### CS 747, Autumn 2023: Lecture 20

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Autumn 2023

# Reinforcement Learning

- 1. Policy search
- 2. Case study 1: Humanoid robot soccer
- 3. Case study 2: Railway scheduling

# Reinforcement Learning

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An abstract model:

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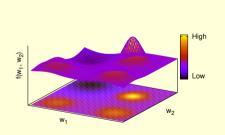
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- Is finding the optimal w easy? Why is this approach called black box optimisation?

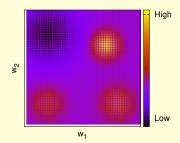
# Typical Context for Black Box Optimisation

- Little/nothing known/assumed about f—can be discontinuous, non-linear, "erratic".
- Given w, evaluating f(w) is relatively efficient.
- Calculating f(w) usually involves a computer simulation.

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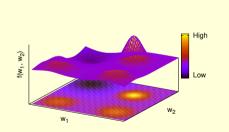
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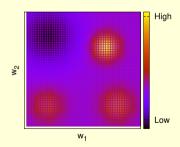




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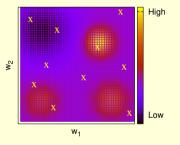
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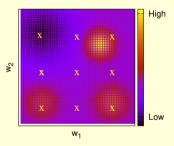


• How to find a "relatively good" w?

#### Random weight guessing

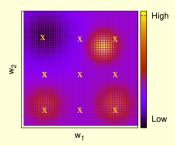


#### Grid search



Random weight guessing

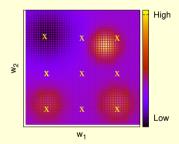
Grid search



• These approaches work for small dimensions d (say  $d \le 5$ ).

Random weight guessing

#### Grid search

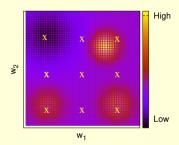


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- No method can be expected to work well for very large *d* (1000's or higher).

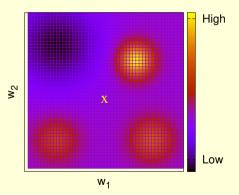
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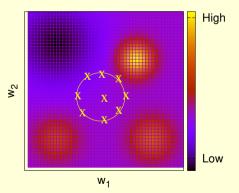
# High X X X X X X Low

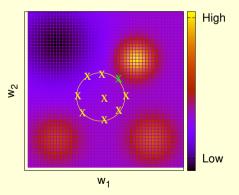
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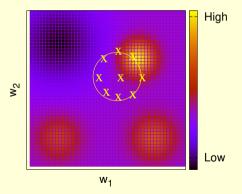


- These approaches work for small dimensions d (say  $d \le 5$ ).
- No method can be expected to work well for very large *d* (1000's or higher).
- Local search works for intermediate *d* (10's, 100's).









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- Called policy search when applied on the RL problem.

# Reinforcement Learning

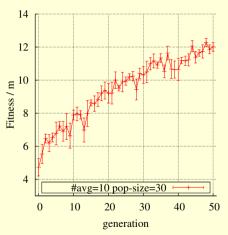
- 1. Policy search
- Case study 1: Humanoid robot soccer
   Joint work with Patrick MacAlpine, Yinon Bentor, Daniel Urieli, and Peter Stone (UT Austin Villa robot soccer team).
- 3. Case study 2: Railway scheduling

# Gait Optimisation: Policy Parameters

Notation	Description
maxStep <sub>i</sub> *	Maximum step sizes allowed for $x$ , $y$ , and $\theta$
y <sub>shift</sub>	Side to side shift amount with no side velocity
Z*torso	Height of the torso from the ground
Z*torso Z*step	Maximum height of the foot from the ground
	Fraction of a phase that the swing
$f_{\mathcal{G}}^*$	foot spends on the ground before lifting
fa	Fraction that the swing foot spends in the air
f*	Fraction before the swing foot starts moving
f <sub>m</sub>	Fraction that the swing foot spends moving
$\phi^*_{length}$	Duration of a single step
$\delta^*$	Factors of how fast the step sizes change
Уsер	Separation between the feet
X* offset	Constant offset between the torso and feet
	Factor of the step size applied to
X* factor	the forwards position of the torso
err*	Maximum COM error before the steps are slowed
err*	Maximum COM error before all velocity reach 0

Design and Optimization of an Omnidirectional Humanoid Walk: A WinningApproach at the RoboCup 2011 3D Simulation Competition. Patrick MacAlpine, Samuel Barrett, Daniel Urieli, Victor Vu, and Peter Stone. In Proceedings of the Twenty-Sixth AAAI Conference on Artificial Intelligence (AAAI 2012), AAAI Press, 2012.

# Progress of Forward Speed Optimisation



On Optimizing Interdependent Skills: A Case Study in Simulated 3D Humanoid Robot Soccer. Daniel Urieli, Patrick MacAlpine, Shivaram Kalyanakrishnan, Yinon Bentor, and Peter Stone. In Proceedings of the Tenth International Conference on Autonomous Agents and Multiagent Systems (AAMAS 2011), pp. 769–776, IFAAMAS, 2011.

# RoboCup 2011 3D Simulation Competition

• UT Austin Villa combined score: 136–0 (over 24 games).

Rank	Team	Goal Difference
3	apollo3d	1.45 (0.11)
5-8	boldhearts	2.00 (0.11)
5-8	robocanes	2.40 (0.10)
2	cit3d	3.33 (0.12)
5-8	fcportugal3d	3.75 (0.11)
9-12	magmaoffenburg	4.77 (0.12)
9-12	oxblue	4.83 (0.10)
4	kylinsky	5.52 (0.14)
9-12	dreamwing3d	6.22 (0.13)
5-8	seuredsun	6.79 (0.13)
13-18	karachikoalas	6.79 (0.09)
9-12	beestanbul	7.12 (0.11)

UT Austin Villa 2011: A Champion Agent in the RoboCup 3D Soccer Simulation Competition. Patrick MacAlpine, Daniel Urieli, Samuel Barrett, Shivaram Kalyanakrishnan, Francisco Barrera, Adrian Lopez-Mobilia, Nicolae Ştiurcă, Victor Vu, and Peter Stone. In Proceedings of the Eleventh International Conference on Autonomous Agents and Multiagent Systems (AAMAS 2012), pp. 129–136. IFAAMAS. 2012.

# Reinforcement Learning

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- Case study 2: Railway scheduling Joint work with Rohit Prasad, Harshad Khadilkar.

# Railways and the Economy



[1]

- Indian Railways, 20000+ trains, 9.1 billion yearly ridership.
- Delay incurs economic costs

 $\hbox{\it [1]} \ {\tt https://pixabay.com/photos/transportation-system-travel-vehicle-3351330/.}$ 

# Railway Rescheduling Problem

Train	Station	Timetable Arrival Time	Timetable Departure Time	Minimum Halt Time	Minimum Run Time	Scheduled Arrival Time	Scheduled Departure Time	Delay
101	Alpha	4:30	4:40	10	30			
102	Alpha	4:20	4:40	20	10			
601	Echo	9:15	9:16	1	60			
401	Delta	3:20	3:35	15	20			

Given an initial timetable compute a feasible timetable subject to resource allocation and time constraints minimising

Priority-weighted departure delay

$$= \sum_{\text{Train t. Resource } r} \frac{\text{Delay of train t at resource r}}{\text{Priority of train t}}$$

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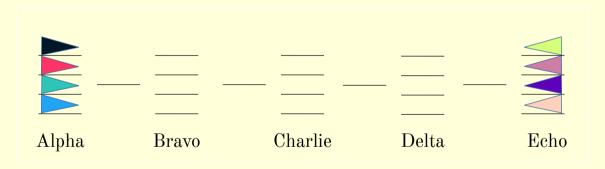
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#### Illustration

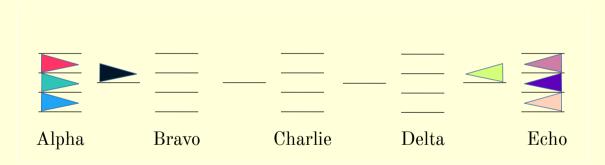
#### A hypothetical railway line with 8 trains and 5 stations



Moving trains

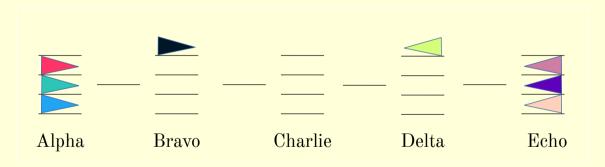
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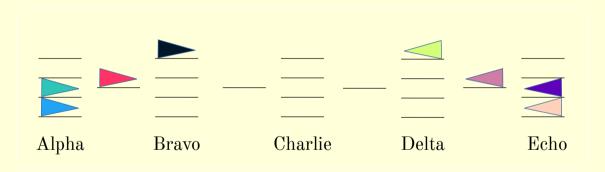


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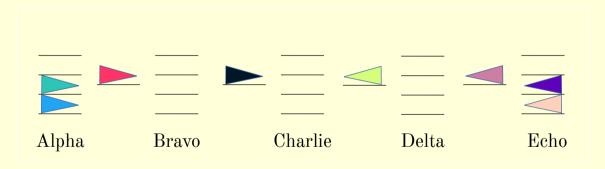
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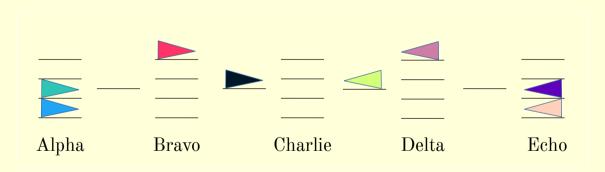
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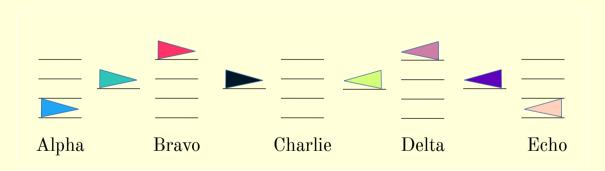
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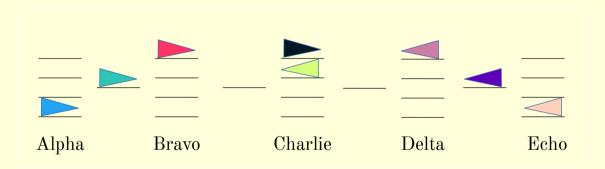
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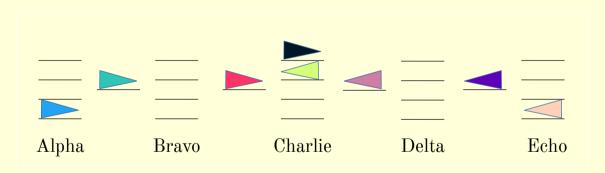
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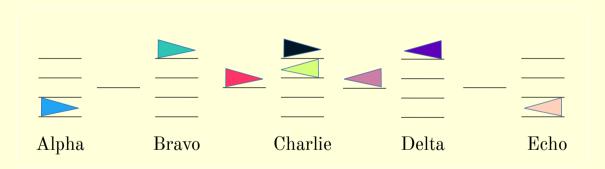
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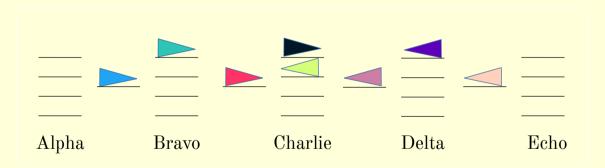
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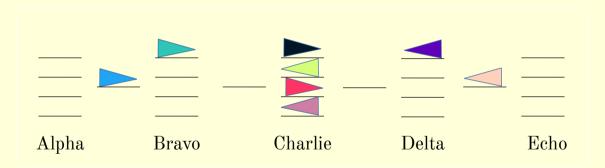
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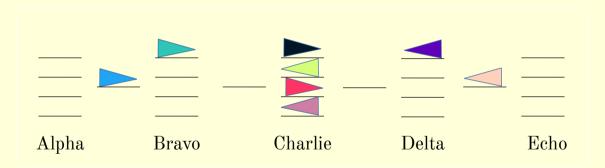
#### Intermediate state

A hypothetical railway line with 8 trains and 5 stations



Deadlock!

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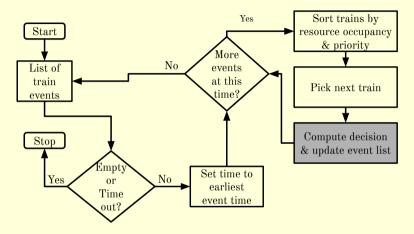
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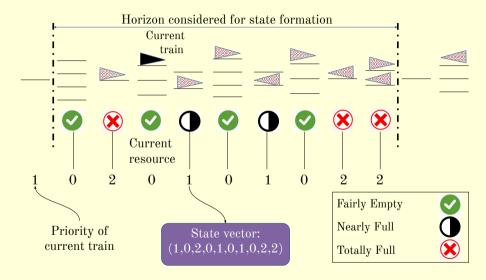
No Deadlock!

#### **Our Solution**

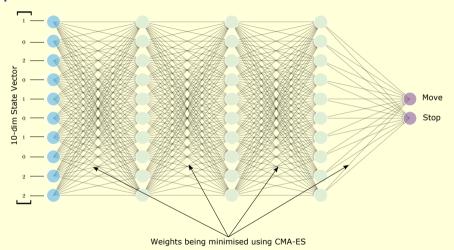


- The wrapper layer picks a potential train to move.
- We optimise the module that decides MOVE / STOP.

## State Space



# Policy Representation

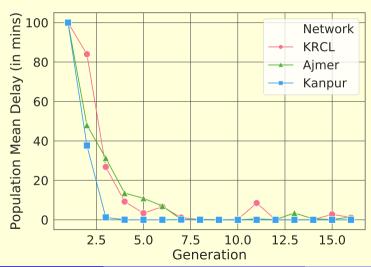


$$d = 352$$
.

# Benchmark Railway Lines

Scenario	Type	Stations	Trains	Events	Timetable span
HYP-2	Line	11	60	1320	4 hours
HYP-3	Line	11	120	2640	7 hours
KRCL	Line	59	85	5418	3 days
Kanpur	Line	27	190	7716	3 days
Ajmer	Line	52	444	26258	7 days

### Results



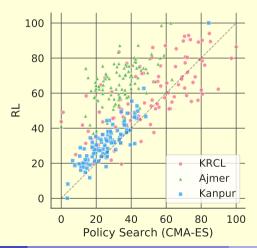
## Summary of Results

#### Priority-weighted departure delay.

	Policy search	RL	TAH-FP	TAH-CF	Naive	GWP	PTD
HYP-2	4.28 (0)	4.78 (0)	4.58 (0)	5.93 (0)	11.16 (2)	4.35 (0)	714.00 (0)
HYP-3	15.50 (0)	18.54 (0)	61.89 (97)	140.14 (95)	- (100)	16.35 (0)	2003.98 (0)
KRCL	42.34 (0)	43.04 (0)	46.41 (8)	47.02 (0)	- (100)	42.40 (0)	4714.08 (0)
Ajmer	3.92 (0)	4.65 (0)	10.76 (3)	5.99 (0)	9.25 (76)	3.99 (3)	8304.84 (0)
Kanpur	1.54 (0)	1.66 (0)	2.19 (0)	2.28 (0)	1.85 (0)	1.54 (0)	313.60 (0)

# Comparison with RL (Q-learning)

Priority-weighted departure delay.



## Summary

- Initial lectures assumed finite state spaces.
   Seldom seen in practice!
- Need to generalise over states (sometimes actions).
   Function approximation has many empirical successes, yet is often problematic, especially for control.
- Quality of features/representation determines the validity of Markovian assumption.
- Policy search ignores Markovian structure—which sometimes works to its advantage!
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- Next class: policy gradient methods.