

Searching and Sorting

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The search problem

Input: an array x (say `int x[100];`) and a value y

Search problem: Is $x[i] == y$ for some i ?

- Obvious algorithm idea: Compare every element $x[i]$ with y and report if found.
- **Question for today:** Can we get by with fewer comparisons if x is sorted in non-decreasing order, i.e. values are such that

$$x[0] \leq x[1] \leq x[2] \leq \dots$$

Key idea

- First compare y with $x[n/2]$, where n = length of x .
- If $x[n/2] < y$ then we know y cannot be in the subarray $x[0..n/2]$. Suffices to search $x[n/2 + 1..n-1]$.
- if $x[n/2] \geq y$ then we know that it suffices to search the subarray $x[0..n/2]$
- In both cases, by doing a single comparison, we have halved the number of elements we need to search next.
- $x[i..j]$: short for $x[i], x[i+1], \dots, x[j-1], x[j]$

Examples

x:

index	0	1	2	3	4	5	6	7	8
value	34	55	56	68	75	77	79	88	93

Example 1: $y = 77$. Compare y with $x[4]$. Outcome: $x[4] < y$. So we only need to search elements $x[5] \dots x[8]$ next.

Example 2: $y = 37$. Compare y with $x[4]$. Outcome: $x[4] \geq y$. So we only need to search elements $x[0] \dots x[4]$ next.

Binary search of an array

- Invariant: in each iteration a portion of the array $x[\text{start}..\text{end}]$ can possibly contain y .
“feasible portion”
- In each iteration, we compare y with the “middle” element $x[(\text{start}+\text{end})/2]$.
- Based on the comparison result we adjust start and end . Feasible portion shrinks.
- When $\text{start} == \text{end}$, feasible portion has length 1. So we check if $x[\text{start}] == y$

Binary Search

```
int binSearch(int *x, int n, int y){
    int start = 0, end = n-1;
    while(start < end){
        int mid = (start+end)/2;
        if(x[mid] < y) start = mid + 1;
        else          end = mid;
    }
    if(x[start] == y) return start; else return -1;
}
```

Correctness

- Loop invariant: $start$, end are valid indices throughout execution. If y is in x , then it must be in subarray $x[start..end]$.
- Base case: true at the beginning.
- $mid = (start+end)/2$. So $start \leq mid < end$.
- So mid and $mid+1$ are both valid indices.
- Hence $start$, end valid after iteration.
- $end - start$ always decreases, approximately halving. So $\log_2 n$ iterations needed.

Example

x has length 1024.

Length of feasible portion = 1024.

First probe: $\text{mid} = (0+1023)/2 = 511$

Next feasible portion: $x[0..511]$ or $x[512..1023]$

Length of new feasible portion = 512.

Remarks

- Function can be written using recursion.
Exercise.
- Similar to “20 questions”, “Bisection method”.
- Could have been used in marks display, if rollno array was stored in sorted order.
- It is useful to store sequences in sorted order if we are going to search them.

Sorting

- Input: array x , in which values are stored in any order.
- Output: rearrange values in x so that they appear in non-decreasing order.
- Extremely important operation. Useful in many, many algorithms.
- Many, many, pretty algorithms.
- Non-increasing order might also be demanded.

Example

before

index	0	1	2	3	4	5	6	7	8
value	75	93	68	88	34	77	79	56	55

after

index	0	1	2	3	4	5	6	7	8
value	34	55	56	68	75	77	79	88	93

Selection sort

- Find the largest element.
- Move it to the last position.
- Find the second largest element.
- Move it to the second last position.
- Find the third largest element.
- ...

The index of the largest element

```
int maxIndex(int *x, int n){
    int result = 0;
    for(int i=1; i<n; i++)
        if(x[i] > x[result]) result = i;
    return result;
}
// int x[]={10,29,37,55,43,55}
// maxIndex(x,6) evaluates to 3.
```

Selection Sort Algorithm

```
void ssort(int *x, int n){
    for(int L=n; L>1; L--){
        int MI = maxIndex(x,L);
        int maxvalue = x[MI]; //exchange x[MI],x[L-1]
        x[MI] = x[L-1];
        x[L-1] = maxvalue;
    }
}
```

How good is selection sort?

- We will count the number of comparisons. This is indicative of total time taken.

- Number of comparisons:

To find largest : $n-1$. ($n = \text{length of array}$)

To find second largest: $n-2$

To find third largest: $n-3$...

- Total: $n-1 + n-2 + \dots + 1 = (n-1)(n-2)/2$
- Approximately proportional to n^2 .

A faster algorithm: Merge sort

- Time to sort: proportional to $n \log_2 n$, i.e. much better than selection sort.
- Basic idea:
 - Suppose you have sorted arrays p , q of length m , n respectively.
 - Put the elements of p , q into an array r of length $m+n$ such that r is sorted.
 - Can be done fast by exploiting the fact that p , q were originally sorted. “Merge”

How to merge

- p : students standing in a queue in increasing order of height. q : Another such queue.
- How can they get into a single queue r and still remain in increasing order of height?
- Shorter of the students at the head of queue p and queue q should enter queue r .
- Queues p , q move up as needed.
- Repeat process to move next shortest...

Example

p: {10, 13, 14, 17} q: {9, 16, 20, 25} r: {}
p: {10, 13, 14, 17} q: {16, 20, 25} r: {9}
p: {13, 14, 17} q: {16, 20, 25} r: {9, 10}
p: {14, 17} q: {16, 20, 25} r: {9, 10, 13}
p: {17} q: {16, 20, 25} r: {9, 10, 13, 14}
p: {17} q: {20, 25} r: {9, 10, 13, 14, 16}
p: {} q: {20, 25} r: {9, 10, 13, 14, 16, 17}
p: {} q: {25} r: {9, 10, 13, 14, 16, 17, 20}
p: {} q: {} r: {9, 10, 13, 14, 16, 17, 20, 25}

Summary

- If both queues have an element, smaller of the two moves to r .
- If only one queue has an element, then the element at its front moves to r .
- How to represent the queues: do not insist that the smallest element is at index 0, instead keep track of the index at which the front of the queue is. Similar to taxi dispatch.
- pf, qf : index of front of p, q . rb : back of r .

How to merge

```
void merge(int p[], int m, int q[], int n, int r[]){
    for(int rb=0, pf=0, qf=0; rb<m+n; rb++){
        if( pf < m && qf < n){                // both queues non-empty
            if (p[pf] <= q[qf]) { r[rb] = p[pf]; pf++; }
            else{ r[rb] = q[qf]; qf++; }
        }
        else if (pf < m){ r[rb] = p[pf]; pf++; } // only p is non-empty
        else{ r[rb] = q[qf]; qf++; }           // only q is non-empty
    }
}
```

Mergesort idea (informal)

r = sequence to be sorted. Length = n .

p = first $n/2$ elements of r

q = remaining elements of r

sort(p). sort(q).

Merge p, q to produce r .

```
void mergesort(int* r, int n){
    if(n>1){
        int p[n/2], q[n-n/2];
        for(int i=0; i<n/2; i++) p[i] = r[i];
        for(int i=n/2; i<n; i++) q[i-n/2] = r[i];

        mergesort(p, n/2);
        mergesort(q, n - n/2);
        merge(p,n/2, q,n-n/2,r);
    }
}
```

Why is mergesort fast?

- Analysis given in book.
- Analysis is outside the scope of the course.

Intuition:

- Selection sort performs comparisons to find smallest – the results are not used to help find second smallest faster.
- In mergesort, we remember.