



# Semaphores

CS 447

Monday 3:30-5:00

Tuesday 2:00-3:30

# What are the drawbacks of the algorithmic solutions?

- i.e. solutions with shared variables and atomic read and write?
  - Scalability: No of processes is to be known statically
  - Busy wait
  - Responsibility of implementation is with user
- Pointers to OS-supported solution?

# [ Dijkstra's Semaphores ]

- Semaphore S is a variable
- 2 operations: P(S) and V(S)
- P – proberen/wait/down
- V – verogen/signal/up

```
P(S);  
CS  
V(S);
```

```
P(S);  
CS  
V(S);
```

```
P(S);  
CS  
V(S);
```

# [ Original Implementation ]

```
S=K; //initial value
```

---

```
P()::
```

```
While (S= 0);
```

```
S=S-1;
```

```
V()::
```

```
S=S+1;
```

**counting  
semaphore**

Atomicity of primitives is to be  
guaranteed (somehow)!

# How to realize a semaphore

implementation that is free from busy-wait?

$S=K$

P	V
<p>If (<math>S &gt; 0</math>) <math>S = S - 1</math>; Else     insert calling process in <i>wait queue</i>     associated with semaphore S,      block the process return</p>	<p>If (wait queue associated with S is not empty)     wake up one process from the queue  <math>S = S + 1</math>; return</p>

is it correct?

# How to realize a semaphore

[ implementation that is free from busy-wait? ]

S=K

P	V
<p>If (S=0) insert calling process in <u>wait queue</u> associated with semaphore S,  block the process  else S = S - 1;</p>	<p>If (wait queue associated with S is not empty) wake up one process from the queue  <b>else S = S + 1;</b></p>

# [ Binary Semaphores ]

S=true

P	V
<p>If (!S) insert calling process in <u>wait queue</u> associated with semaphore S,  block the process  else S = false;</p>	<p>If (wait queue associated with S is not empty) wake up one process from the queue  <b>else S = true;</b></p>

# [ Exercise ]

- Implement a counting semaphore in terms of a binary semaphore:
- $P_c(S) ::$ 
  - Use  $P_b(S_1) \dots P_b(S_k)$  and  $V(S_1) \dots V(S_k)$
- Similarly implement  $V_c(S)$
- $S$  is a shared integer – protect it through binary semaphores!



# Semaphore based solutions to benchmark synchronization problems

- Producers and Consumers
- Dining Philosophers
- Readers and Writers

They have richer synchronization constraints than mere critical sections

# [ Producers and Consumers ]

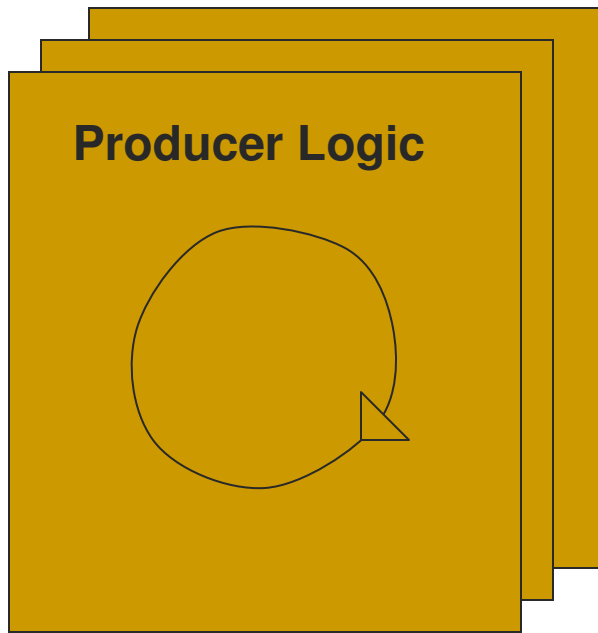
- Common **bounded** buffer
- Producers keep producing items in this bounded buffer
- Consumers keep pulling them out of the buffer
- Buffer state must be consistent in presence of concurrency

# Producers and Consumers: Additional constraints

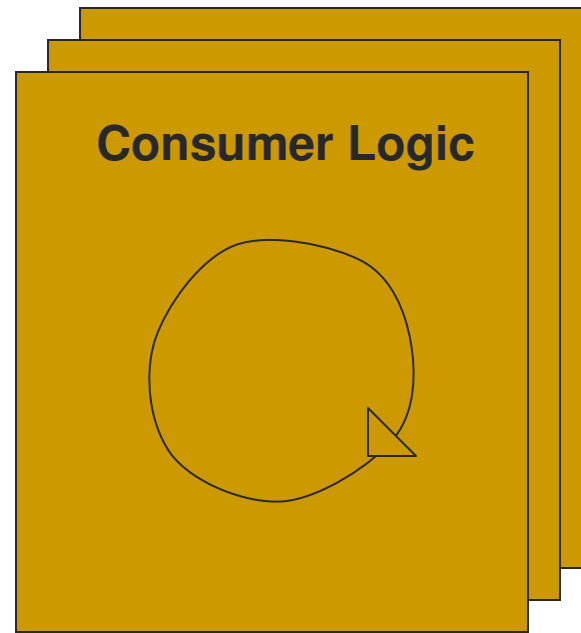
- If buffer is full:
  - Let the producer wait
- If buffer is empty:
  - Let the consumer wait
- When the *triggering event* occurs, wait must be terminated

# Try a semaphore based solution to producers and consumers

P1....Pm



C1....Cm



**Bounded buffer**

Shared ...

# [ Producer : Attempt I ]

$S1 = \text{size of buffer}$

$S2 = 0; S3 = 0 \text{ or size}$

$P(S1)$

If (buffer is not full) insert item;  $V(S3)$

else  $P(S2)$

# Producers and Consumers : Attempt II → solution

Shared

Buffer

Sp=size of buffer

Sc=0;

Smutex=1;

## Producer

P(Sp)

P(Smutex) do the insertion V(Smutex)

V(Sc)

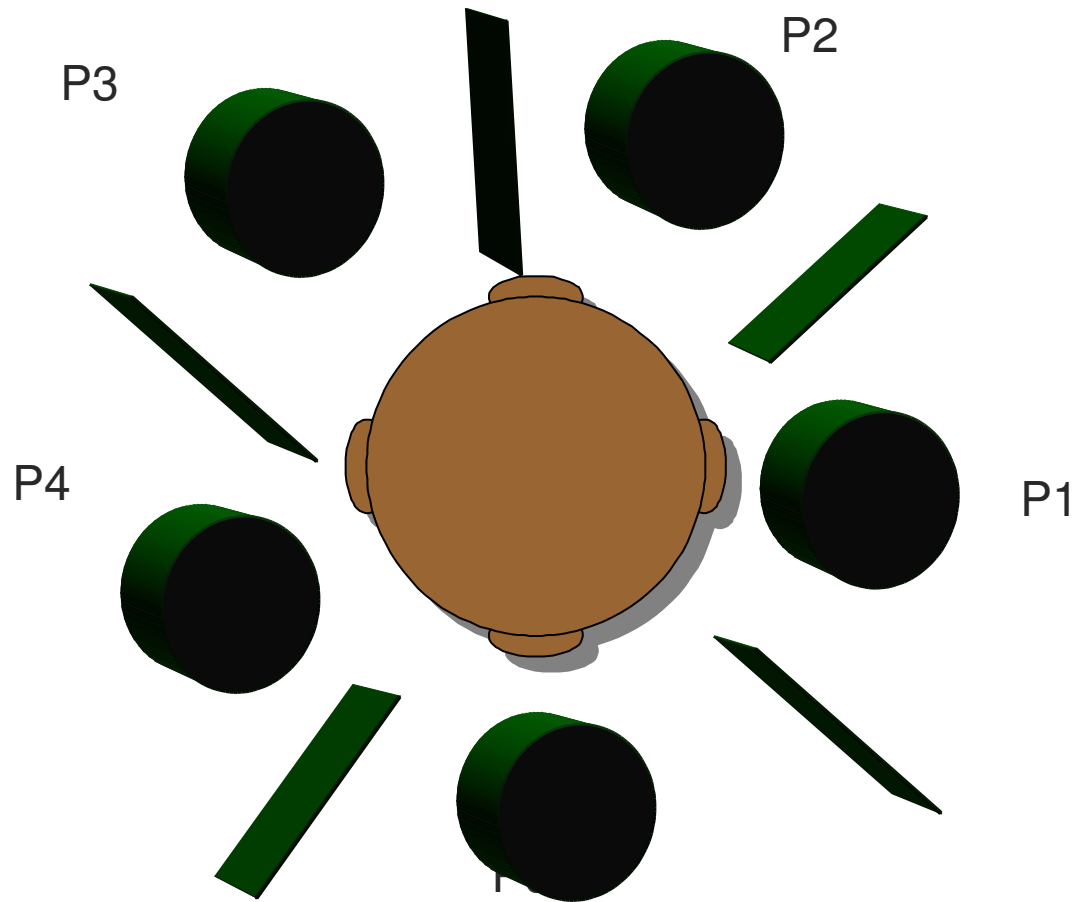
## Consumer

P(Sc)

P(Smutex) fetch V(Smutex)

V(Sp)

# [ Dining Philosophers ]



# [ Attempt a solution ]

Shared forks[N], Semaphore S[N]

---

Pi::

```
while (true) {  
    P(S[i])  
    P(S[i+1 % N])  
    eat  
    V (S[i])  
    V(S[i+1 %N])  
    think  
}
```

**deadlock possible**

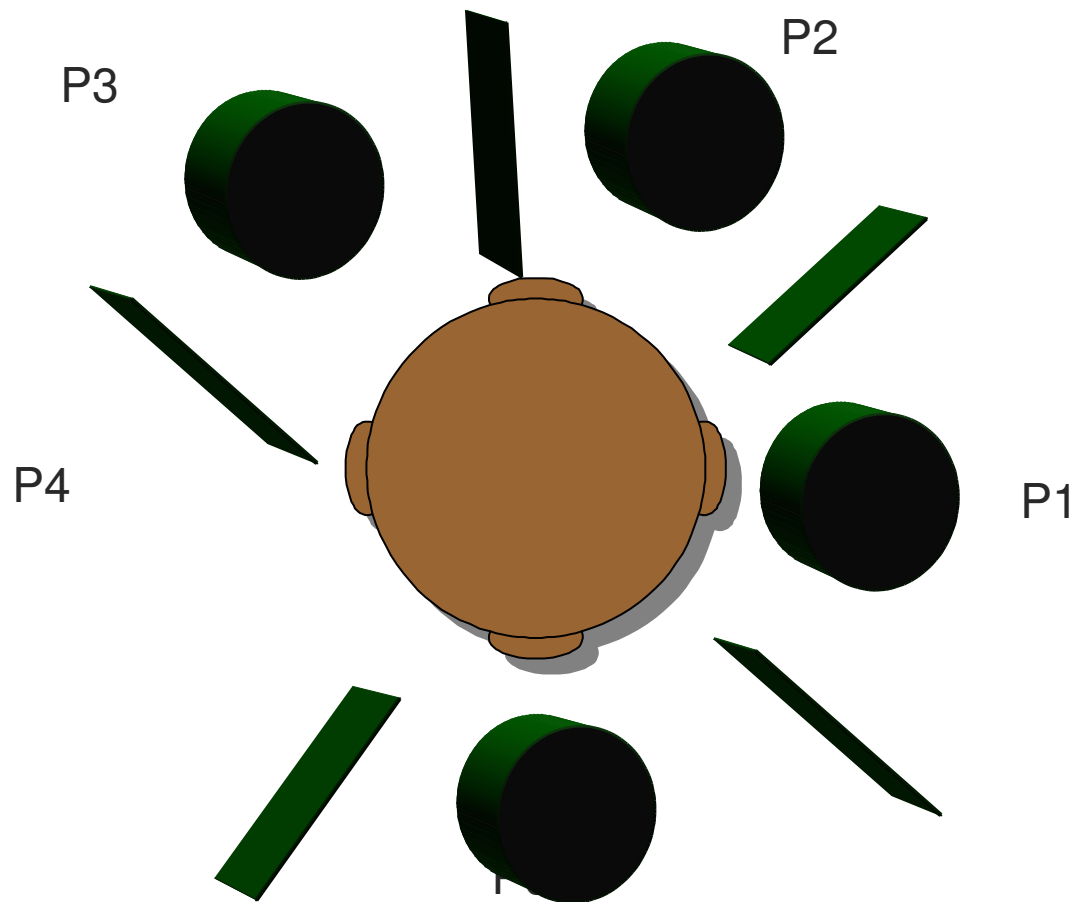


[ Deadlock-free solution? ]

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Let's try one

# Dining Philosophers without a deadlock



# [ Implementation ]

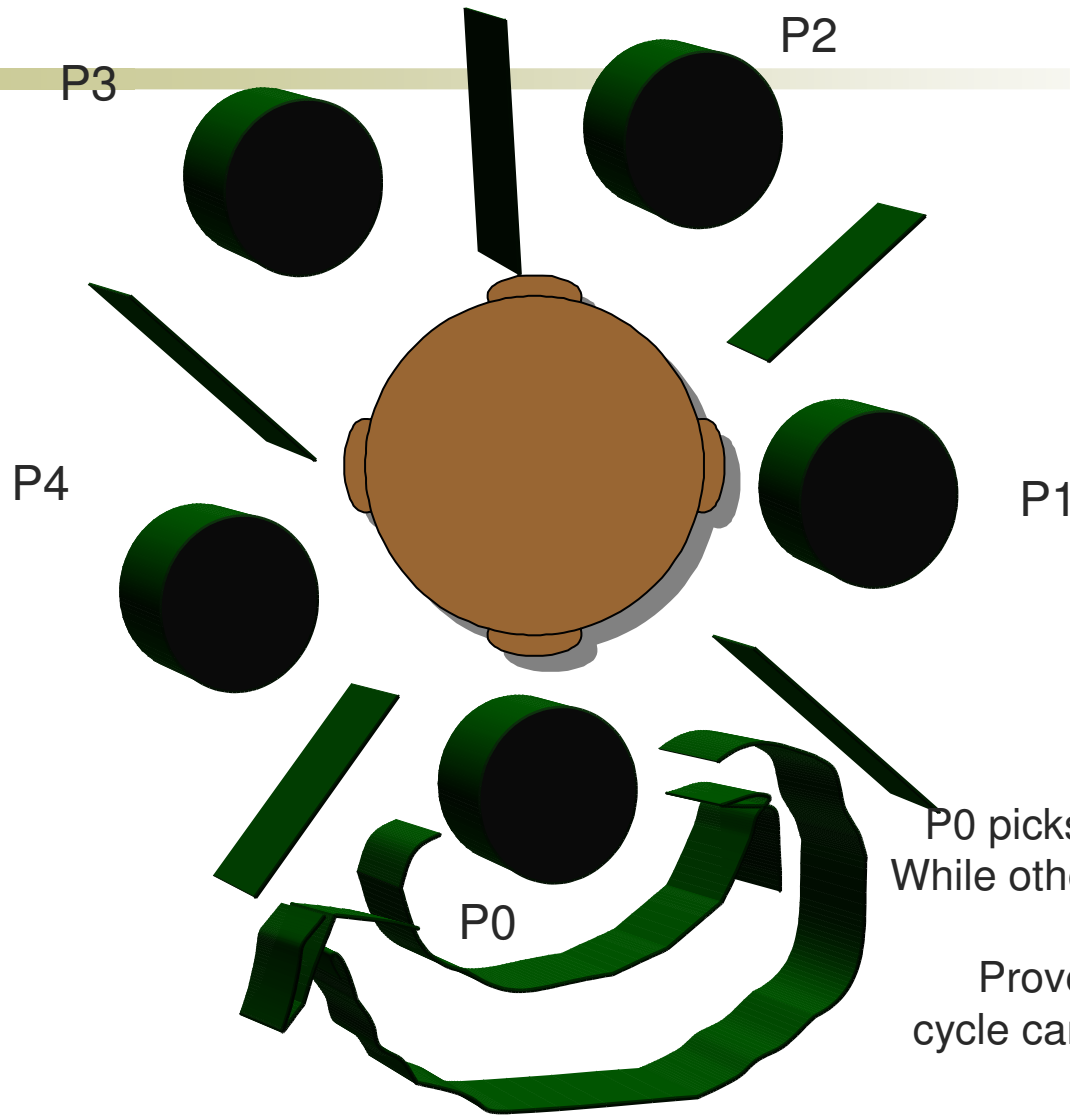
Shared forks[N], Semaphore S[N] = {1,..1}, Table=N-1

---

Pi::

```
while (true) {  
    P(Table)  
    P(S[i])  
    P(S[i+1 % N])  
    eat  
    V (S[i])  
    V(S[i+1 %N])  
    V(Table)  
    think  
}
```

# Dining Philosophers without a deadlock



P0 picks up right fork before left,  
While others pick up left before right

Prove that a hold and wait  
cycle cannot occur, and hence no  
deadlock

# [ Implementation ]

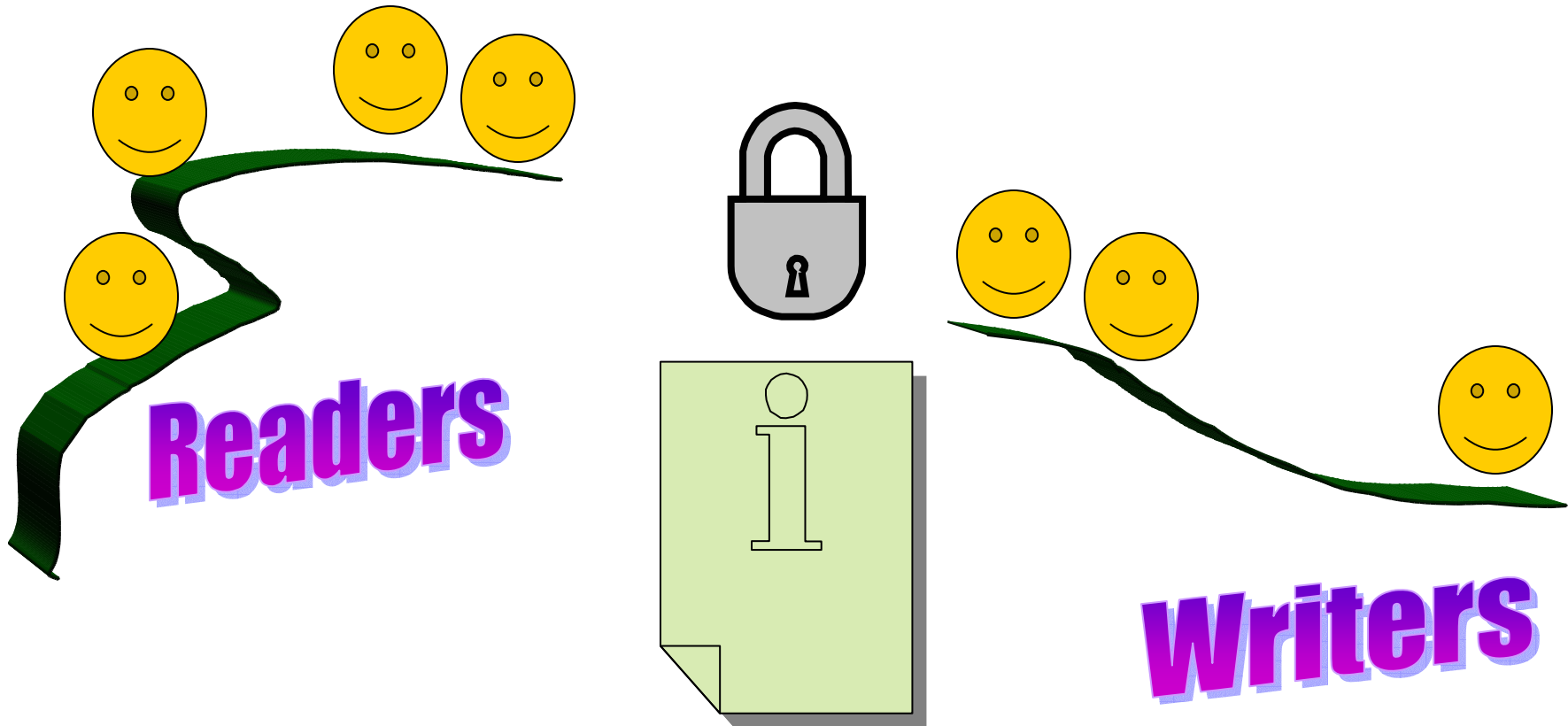
Shared forks[N], Semaphore S[N]

---

Pi::

```
while (true) {  
    if (i==0) P(S[i+1%N]) else P(S[i])  
    if (i=0) P(S[i]) else P(S[i+1 % N])  
    eat  
    V (S[i])  
    V(S[i+1 %N])  
    think  
}
```

# Readers and Writers Synchronization

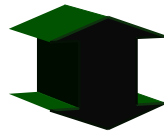


# [ Attempt I ]

Semaphore  $W=1$   $R=1$

Reader:  $P(W)$  read  $V(W)$

Writer:  $P(W)$   $P(R)$  write  $V(W)$   $V(R)$



Semaphore  $R$  is not being used, and  
Each reader and each writer simply takes  
An independent CS on the shared file.  
We want more than this.

Semaphore  $S=1$

Reader:  $P(S)$  read  $V(S)$

Writer:  $P(S)$  write  $V(S)$

# [ Attempt II ]

Semaphore  $W=1$  mutex- $r=1$   
Shared int  $r=0$ ;

Reader:

```
P(Mutex-r)
r=r+1
if (r==1) P(W)
V(Mutex-r)
read
P(Mutex-r)
r=r-1
if (r=0) V(W)
V(Mutex-r)
```

Writer:

```
P(Mutex-r)
P(W)
V(Mutex-r)
write
V(W)
```

**correct,  
deadlock possible!**



# [ A solution ]

## ■ Readers

P (Mutex)

r=r+1;

if (r=1) P(Writer);

V(Mutex)

Read

P(Mutex)

r=r-1;

if (r=0) V(Writer)

V(Mutex)

## ■ Writers

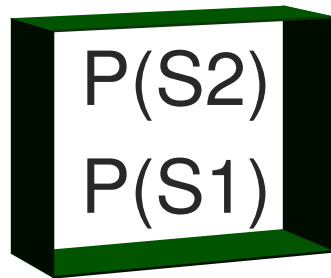
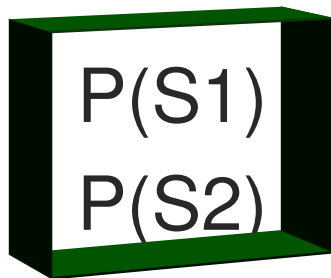
P (Writer)

Write

V (Writer)

# Care to be taken with Semaphores (drawbacks)

- User programs must still use P and V correctly
- A forgotten P, or a misplaced V
- Possibility of deadlocks-



# Better Higher level synchronization primitives?

- Critical Regions
- Conditional Critical Regions
- Monitors
  - These were supported in concurrent programming languages
  - Today's semaphore system calls allow monitor type synchronization as well