

# CS310 : Automata Theory 2019

Lecture 39: Efficiency in computation  
Classifying problems by their complexity

Instructor: S. Akshay

IITB, India

11-04-2019

# Recap

## Turing machines and computability

### 1. Turing machines

- (i) Definition & variants
- (ii) Decidable and Turing recognizable languages
- (iii) Church-Turing Hypothesis

# Recap

## Turing machines and computability

### 1. Turing machines

- (i) Definition & variants
- (ii) Decidable and Turing recognizable languages
- (iii) Church-Turing Hypothesis

### 2. Undecidability

- (i) A proof technique by diagonalization
- (ii) Via reductions
- (iii) Rice's theorem

# Recap

## Turing machines and computability

### 1. Turing machines

- (i) Definition & variants
- (ii) Decidable and Turing recognizable languages
- (iii) Church-Turing Hypothesis

### 2. Undecidability

- (i) A proof technique by diagonalization
- (ii) Via reductions
- (iii) Rice's theorem

### 3. Applications: showing (un)decidability of other problems

- (i) A string matching problem: Post's Correspondance Problem
- (ii) A problem for compilers: Unambiguity of Context-free languages
- (iii) Between TM and PDA: Linear Bounded Automata

# Recap

## Turing machines and computability

1. Turing machines
  - (i) Definition & variants
  - (ii) Decidable and Turing recognizable languages
  - (iii) Church-Turing Hypothesis
2. Undecidability
  - (i) A proof technique by diagonalization
  - (ii) Via reductions
  - (iii) Rice's theorem
3. Applications: showing (un)decidability of other problems
  - (i) A string matching problem: Post's Correspondance Problem
  - (ii) A problem for compilers: Unambiguity of Context-free languages
  - (iii) Between TM and PDA: Linear Bounded Automata
4. Efficiency in computation: run-time complexity.
  - (i) Running time complexity
  - (ii) Polynomial and exponential time complexity
  - (iii) Nondeterministic polynomial time, and the  $P$  vs  $NP$  problem.

# The $P$ vs $NP$ problem

- ▶  $P$ : class of problems solvable in polynomial time
- ▶  $NP$ : class of problems verifiable in polynomial time

# The $P$ vs $NP$ problem

- ▶  $P$ : class of problems solvable in polynomial time
- ▶  $NP$ : class of problems verifiable in polynomial time  
= class of problems solvable in polynomial time in a non-deterministic TM.
- ▶  $EXP$ : class of problems solvable in exponential time.
- ▶  $Co - \mathcal{C}$ : class of problems whose complement is solvable in  $\mathcal{C}$ .

# The class NEXP

## Definition

NEXP is the class of languages that are decidable in exponential time on a non-deterministic single-tape Turing machine, i.e.,

$$NP = \bigcup_k NTIME(2^{n^k})$$



# The class NEXP

## Definition

NEXP is the class of languages that are decidable in exponential time on a non-deterministic single-tape Turing machine, i.e.,

$$NP = \bigcup_k NTIME(2^{n^k})$$

## Verifier

for language  $A$  is an algorithm  $V$  s.t.  $w \in A$  iff  $V$  accepts  $\langle w, c \rangle$  for some witness or proof string  $c$ .

# The class NEXP

## Definition

NEXP is the class of languages that are decidable in exponential time on a non-deterministic single-tape Turing machine, i.e.,

$$NP = \bigcup_k NTIME(2^{n^k})$$

## Verifier

for language  $A$  is an algorithm  $V$  s.t.  $w \in A$  iff  $V$  accepts  $\langle w, c \rangle$  for some witness or proof string  $c$ .

## Exercises

- ▶ Define an EXP-time verifier.
- ▶ Prove or disprove: a language is in NEXP iff it has a exp-time verifier

# NP completeness

## The problem of satisfiability SAT

Given a Boolean formula, i.e., an expression with Boolean variables and operations, does it have a satisfying assignment?

# NP completeness

## The problem of satisfiability SAT

Given a Boolean formula, i.e., an expression with Boolean variables and operations, does it have a satisfying assignment?

$$SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula.}\}$$

# NP completeness

## The problem of satisfiability SAT

Given a Boolean formula, i.e., an expression with Boolean variables and operations, does it have a satisfying assignment?

$$SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula.}\}$$

Example:  $\phi = (\bar{x} \wedge y \wedge z) \vee (x \wedge z)$

# NP completeness

## The problem of satisfiability SAT

Given a Boolean formula, i.e., an expression with Boolean variables and operations, does it have a satisfying assignment?

$$SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula.}\}$$

Example:  $\phi = (\bar{x} \wedge y \wedge z) \vee (x \wedge z)$

## Theorem

$SAT \in P$  iff  $P = NP$

# NP completeness

## The problem of satisfiability SAT

Given a Boolean formula, i.e., an expression with Boolean variables and operations, does it have a satisfying assignment?

$$SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula.} \}$$

Example:  $\phi = (\bar{x} \wedge y \wedge z) \vee (x \wedge z)$

## Theorem

$SAT \in P$  iff  $P = NP$

$$SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable 3-cnf-formula.} \}$$

where 3-cnf-formula is a formula in special form:

- ▶ conjunction of “clauses”
- ▶ each clause has literals, i.e., variables or their negations, separated by disjunction
- ▶ each clause has 3 literals

# Polynomial time reductions

## Ptime computable functions

$f : \Sigma^* \rightarrow \Sigma^*$  is Ptime computable if there is a polytime TM  $M$ , which started on any input  $w$  halts with just  $f(w)$  on its tape.



# Polynomial time reductions

## Ptime computable functions

$f : \Sigma^* \rightarrow \Sigma^*$  is Ptime computable if there is a polytime TM  $M$ , which started on any input  $w$  halts with just  $f(w)$  on its tape.

## Polynomial time reduction

Language  $A$  polynomial time reducible to  $B$ , denoted  $A \leq_P B$  if there is a Ptime computable function  $f$  s.t.

$$w \in A \Leftrightarrow f(w) \in B$$

Such a function is called a Ptime reduction of  $A$  to  $B$ .

# Polynomial time reductions

## Ptime computable functions

$f : \Sigma^* \rightarrow \Sigma^*$  is Ptime computable if there is a polytime TM  $M$ , which started on any input  $w$  halts with just  $f(w)$  on its tape.

## Polynomial time reduction

Language  $A$  polynomial time reducible to  $B$ , denoted  $A \leq_p B$  if there is a Ptime computable function  $f$  s.t.

$$w \in A \Leftrightarrow f(w) \in B$$

Such a function is called a Ptime reduction of  $A$  to  $B$ .

## Theorem

If  $A \leq_p B$  and  $B \in P$ , then  $A \in P$

# Polynomial time reductions

## Ptime computable functions

$f : \Sigma^* \rightarrow \Sigma^*$  is Ptime computable if there is a polytime TM  $M$ , which started on any input  $w$  halts with just  $f(w)$  on its tape.

## Polynomial time reduction

Language  $A$  polynomial time reducible to  $B$ , denoted  $A \leq_P B$  if there is a Ptime computable function  $f$  s.t.

$$w \in A \Leftrightarrow f(w) \in B$$

Such a function is called a Ptime reduction of  $A$  to  $B$ .

## Theorem

If  $A \leq_P B$  and  $B \in P$ , then  $A \in P$

(Note: if there is a “halting TM” reduction from  $A$  to  $B$ , then  $A$  undecidable implied  $B$  undecidable!)

## Exercise (H.W)

- ▶ Show that  $3SAT$  is polytime reducible to  $CLIQUE$ .
- ▶ Show that  $3SAT$  is polytime reducible to  $SUBSETSUM$ .