

# CS626: NLP, Speech and Web

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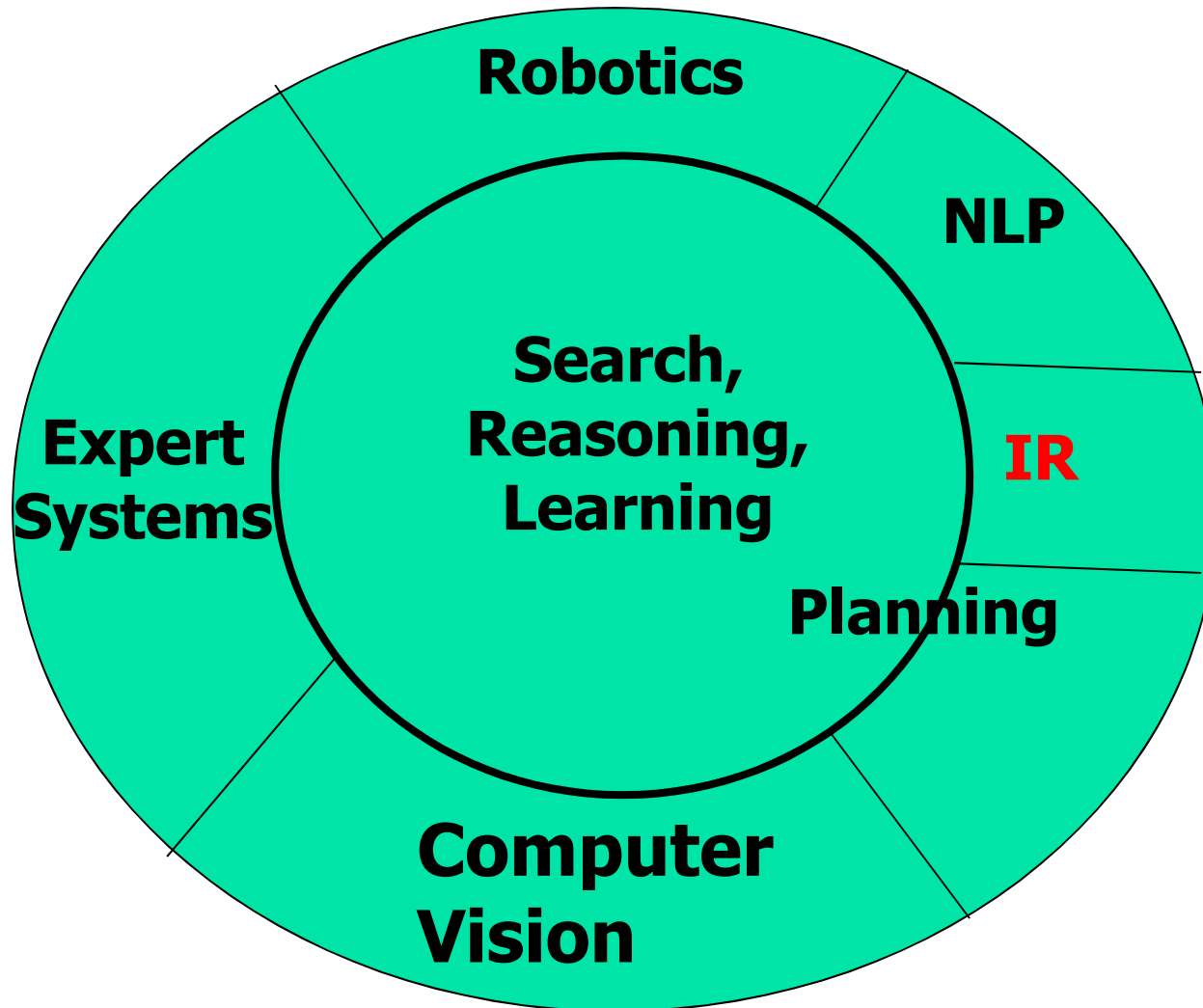
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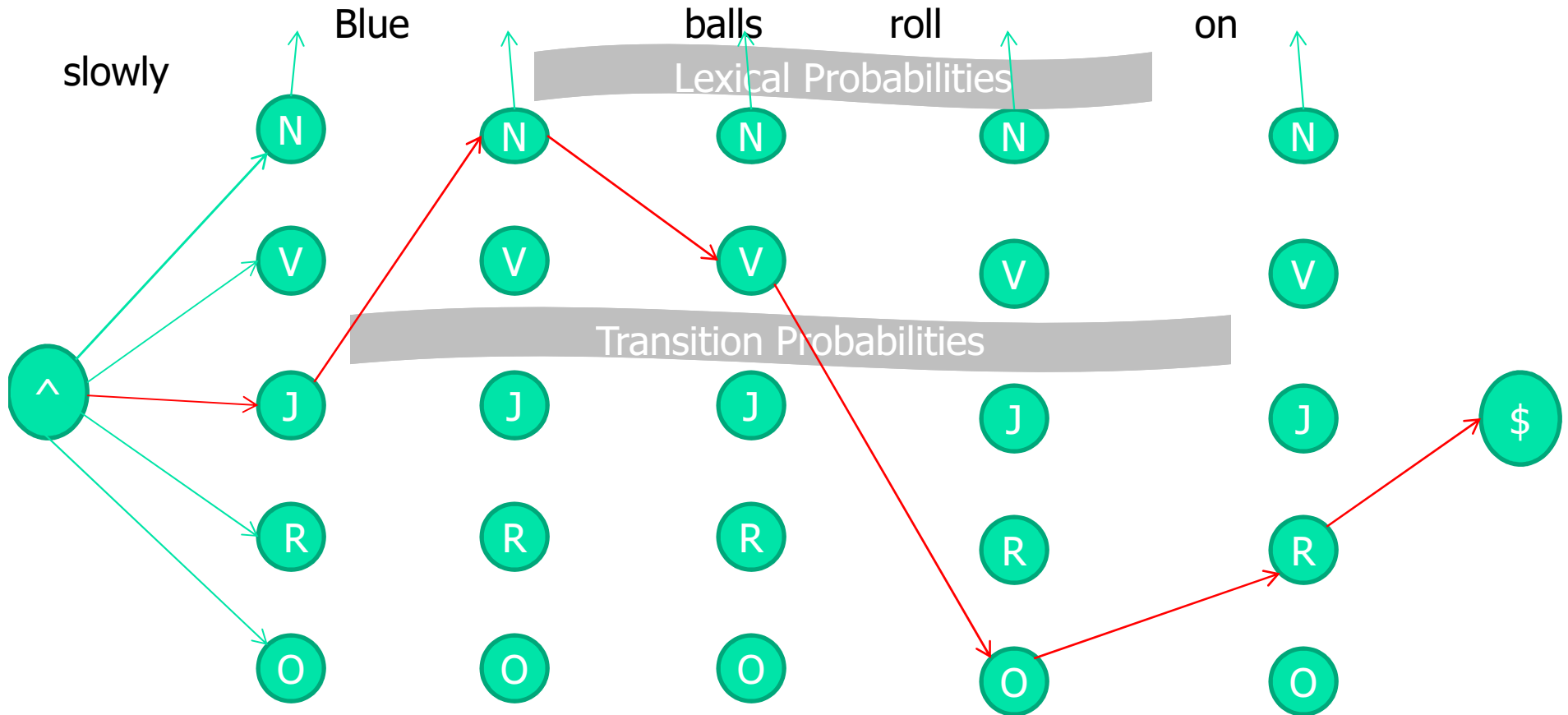
Extra Lecture (no. 20, 21): A\*

2<sup>nd</sup> and 4<sup>th</sup> October, 2012

# AI Perspective (post-web)



# Motivation: Problem: Implement A\* to get the best Tag sequence



**Note: Red arrows indicate the correct tag sequence.**

# Search building blocks

- State Space : Graph of states (Express constraints and parameters of the problem)
- Operators : Transformations applied to the states.
- Start state :  $S_0$  (Search starts from here)
- Goal state :  $\{G\}$  - Search terminates here.
- Cost : Effort involved in using an operator.
- Optimal path : Least cost path

# Examples

## Problem 1 : 8 – puzzle

4	3	6
2	1	8
7		5

S

1	2	3
4	5	6
7	8	

G

Tile movement represented as the movement of the blank space.

Operators:

L : Blank moves left

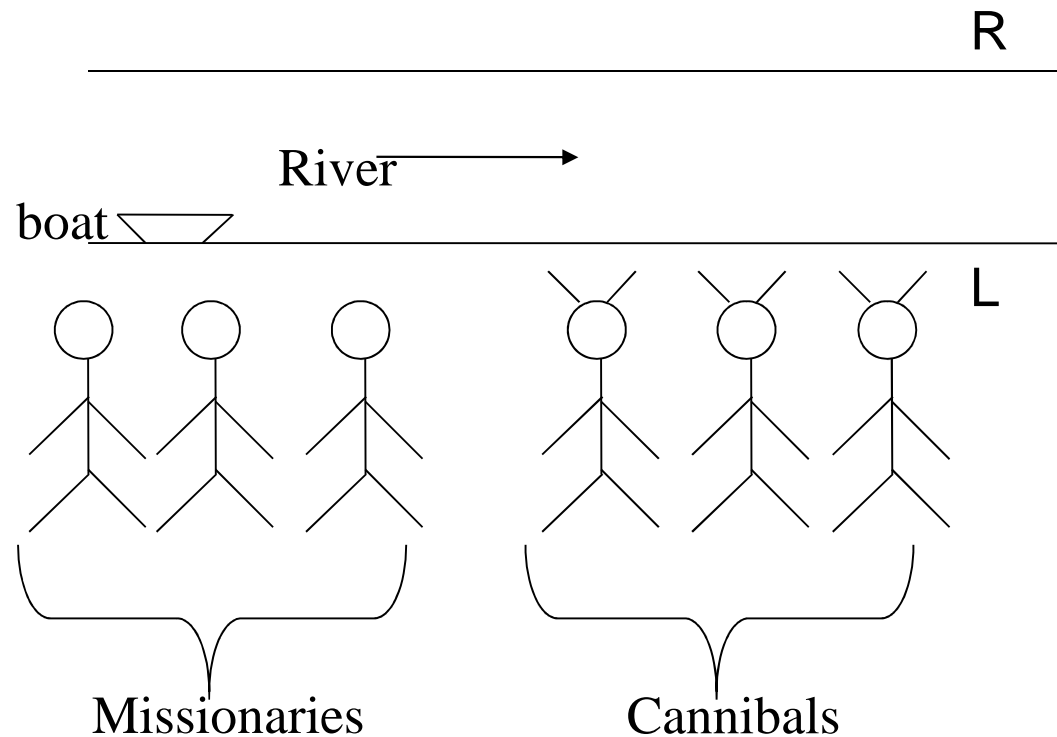
R : Blank moves right

U : Blank moves up

D : Blank moves down

$$C(L) = C(R) = C(U) = C(D) = 1$$

# Problem 2: Missionaries and Cannibals



## Constraints

- The boat can carry at most 2 people
- On no bank should the cannibals outnumber the missionaries

State :  $\langle \#M, \#C, P \rangle$

$\#M$  = Number of missionaries on bank  $L$

$\#C$  = Number of cannibals on bank  $L$

$P$  = Position of the boat

$S0 = \langle 3, 3, L \rangle$

$G = \langle 0, 0, R \rangle$

### Operations

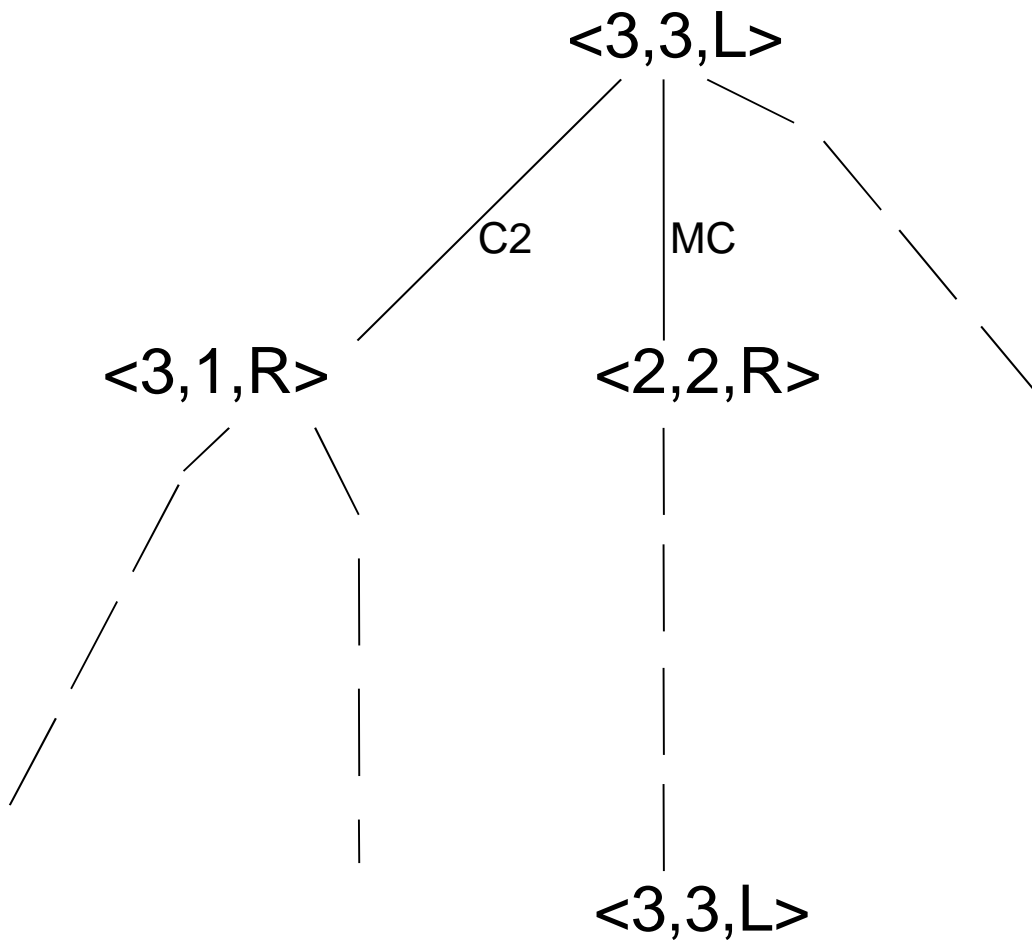
$M2$  = Two missionaries take boat

$M1$  = One missionary takes boat

$C2$  = Two cannibals take boat

$C1$  = One cannibal takes boat

$MC$  = One missionary and one cannibal takes boat



Partial search  
tree



# Problem 3

B	B	B	W	W	W	
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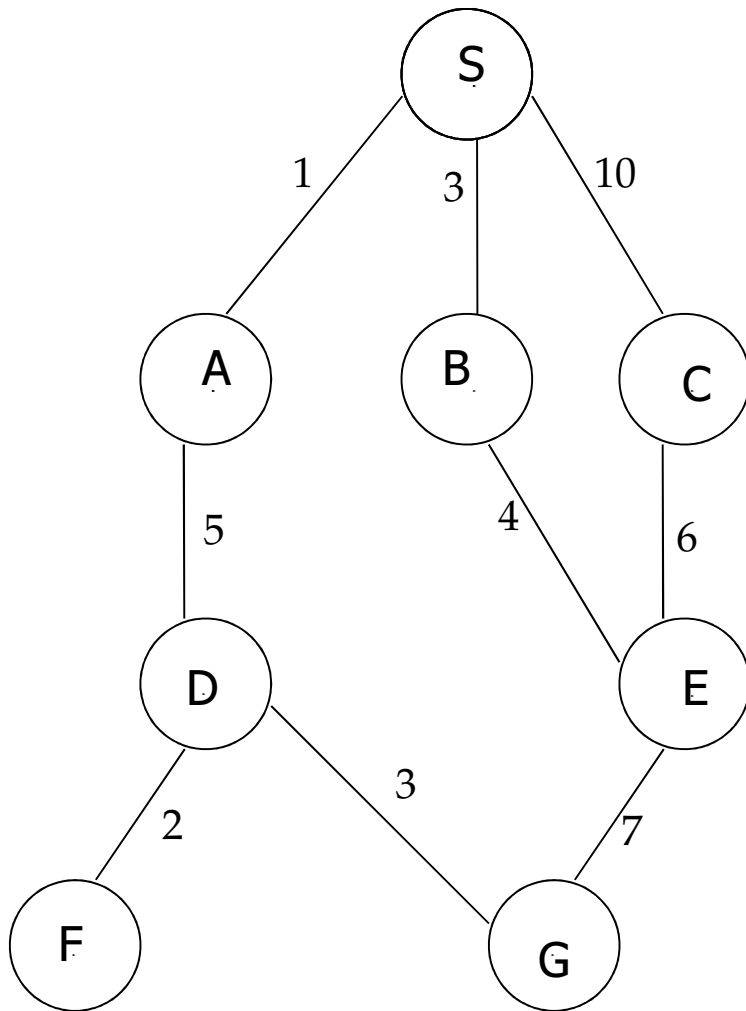
*G*: States where no **B** is to the left of any **W**

Operators:

- 1) A tile jumps over another tile into a blank tile with cost 2
- 2) A tile translates into a blank space with cost 1

# Algorithmics of Search

# General Graph search Algorithm



Graph  $G = (V,E)$

1) Open List :  $S^{(\emptyset, 0)}$

Closed list :  $\emptyset$

2) OL :  $A^{(S,1)}, B^{(S,3)}, C^{(S,10)}$

CL : S

3) OL :  $B^{(S,3)}, C^{(S,10)}, D^{(A,6)}$

CL : S, A

4) OL :  $C^{(S,10)}, D^{(A,6)}, E^{(B,7)}$

CL: S, A, B

5) OL :  $D^{(A,6)}, E^{(B,7)}$

CL : S, A, B , C

6) OL :  $E^{(B,7)}, F^{(D,8)}, G^{(D, 9)}$

CL : S, A, B, C, D

7) OL :  $F^{(D,8)}, G^{(D,9)}$

CL : S, A, B, C, D, E

8) OL :  $G^{(D,9)}$

CL : S, A, B, C, D, E, F

9) OL :  $\emptyset$

CL : S, A, B, C, D, E,  
F, G

# Steps of GGS

*(principles of AI, Nilsson,)*

- 1. Create a search graph  $G$ , consisting solely of the start node  $S$ ; put  $S$  on a list called  $OPEN$ .
- 2. Create a list called  $CLOSED$  that is initially empty.
- 3. Loop: if  $OPEN$  is empty, exit with failure.
- 4. Select the first node on  $OPEN$ , remove from  $OPEN$  and put on  $CLOSED$ , call this node  $n$ .
- 5. if  $n$  is the goal node, exit with the solution obtained by tracing a path along the pointers from  $n$  to  $s$  in  $G$ . (pointers are established in step 7).
- 6. Expand node  $n$ , generating the set  $M$  of its successors that are not ancestors of  $n$ . Install these members of  $M$  as successors of  $n$  in  $G$ .

## GGs steps (contd.)

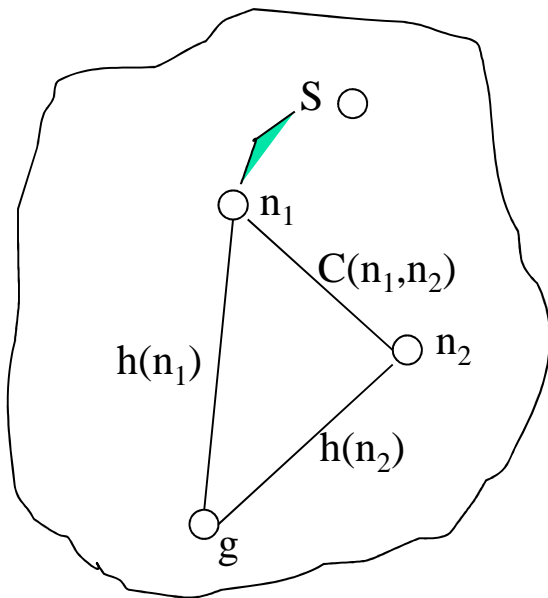
- 7. Establish a pointer to  $n$  from those members of  $M$  that were not already in  $G$  (*i.e.*, not already on either *OPEN* or *CLOSED*). Add these members of  $M$  to *OPEN*. For each member of  $M$  that was already on *OPEN* or *CLOSED*, decide whether or not to redirect its pointer to  $n$ . For each member of  $M$  already on *CLOSED*, decide for each of its descendants in  $G$  whether or not to redirect its pointer.
- 8. Reorder the list *OPEN* using some strategy.
- 9. Go *LOOP*.

# GGs is a general umbrella

OL is a  
queue  
(BFS)

OL is  
stack  
(DFS)

OL is accessed by  
using a functions  
 $f = g + h$   
(Algorithm A)



$$h(n_1) \leq C(n_1, n_2) + h(n_2)$$

# Algorithm A

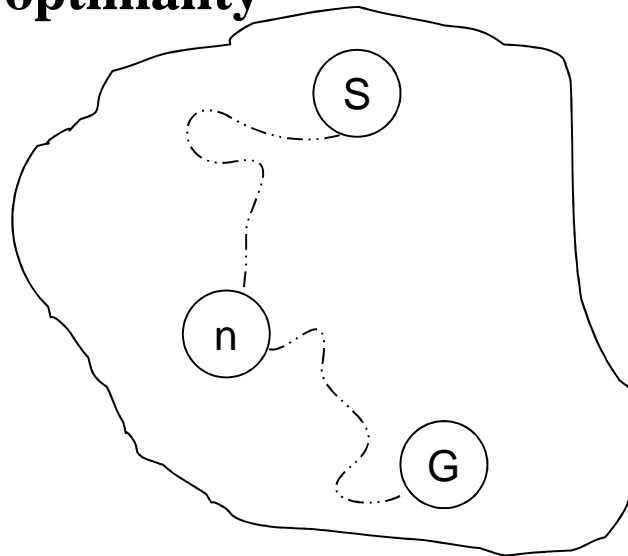
- A function  $f$  is maintained with each node  
 $f(n) = g(n) + h(n)$ ,  $n$  is the node in the open list
- Node chosen for expansion is the one with least  $f$  value
- For BFS:  $h = 0$ ,  $g =$  number of edges in the path to  $S$
- For DFS:  $h = 0$ ,  $g = \frac{1}{\text{No of edges in the path to } S}$



# Algorithm A\*

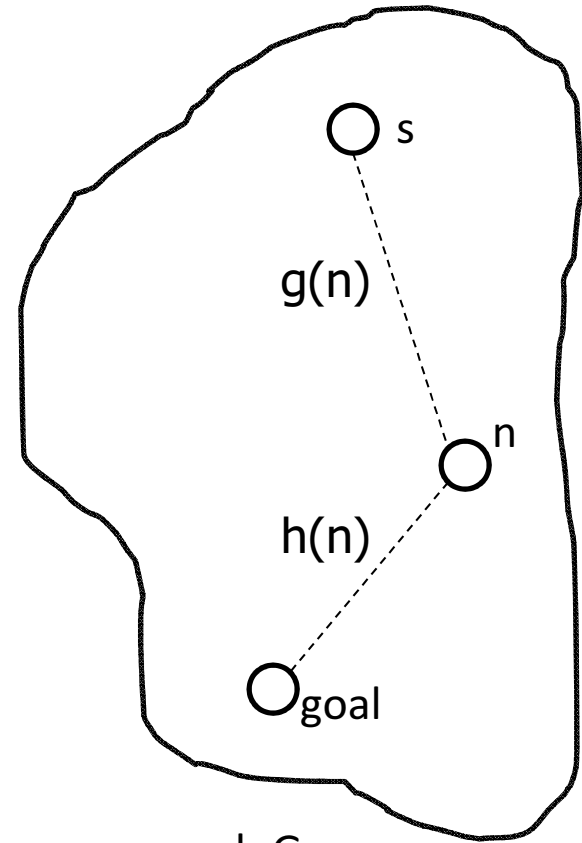
- One of the most important advances in AI
- $g(n)$  = least cost path to  $n$  from  $S$  found so far
- $h(n) \leq h^*(n)$  where  $h^*(n)$  is the actual cost of optimal path to  $G$  (node to be found) from  $n$

**“Optimism leads to optimality”**



# A\* Algorithm – Definition and Properties

- $f(n) = g(n) + h(n)$
- The node with the least value of  $f$  is chosen from the *OL*.
- $f^*(n) = g^*(n) + h^*(n)$ ,  
where,  
 $g^*(n)$  = actual cost of the optimal path  $(s, n)$   
 $h^*(n)$  = actual cost of optimal path  $(n, g)$
- $g(n) \geq g^*(n)$
- By definition,  $h(n) \leq h^*(n)$



State space graph G

# 8-puzzle: heuristics

Example: 8 puzzle

2	1	4
7	8	3
5	6	

$s$

1	6	7
4	3	2
5		8

$n$

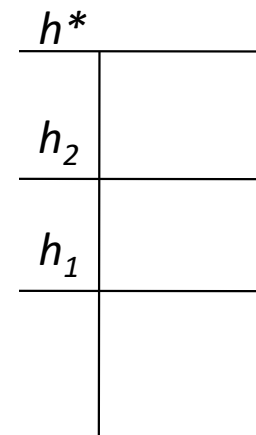
1	2	3
4	5	6
7	8	

$g$

$h^*(n)$  = actual no. of moves to transform  $n$  to  $g$

1.  $h_1(n)$  = no. of tiles displaced from their destined position.
2.  $h_2(n)$  = sum of Manhattan distances of tiles from their destined position.

$$h_1(n) \leq h^*(n) \text{ and } h_2(n) \leq h^*(n)$$



Comparison

# Eight puzzle problem

Number of Tiles displaced from their original position

Tiles:	1	2	3	4	5	6	7	8
Displacement:	1	1	1	1	1	1	1	1

**$h1 = 8$  (sum of the number of tiles required displacement)**

Manhattan displacement Required in tiles to get destined position (Manhattan Distances of tiles from goal)

Tiles:	1	2	3	4	5	6	7	8
Displacement:	1	1	1	3	2	2	1	1

**$h2 = 12$  (sum of the tile's manhattan disptances from goal)**

**$h^* = \text{Actual displacement from goal.}$**

**$h1 \leq h^*$  and  $h2 \leq h^*$**

# A\* critical points

- **Goal**
  1. Do we know the goal?
  2. Is the distance to the goal known?
  3. Is there a path (known?) to the goal?

# A\* critical points

- **About the path**

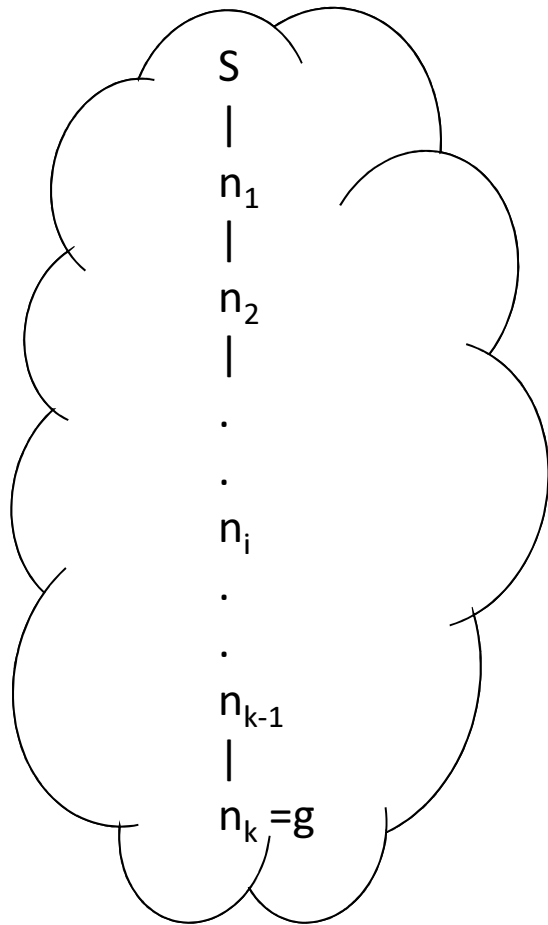
Any time before A\* terminates there exists on the OL, a node from the optimal path all whose ancestors in the optimal path are in the CL.

This means,

$\exists$  in the OL always a node 'n' s.t.

$$g(n) = g^*(n)$$

# Key point about A\* search



## Statement:

Let  $S - n_1 - n_2 - n_3 \dots n_i \dots - n_{k-1} - n_k (=G)$  be an optimal path.

At any time during the search:

1. There is a node  $n_i$  from the optimal path in the OL
2. For  $n_i$  all its ancestors  $S, n_1, n_2, \dots, n_{i-1}$  are in CL
3.  $g(n_i) = g^*(n_i)$

# Proof of the statement

Proof by induction on iteration no.  $j$

Basis :  $j = 0$ ,  $S$  is on the OL,  $S$  satisfies the statement

Hypothesis : Let the statement be true for  $j = p$  ( $p^{\text{th}}$  iteration)

Let  $n_i$  be the node satisfying the statement



# Proof (continued)

Induction : Iteration no.  $j = p+1$

Case 1 :  $n_i$  is expanded and moved to the closed list

Then,  $n_{i+1}$  from the optimal path comes to the OL

Node  $n_{i+1}$  satisfies the statement

(note: if  $n_{i+1}$  is in CL, then  $n_{i+2}$  satisfies the property)

Case 2 : Node  $x \neq n_i$  is expanded

Here,  $n_i$  satisfies the statement

# A\* Algorithm- Properties

- **Admissibility:** An algorithm is called admissible if it always terminates and terminates in optimal path
- **Theorem:** A\* is admissible.
- **Lemma:** Any time before A\* terminates there exists on  $OL$  a node  $n$  such that  $f(n) \leq f^*(s)$
- **Observation:** For optimal path  $s \rightarrow n_1 \rightarrow n_2 \rightarrow \dots \rightarrow g$ ,
  1.  $h^*(g) = 0, g^*(s)=0$  and
  2.  $f^*(s) = f^*(n_1) = f^*(n_2) = f^*(n_3)\dots = f^*(g)$

## A\* Properties (*contd.*)

$$f^*(n_i) = f^*(s), \quad n_i \neq s \text{ and } n_i \neq g$$

Following set of equations show the above equality:

$$f^*(n_i) = g^*(n_i) + h^*(n_i)$$

$$f^*(n_{i+1}) = g^*(n_{i+1}) + h^*(n_{i+1})$$

$$g^*(n_{i+1}) = g^*(n_i) + c(n_i, n_{i+1})$$

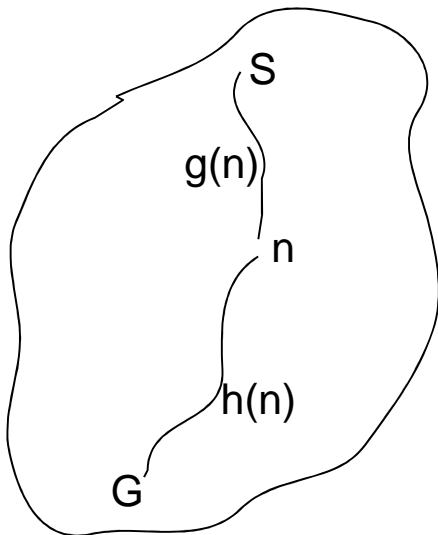
$$h^*(n_{i+1}) = h^*(n_i) - c(n_i, n_{i+1})$$

Above equations hold since the path is optimal.

# Admissibility of A\*

A\* always terminates finding an optimal path to the goal if such a path exists.

## Intuition



(1) In the open list there always exists a node  $n$  such that  $f(n) \leq f^*(S)$ .

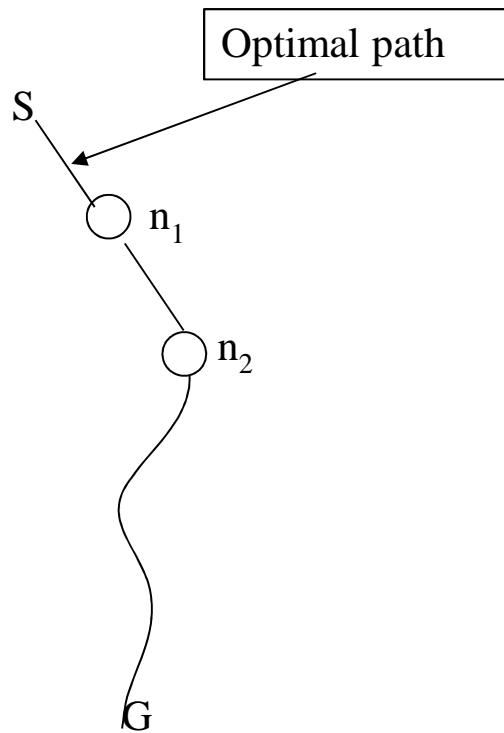
(2) If A\* does not terminate, the  $f$  value of the nodes expanded become unbounded.

1) and 2) are together inconsistent

Hence A\* must terminate

## Lemma

Any time before  $A^*$  terminates there exists in the open list a node  $n'$  such that  $f(n') \leq f^*(S)$



For any node  $n_i$  on optimal path,

$$f(n_i) = g(n_i) + h(n_i) \\ \leq g^*(n_i) + h^*(n_i)$$

$$\text{Also } f^*(n_i) = f^*(S)$$

Let  $n'$  be the first node in the optimal path that is in OL. Since all parents of  $n'$  in the optimal have gone to CL,

$$g(n') = g^*(n') \text{ and } h(n') \leq h^*(n') \\ \Rightarrow f(n') \leq f^*(S)$$

## **If A\* does not terminate**

Let  $e$  be the least cost of all arcs in the search graph.

Then  $g(n) \geq e \cdot l(n)$  where  $l(n) = \#$  of arcs in the path from  $S$  to  $n$  found so far. If A\* does not terminate,  $g(n)$  and hence  $f(n) = g(n) + h(n)$  [ $h(n) \geq 0$ ] will become unbounded.

This is not consistent with the lemma. So A\* has to terminate.

## 2<sup>nd</sup> part of admissibility of A\*

The path formed by A\* is optimal when it has terminated

### Proof

Suppose the path formed is not optimal

Let  $G$  be expanded in a non-optimal path.

At the point of expansion of  $G$ ,

$$\begin{aligned} f(G) &= g(G) + h(G) \\ &= g(G) + 0 \\ &> g^*(G) = g^*(S) + h^*(S) \\ &= f^*(S) [f^*(S) = \text{cost of optimal path}] \end{aligned}$$

This is a contradiction

So path should be optimal

# Summary on Admissibility

- 1. A\* algorithm halts
- 2. A\* algorithm finds optimal path
- 3. If  $f(n) < f^*(S)$  then node  $n$  has to be expanded before termination
- 4. If A\* does not expand a node  $n$  before termination then  $f(n) \geq f^*(S)$



# Exercise-1

Prove that if the distance of every node from the goal node is “known”, then no “search:” is necessary

Ans:

- For every node  $n$ ,  $h(n)=h^*(n)$ . The algo is A\*.
- Lemma proved: any time before A\* terminates, there is a node  $m$  in the OL that has  $f(m) \leq f^*(S)$ ,  $S$ = start node ( $m$  is the node on the optimal path all whose ancestors in the optimal path are in the closed list).
- For  $m$ ,  $g(m)=g^*(m)$  and hence  $f(m)=f^*(S)$ .
- Thus at every step, the node with  $f=f^*$  will be picked up, and the journey to the goal will be completely directed and definite, with no “search” at all.
- Note: when  $h=h^*$ ,  $f$  value of any node on the OL can never be less than  $f^*(S)$ .

# Exercise-2

If the  $h$  value for every node over-estimates the  $h^*$  value of the corresponding node by a constant, then the path found need not be costlier than the optimal path by that constant. Prove this.

Ans:

- Under the condition of the problem,  $h(n) \leq h^*(n) + c$ .
- Now, any time before the algo terminates, there exists on the OL a node  $m$  such that  $f(m) \leq f^*(S) + c$ .
- The reason is as follows: let  $m$  be the node on the optimal path all whose ancestors are in the CL (there *has to be* such a node).
- Now,  $f(m) = g(m) + h(m) = g^*(m) + h(m) \leq g^*(m) + h^*(m) + c = f^*(S) + c$
- When the goal  $G$  is picked up for expansion, it must be the case that
- $f(G) \leq f^*(S) + c = f^*(G) + c$
- *i.e.*,  $g(G) \leq g^*(G) + c$ , since  $h(G) = h^*(G) = 0$ .

Better Heuristic Performs  
Better

## Theorem

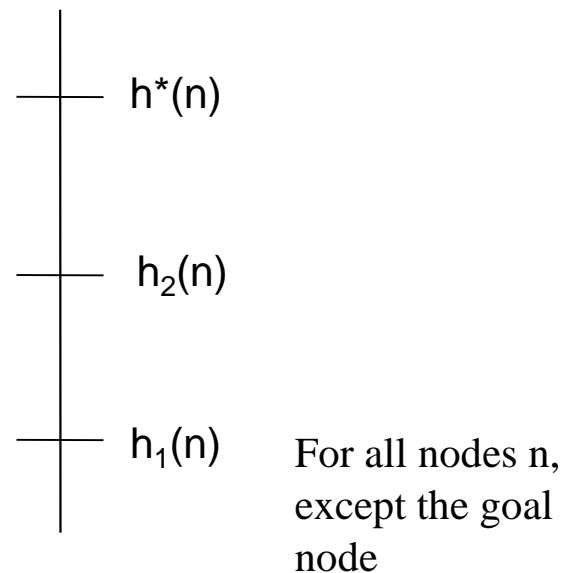
A version  $A_2^*$  of  $A^*$  that has a “better” heuristic than another version  $A_1^*$  of  $A^*$  performs at least “as well as”  $A_1^*$

### Meaning of “better”

$h_2(n) > h_1(n)$  for all  $n$

### Meaning of “as well as”

$A_1^*$  expands at least all the nodes of  $A_2^*$



Proof by induction on the search tree of  $A_2^*$ .

$A^*$  on termination carves out a tree out of  $G$

### Induction

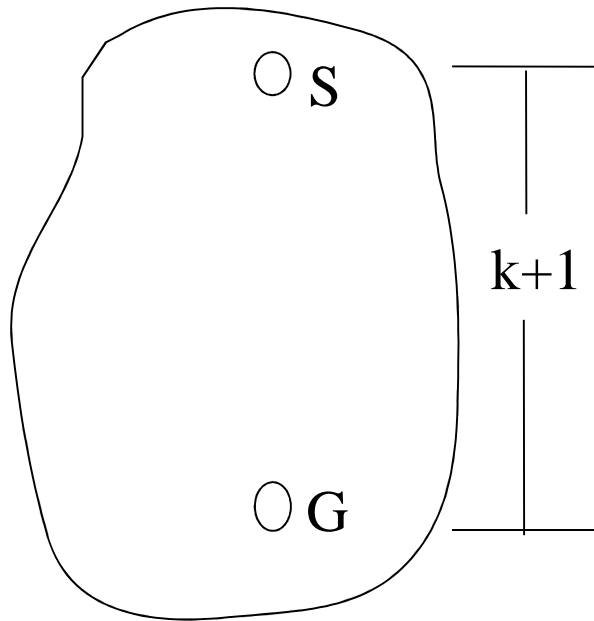
on the depth  $k$  of the search tree of  $A_2^*$ .  $A_1^*$  before termination expands all the nodes of depth  $k$  in the search tree of  $A_2^*$ .

$k=0$ . True since start node  $S$  is expanded by both

Suppose  $A_1^*$  terminates without expanding a node  $n$  at depth  $(k+1)$  of  $A_2^*$  search tree.

Since  $A_1^*$  has seen all the parents of  $n$  seen by  $A_2^*$

$$g_1(n) \leq g_2(n) \quad (1)$$



Since  $A_1^*$  has terminated without expanding  $n$ ,  
 $f_1(n) \geq f^*(S)$  (2)

Any node whose  $f$  value is strictly less than  $f^*(S)$  has to be expanded.

Since  $A_2^*$  has expanded  $n$   
 $f_2(n) < f^*(S)$  (3)

From (1), (2), and (3)

$h_1(n) \geq h_2(n)$  which is a contradiction. Therefore,  $A_1^*$  has to expand all nodes that  $A_2^*$  has expanded.

### Exercise

If better means  $h_2(n) > h_1(n)$  for some  $n$  and  $h_2(n) = h_1(n)$  for others, then Can you prove the result ?

# Lab assignment

- Implement A\* algorithm for the following problems:
  - 8 puzzle
  - Missionaries and Cannibals
  - Robotic Blocks world
- Specifications:
  - Try different heuristics and compare with baseline case, *i.e.*, the breadth first search.
  - Violate the condition  $h \leq h^*$ . See if the optimal path is still found. Observe the speedup.

# Resources

- Main Text:
  - Artificial Intelligence: A Modern Approach by Russell & Norvik, Pearson, 2003.
- Other Main References:
  - Principles of AI - Nilsson
  - AI - Rich & Knight
  - Knowledge Based Systems – Mark Stefik
- Journals
  - AI, AI Magazine, IEEE Expert,
  - Area Specific Journals e.g, Computational Linguistics
- Conferences
  - IJCAI, AAAI
- Imp “site”: *moodle.iitb.ac.in*