# CS344: Introduction to Artificial Intelligence (associated lab: CS386)

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Lecture 4: A\* and its properties cntd; monotonicity

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### Examples

Problem 1:8 – puzzle

4	3	6
2	1	8
7		5

1	2	3
4	5	6
7	8	

S

G

Tile movement represented as the movement of the blank space. Operators:

L: Blank moves left

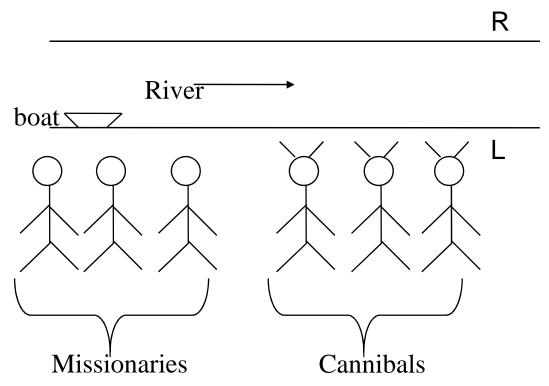
R: Blank moves right

U: Blank moves up

D: Blank moves down

$$C(L) = C(R) = C(U) = C(D) = 1$$

#### **Problem 2: Missionaries and Cannibals**



#### **Constraints**

- The boat can carry at most 2 people
- On no bank should the cannibals outnumber the missionaries

## Steps of GGS (principles of AI, Nilsson,)

- 1. Create a search graph G, consisting solely of the start node S; put S on a list called OPEN.
- 2. Create a list called CLOSED that is initially empty.
- 3. Loop: if OPEN is empty, exit with failure.
- 4. Select the first node on OPEN, remove from OPEN and put on CLOSED, call this node n.
- 5. if n is the goal node, exit with the solution obtained by tracing a path along the pointers from n to s in G. (ointers are established in step 7).
- 6. Expand node *n*, generating the set *M* of its successors that are not ancestors of *n*. Install these memes of *M* as successors of *n* in *G*.

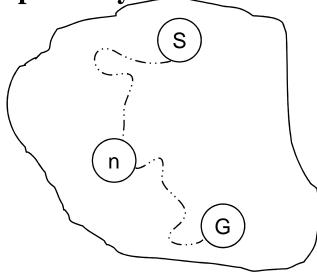
### GGS steps (contd.)

- 7. Establish a pointer to *n* from those members of *M* that were not already in *G* (*i.e.*, not already on either *OPEN* or *CLOSED*). Add these members of *M* to *OPEN*. For each member of *M* that was already on *OPEN* or *CLOSED*, decide whether or not to redirect its pointer to *n*. For each member of M already on *CLOSED*, decide for each of its descendents in *G* whether or not to redirect its pointer.
- 8. Reorder the list OPEN using some strategy.
- 9. Go *LOOP*,

## Algorithm A\*

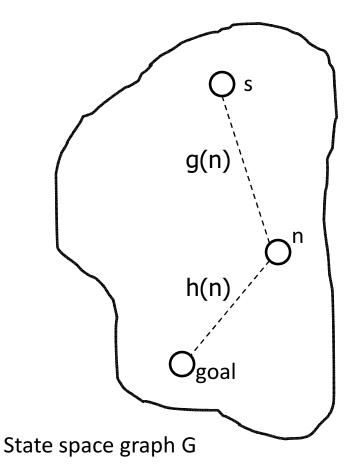
- One of the most important advances in AI
- g(n) = least cost path to n from S found so far
- $h(n) \le h^*(n)$  where  $h^*(n)$  is the actual cost of optimal path to G(node to be found) from n

"Optimism leads to optimality"



## A\* Algorithm – Definition and Properties

- f(n) = g(n) + h(n)
- The node with the least value of f is chosen from the OL.
- $f^*(n) = g^*(n) + h^*(n)$ , where,  $g^*(n) =$ actual cost of the optimal path (s, n) $h^*(n) =$ actual cost of optimal path (n, g)
- $g(n) \ge g^*(n)$
- By definition,  $h(n) \le h^*(n)$



### 8-puzzle: heuristics

Example: 8 puzzle

S

2	Τ	4
7	8	ത
5	6	

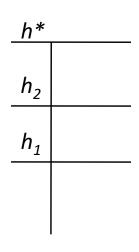
1	6	7
4	$\mathcal{S}$	2
5		8
n		

1	2	3
4	5	6
7	8	
q		

 $h^*(n)$  = actual no. of moves to transform n to g

- 1.  $h_1(n)$  = no. of tiles displaced from their destined position.
- 2.  $h_2(n)$  = sum of Manhattan distances of tiles from their destined position.

$$h_1(n) \le h^*(n)$$
 and  $h_1(n) \le h^*(n)$ 



Comparison

### A\* Algorithm- Properties

- Admissibility: An algorithm is called admissible if it always terminates and terminates in optimal path
- **Theorem**: A\* is admissible.
- **Lemma**: Any time before  $A^*$  terminates there exists on OL a node n such that  $f(n) <= f^*(s)$
- **Observation**: For optimal path  $s \rightarrow n_1 \rightarrow n_2 \rightarrow ... \rightarrow g$ ,
  - 1.  $h^*(g) = 0$ ,  $g^*(s)=0$  and
  - 2.  $f^*(s) = f^*(n_1) = f^*(n_2) = f^*(n_3)... = f^*(g)$

### A\* Properties (contd.)

$$f^*(n_i) = f^*(s),$$
  $n_i \neq s$  and  $n_i \neq g$ 

Following set of equations show the above equality:

$$f^*(n_i) = g^*(n_i) + h^*(n_i)$$

$$f^*(n_{i+1}) = g^*(n_{i+1}) + h^*(n_{i+1})$$

$$g^*(n_{i+1}) = g^*(n_i) + c(n_{i,}, n_{i+1})$$

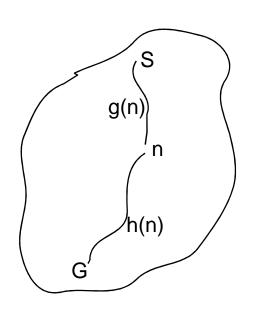
$$h^*(n_{i+1}) = h^*(n_i) - c(n_{i,}, n_{i+1})$$

Above equations hold since the path is optimal.

#### Admissibility of A\*

A\* always terminates finding an optimal path to the goal if such a path exists.

#### **Intuition**

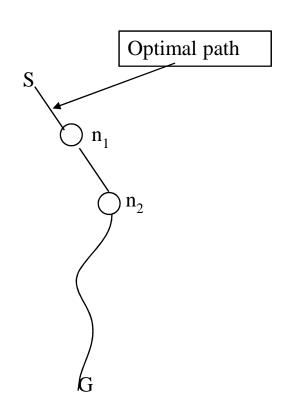


- (1) In the open list there always exists a node n such that  $f(n) \le f^*(S)$ .
- (2) If A\* does not terminate, the f value of the nodes expanded become unbounded.
- 1) and 2) are together inconsistent

Hence A\* must terminate

#### Lemma

Any time before A\* terminates there exists in the open list a node n' such that  $f(n') \le f^*(S)$ 



For any node  $n_i$  on optimal path,

$$f(n_i) = g(n_i) + h(n_i)$$
  
<=  $g*(n_i) + h*(n_i)$ 

Also 
$$f^*(n_i) = f^*(S)$$

Let n' be the first node in the optimal path that is in OL. Since <u>all</u> parents of n' have gone to CL,

$$g(n') = g*(n') \text{ and } h(n') <= h*(n')$$
  
=>  $f(n') <= f*(S)$ 

#### If A\* does not terminate

Let *e* be the least cost of all arcs in the search graph.

Then g(n) >= e.l(n) where l(n) = # of arcs in the path from S to n found so far. If  $A^*$  does not terminate, g(n) and hence f(n) = g(n) + h(n) [h(n) >= 0] will become unbounded.

This is not consistent with the lemma. So A\* has to terminate.

#### 2<sup>nd</sup> part of admissibility of A\*

The path formed by A\* is optimal when it has terminated

#### **Proof**

Suppose the path formed is not optimal Let *G* be expanded in a non-optimal path. At the point of expansion of *G*,

$$f(G) = g(G) + h(G)$$
  
=  $g(G) + 0$   
>  $g*(G) = g*(S) + h*(S)$   
=  $f*(S) [f*(S) = cost of optimal path]$ 

This is a contradiction

So path should be optimal

### Summary on Admissibility

- 1. A\* algorithm halts
- 2. A\* algorithm finds optimal path
- 3. If f(n) < f\*(S) then node n has to be expanded before termination
- 4. If A\* does not expand a node n before termination then  $f(n) >= f^*(S)$

## Better Heuristic Performs Better

#### **Theorem**

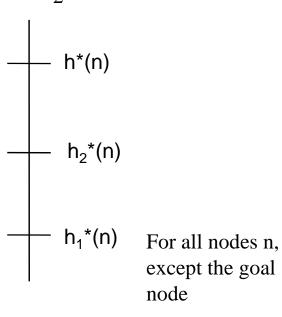
A version  $A_2^*$  of  $A^*$  that has a "better" heuristic than another version  $A_1^*$  of  $A^*$  performs at least "as well as"  $A_1^*$ 

#### Meaning of "better"

 $h_2(n) > h_1(n)$  for all n

#### Meaning of "as well as"

 $A_1^*$  expands at least all the nodes of  $A_2^*$ 



<u>Proof</u> by induction on the search tree of A<sub>2</sub>\*.

 $A^*$  on termination carves out a tree out of G

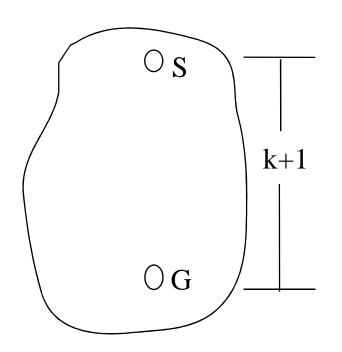
#### **Induction**

on the depth k of the search tree of  $A_2^*$ .  $A_1^*$  before termination expands all the nodes of depth k in the search tree of  $A_2^*$ .

k=0. True since start node S is expanded by both

Suppose  $A_1^*$  terminates without expanding a node n at depth (k+1) of  $A_2^*$  search tree.

Since  $A_1^*$  has seen all the parents of n seen by  $A_2^*$   $g_1(n) <= g_2(n)$  (1)



Since  $A_1^*$  has terminated without expanding n,

$$f_I(n) >= f^*(S)$$
 (2)

Any node whose f value is strictly less than  $f^*(S)$  has to be expanded.

Since 
$$A_2^*$$
 has expanded  $n$ 

$$f_2(n) <= f^*(S) \qquad (3)$$

From (1), (2), and (3)

 $h_I(n) >= h_2(n)$  which is a contradiction. Therefore,  $A_1^*$  has to expand all nodes that  $A_2^*$  has expanded.

#### **Exercise**

If better means  $h_2(n) > h_1(n)$  for some n and  $h_2(n) = h_1(n)$  for others, then Can you prove the result ?

## Lab assignment

- Implement A\* algorithm for the following problems:
  - 8 puzzle
  - Missionaries and Cannibals

#### Specifications:

- Try different heuristics and compare with baseline case, *i.e.*, the breadth first search (h=0).
- Violate the condition  $h \le h^*$ . See if the optimal path is still found. Observe the speedup.
- Have as general a program as possible; when a problem is change only a few things should change (say few classes).
- Present the results in an understandable way, say through graphs and tables.
- Have enough comments in the code; your marks will be affected by not having enough of this.

## Monotonicity

#### **Definition**

• A heuristic h(p) is said to satisfy the monotone restriction, if for all p',  $h(p) <= h(p_c) + cost(p, p_c)$ , where p' is the child of p'.

#### **Theorem**

If monotone restriction (also called triangular inequality) is satisfied, then for nodes in the closed list, redirection of parent pointer is not necessary. In other words, if any node 'n' is chosen for expansion from the open list, then  $g(n)=g(n^*)$ , where g(n) is the cost of the path from the start node 's' to 'n' at that point of the search when 'n' is chosen, and  $g(n^*)$  is the cost of the optimal path from 's' to 'n'

### Grounding the Monotone Restriction

7	3	
1	2	4
8	5	6

1	2	3
4	5	6
7	8	



 $g_l$ 

7
 3
 4
 1
 2
 8
 5
 6

n'

h(n) -: number of displaced tiles

Is h(n) monotone? h(n) = 8 h(n') = 8 C(n,n') = 1

Hence monotone

## Monotonicity of # of Displaced Tile Heuristic

- h(n) < = h(n') + c(n, n')
- Any move reduces h(n) by at most 1
- c = 1
- Hence, h(parent) < = h(child) + 1
- If the empty cell is also included in the cost, then h need not be monotone (try!)

## Monotonicity of Manhattan Distance Heuristic (1/2)

- Manhattan distance = X-dist+Y-dist from the target position
- Refer to the diagram in the first slide:
- $h_{mn}(n) = 1 + 1 + 1 + 2 + 1 + 1 + 2 + 1 = 10$
- hmn(n') = 1 + 1 + 1 + 3 + 1 + 1 + 2 + 1= 11
- *Cost = 1*
- Again, h(n) < = h(n') + c(n, n')

## Monotonicity of Manhattan Distance Heuristic (2/2)

- Any move can either increase the h value or decrease it by at most 1.
- Cost again is 1.
- Hence, this heuristic also satisfies Monotone Restriction
- If empty cell is also included in the cost then manhattan distance does not satisfy monotone restriction (try!)
- Apply this heuristic for Missionaries and Cannibals problem

## Relationship between Monotonicity and Admissibility

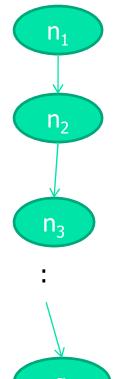
- Observation:
  - Monotone Restriction → Admissibility but not vice-versa
- Statement: If  $h(n_i) <= h(n_j) + c(n_i, n_j)$ for all i, jthen  $h(n_i) <= h*(n_i)$  for all i

## Proof of Monotonicity > admissibility

```
Let us consider the following as the optimal path starting with a
   node n = n_1 - n_2 - n_3 \dots n_i - \dots n_m = g_i
Observe that
    h^*(n) = c(n_1, n_2) + c(n_2, n_3) + ... + c(n_{m-1}, q_i)
Since the path given above is the optimal path from n to q,
Now,
    h(n_1) \le h(n_2) + c(n_1, n_2) ----- Eq 1
    h(n_2) \le h(n_3) + c(n_2, n_3) ----- Eq 2
    h(n_{m-1}) = h(g_i) + c(n_{m-1}, g_i) ---- Eq (m-1)
Adding Eq 1 to Eq (m-1) we get
   h(n) <= h(g_i) + h*(n) = h*(n)
Hence proved that MR \rightarrow (h <= h*)
```

## Proof (continued...)

#### Counter example for vice-versa



h < h\* everywhere but MR is not
satisfied</pre>

## Proof of MR leading to optimal path for every expanded node (1/2)

Let  $S-N_1-N_2-N_3-N_4...N_m...N_k$  be an optimal path from S to  $N_k$  (all of which might or might not have been explored). Let  $N_m$  be the **last** node on this path which is on the open list, i.e., *all* the ancestors from S up to  $N_{m-1}$  are in the closed list.

For every node  $N_p$  on the optimal path,

$$g^*(N_p)+h(N_p)<=g^*(N_p)+C(N_p,N_{p+1})+h(N_{p+1})$$
, by monotone restriction  $g^*(N_p)+h(N_p)<=g^*(N_{p+1})+h(N_{p+1})$  on the optimal path  $g^*(N_m)+h(N_m)<=g^*(N_k)+h(N_k)$  by transitivity

Since all ancestors of  $N_m$  in the optimal path are in the closed list,

$$g(N_m) = g^*(N_m).$$
  
=>  $f(N_m) = g(N_m) + h(N_m) = g^*(N_m) + h(N_m) <= g^*(N_k) + h(N_k)$ 

## Proof of MR leading to optimal path for every expanded node (2/2)

Now if 
$$N_k$$
 is chosen in preference to  $N_m$ ,  $f(N_k) <= f(N_m)$ ,  $g(N_k) + h(N_k) <= g(N_m) + h(N_m)$ ,  $g(N_k) + h(N_k) = g^*(N_k) + h(N_k)$ ,  $g(N_k) <= g^*(N_k)$ 

But  $g(N_k) >= g^*(N_k)$ , by definition

Hence  $g(N_k) = g^*(N_k)$ 

This means that if  $N_k$  is chosen for expansion, the optimal path to this from S has already been found

TRY proving by induction on the length of optimal path

## Monotonicity of *f()* values

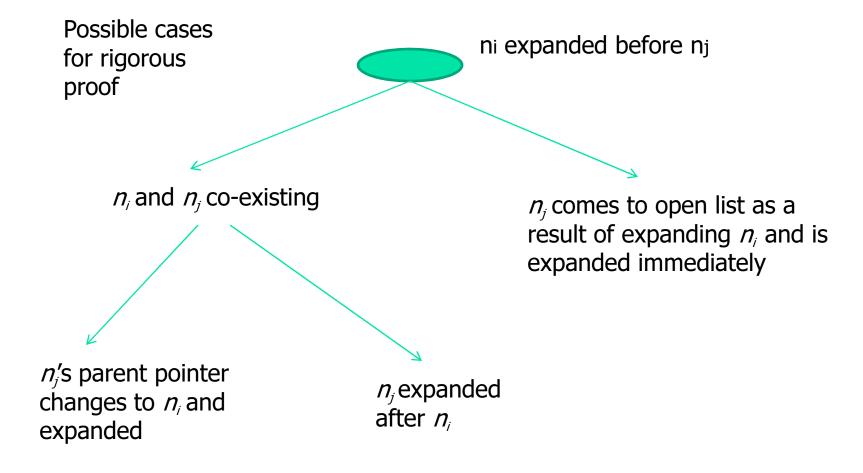
#### Statement:

f values of nodes expanded by A\* increase monotonically, if h is monotone.

#### **Proof:**

Suppose  $n_i$  and  $n_j$  are expanded with temporal sequentiality, *i.e.*,  $n_j$  is expanded after  $n_i$ 

## Proof (1/3)...



## Proof (2/3)...

 All the previous cases are forms of the following two cases (think!)

#### • CASE 1:

 $n_j$  was on open list when  $n_i$  was expanded Hence,  $f(n_i) <= f(n_i)$  by property of A\*

#### • CASE 2:

 $n_j$  comes to open list due to expansion of  $n_i$ 

## Proof (3/3)...

Case 2:

 $n_{i}$ 

$$f(ni) = g(ni) + h(ni)$$
 (Defin of  $f$ )  
 $f(nj) = g(nj) + h(nj)$  (Defin of  $f$ )

$$f(ni) = g(ni) + h(ni) = g*(ni) + h(ni) ---Eq 1$$
 (since ni is picked for expansion optimal path)

 $n_i$ 

With the similar argument for n<sub>j</sub> we can write the following:

$$f(n_j) = g(n_j) + h(n_j) = g*(n_j) + h(n_j) ---Eq 2$$

Also,

$$h(ni) < = h(nj) + c(ni, nj)$$
 ---Eq 3 (Parent- child

relation)

$$g^*(n_j) = g^*(n_i) + c(n_i, n_j)$$
 --- Eq 4 (both nodes on optimal path)

From Eq 1, 2, 3 and 4
$$f(n_i) <= f(n_j)$$

Hence proved.

## Better way to understand monotonicity of *f()*

- Let  $f(n_1)$ ,  $f(n_2)$ ,  $f(n_3)$ ,  $f(n_4)$ ...  $f(n_{k-1})$ ,  $f(n_k)$  be the f values of k expanded nodes.
- The relationship between two consecutive expansions  $f(n_i)$  and  $f(n_{i+1})$  nodes always remains the same, *i.e.*,  $f(n_i) <= f(n_{i+1})$
- This because
  - $f(n_i) = g(n_i) + h(n_i)$  and
  - $g(n_i)=g^*(n_i)$  since  $n_i$  is an expanded node (proved theorem) and this value cannot change
  - $h(n_i)$  value also cannot change Hence nothing after  $n_{i+1}$ 's expansion can change the above relationship.

### A list of AI Search Algorithms

- A\*
  - AO\*
  - IDA\* (Iterative Deepening)
- Minimax Search on Game Trees
- Viterbi Search on Probabilistic FSA
- Hill Climbing
- Simulated Annealing
- Gradient Descent
- Stack Based Search
- Genetic Algorithms
- Memetic Algorithms