

# CS344: Introduction to Artificial Intelligence (associated lab: CS386)

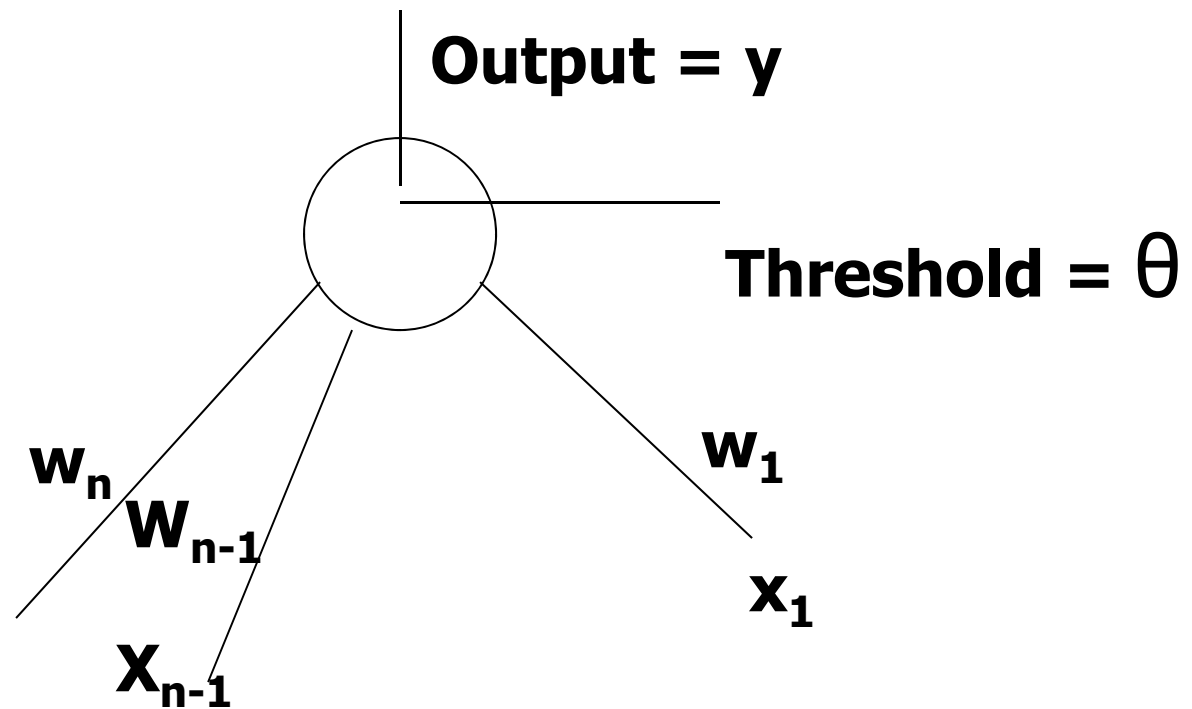
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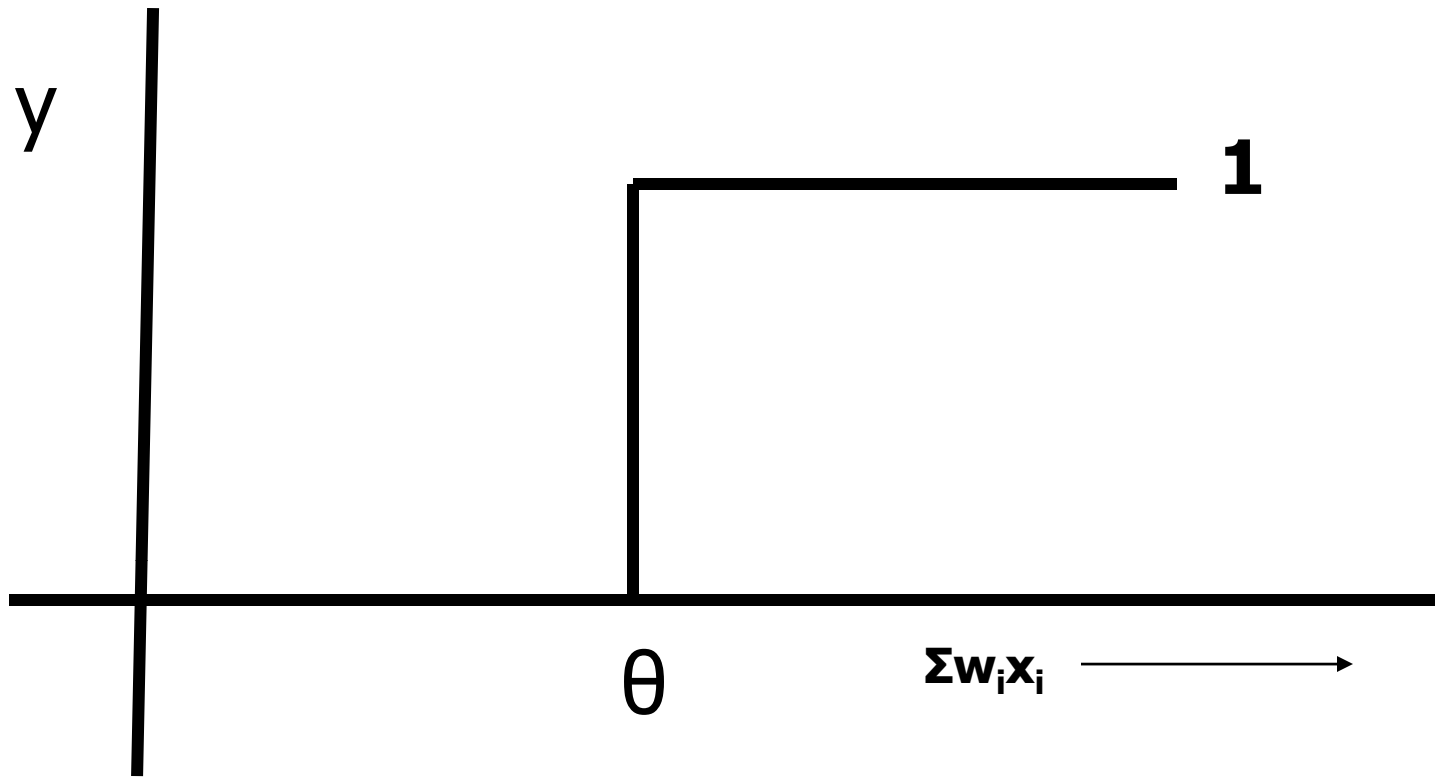
Lecture 29: Perceptron training and  
convergence

22<sup>nd</sup> March, 2011

# The Perceptron Model

A perceptron is a computing element with input lines having associated weights and the cell having a threshold value. The perceptron model is motivated by the biological neuron.





**Step function / Threshold function**

$$y = \begin{cases} 1 & \text{for } \sum w_i x_i \geq \theta \\ 0 & \text{otherwise} \end{cases}$$

# Features of Perceptron

- Input output behavior is discontinuous and the derivative does not exist at  $\Sigma w_i x_i = \theta$
- $\Sigma w_i x_i - \theta$  is the net input denoted as net
- Referred to as a linear threshold element - linearity because of  $\mathbf{x}$  appearing with power **1**
- $\mathbf{y} = \mathbf{f}(\mathbf{net})$ : Relation between  $y$  and net is non-linear

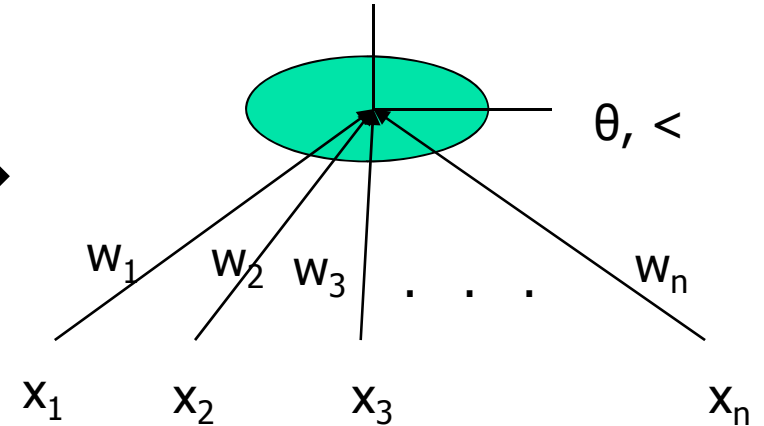
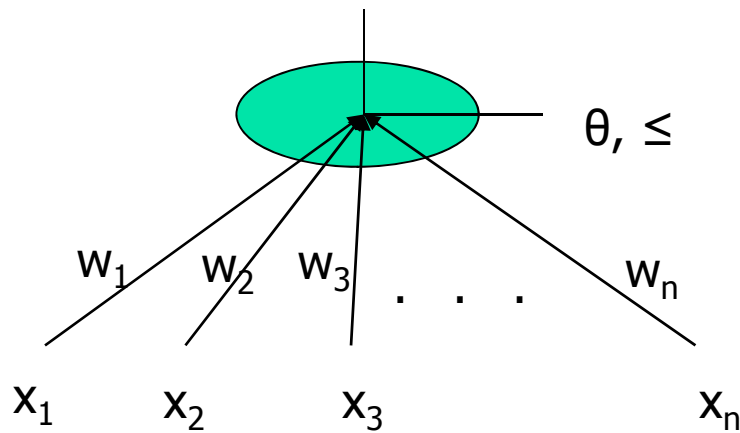
# Perceptron Training Algorithm (PTA)

## Preprocessing:

1. The computation law is modified to

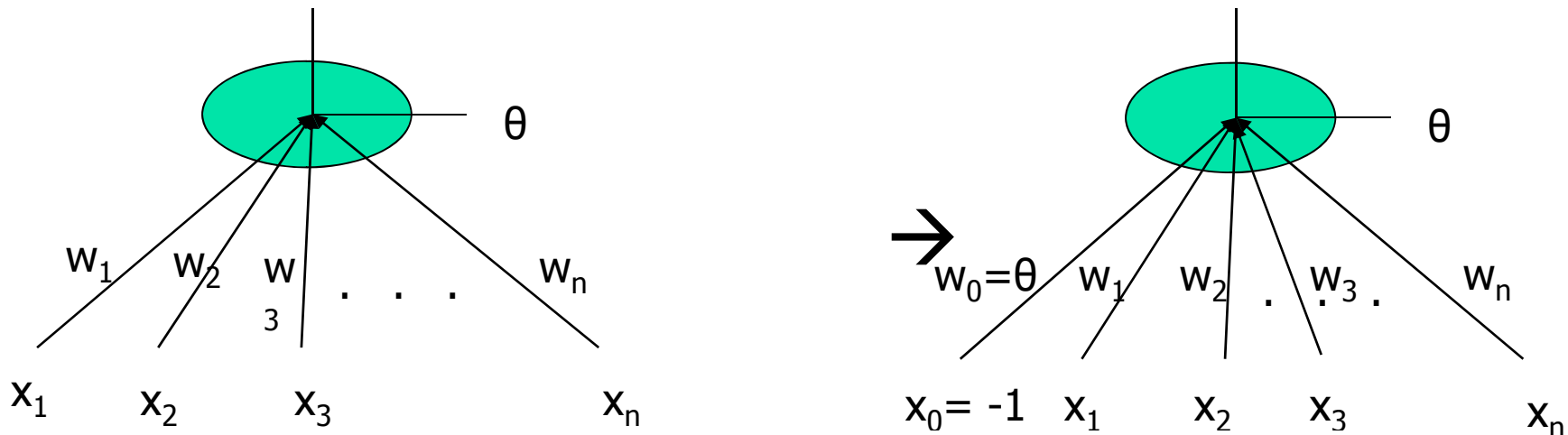
$$y = 1 \text{ if } \sum w_i x_i > \theta$$

$$y = 0 \text{ if } \sum w_i x_i < \theta$$



# PTA – preprocessing cont...

## 2. Absorb $\theta$ as a weight



## 3. Negate all the zero-class examples

# Example to demonstrate preprocessing

- **OR perceptron**

1-class       $\langle 1,1 \rangle$  ,  $\langle 1,0 \rangle$  ,  $\langle 0,1 \rangle$

0-class       $\langle 0,0 \rangle$

Augmented x vectors:-

1-class       $\langle -1,1,1 \rangle$  ,  $\langle -1,1,0 \rangle$  ,  $\langle -1,0,1 \rangle$

0-class       $\langle -1,0,0 \rangle$

Negate 0-class:-     $\langle 1,0,0 \rangle$

# Example to demonstrate preprocessing cont..

Now the vectors are

	$X_0$	$X_1$	$X_2$
$X_1$	-1	0	1
$X_2$	-1	1	0
$X_3$	-1	1	1
$X_4$	1	0	0



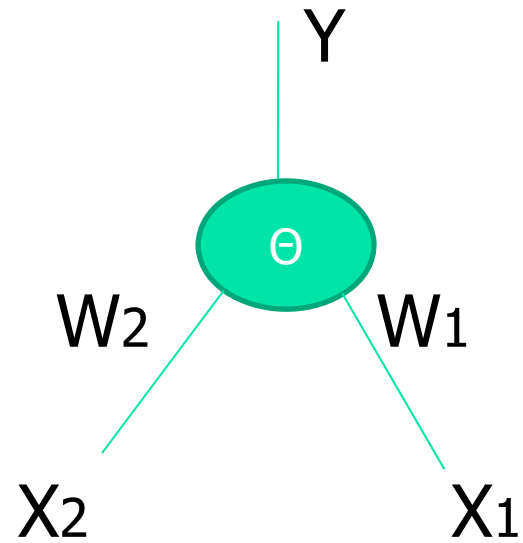
# Perceptron Training Algorithm

1. Start with a random value of  $w$   
ex:  $\langle 0,0,0\dots \rangle$
2. Test for  $w x_i > 0$   
If the test succeeds for  $i=1,2,\dots,n$   
then return  $w$
3. Modify  $w$ ,  $w_{\text{next}} = w_{\text{prev}} + X_{\text{fail}}$

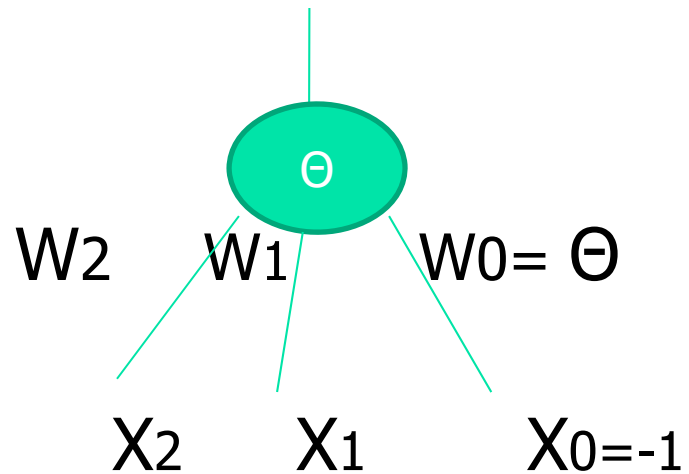
# PTA on NAND

NAND:

$X_2$	$X_1$	$Y$
0	0	1
0	1	1
1	0	1
1	1	1



Converted To



# Preprocessing

NAND Augmented:

$X_2$	$X_1$	$X_0$	$Y$
0	0	-1	1
0	1	-1	1
1	0	-1	1
1	1	-1	0

NAND-0 class Negated

	$X_2$	$X_1$	$X_0$
$V_0:$	0	0	-1
$V_1:$	0	1	-1
$V_2:$	1	0	-1
$V_3:$	-1	1	-1

Vectors for which  
 $W = \langle W_2 \ W_1 \ W_0 \rangle$  has to  
be found such that  
 $W \cdot V_i > 0$

# PTA Algo steps

Algorithm:

1. Initialize and Keep adding the failed vectors until  $W \cdot V_i > 0$  is true.

$$\text{Step 0: } W = \langle 0, 0, 0 \rangle$$

$$\begin{aligned} W_1 &= \langle 0, 0, 0 \rangle + \langle 0, 0, -1 \rangle \quad \{V_0 \text{ Fails}\} \\ &= \langle 0, 0, -1 \rangle \end{aligned}$$

$$\begin{aligned} W_2 &= \langle 0, 0, -1 \rangle + \langle -1, -1, 1 \rangle \quad \{V_3 \text{ Fails}\} \\ &= \langle -1, -1, 0 \rangle \end{aligned}$$

$$\begin{aligned} W_3 &= \langle -1, -1, 0 \rangle + \langle 0, 0, -1 \rangle \quad \{V_0 \text{ Fails}\} \\ &= \langle -1, -1, -1 \rangle \end{aligned}$$

$$\begin{aligned} W_4 &= \langle -1, -1, -1 \rangle + \langle 0, 1, -1 \rangle \quad \{V_1 \text{ Fails}\} \\ &= \langle -1, 0, -2 \rangle \end{aligned}$$

# Trying convergence

$$\begin{aligned} W_5 &= \langle -1, 0, -2 \rangle + \langle -1, -1, -1 \rangle && \{V_3 \text{ Fails}\} \\ &= \langle -2, -1, -1 \rangle \end{aligned}$$

$$\begin{aligned} W_6 &= \langle -2, -1, -1 \rangle + \langle 0, 1, -1 \rangle && \{V_1 \text{ Fails}\} \\ &= \langle -2, 0, -2 \rangle \end{aligned}$$

$$\begin{aligned} W_7 &= \langle -2, 0, -2 \rangle + \langle 1, 0, -1 \rangle && \{V_0 \text{ Fails}\} \\ &= \langle -1, 0, -3 \rangle \end{aligned}$$

$$\begin{aligned} W_8 &= \langle -1, 0, -3 \rangle + \langle -1, -1, -1 \rangle && \{V_3 \text{ Fails}\} \\ &= \langle -2, -1, -2 \rangle \end{aligned}$$

$$\begin{aligned} W_9 &= \langle -2, -1, -2 \rangle + \langle 1, 0, -1 \rangle && \{V_2 \text{ Fails}\} \\ &= \langle -1, -1, -3 \rangle \end{aligned}$$

# Trying convergence

$$\begin{aligned}W_{10} &= \langle -1, -1, -3 \rangle + \langle -1, -1, -1 \rangle \quad \{\text{V}_3 \text{ Fails}\} \\ &= \langle -2, -2, -2 \rangle\end{aligned}$$

$$\begin{aligned}W_{11} &= \langle -2, -2, -2 \rangle + \langle 0, 1, -1 \rangle \quad \{\text{V}_1 \text{ Fails}\} \\ &= \langle -2, -1, -3 \rangle\end{aligned}$$

$$\begin{aligned}W_{12} &= \langle -2, -1, -3 \rangle + \langle -1, -1, -1 \rangle \quad \{\text{V}_3 \text{ Fails}\} \\ &= \langle -3, -2, -2 \rangle\end{aligned}$$

$$\begin{aligned}W_{13} &= \langle -3, -2, -2 \rangle + \langle 0, 1, -1 \rangle \quad \{\text{V}_1 \text{ Fails}\} \\ &= \langle -3, -1, -3 \rangle\end{aligned}$$

$$\begin{aligned}W_{14} &= \langle -3, -1, -3 \rangle + \langle 0, 1, -1 \rangle \quad \{\text{V}_2 \text{ Fails}\} \\ &= \langle -2, -1, -4 \rangle\end{aligned}$$

# Converged!

$$\begin{aligned} W_{15} &= \langle -2, -1, -4 \rangle + \langle -1, -1, -1 \rangle \quad \{\text{V3 Fails}\} \\ &= \langle -3, -2, -3 \rangle \end{aligned}$$

$$\begin{aligned} W_{16} &= \langle -3, -2, -3 \rangle + \langle 1, 0, -1 \rangle \quad \{\text{V2 Fails}\} \\ &= \langle -2, -2, -4 \rangle \end{aligned}$$

$$\begin{aligned} W_{17} &= \langle -2, -2, -4 \rangle + \langle -1, -1, -1 \rangle \quad \{\text{V3 Fails}\} \\ &= \langle -3, -3, -3 \rangle \end{aligned}$$

$$\begin{aligned} W_{18} &= \langle -3, -3, -3 \rangle + \langle 0, 1, -1 \rangle \quad \{\text{V1 Fails}\} \\ &= \langle -3, -2, -4 \rangle \end{aligned}$$

$$W_2 = -3, \quad W_1 = -2, \quad W_0 = \Theta = -4$$

PTA convergence



# Statement of Convergence of PTA

- Statement:

*Whatever be the initial choice of weights and whatever be the vector chosen for testing, PTA converges if the vectors are from a linearly separable function.*

# Proof of Convergence of PTA

- Suppose  $w_n$  is the weight vector at the  $n^{\text{th}}$  step of the algorithm.
- At the beginning, the weight vector is  $w_0$
- Go from  $w_i$  to  $w_{i+1}$  when a vector  $X_j$  fails the test  $w_i X_j > 0$  and update  $w_i$  as

$$w_{i+1} = w_i + X_j$$

- Since  $X_j$ s form a linearly separable function,

$$\exists w^* \text{ s.t. } w^* X_j > 0 \quad \forall j$$

# Proof of Convergence of PTA

(cntd.)

- Consider the expression

$$G(w_n) = \frac{w_n \cdot w^*}{|w_n|}$$

where  $w_n$  = weight at nth iteration

- $G(w_n) = \frac{|w_n| \cdot |w^*| \cdot \cos \theta}{|w_n|}$

where  $\theta$  = angle between  $w_n$  and  $w^*$

- $G(w_n) = |w^*| \cdot \cos \theta$

- $G(w_n) \leq |w^*|$  ( as  $-1 \leq \cos \theta \leq 1$  )

# Behavior of Numerator of G

$$\begin{aligned}w_n \cdot w^* &= (w_{n-1} + X_{\text{fail}}^{n-1}) \cdot w^* \\&= w_{n-1} \cdot w^* + X_{\text{fail}}^{n-1} \cdot w^* \\&= (w_{n-2} + X_{\text{fail}}^{n-2}) \cdot w^* + X_{\text{fail}}^{n-1} \cdot w^* \dots \\&= w_0 \cdot w^* + (X_{\text{fail}}^0 + X_{\text{fail}}^1 + \dots + X_{\text{fail}}^{n-1}) \cdot w^*\end{aligned}$$

$w^* \cdot X_{\text{fail}}^i$  is always positive: note carefully

- Suppose  $|X_j| \geq \delta$ , where  $\delta$  is the minimum magnitude.
- Num of G  $\geq |w_0 \cdot w^*| + n \delta \cdot |w^*|$
- So, numerator of G grows with n.

# Behavior of Denominator of G

- $|w_n| = \sqrt{w_n \cdot w_n}$   
 $= \sqrt{(w_{n-1} + X_{fail}^{n-1})^2}$   
 $= \sqrt{(w_{n-1})^2 + 2 \cdot w_{n-1} \cdot X_{fail}^{n-1} + (X_{fail}^{n-1})^2}$   
 $\leq \sqrt{(w_{n-1})^2 + (X_{fail}^{n-1})^2}$  (as  $w_{n-1} \cdot X_{fail}^{n-1} \leq 0$ )  
 $\leq \sqrt{(w_0)^2 + (X_{fail}^0)^2 + (X_{fail}^1)^2 + \dots + (X_{fail}^{n-1})^2}$
- $|X_j| \leq \rho$  (max magnitude)
- So, Denom  $\leq \sqrt{(w_0)^2 + n\rho^2}$

# Some Observations

- Numerator of  $G$  grows as  $n$
- Denominator of  $G$  grows as  $\sqrt{n}$   
=> Numerator grows faster than denominator
- If PTA does not terminate,  $G(w_n)$  values will become unbounded.

## Some Observations contd.

- But, as  $|G(w_n)| \leq |w^*|$  which is finite, this is impossible!
- Hence, PTA has to converge.
- Proof is due to Marvin Minsky.

# Convergence of PTA proved

- *Whatever be the initial choice of weights and whatever be the vector chosen for testing, PTA converges if the vectors are from a linearly separable function.*