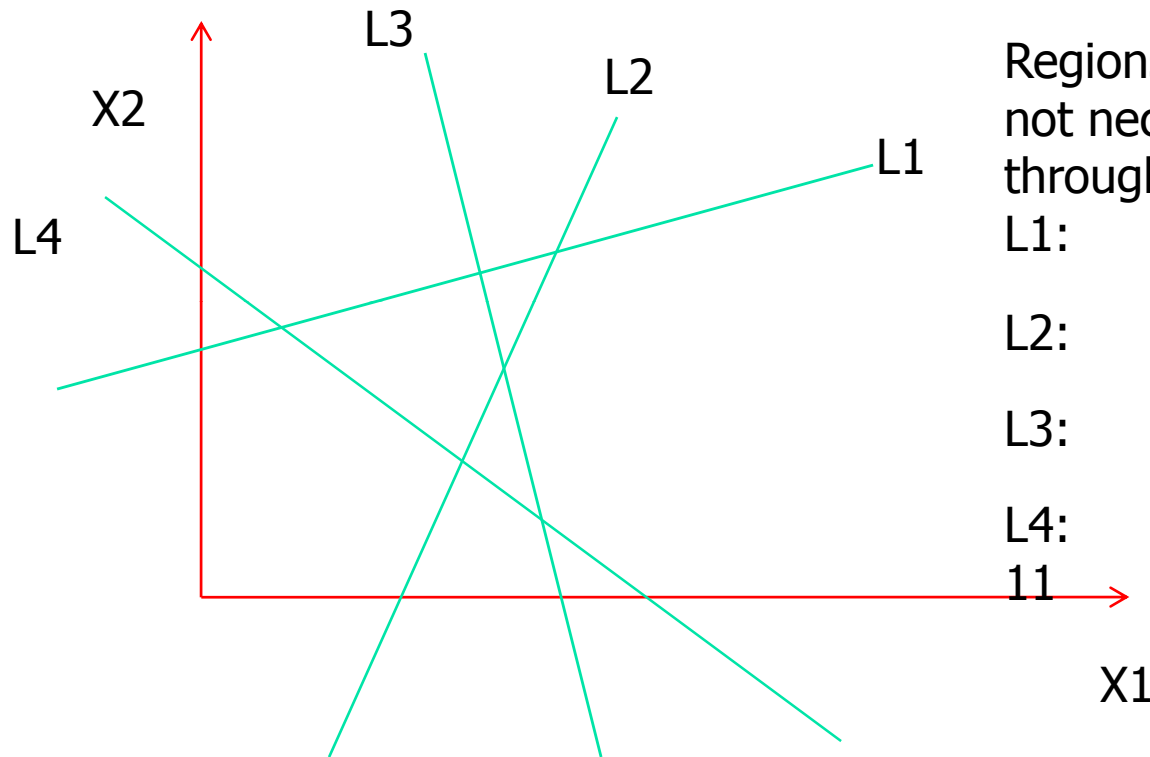


General equation of a Hyperplane:  
 $\sum W_i X_i = \theta$





# Regions produced by lines



Regions produced by lines  
not necessarily passing  
through origin

$$L1: \quad 2$$

$$L2: \quad 2+2 = 4$$

$$L3: \quad 2+2+3 = 7$$

$$L4: \quad 2+2+3+4 =$$

11

New regions created = Number of intersections on the incoming line  
by the original lines

Total number of regions = Original number of regions + New regions  
created

# Number of computable functions by a neuron

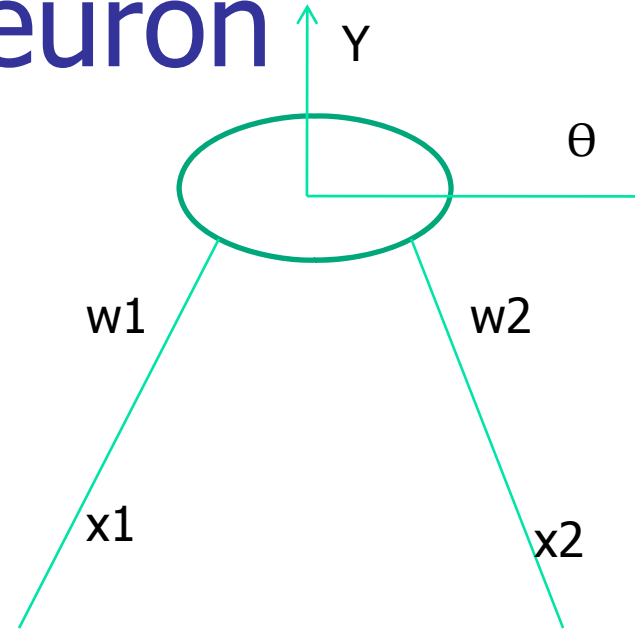
$$w_1 * x_1 + w_2 * x_2 = \theta$$

$$(0,0) \Rightarrow \theta = 0 : P_1$$

$$(0,1) \Rightarrow w_2 = \theta : P_2$$

$$(1,0) \Rightarrow w_1 = \theta : P_3$$

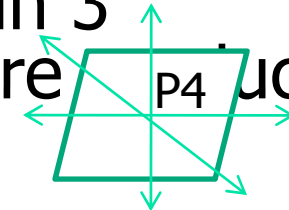
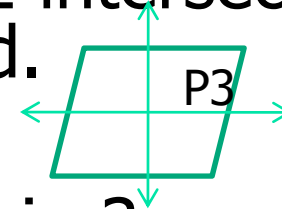
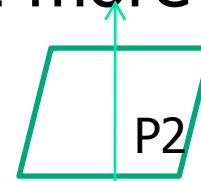
$$(1,1) \Rightarrow w_1 + w_2 = \theta : P_4$$



$P_1, P_2, P_3$  and  $P_4$  are planes in the  $\langle W_1, W_2, \theta \rangle$  space

## Number of computable functions by a neuron (cont...)

- P1 produces 2 regions
- P2 is intersected by P1 in a line. 2 more new regions are produced.  
Number of regions =  $2 + 2 = 4$
- P3 is intersected by P1 and P2 in 2 intersecting lines. 4 more regions are produced.  
Number of regions =  $4 + 4 = 8$
- P4 is intersected by P1, P2 and P3 in 3 intersecting lines. 6 more regions are produced.  
Number of regions =  $8 + 6 = 14$
- Thus, a single neuron can compute 14 Boolean functions which are linearly separable.



# Points in the same region

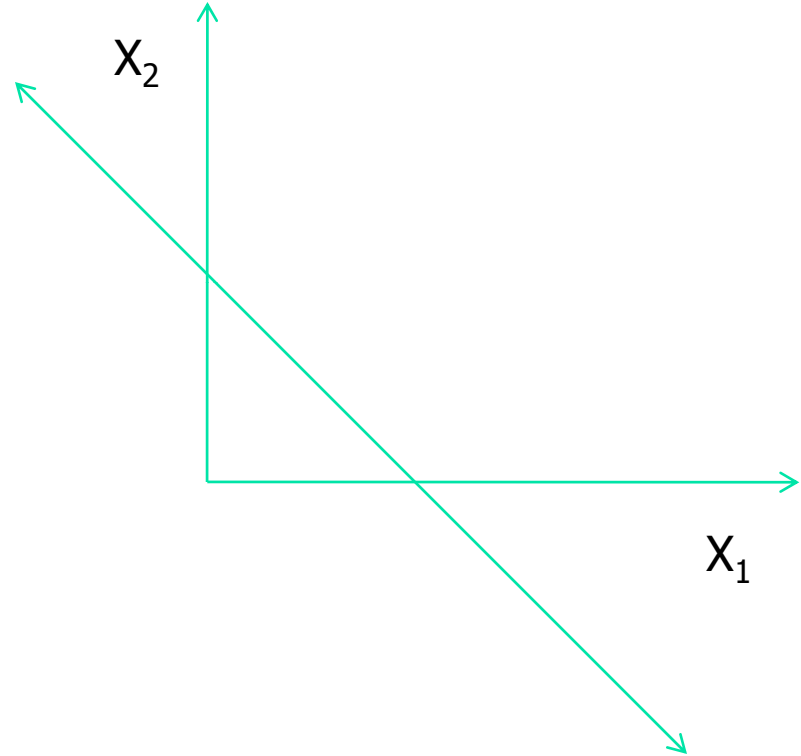
If

$$W_1 * X_1 + W_2 * X_2 > \theta$$

$$W_1' * X_1 + W_2' * X_2 > \theta'$$

Then

If  $\langle W_1, W_2, \theta \rangle$  and  $\langle W_1', W_2', \theta' \rangle$  share a region then they compute the same function



# No. of Regions produced by Hyperplanes

Number of regions founded by n hyperplanes in d-dim passing through origin is given by the following recurrence relation

$$R_{n, d} = R_{n-1, d} + R_{n-1, d-1}$$

we use generating function as an operating function

Boundary condition:

$$R_{1, d} = 2$$

1 hyperplane in d-dim

$$R_{n, 1} = 2$$

n hyperplanes in 1-dim,

Reduce to n points thru origin

The generating function is

$$f(x, y) = \sum_{n=1}^{\infty} \sum_{d=1}^{\infty} R_{n, d} \cdot x^n y^d$$

From the recurrence relation we have,

$$R_{n,d} - R_{n-1,d} - R_{n-1,d-1} = 0$$

$R_{n-1,d}$  corresponds to ‘shifting’  $n$  by 1 place,  $\Rightarrow$  multiplication by  $x$

$R_{n-1,d-1}$  corresponds to ‘shifting’  $n$  and  $d$  by 1 place  $\Rightarrow$  multiplication by  $xy$

On expanding  $f(x,y)$  we get

$$\begin{aligned} f(x,y) &= R_{1,1} \cdot xy + R_{1,2} \cdot x y^2 + R_{1,3} \cdot x y^3 + \dots + R_{1,d} \cdot x y^d + \dots \infty \\ &+ R_{2,1} \cdot x^2 y + R_{2,2} \cdot x^2 y^2 + R_{2,3} \cdot x^2 y^3 + \dots + R_{2,d} \cdot x^2 y^d + \dots \infty \\ &\dots \\ &+ R_{n,1} \cdot x^n y + R_{n,2} \cdot x^n y^2 + R_{n,3} \cdot x^n y^3 + \dots + R_{n,d} \cdot x^n y^d + \dots \infty \end{aligned}$$

$$f(x, y) = \sum_{n=1}^{\infty} \sum_{d=1}^{\infty} R_{n,d} \cdot x^n y^d$$

$$x \cdot f(x, y) = \sum_{n=1}^{\infty} \sum_{d=1}^{\infty} R_{n,d} \cdot x^{n+1} y^d = \sum_{n=2}^{\infty} \sum_{d=1}^{\infty} R_{n-1,d} \cdot x^n y^d$$

$$xy \cdot f(x, y) = \sum_{n=1}^{\infty} \sum_{d=1}^{\infty} R_{n,d} \cdot x^{n+1} y^{d+1} = \sum_{n=2}^{\infty} \sum_{d=2}^{\infty} R_{n-1,d-1} \cdot x^n y^d$$

$$\begin{aligned} x \cdot f(x, y) &= \sum_{n=2}^{\infty} \sum_{d=2}^{\infty} R_{n-1,d} \cdot x^n y^d + \sum_{n=2}^{\infty} R_{n-1,1} \cdot x^n y \\ &= \sum_{n=2}^{\infty} \sum_{d=2}^{\infty} R_{n-1,d} \cdot x^n y^d + 2 \cdot \sum_{n=2}^{\infty} x^n y \end{aligned}$$



$$\begin{aligned}
f(x, y) &= \sum_{n=1}^{\infty} \sum_{d=1}^{\infty} R_{n,d} \cdot x^n y^d \\
&= \sum_{n=2}^{\infty} \sum_{d=2}^{\infty} R_{n,d} \cdot x^n y^d + \sum_{d=1}^{\infty} R_{1,d} \cdot xy^d + \sum_{n=1}^{\infty} R_{n,1} \cdot x^n y - R_{1,1} \cdot xy \\
&= \sum_{n=2}^{\infty} \sum_{d=2}^{\infty} R_{n,d} \cdot x^n y^d + 2x \cdot \sum_{d=1}^{\infty} xy^d + 2y \cdot \sum_{n=1}^{\infty} x^n y - 2xy
\end{aligned}$$

After all this expansion,

$$f(x, y) - x \cdot f(x, y) - xy \cdot f(x, y)$$

$$\begin{aligned}
&= \sum_{n=2}^{\infty} \sum_{d=2}^{\infty} (R_{n,d} - R_{n-1,d} - R_{n-1,d-1}) x^n y^d \\
&\quad + 2y \cdot \sum_{n=1}^{\infty} x^n - 2xy - 2y \cdot \sum_{n=2}^{\infty} x^n + 2x \cdot \sum_{d=1}^{\infty} y^d \\
&= 2x \cdot \sum_{d=1}^{\infty} y^d
\end{aligned}$$

since other two terms become zero

This implies

$$[1 - x - xy]f(x, y) = 2x \cdot \sum_{d=1}^{\infty} y^d$$

$$\begin{aligned} f(x, y) &= \frac{1}{[1 - x(1 - y)]} \cdot 2x \cdot \sum_{d=1}^{\infty} y^d \\ &= 2x \cdot [y + y^2 + y^3 + \dots + y^d + \dots \infty] \cdot \\ &\quad [1 + x(1 + y) + x^2(1 + y)^2 + \dots + x^d(1 + y)^d + \dots \infty] \end{aligned}$$

also we have,

$$f(x, y) = \sum_{n=1}^{\infty} \sum_{d=1}^{\infty} R_{n,d} \cdot x^n y^d$$

Comparing coefficients of each term in RHS we get,

Comparing co-efficients we get

$$R_{n, d} = \sum_{i=0}^{d-1} C_i^{n-1}$$

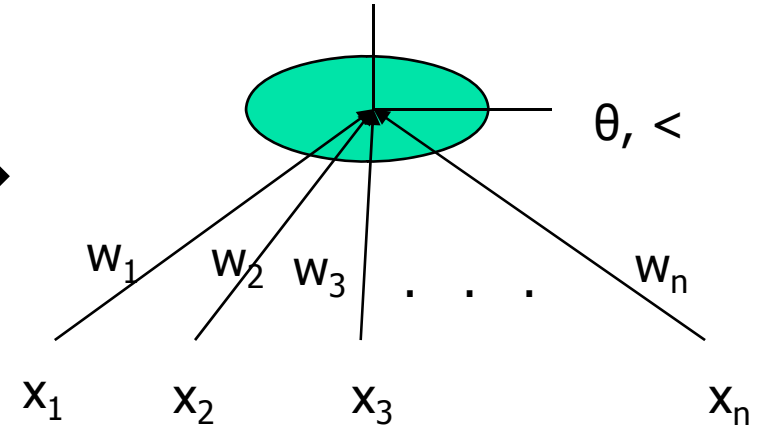
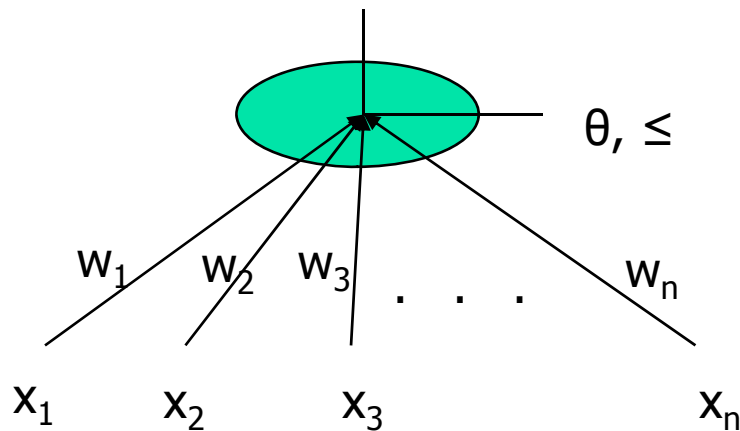
# Perceptron Training Algorithm (PTA)

## Preprocessing:

1. The computation law is modified to

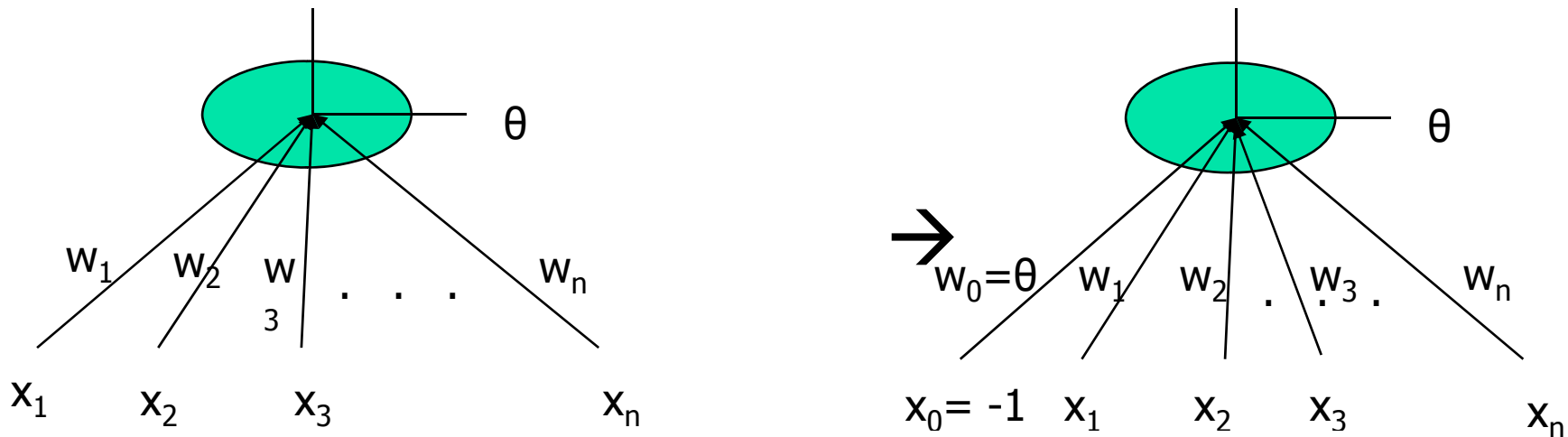
$$y = 1 \text{ if } \sum w_i x_i > \theta$$

$$y = 0 \text{ if } \sum w_i x_i < \theta$$



# PTA – preprocessing cont...

## 2. Absorb $\theta$ as a weight



## 3. Negate all the zero-class examples

# Example to demonstrate preprocessing

- **OR perceptron**

1-class       $\langle 1,1 \rangle$  ,  $\langle 1,0 \rangle$  ,  $\langle 0,1 \rangle$

0-class       $\langle 0,0 \rangle$

Augmented x vectors:-

1-class       $\langle -1,1,1 \rangle$  ,  $\langle -1,1,0 \rangle$  ,  $\langle -1,0,1 \rangle$

0-class       $\langle -1,0,0 \rangle$

Negate 0-class:-     $\langle 1,0,0 \rangle$

# Example to demonstrate preprocessing cont..

Now the vectors are

	$X_0$	$X_1$	$X_2$
$X_1$	-1	0	1
$X_2$	-1	1	0
$X_3$	-1	1	1
$X_4$	1	0	0

# Perceptron Training Algorithm

1. Start with a random value of  $w$   
ex:  $\langle 0, 0, 0 \dots \rangle$
2. Test for  $w x_i > 0$   
If the test succeeds for  $i=1, 2, \dots, n$   
then return  $w$
3. Modify  $w$ ,  $w_{\text{next}} = w_{\text{prev}} + X_{\text{fail}}$



# Tracing PTA on OR-example

$w = \langle 0, 0, 0 \rangle$	$wx_1$ fails
$w = \langle -1, 0, 1 \rangle$	$wx_4$ fails
$w = \langle 0, 0, 1 \rangle$	$wx_2$ fails
$w = \langle -1, 1, 1 \rangle$	$wx_1$ fails
$w = \langle 0, 1, 2 \rangle$	$wx_4$ fails
$w = \langle 1, 1, 2 \rangle$	$wx_2$ fails
$w = \langle 0, 2, 2 \rangle$	$wx_4$ fails
$w = \langle 1, 2, 2 \rangle$	success