

Design and Analysis of Algorithms

CS218M

NP Complete Problems

Paritosh Pandya

Indian Institute of Technology, Bombay

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NP-Complete Problems

A problem $L \subseteq \Sigma^*$ is called NP-complete (denoted NPC) if

- $L \in \text{NP}$.
- For every $L' \in \text{NP}$, we have $L' \leq_P L$.

(This shows that L is at least as hard as L' .)

If only second condition is satisfied we say that L is NP-hard.

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If $L' \leq_P L$ and L' is NPC then L is NP-hard. Additionally if $L \in \text{NP}$ then L is NPC.

$3CNF_SAT$ is NP -Complete

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- Example $(\neg x_v \vee x_u \vee x_z) \wedge (\neg x_v \vee x_w \vee \neg x_z) \wedge (x_v \vee \neg x_u \vee \neg x_w)$

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- A boolean formula ϕ in the form $C_1 \wedge C_2 \wedge \dots \wedge C_m$ where each clause C_i has the form $(l_1^i \vee l_2^i \vee l_3^i)$ where literal l is x or $\neg x$ for a propositional letter x is called **3CNF** formula.

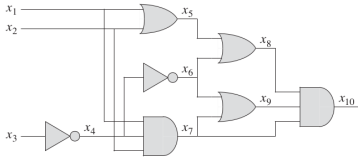
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- **3CNF_SAT** is the collection of satisfiable 3CNF formulas. It is easy to see that **3CNF_SAT** \in NP (why?)
- We show that **CIRCUIT_SAT** \leq_P **3CNF_SAT**.

Reduction: We transform a circuit C to formula $\phi(C)$



$$\begin{aligned}\phi = & x_{10} \wedge (x_4 \leftrightarrow \neg x_3) \\ & \wedge (x_5 \leftrightarrow (x_1 \vee x_2)) \\ & \wedge (x_6 \leftrightarrow \neg x_4) \\ & \wedge (x_7 \leftrightarrow (x_1 \wedge x_2 \wedge x_4)) \\ & \wedge (x_8 \leftrightarrow (x_5 \vee x_6)) \\ & \wedge (x_9 \leftrightarrow (x_6 \vee x_7)) \\ & \wedge (x_{10} \leftrightarrow (x_7 \wedge x_8 \wedge x_9)) .\end{aligned}$$

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Theorem

C is satisfiable iff $\phi(C)$ is satisfiable. Also $|\phi(C)|$ is linear in $|C|$.
Hence, $\text{CIRCUIT_SAT} \leq_P \text{3CNF_SAT}$.

CLIQUE

Given a graph G a subset $V_0 \subseteq V$ is a **clique** if for every distinct $u, v \in V_0$ we have $(u, v) \in E$.

$$CLIQUE = \{\langle G, k \rangle \mid G \text{ has a clique of size } k\}$$

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- $3\text{CNF_SAT} \leq_P CLIQUE$.

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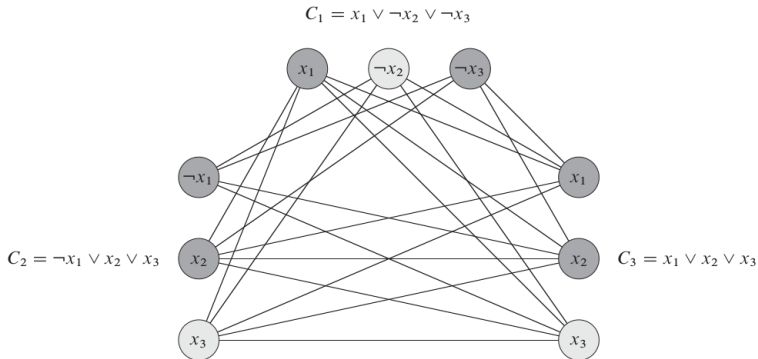


Figure 34.14 The graph G derived from the 3-CNF formula $\phi = C_1 \wedge C_2 \wedge C_3$, where $C_1 = (x_1 \vee \neg x_2 \vee \neg x_3)$, $C_2 = (\neg x_1 \vee x_2 \vee x_3)$, and $C_3 = (x_1 \vee x_2 \vee x_3)$, in reducing 3-CNF-SAT to CLIQUE. A satisfying assignment of the formula has $x_2 = 0$, $x_3 = 1$, and x_1 either 0 or 1. This assignment satisfies C_1 with $\neg x_2$, and it satisfies C_2 and C_3 with x_3 , corresponding to the clique with lightly shaded vertices.

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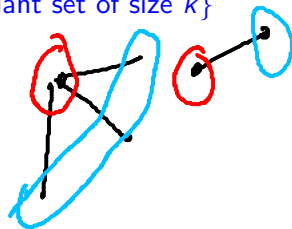
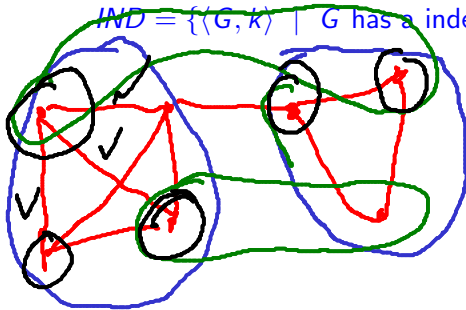
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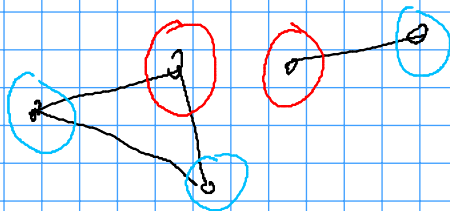
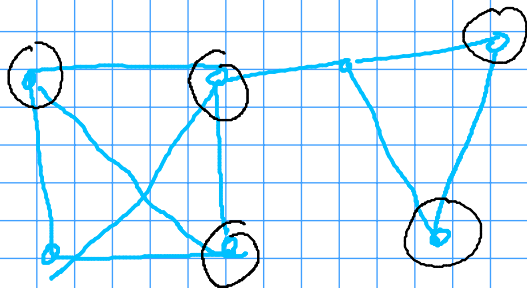
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We explore reductions between these decision problems.

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Let $G = (V, E)$ be a given graph and let the complement graph $G' = (V, \bar{E})$ where $\bar{E} = V^2 - E$. Then, For any $V_0 \subseteq V$, we have V_0 is a clique in G iff V_0 is an independant set in G' .

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- SELF STUDY: There is a nice generalization of independent set in graph to a problem called set packing. (See KT 8.1)

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$f : V \rightarrow \{1, 2, \dots, k\}$ if $(u, v) \in E \Rightarrow f(u) \neq f(v)$.

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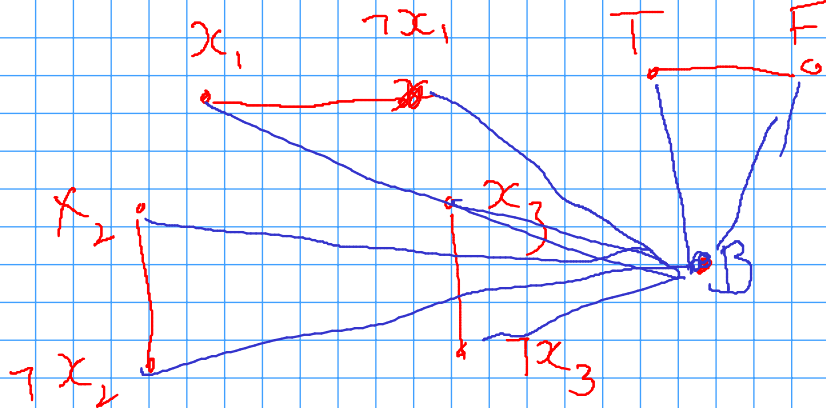
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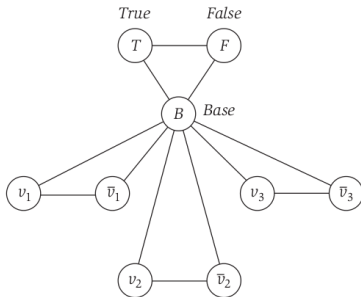
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- Whether a graph is 3-colorable is in $3COLOR \in NP$.
- $3CNF_SAT \leq_P 3COLOR$

Proof Idea

- For each variable x_i we have nodes v_i and \bar{v}_i .
- Encoding valuation by 3-coloring.



(x_1, v, x_2, v, x_3)

Proof Idea (2)

Enforcing clause $(x_1 \vee \neg x_2 \vee x_3)$.

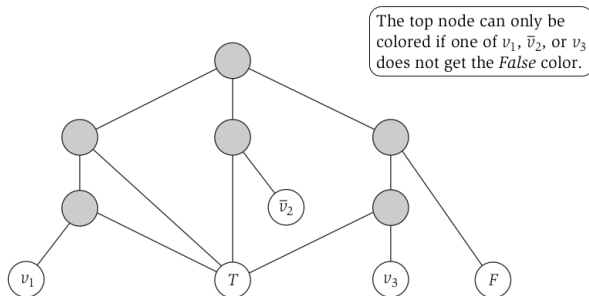
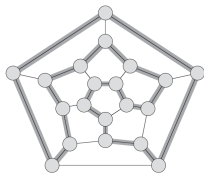


Figure 8.12 Attaching a subgraph to represent the clause $x_1 \vee \bar{x}_2 \vee x_3$.

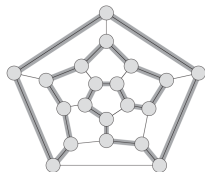
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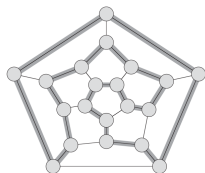


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$HAM_CYCLE \in NPC$

Proof that $VERTEX_COVER \leq_P HAM_CYCLE$ is in book (CLRS 34.5.3). Students may read it out of interest.

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Problem *TSP* Given a complete directed graph $G = (V, V \times V)$ with non-negative integer weights $c : V \times V \rightarrow Z_0$ does there exist a Hamiltonian cycle (called tour) whose weight is at most k ?

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Show that $HAM_CYCLE \leq_P TSP$.

Give an instance $G = (V, E)$ of Hamiltonian cycle problem
construct an instance of TSP as $G' = (V, V \times V)$ with $c(u, v) = 0$
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- Instance $(G', c, 0)$ can be constructed in poly-time.

Show that $HAM_CYCLE \leq_P TSP$.

Give an instance $G = (V, E)$ of Hamiltonian cycle problem construct an instance of TSP as $G' = (V, V \times V)$ with $c(u, v) = 0$ if $(u, v) \in E$ and $c(u, v) = 1$ otherwise. The aim is to find a tour of weight 0.

- Instance $(G', c, 0)$ can be constructed in poly-time.
- G has a Hamiltonian cycle iff $(G', c, 0)$ has a tour of weight 0.

Subset Sum Problem

Problem Given a finite set of positive integers S and an integer $t > 0$ is there a subset $S' \subseteq S$ s.t. $(\sum_{i \in S'} i) = t$.

$$S = \{1, 7, \overline{3}, 9, \overline{8}\}$$

$$t = 11$$

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Proof in CLRS 34.5.5 (Only for interested).

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- PSPACE-complete problems. Definition?

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- Consider the QBF formula $\exists x_1 \forall x_2 \exists x_3. \phi(x_1, x_2, x_3)$.