

Topic:

cs618 Introduction Section:

Classical Optimizations

Optimizing Heap Memory Usage

What is Program Analysis?

Course Details

### **Standard Memory Architecture of Programs**

**Static Data** Stack Heap Code

Heap allocation provides the flexibility of

• Variable Sizes. Data structures can grow or shrink as desired at runtime.

(Not bound to the declarations in program.)

• Variable Lifetimes. Data structures can be created and destroyed as desired at runtime.

(Not bound to the activations of procedures.)



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### **Managing Heap Memory**

#### Decision 1: When to Allocate?

- Explicit. Specified in the programs. (eg. Imperative/OO languages)
- Implicit. Decided by the language processors. (eg. Declarative Languages)



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# **Managing Heap Memory**

#### Decision 1: When to Allocate?

- Explicit. Specified in the programs. (eg. Imperative/OO languages)
- Implicit. Decided by the language processors. (eg. Declarative Languages)

#### Decision 2: When to Deallocate?

- Explicit. Manual Memory Management (eg. C/C++)
- Implicit. Automatic Memory Management aka Garbage Collection (eg. Java/Declarative languages)



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### State of Art in Manual Deallocation

• Memory leaks

10% to 20% of last development effort goes in plugging leaks

• Tool assisted manual plugging

Purify, Electric Fence, RootCause, GlowCode, yakTest, Leak Tracer, BDW Garbage Collector, mtrace, memwatch, dmalloc etc.

- All leak detectors
  - $\circ$  are dynamic (and hence specific to execution instances)
  - $\circ~$  generate massive reports to be perused by programmers
  - $\circ\;$  usually do not locate last use but only allocation escaping a call
    - $\Rightarrow$  At which program point should a leak be "plugged"?



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#### Garbage Collection $\equiv$ Automatic Deallocation

- Retain active data structure.
  Deallocate inactive data structure.
- What is an Active Data Structure?



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#### Garbage Collection $\equiv$ Automatic Deallocation

- Retain active data structure. Deallocate inactive data structure.
- What is an Active Data Structure?

If an object does not have an access path, (i.e. it is unreachable) then its memory can be reclaimed.



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#### Garbage Collection $\equiv$ Automatic Deallocation

- Retain active data structure. Deallocate inactive data structure.
- What is an Active Data Structure?

If an object does not have an access path, (i.e. it is unreachable) then its memory can be reclaimed.

What if an object has an access path, but is not accessed after the given program point?



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## What is Garbage?





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#### What is Garbage?





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# What is Garbage?





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#### What is Garbage?



All white nodes are unused and should be considered garbage



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### Is Reachable Same as Live?

From www.memorymanagement.org/glossary

**live** (also known as alive, active) : Memory(2) or an object is live if the program will read from it in future. *The term is often used more broadly to mean reachable.* 

It is not possible, in general, for garbage collectors to determine exactly which objects are still live. Instead, they use some approximation to detect objects that are provably dead, *such as those that are not reachable*.

Similar terms: reachable. Opposites: dead. See also: undead.



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## Is Reachable Same as Live?

• Not really. Most of us know that.

Even with the state of art of garbage collection, 24% to 76% unused memory remains unclaimed

• The state of art compilers, virtual machines, garbage collectors cannot distinguish between the two



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### **Reachability and Liveness**

Some unused memory remains unclaimed because garbage collectors collect unreachable memory and not unused (i.e. non-live) memory

For the heap memory on the right

Allocated White + Blue + Brown nodes Reachable White + Blue nodes Live Blue nodes



Stack

Heap



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### **Reachability and Liveness**

Some unused memory remains unclaimed because garbage collectors collect unreachable memory and not unused (i.e. non-live) memory

For the heap memory on the right

Allocated White + Blue + Brown nodes Reachable White + Blue nodes Live Blue nodes



 $\mathsf{Live} \subseteq \mathsf{Reachable} \subseteq \mathsf{Allocated}$ Hence,  $\neg \mathsf{Live} \supseteq \neg \mathsf{Reachable} \supseteq \neg \mathsf{Allocated}$ 



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### Cedar Mesa Folk Wisdom

Make the unused memory unreachable by setting references to NULL. (GC FAQ: http://www.iecc.com/gclist/GC-harder.html)





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### Cedar Mesa Folk Wisdom

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### Cedar Mesa Folk Wisdom

- Most promising, simplest to understand, yet the hardest to implement.
- Which references should be set to NULL?
  - Most approaches rely on feedback from profiling.
  - $\circ~$  No systematic and clean solution.



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#### **Distinguishing Between Reachable and Live**

The state of art

- Eliminating objects reachable from root variables which are not live.
- Uses liveness data flow analysis of root variables (stack data).
- What about liveness of heap data?



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### Applying Cedar Mesa Folk Wisdom to Heap Data

#### Liveness Analysis of Heap Data

If the while loop is not executed even once.

// x points to m<sub>a</sub> w = xwhile (x.data < MAX) 2 3

- x = x.rptr
- 4 y = x.lptr
- $z = New class_of_z$ 5
- 6 y = y.lptr
- 7 z.sum = x.data + y.data
- 8 return z.sum





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### Applying Cedar Mesa Folk Wisdom to Heap Data

#### Liveness Analysis of Heap Data

#### If the while loop is executed once.

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### Applying Cedar Mesa Folk Wisdom to Heap Data

#### Liveness Analysis of Heap Data

If the while loop is executed twice.

// x points to m<sub>a</sub> w = xwhile (x.data < MAX) 2 3

- x = x.rptr
- 4 y = x.lptr
- $z = New class_of_z$ 5
- 6 y = y.lptr
- 7 z.sum = x.data + y.data
- 8 return z.sum





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# The Moral of the Story

- Mappings between access expressions and I-values keep changing
- This is a *rule* for heap data For stack and static data, it is an *exception*!
- Static analysis of programs has made significant progress for stack and static data.

What about heap data?

- Given two access expressions at a program point, do they have the same l-value?
- $\circ~$  Given the same access expression at two program points, does it have the same l-value?



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# Our Solution (1)

		y = z = null
1	w = x	
		w = null
2	while (x.data $<$ MAX)	
	{	x.lptr = null
3	$x=x.rptr\qquad \}$	
		x.rptr = x.lptr.rptr = null
		x.lptr.lptr.lptr = x.lptr.lptr.rptr = null
4	y = x.Iptr	
		x.lptr = y.rptr = null
		y.lptr.lptr = y.lptr.rptr = null
5	$z = New class_of_z$	
		z.lptr = z.rptr = null
6	y = y.lptr	
		y.lptr = y.rptr = null
7	z.sum = x.data + y.data	
		x = y = null
8	return z.sum	
		z = null



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# Our Solution (2)

- $\mathbf{y} = \mathbf{z} = \mathsf{null}$
- 1 w = x
  - $\mathsf{w} = \mathsf{null}$
- $2 \quad \text{while (x.data < MAX)} \\$

x.lptr = null

- 3 x = x.rptr
  - x.rptr = x.lptr.rptr = null x.lptr.lptr.lptr = null x.lptr.lptr.rptr = null
- 4 y = x.lptr x.lptr = y.rptr = null y.lptr.lptr = y.lptr.rptr = null
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# Our Solution (2)

 $\mathsf{y}=\mathsf{z}=\mathsf{null}$ 

1 w = x

w = null

2 while (x.data < MAX)

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  - $\mathsf{x}=\mathsf{y}=\mathsf{null}$
- 8 return z.sum
  - $\mathbf{z} = \mathsf{null}$

#### While loop is executed once





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- $2 \quad \text{while (x.data < MAX)} \\$

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- 7 z.sum = x.data + y.data
  - $\mathsf{x}=\mathsf{y}=\mathsf{null}$
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  - $\mathbf{z} = \mathsf{null}$

#### While loop is executed twice





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### **Some Observations**

- $\mathbf{y} = \mathbf{z} = \mathsf{null}$
- 1 w = x
  - w = null
- 2 while (x.data < MAX)

x.lptr = null

- 3 x = x.rptr
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### Some Observations

- y = z = null
- 1 w = x
  - w = null
- 2 while (x.data < MAX)
  - $\{ x.lptr = null \}$
- 3 x = x.rptr
  - x.rptr = x.lptr.rptr = null x.lptr.lptr.lptr = null x.lptr.lptr.rptr = null
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  - z = null

• The memory address that x holds when the execution reaches a given program point is not an invariant of program execution





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  - $\mathsf{x}=\mathsf{y}=\mathsf{null}$
- 8 return z.sum
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- The memory address that x holds when the execution reaches a given program point is not an invariant of program execution
- Whether we dereference lptr out of x or rptr out of x at a given program point is an invariant of program execution




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- The memory address that x holds when the execution reaches a given program point is not an invariant of program execution
- Whether we dereference lptr out of x or rptr out of x at a given program point is an invariant of program execution
- A static analysis can discover only invariants





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- The memory address that x holds when the execution reaches a given program point is not an invariant of program execution
- Whether we dereference lptr out of x or rptr out of x at a given program point is an invariant of program execution
- A static analysis can discover only some invariants





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General Frameworks Section:

Precise Modelling of General Flows

Constant Propagation

Strongly Live Variables Analysis

Pointer Analysis

Heap Reference Analysis

# An Overview of Heap Reference Analysis

• A reference (called a *link*) can be represented by an *access path*.

Eg. " $x \rightarrow lptr \rightarrow rptr$ "

- A link may be accessed in multiple ways
- Setting links to null
  - Alias Analysis. Identify all possible ways of accessing a link
  - *Liveness Analysis*. For each program point, identify "dead" links (i.e. links which are not accessed after that program point)
  - *Availability and Anticipability Analyses*. Dead links should be reachable for making null assignment.
  - Code Transformation. Set "dead" links to null



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# Assumptions

For simplicity of exposition

- Java model of heap access
  - $\,\circ\,$  Root variables are on stack and represent references to memory in heap.
  - $\circ\;$  Root variables cannot be pointed to by any reference.
- Simple extensions for C++
  - $\circ~$  Root variables can be pointed to by other pointers.
  - Pointer arithmetic is not handled.



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- Constant Propagation

Strongly Live Variables Analysis

Pointer Analysis

Heap Reference Analysis



#### Key Idea #1 : Access Paths Denote Links

- Root variables : x, y, z
- Field names : rptr, lptr
- Access path : x→rptr→lptr
  Semantically, sequence of "links"
- Frontier : name of the last link
- Live access path : If the link corresponding to its frontier is used in future



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**Constant Propagation** 

Strongly Live Variables Analysi

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Heap Reference Analysis

# What Makes a Link Live?

Assuming that a statement must be executed, if nullifying a link read in the statement can change the semantics of the program, then the link is live.

# Reading a link for accessing the contents of the corresponding target object:

Example	Objects read	Live access paths
sum = x.rptr.data	$x, O_1, O_2$	$x, x \rightarrow rptr$
if (x.rptr.data < sum)	$x, O_1, O_2$	<i>x</i> , <i>x</i> →rptr





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**Constant Propagation** 

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# What Makes a Link Live?

Assuming that a statement must be executed, if nullifying a link read in the statement can change the semantics of the program, then the link is live.

Reading a link for copying the contents of the corresponding target object:

Example	Objects read	Live access paths
y = x.rptr	$x, O_1$	x, x.rptr





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# What Makes a Link Live?

Assuming that a statement must be executed, if nullifying a link read in the statement can change the semantics of the program, then the link is live.

Reading a link for copying the contents of the corresponding target object:

Example	Objects read	Live access paths
y = x.rptr	$x, O_1$	x, x.rptr
x.lptr = y	$x, O_1, y$	<i>x</i> , <i>y</i>



Stack



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# What Makes a Link Live?

Assuming that a statement must be executed, if nullifying a link read in the statement can change the semantics of the program, then the link is live.

Reading a link for comparing the address of the corresponding target object:

Example	Objects read	Live access paths
if $(x.lptr == null)$	$x, O_1$	x,x→lptr



Stack



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# What Makes a Link Live?

Assuming that a statement must be executed, if nullifying a link read in the statement can change the semantics of the program, then the link is live.

Reading a link for comparing the address of the corresponding target object:

Example	Objects read	Live access paths
if $(x.lptr == null)$	$x, O_1$	$x, x \rightarrow lptr$
if $(y == x.lptr)$	$x, O_1, y$	$x, x \rightarrow lptr, y$



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# **Liveness Analysis**





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#### Key Idea #2 : Transfer of Access Paths

X

x = x.n



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$$\ldots = x.r.d$$



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 $\{x, x \rightarrow r\}$ 

 $\ldots = x.r.d$ 



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## Key Idea #3 : Liveness Closure Under Link Aliasing



x = y

 $\ldots = x.n.d$ 



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# Key Idea #3 : Liveness Closure Under Link Aliasing



x = y

 $\ldots = x.n.d$ 

x and y are node aliases x.n and y.n are link aliases  $x \rightarrow n$  is live  $\Rightarrow y \rightarrow n$  is live



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# Key Idea #3 : Liveness Closure Under Link Aliasing



x = y

 $\ldots = x.n.d$ 

x and y are node aliases x.n and y.n are link aliases  $x \rightarrow n$  is live  $\Rightarrow y \rightarrow n$  is live

Nullifying  $y \rightarrow n$  will have the side effect of nullifying  $x \rightarrow n$ 



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## **Explicit and Implicit Liveness**

 $a \xrightarrow{n} b$ 

$$x \rightarrow n$$
 is live  $\Rightarrow y \rightarrow n$  is live



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#### **Explicit and Implicit Liveness**



x = y

 $x \rightarrow n$  is live  $\Rightarrow y \rightarrow n$  is live

 $y \rightarrow n$  is implicitly live  $x \rightarrow n$  is explicitly live



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# Key Idea #4: Aliasing is Required with Explicit Liveness

}

$$1 \underbrace{x = y}_{\{x, y, y \rightarrow p, y \rightarrow p \rightarrow q\}}$$
$$\{x, y, y \rightarrow p, y \rightarrow p \rightarrow q\}$$
$$2 \underbrace{x.p = t}_{\{y, y \rightarrow p, y \rightarrow p \rightarrow q\}}$$
$$\{y, y \rightarrow p, y \rightarrow p \rightarrow q\}$$
$$3 \underbrace{y = y.p}_{\{y, y \rightarrow q\}}$$
$$\{y, y \rightarrow q\}$$
$$4 \underbrace{use y.q.d}$$



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# Notation for Defining Flow Functions for Explicit Liveness

- Basic entities
  - Variables  $u, v \in \mathbb{V}$ ar
  - Pointer variables  $w, x, y, z \in \mathbf{P} \subseteq \mathbb{V}$ ar
  - Pointer fields  $f, g, h \in pF$
  - Non-pointer fields  $a, b, c, d \in npF$
- Additional notation
  - $\circ$  Sequence of pointer fields  $\sigma \in pF^*$  (could be  $\epsilon$ )
  - Access paths  $\rho \in \mathbf{P} \times pF^*$ Example:  $\{x, x \rightarrow f, x \rightarrow f \rightarrow g\}$
  - $\circ~$  Summarized access paths rooted at x or x \rightarrow \sigma for a given x and  $\sigma$

$$- x \rightarrow * = \{x \rightarrow \sigma \mid \sigma \in pF^*\}$$
$$- x \rightarrow \sigma \rightarrow * = \{x \rightarrow \sigma \rightarrow \sigma' \mid \sigma' \in pF^*\}$$



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## Data Flow Equations for Explicit Liveness Analysis

$$\mathit{In}_n = ig( \mathit{Out}_n - \mathsf{Kill}_n(\mathit{Out}_n) ig) \ \cup \ \mathsf{Gen}_n(\mathit{Out}_n)$$

$$Out_n = \begin{cases} BI & n \text{ is } End \\ \bigcup_{s \in succ(n)} In_s & \text{otherwise} \end{cases}$$



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# Flow Functions for Explicit Liveness Analysis

Let A denote May Aliases at the exit of node n

Statement n	$\operatorname{Gen}_n(X)$	$\operatorname{Kill}_n(X)$
x = y	$\{y \twoheadrightarrow \sigma \mid x \twoheadrightarrow \sigma \in X\}$	<i>x</i> →*
x = y.f	$\{y \rightarrow f \rightarrow \sigma \mid x \rightarrow \sigma \in X\}$	<i>x</i> →∗
x.f = y	$\left\{ y \rightarrow \sigma \mid z \rightarrow f \rightarrow \sigma \in X, z \in A(x) \right\}$	$\bigcup_{z \in Must(A)(x)} z \rightarrow f \rightarrow *$
x = new	Ø	<i>x</i> →∗
x = null	Ø	<i>x</i> →*
other	Ø	Ø


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### Flow Functions for Explicit Liveness Analysis

Let A denote May Aliases at the exit of node n

Statement n	$\operatorname{Gen}_n(X)$	$\operatorname{Kill}_n(X)$
x = y	$\{y \rightarrow \sigma \mid x \rightarrow \sigma \in X\}$	<i>x</i> →*
x = y.f	$\{y \rightarrow f \rightarrow \sigma \mid x \rightarrow \sigma \in X\}$	<i>x</i> →*
x.f = y	$\left\{ y \rightarrow \sigma \mid \boxed{z \rightarrow f \rightarrow \sigma \in X, z \in A(x)} \right\}$	$\bigcup_{z \in Must(A)(x)} z \rightarrow f \rightarrow *$
x = new	0	<i>x</i> →*
x = null	Ø	$x \rightarrow *$
other	Ø	Ø

May link aliasing for soundness



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## Flow Functions for Explicit Liveness Analysis

Let A denote May Aliases at the exit of node n





Let

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## Flow Functions for Explicit Liveness Analysis

- Why is y ∉ Gen<sub>n</sub>(X) for x.f = y when x ∉ X?
   Strong liveness
- Why is y ∉ Gen<sub>n</sub>(X) for x = y.f when x→σ ∉ X?
   Strong liveness

• Why is  $x \notin \text{Gen}_n(X)$  for  $x \cdot f = y$ ?

If x→f→σ ∉ Out<sub>n</sub>, we can do dead code elimination
If ∃x→f→σ ∈ Out<sub>n</sub>, then ∃x ∈ Out<sub>n</sub> It will not be killed, so no need of x ∈ Gen<sub>n</sub>

Unlike LFCPA, x.f cannot point to a variable whose pointees are to be found

We are using a storeless abstraction of memory, LFCPA uses a store-based abstraction





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 $\ldots = x.r.d$ 



# **Computing Explicit Liveness Using Sets of Access Paths**



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## **Computing Explicit Liveness Using Sets of Access Paths**





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# **Computing Explicit Liveness Using Sets of Access Paths**









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### **Computing Explicit Liveness Using Sets of Access Paths**



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### **Computing Explicit Liveness Using Sets of Access Paths**

Analysis 
$$\begin{array}{c} \left\{ x, x \rightarrow n, x \rightarrow n \rightarrow r \right\} \\ x = x.n \\ \left\{ x, x \rightarrow r \right\} \cap \left\{ x, x \rightarrow n, x \rightarrow n \rightarrow r \right\} \\ \left\{ x, x \rightarrow r \right\} \\ \left\{ \dots = x.r.d \right\} \end{array}$$



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### **Computing Explicit Liveness Using Sets of Access Paths**

 $\{x, x \rightarrow n, x \rightarrow n \rightarrow r\}$ Analysis x = x.n $\{x\}$  $\{x, x \rightarrow r\}$  $\ldots = x.r.c$ 



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### **Computing Explicit Liveness Using Sets of Access Paths**

 $\{x, x \rightarrow n\}$ Analysis x = x.n $\{x\}$  $\{x, x \rightarrow r\}$  $\ldots = x.r.d$ 



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### **Computing Explicit Liveness Using Sets of Access Paths**

 $\{x, x \rightarrow n, x \rightarrow n \rightarrow r\}$ Analysis x = x.n $\{x, x \rightarrow r\}$  $\{x, x \rightarrow r\}$  $\ldots = x.r.c$ 



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### **Computing Explicit Liveness Using Sets of Access Paths**

Analysis 
$$\{x, x \rightarrow n, x \rightarrow n \rightarrow r\}$$

$$\{x, x \rightarrow r\} \cup \{x, x \rightarrow n, x \rightarrow n \rightarrow r\}$$

$$\{x, x \rightarrow r\} \cup \{x, x \rightarrow n, x \rightarrow n \rightarrow r\}$$

$$[\dots = x.r.d]$$



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### **Computing Explicit Liveness Using Sets of Access Paths**





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### **Computing Explicit Liveness Using Sets of Access Paths**

Analysis  

$$\begin{array}{c} x, x \rightarrow n, x \rightarrow n \rightarrow n, x \rightarrow n \rightarrow r, x \rightarrow n \rightarrow r \\ \hline x = x.n \\ \{x, x \rightarrow r, x \rightarrow n, x \rightarrow n \rightarrow r \\ \\ \hline \{x, x \rightarrow r \\ \\ \hline \dots = x.r.d \end{array}$$



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### **Computing Explicit Liveness Using Sets of Access Paths**

Liveness of Heap References: An Any Path problem

Analysis 
$$\{x, x \rightarrow n, x \rightarrow n \rightarrow r, x \rightarrow n \rightarrow r, x \rightarrow n \rightarrow r, x \rightarrow n \rightarrow r\}$$
$$\{x, x \rightarrow r, x \rightarrow n, x \rightarrow n \rightarrow r, x \rightarrow n \rightarrow r\}$$
$$\{x, x \rightarrow r\}$$
$$\dots = x.r.d$$

Infinite Number of Unbounded Access Paths



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#### Key Idea #5: Using Graphs as Data Flow Values



#### Finite Number of Bounded Structures



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x = x.n

 $\ldots = x.n.r.d$ 

2

#### Key Idea #6 : Include Program Point in Graphs

$$x, x \rightarrow n, x \rightarrow n \rightarrow n, x \rightarrow n \rightarrow n, \ldots$$

Different occurrences of n's in an access path are **Indistinguishable** 

$$\{x, x \rightarrow n, x \rightarrow n \rightarrow n, x \rightarrow n \rightarrow n \rightarrow r\}$$

Different occurrences of n's in an access path are **Distinct** 



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x = x.n

 $\ldots = x.n.r.d$ 

2

#### Key Idea #6 : Include Program Point in Graphs

$$x, x \rightarrow n, x \rightarrow n \rightarrow n, x \rightarrow n \rightarrow n, \ldots \}$$

Different occurrences of n's in an access path are **Indistinguishable** 

$$\{x, x \rightarrow n, x \rightarrow n \rightarrow n, x \rightarrow n \rightarrow n \rightarrow r\}$$

Different occurrences of n's in an access path are Distinct (pattern of subsequent dereferences could be distinct)



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x = x.n

 $\ldots = x.n.r.d$ 

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$$x, x \rightarrow n, x \rightarrow n \rightarrow n, x \rightarrow n \rightarrow n, \ldots \}$$

Different occurrences of n's in an access path are Indistinguishable (pattern of subsequent dereferences remains same)

$$\{x, x \rightarrow n, x \rightarrow n \rightarrow n, x \rightarrow n \rightarrow r\}$$

Different occurrences of n's in an access path are Distinct (pattern of subsequent dereferences could be distinct)



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x = x.n

 $\ldots = x.n.r.d$ 

2

$$x, x \rightarrow n, x \rightarrow n \rightarrow n, x \rightarrow n \rightarrow n, \ldots$$

Different occurrences of n's in an access path are Indistinguishable (pattern of subsequent dereferences remains same)

Access Graph :



$$\{x, x \rightarrow n, x \rightarrow n \rightarrow n, x \rightarrow n \rightarrow n \rightarrow r\}$$

Different occurrences of n's in an access path are **Distinct** (pattern of subsequent dereferences could be distinct)

Access Graph :





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### **Inclusion of Program Point Facilitates Summarization**

Iteration #1





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### **Inclusion of Program Point Facilitates Summarization**

Iteration #1





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### **Inclusion of Program Point Facilitates Summarization**

Analysis  $1 \times \frac{r}{2}$ Analysis  $x \times \frac{r}{2}$ 

 $2 \mid \ldots = x.r.d$ 



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#### **Inclusion of Program Point Facilitates Summarization**



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#### **Inclusion of Program Point Facilitates Summarization**



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#### **Inclusion of Program Point Facilitates Summarization**



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#### Access Graph and Memory Graph

#### Program Fragment

$$x.l = y.r \quad 1$$
if  $(x.l.n == y.r.n)$  2



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### Access Graph and Memory Graph

Program Fragment

Memory Graph





if 
$$(x.l.n == y.r.n)$$
 2



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## Access Graph and Memory Graph





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## Access Graph and Memory Graph



• Memory Graph: Nodes represent locations and edges represent links (i.e. pointers).



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## Access Graph and Memory Graph



- Memory Graph: Nodes represent locations and edges represent links (i.e. pointers).
- Access Graphs: Nodes represent dereference of links at particular statements. Memory locations are implicit.



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## Lattice of Access Graphs

- Finite number of nodes in an access graph for a variable
- 🖽 induces a partial order on access graphs
  - $\Rightarrow$  a finite (and hence complete) lattice
  - $\Rightarrow\,$  All standard results of classical data flow analysis can be extended to this analysis.

Termination and boundedness, convergence on MFP, complexity etc.



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## **Access Graph Operations**

- Union.  $G \uplus G'$
- Path Removal

 ${\cal G} \ominus {\cal R}$  removes those access paths in  ${\cal G}$  which have  $ho \in {\cal R}$  as a prefix

- Factorization (/)
- Extension



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## **Defining Factorization**

Given statement x.n = y, what should be the result of transfer?

Live AP	Memory Graph	Result of Transfer	Remainder
x→n→r	$\begin{array}{c} x \rightarrow & \overset{n}{\longrightarrow} \\ y \rightarrow & \overset{r}{\longrightarrow} \end{array}$	y→r	<ul> <li>r (LHS is contained in the live access path)</li> </ul>
x→n	$x \rightarrow 0$	У	$\epsilon$ (LHS is contained in the live access path)
x	$x \rightarrow n \rightarrow r$ $y \rightarrow r$	no transfer	?? (LHS is not contained in the live access path)



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## **Defining Factorization**

Given statement x.n = y, what should be the result of transfer?

Live AP	Memory Graph	Result of Transfer	Remainder
x→n→r	$\begin{array}{c} x \rightarrow & \overset{n}{\longrightarrow} \\ y \rightarrow & \overset{r}{\longrightarrow} \end{array}$	y→r	<ul> <li>r (LHS is contained in the live access path)</li> </ul>
x→n	$x \rightarrow 0$	У	$\epsilon$ (LHS is contained in the live access path)
x	$x \rightarrow n \rightarrow r$ $y \rightarrow r$	no transfer	?? (LHS is not contained in the live access path) Quotient is empty So no remainder



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#### **Semantics of Access Graph Operations**

- P(G) is the set of all paths in graph G
- P(G, M) is the set of paths in G terminating on nodes in M
- S is the set of remainder graphs
- P(S) is the set of all paths in all remainder graphs in S

Operation		Access Paths	
Union	$\mathit{G}_3 = \mathit{G}_1 \uplus \mathit{G}_2$	$P\left( {{\mathcal{G}}_{3}}  ight) \supseteq P\left( {{\mathcal{G}}_{1}}  ight) \cup \ P\left( {{\mathcal{G}}_{2}}  ight)$	
Path Removal	$G_2 = G_1 \ominus X$	$\begin{array}{l} P\left(G_{2}\right)\supseteqP\left(G_{1}\right)\ -\\ \left\{\rho \boldsymbol{\rightarrow} \sigma \mid \rho \in X, \rho \boldsymbol{\rightarrow} \sigma \in P\left(G_{1}\right)\right\}\end{array}$	
Factorization	$S=G_1/ ho$	$P(S) = \{ \sigma \mid \rho  ightarrow \sigma \in P(G_1) \}$	
	$G_2=(G_1,M)\#\emptyset$	$P(G_2) = \emptyset$	
Extension	$G_2 = (G_1, M) \# S$	$\begin{array}{l} P\left( {{\mathcal{G}_2}} \right) \supseteq P\left( {{\mathcal{G}_1}} \right) \ \cup \\ \left\{ {\rho { \rightarrow }\sigma \mid \rho \in P\left( {{\mathcal{G}_1},M} \right),\ \sigma \in P\left( S \right)} \right\} \end{array}$	



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#### **Semantics of Access Graph Operations**

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Path Removal	$G_2 = G_1 \ominus X$	$P(G_2) \supseteq P(G_1) - \{\rho \rightarrow \sigma \mid \rho \in X, \rho \rightarrow \sigma \in P(G_1)\}$
Factorization	$S = G_1/ ho$	$P(S) = \{ \sigma \mid \rho \rightarrow \sigma \in P(G_1) \}$
	$G_2=(G_1,M)\#\emptyset$	$P(G_2) = \emptyset$
Extension	$G_2 = (G_1, M) \# S$	$P(G_2) \supseteq P(G_1) \cup \{a \rightarrow \sigma \mid a \in P(G_1 \mid M) \mid \sigma \in P(S)\}$
$\sigma$ represents remainder		



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Union	Path Removal	Factorisation	Extension



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Union	Path Removal	Factorisation	Extension
$g_3 \uplus g_4 = g_4$			
$g_2 \uplus g_4 = g_5$			
$g_5 \uplus g_4 = g_5$			
$g_5 \uplus g_6 = g_6$			



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Union	Path Removal	Factorisation	Extension
$g_3 \uplus g_4 = g_4$	$g_6 \ominus \{x \rightarrow l\} = g_2$		
$g_2 \uplus g_4 = g_5$	$g_5 \ominus \{x\} = \mathcal{E}_G$		
$g_5 \uplus g_4 = g_5$	$g_4 \ominus \{x \rightarrow r\} = g_4$		
$g_5 \uplus g_6 = g_6$	$g_4 \ominus \{x  ightarrow I\} = g_1$		



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Union	Path Removal	Factorisation	Extension
$g_3 \uplus g_4 = g_4$	$g_6 \ominus \{x \rightarrow l\} = g_2$	$g_2/x = \{rg_1\}$	
$g_2 \uplus g_4 = g_5$	$g_5 \ominus \{x\} = \mathcal{E}_G$	$g_5/x = \{rg_1, rg_2\}$	
$g_5 \uplus g_4 = g_5$	$g_4 \ominus \{x \rightarrow r\} = g_4$	$g_5/x \rightarrow r = \{\epsilon_{RG}\}$	
$g_5 \uplus g_6 = g_6$	$g_4 \ominus \{x \rightarrow l\} = g_1$	$g_4/x \rightarrow r = \emptyset$	



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Union	Path Removal	Factorisation	Extension
$g_3 \uplus g_4 = g_4$	$g_6 \ominus \{x \rightarrow I\} = g_2$	$g_2/x = \{rg_1\}$	$(g_3, \{l_1\}) \# \{rg_1\} = g_4$
$g_2 \uplus g_4 = g_5$	$g_5 \ominus \{x\} = \mathcal{E}_G$	$g_5/x = \{rg_1, rg_2\}$	$(g_3, \{x, l_1\}) \# \{rg_1, rg_2\} = g_6$
$g_5 \uplus g_4 = g_5$	$g_4 \ominus \{x \rightarrow r\} = g_4$	$g_5/x \rightarrow r = \{\epsilon_{RG}\}$	$(g_2, \{r_2\}) \# \{\epsilon_{RG}\} = g_2$
$g_5 \uplus g_6 = g_6$	$g_4 \ominus \{x \rightarrow l\} = g_1$	$g_4/x \rightarrow r = \emptyset$	$(g_2, \{r_2\}) \# \emptyset = \mathcal{E}_G$



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#### Data Flow Equations for Explicit Liveness Analysis: Access Graphs Version

$$In_n = (Out_n \ominus \operatorname{Kill}_n(Out_n)) \ \uplus \ \operatorname{Gen}_n(Out_n)$$

$$Out_n = \begin{cases} BI & n \text{ is } End \\ \biguplus & In_s & \text{otherwise} \end{cases}$$

- In<sub>n</sub>, Out<sub>n</sub>, and Gen<sub>n</sub> are access graphs
- Kill<sub>n</sub> is a set of access paths



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# Flow Functions for Explicit Liveness Analysis: Access Paths Version

Let A denote May Aliases at the exit of node n

Statement n	$\operatorname{Gen}_n(X)$	$\operatorname{Kill}_n(X)$
x = y	$\{y \twoheadrightarrow \sigma \mid x \twoheadrightarrow \sigma \in X\}$	<i>x</i> →*
x = y.f	$\{y \rightarrow f \rightarrow \sigma \mid x \rightarrow \sigma \in X\}$	<i>x</i> →∗
x.f = y	$\left\{ y \rightarrow \sigma \mid z \rightarrow f \rightarrow \sigma \in X, z \in A(x) \right\}$	$\bigcup_{z \in Must(A)(x)} z \rightarrow f \rightarrow *$
x = new	Ø	<i>x</i> →*
x = null	Ø	<i>x</i> →∗
other	Ø	Ø



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# Flow Functions for Explicit Liveness Analysis: Access Paths Version

Let A denote May Aliases at the exit of node n

Statement n	$\operatorname{Gen}_n(X)$	$\operatorname{Kill}_n(X)$	
x = y	$\{y \rightarrow \sigma \mid x \rightarrow \sigma \in X\}$	<i>x</i> →∗	
x = y.f	$\{y \rightarrow f \rightarrow \sigma \mid x \rightarrow \sigma \in X\}$	<i>x</i> →∗	
x.f = y	$\left\{ y \rightarrow \sigma \mid \boxed{z \rightarrow f \rightarrow \sigma \in X, z \in A(x)} \right\}$	$\bigcup_{z \in Must(A)(x)} z \rightarrow f \rightarrow *$	
x = new	0	<i>x</i> →∗	
x = null	Ø	χ→∗	
other	Ø	Ø	
May link aliasing for soundness			



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# Flow Functions for Explicit Liveness Analysis: Access Paths Version

Let A denote May Aliases at the exit of node n





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## Flow Functions for Explicit Liveness Analysis: Access Graphs Version

- A denotes May Aliases at the exit of node n
- $mkGraph(\rho)$  creates an access graph for access path  $\rho$

Statement n	$\operatorname{Gen}_n(X)$	$Kill_n(X)$
x = y	mkGraph(y)#(X/x)	$\{x\}$
x = y.f	$mkGraph(y \rightarrow f) \#(X/x)$	$\{x\}$
x.f = y	$mkGraph(y) \# \left( \bigcup_{z \in A(x)} (X/(z \rightarrow f)) \right)$	$\{z \rightarrow f \mid z \in Must(A)(x)\}$
x = new	Ø	$\{x\}$
x = null	Ø	$\{x\}$
other	Ø	Ø



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#### Liveness Analysis of Example Program: Ist Iteration





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#### Liveness Analysis of Example Program: 2nd Iteration





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#### Liveness Analysis of Example Program: 3rd Iteration





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#### Liveness Analysis of Example Program: 4th Iteration





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### **Tutorial Problem for Explicit Liveness (1)**

Construct access graphs at the entry of block 1 for the following programs





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## **Tutorial Problem for Explicit Liveness (1)**

Construct access graphs at the entry of block 1 for the following programs





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## **Tutorial Problem for Explicit Liveness (1)**

Construct access graphs at the entry of block 1 for the following programs





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Construct access graphs at the entry of block 1 for the following programs

Α x = x.n $2 \overline{x} = x.$ 3 Use x.r.d



B

Rotate each picture anti-clockwise by 90° and compare it with its access graph

variable x is identical to the

4 I USE X.r.a

pointer assignments of x

control flow structure between



С

The structure of access graph of = x.n3 x = x4 Use x.r.d 5







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## **Tutorial Problem for Explicit Liveness (2)**

- Unfortunately the student who constructed these access graphs forgot to attach statement numbers as subscripts to node labels and has misplaced the programs which gave rise to these graphs
- Please help her by constructing CFGs for which these access graphs represent explicit liveness at some program point in the CFGs







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## **Tutorial Problem for Explicit Liveness (3)**

- Compute explicit liveness for the program.
- Are the following access paths live at node 1? Show the corresponding execution sequence of statements

P1 : 
$$y \rightarrow m \rightarrow l$$
  
P2 :  $y \rightarrow l \rightarrow n \rightarrow m$   
P3 :  $y \rightarrow l \rightarrow n \rightarrow l$   
P4 :  $y \rightarrow n \rightarrow l \rightarrow n$ 





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#### Which Access Paths Can be Nullified?

• Consider extensions of accessible paths for nullification.

Let  $\rho$  be accessible at p (i.e. available or anticipable) for each reference field f of the object pointed to by  $\rho$ if  $\rho \rightarrow f$  is not live at p then Insert  $\rho \rightarrow f = \text{null at } p$  subject to profitability

• For simple access paths,  $\rho$  is empty and f is the root variable name.


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#### Which Access Paths Can be Nullified?

Can be safely dereferenced

• Consider extensions of accessible paths for nullification.

Let  $\rho$  be accessible at p (i.e. available or anticipable) for each reference field f of the object pointed to by  $\rho$ if  $\rho \rightarrow f$  is not live at p then Insert  $\rho \rightarrow f = \text{null at } p$  subject to profitability

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#### Which Access Paths Can be Nullified?



Cannot be hoisted and is not redefined at p



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## **Availability and Anticipability Analyses**

- *ρ* is available at program point *p* if the target of each prefix of *ρ* is guaranteed to be created along every control flow path reaching *p*.
- *ρ* is anticipable at program point *p* if the target of each prefix of *ρ* is
   guaranteed to be dereferenced along every control flow path starting at *p*.



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# Availability and Anticipability Analyses

- *ρ* is available at program point *p* if the target of each prefix of *ρ* is guaranteed to be created along every control flow path reaching *p*.
- *ρ* is anticipable at program point *p* if the target of each prefix of *ρ* is
   guaranteed to be dereferenced along every control flow path starting at *p*.
- Finiteness.
  - An anticipable (available) access path must be anticipable (available) along every paths. Thus unbounded paths arising out of loops cannot be anticipable (available).
  - $\circ~$  Due to "every control flow path nature", computation of anticipable and available access paths uses  $\cap$  as the confluence. Thus the sets are bounded.
  - $\Rightarrow$  No need of access graphs.



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## Transfer in Availability and Anticipability Analysis

The essential idea of the transfer of access paths remains same

• Transfer in Availability Analysis is from the RHS to the LHS

 $\begin{array}{c} & & \rho_r \to \sigma \text{ available here} \\ \hline \rho_l = \rho_r \\ & & \rho_l \to \sigma \text{ available here} \end{array}$ 

• Transfer in Anticipability Analysis is from the LHS to the RHS

$$\begin{array}{c|c} & & & \rho_r \to \sigma \text{ anticipable here} \\ \hline & \rho_l = \rho_r \\ & & & \rho_l \to \sigma \text{ anticipable here} \end{array} \end{array}$$



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#### **Availability Analysis of Example Program**





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#### Anticipability Analysis of Example Program





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#### Live and Accessible Paths





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#### Creating null Assignments from Live and Accessible Paths





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## The Resulting Program

		y = z = null
1	w = x	
		w = null
2	while (x.data $<$ max)	
	{	x.lptr = null
3	$x = x.rptr$ }	
		x.rptr = x.lptr.rptr = null
		x.lptr.lptr.lptr = null
		x.lptr.lptr.rptr = null
4	y = x.Iptr	
		x.lptr = y.rptr = null
		y.lptr.lptr = y.lptr.rptr = null
5	$z = \textit{New class_of_z}$	
		z.lptr = z.rptr = null
6	y = y.lptr	
		y.lptr = y.rptr = null
7	z.sum = x.data + y.data	
		x = y = z = null



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# **Overapproximation Caused by Our Summarization**



• The program allocates *x*→*p* in one iteration and uses it in the next



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## **Overapproximation Caused by Our Summarization**



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- The program allocates *x*→*p* in one iteration and uses it in the next
- Only  $x \rightarrow p \rightarrow p$  is live at  $Out_2$



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- The program allocates *x*→*p* in one iteration and uses it in the next
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3 x = x.p

4 x...



- The program allocates *x*→*p* in one iteration and uses it in the next
- Only  $x \rightarrow p \rightarrow p$  is live at  $Out_2$
- $x \rightarrow p \rightarrow p$  is live at  $Out_2$  $x \rightarrow p \rightarrow p \rightarrow p$  is dead at  $Out_2$
- First *p* used in statement 3 Second *p* used in statement 4
- Third p is reallocated



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Second occurrence of a dereference does not necessarily mean an unbounded number of repetitions!



- The program allocates *x*→*p* in one iteration and uses it in the next
- Only  $x \rightarrow p \rightarrow p$  is live at  $Out_2$
- x→p→p is live at Out<sub>2</sub>
   x→p→p→p is dead at Out<sub>2</sub>
- First *p* used in statement 3 Second *p* used in statement 4
- Third p is reallocated



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#### Non-Distributivity of Explicit Liveness Analysis





Access path  $x \rightarrow r \rightarrow n \rightarrow r$  (shown in red color) is a spurious access path that arises due to  $\mbox{ }$  and is not removed by the assignment in node 1 Node  $n_6$  that comes after  $r_4$  and node  $n_6$  that comes after  $n_2$  are different memory locations



Out<sub>1</sub>





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#### **Issues Not Covered**

- Precision of information
  - Cyclic Data Structures
  - Eliminating Redundant null Assignments
- Properties of Data Flow Analysis: Monotonicity, Boundedness, Complexity
- Interprocedural Analysis
- Extensions for C/C++
- Formulation for functional languages
- Issues that need to be researched: Good alias analysis of heap



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#### BTW, What is Static Analysis of Heap?

Static

#### Dynamic



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#### BTW, What is Static Analysis of Heap?





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#### BTW, What is Static Analysis of Heap?




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## BTW, What is Static Analysis of Heap?





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## BTW, What is Static Analysis of Heap?





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## Conclusions

- Unbounded information can be summarized using interesting insights
  - Contrary to popular perception, heap structure is not arbitrary Heap manipulations consist of repeating patterns which bear a close resemblance to program structure

Analysis of heap data is possible despite the fact that the mappings between access expressions and l-values keep changing