

Let us place the languages of kernels in a hierarchy:

Relational

kernels

(klog) : Language

First

order logic

Generalised to Rational kernels

Graph kernels

Generalisation is Computation

Kernels induced by grammars

Relational subsequence kernels

String kernels

Tree kernels

Kernels obtained  
by virtue of  
grammars (content  
free)

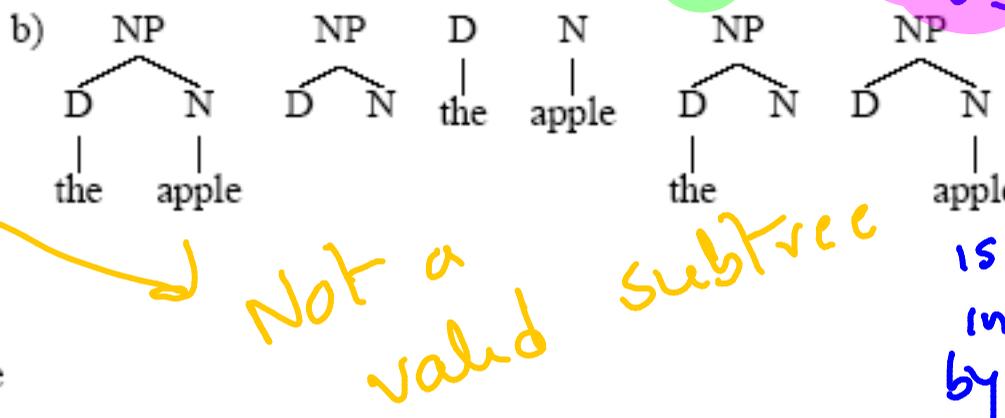
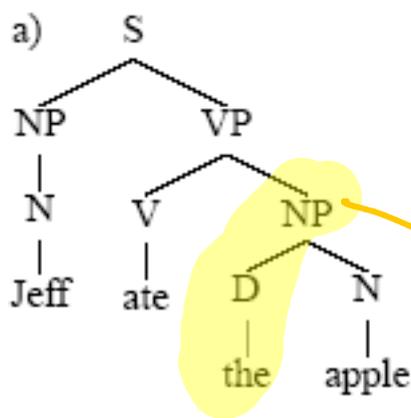
Generalization to

convolution kernels

$S \rightarrow NP VP$   
 $D \rightarrow the$

$NP \rightarrow N$   
 $N \rightarrow apple$

$NP \rightarrow DN$   
 $N \rightarrow Jeff$



Not a valid subtree

Each of these is a subtree indexed by some  $i_1, i_2, \dots, i_n$

Figure 2: a) An example tree. b) The sub-trees of the NP covering *the apple*. The tree in (a) contains all of these sub-trees, and many others. We define a sub-tree to be any sub-graph which includes more than one node, with the restriction that entire (not partial) rule productions must be included. For example, the fragment [NP [D the ]] is excluded because it contains only part of the production  $NP \rightarrow DN$ .

$V \rightarrow ate$

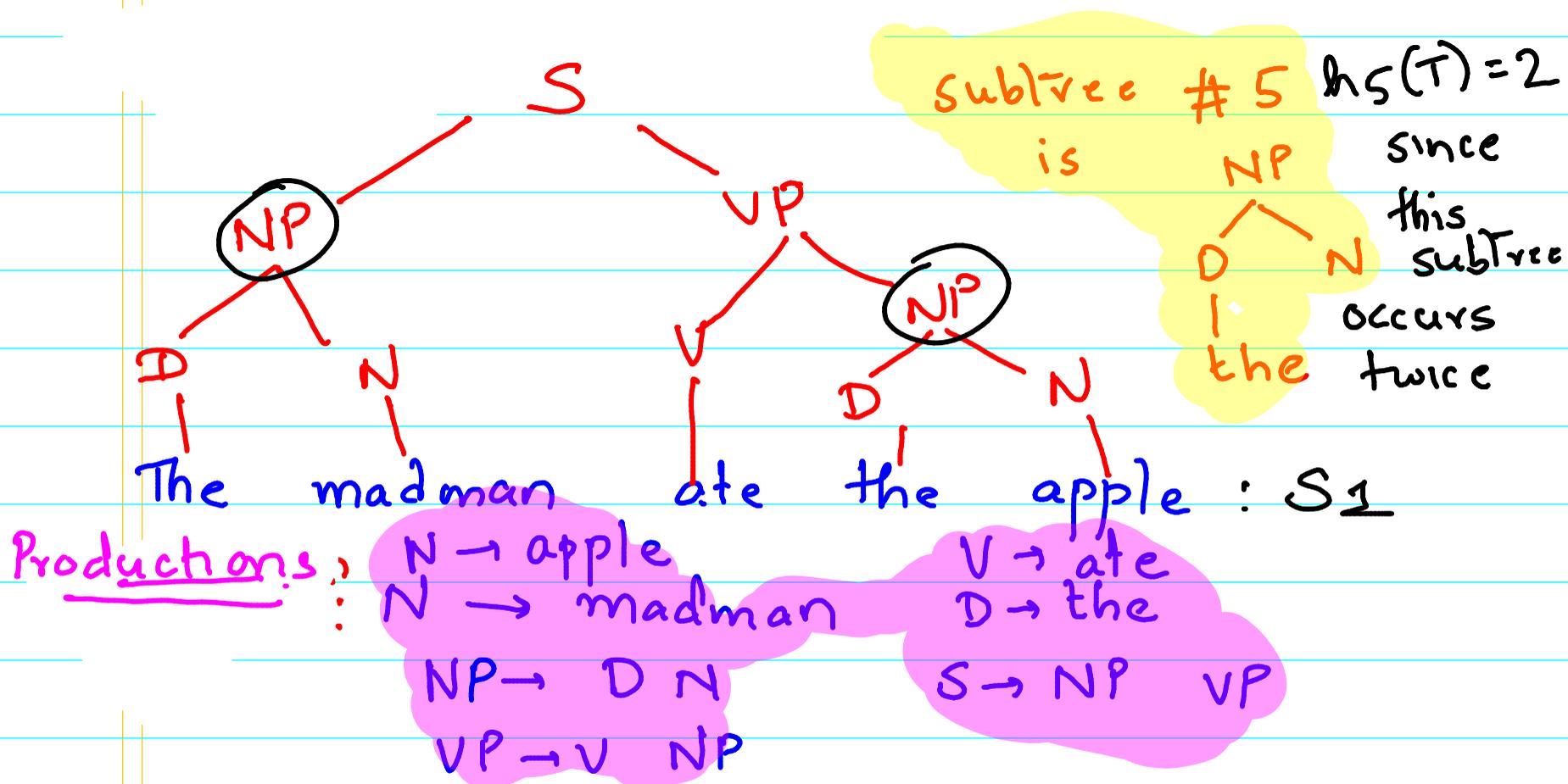
Terminals in pink  
Nonterminals in pink.

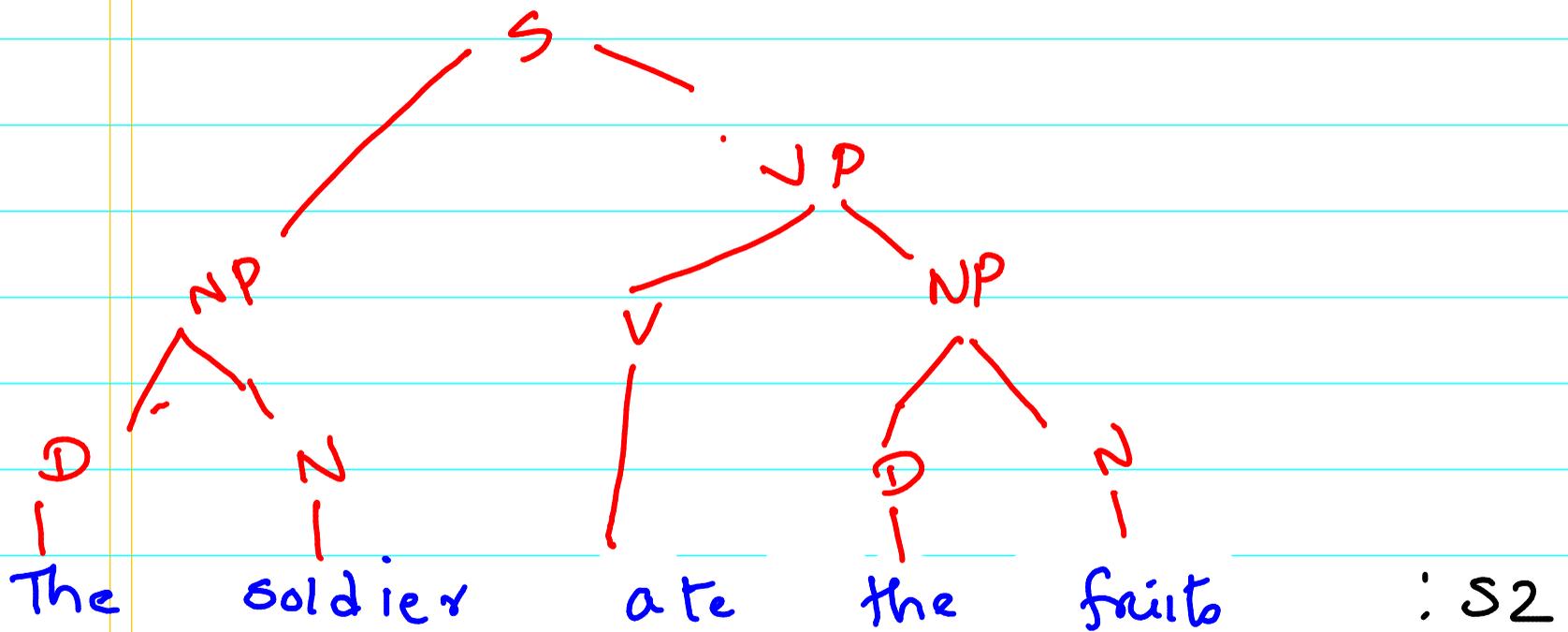
# The parse tree (CFG) kernel

computes # of common subtrees between 2 trees

Conceptually we begin by enumerating all tree fragments that occur in the training data  $1, \dots, n$ . Note that this is done only implicitly. Each tree is represented by an  $n$  dimensional vector where the  $i$ 'th component counts the number of occurrences of the  $i$ 'th tree fragment. Let us define the function  $h_i(T)$  to be the number of occurrences of the  $i$ 'th tree fragment in tree  $T$ , so that  $T$  is now represented as  $\mathbf{h}(T) = (h_1(T), h_2(T), \dots, h_n(T))$ .

$$\text{We want } k(T_1, T_2) = \sum_i h_i(T_1) h_i(T_2)$$



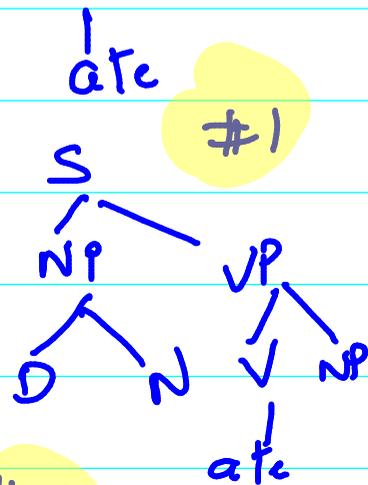
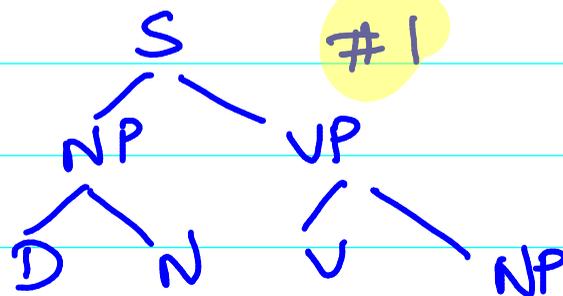
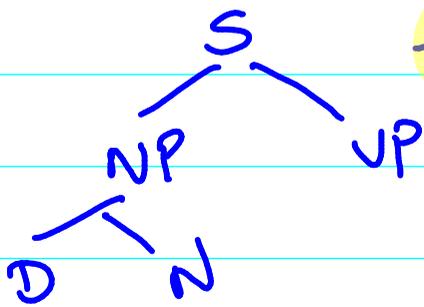
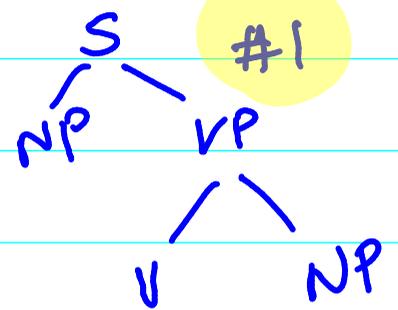
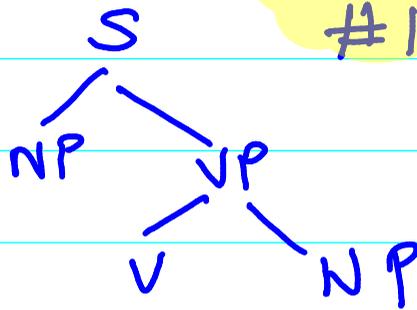
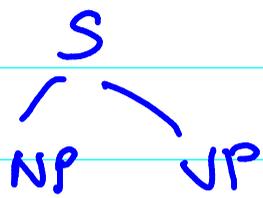


(we will assume that all productions of the form  
 $N \rightarrow$  "a noun"  
 $V \rightarrow$  "a verb" etc are implicitly present in some dictionaries.)

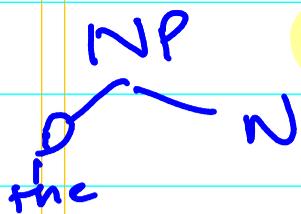
$K(S_1, S_2) = \sum_{\substack{T_1, T_2 \\ \text{valid}}} K(T_1, T_2) = \sum_{\text{subtrees } i} h_i(T_1) h_i(T_2)$

for the time being assume that  $S_1$  &  $S_2$  each have a single parse tree = 24 (?) verify

In practice you normalize  $K(T_1, T_2) / (K(T_1, T_1) * K(T_2, T_2))^{1/2}$



and so on



This decomposition is

characteristic of convolution

To compute  $K(\tau_1, \tau_2)$  efficiently

(i) We realize that  $h_i(\tau)$  is itself a composite function of a more basic indicator function

$$I_i(n, \tau) = 1 \text{ iff subtree } i \text{ is rooted at node } n \text{ in } \tau$$

$$= 0 \text{ o/w}$$

$$h_i(T_1) = \sum_{n_1 \in T_1} I_i(n_1, T_1)$$

$$h_i(T_2) = \sum_{n_2 \in T_2} I_i(n_2, T_2)$$

$$\textcircled{2} \quad K(T_1, T_2) = \sum_i h_i(T_1) h_i(T_2)$$

$$= \sum_i \sum_{n_1 \in T_1} \sum_{n_2 \in T_2} I_i(n_1, T_1) I_i(n_2, T_2)$$

$$= \sum_{n_1 \in T_1} \sum_{n_2 \in T_2} \sum_i I_i(n_1, T_1) I_i(n_2, T_2)$$

bringing summation over  
subtrees inside since I would  
try & get rid of it.

call  $\sum_i I_i(n_1, T_1) I_i(n_2, T_2)$   
as  $H(n_1, n_2)$   
& recurse on it

$H(n_1, n_2) = 0$  if the productions at  
 $n_1$  &  $n_2$  are different

(eg:  $n_1: NP \rightarrow D N$

$n_2: VP \rightarrow V NP$

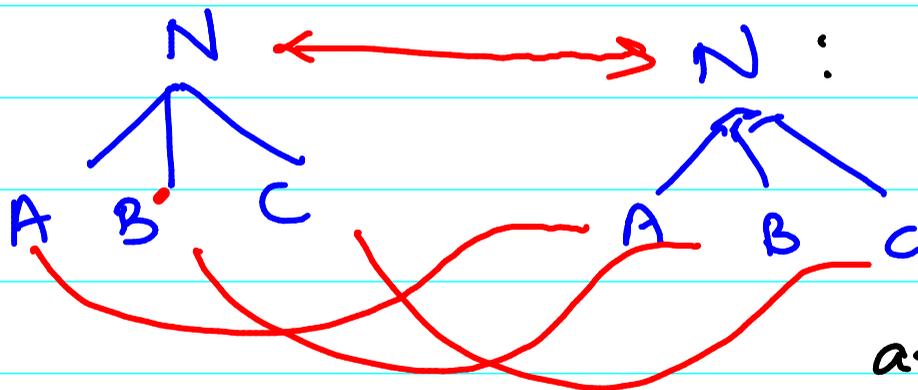
or even if  $n_2: S \rightarrow NP VP$ )

$H(n_1, n_2) = 1$  if  $n_1: N \rightarrow t$   
 $n_2: N \rightarrow t$

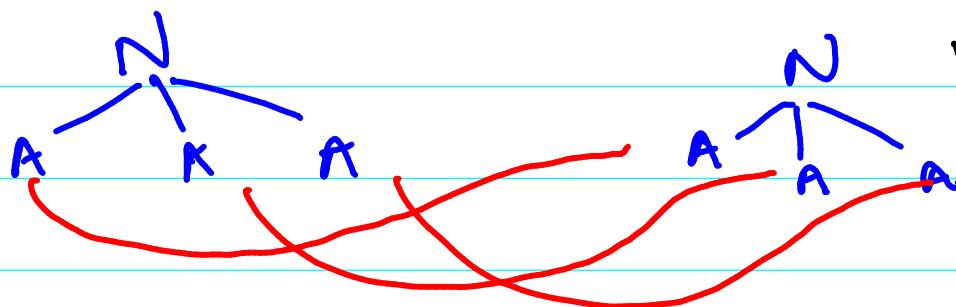
(where  $N = \text{nonterminal}$  &  
 $t = \text{terminal}$ )

$$H(n_1, n_2) = \prod_{j=1}^{\# \text{children}(n)} (1 + H(\text{child}(n_1, j), \text{child}(n_2, j)))$$

order matters



The product comes because I can consider expansions at one or more of A, B, C etc at that level



Since  $I_i(n_1, T)$  &  $I_i(n_2, T)$

was considering if  $i^{\text{th}}$  subtree was rooted at both  $n_1$  &  $n_2$ , the order of matches matters since the production from N MUST be honored

Order imp because in  $N \rightarrow A B C$   $A B C$  is a sequence

**Pls read**

## Convolution Kernels for Natural Language