

30 years of

Zero Knowledge Proofs

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An example

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Millennium Problems

Yang–Mills and Mass Gap

Experiment and computer simulations suggest the existence of a "mass gap" in the solution to the quantum versions of the Yang-Mills equations. But no proof of this property is known.

Riemann Hypothesis

The prime number theorem determines the average distribution of the primes. The Riemann hypothesis tells us about the deviation from the average. Formulated in Riemann's 1859 paper, it asserts that all the 'non-obvious' zeros of the zeta function are complex numbers with real part $1/2$.

P vs NP Problem

If it is easy to check that a solution to a problem is correct, is it also easy to solve the problem? This is the essence of the P vs NP question. Typical of the NP problems is that of the Hamiltonian Path Problem: given N cities to visit, how can one do this without visiting a city twice? If you give me a solution, I can easily check that it is correct. But I cannot so easily find a solution.



An example

S

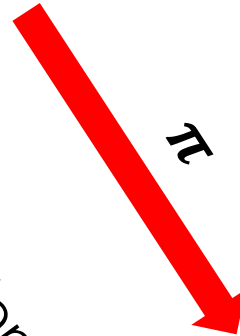
I (re)solved “P vs NP?”



How?



Here is the proof π



π



Million \$

Clay
Institute

Can I convince someone the
validity of something
without revealing the proof?

Can I reveal “zero-knowledge” about a proof?



Proof Systems



Proof systems

$L = \{(A, 1^k) : A \text{ is a true mathematical assertion of proof length } k\}$

What is a “proof”?

Insight: meaningless unless can be **efficiently** verified



Proof systems

Given language L , goal is to prove $x \in L$

Proof system for L is a verification algorithm V

- **Completeness:** $\forall x \in L, \exists \Pi, V$ accepts (x, Π)
“true assertions have proofs”
- **Soundness:** $\forall x \notin L, \forall \Pi^*, V$ rejects (x, Π^*)
“false assertions have no proofs”
- **Efficiency:** V runs in polynomial time in $|x|$



Classical Proofs (a.k.a NP)

Previous definition: “classical” proof system

$L \in NP$ iff expressible as

$$L = \{x | \exists y \text{ s.t. } |y| < |x|^k \text{ and } (x, y) \in R\}$$

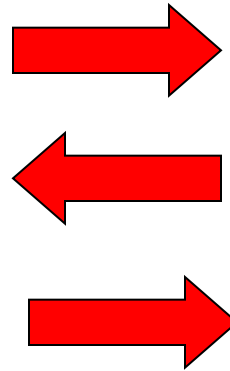
where R is polynomial time computable

NP is the set of languages with classical proof systems



Interactive Proofs [GMR85]

Prover
Alice



Verifier
Bob



Accept!

Reject!



Interactive Proofs [GMR85]

- Two new ingredients:
 - **Randomness**: verifier tosses coins, errs with some small probability
 - **Interaction**: rather than “reading” proof, verifier interacts with prover
- Classical proof systems lie in this framework: prover sends proof, verifier does not use randomness



Interactive Proofs [GMR85]

Interactive proof system for L is an interactive protocol (P, V)

– completeness: $x \in L$

$$\Pr[V \text{ accepts in } (P, V)(x)] = 1$$

– soundness: $x \notin L, \forall P^*$

$$\Pr[V \text{ accepts in } (P^*, V)(x)] \leq 1/2$$

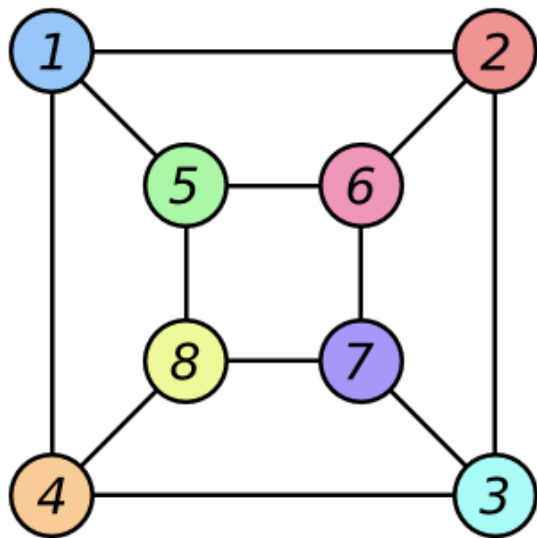
– efficiency: V is p.p.t. machine

Repetition: can reduce error to any ε

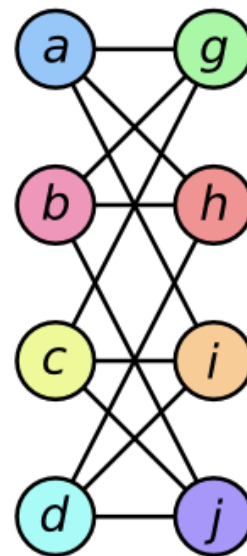
Interactive Arguments: Soundness only against PPT machines



Interactive Proof for Graph Isomorphism



\approx
Isomorphic



Graph $G_0 = (V_0, E_0)$
 $V_0 = \{1, 2, \dots, 8\}$
 $E_0 = \{(1, 2), (1, 4), \dots\}$

Graph $G_1 = (V_1, E_1)$
 $V_1 = \{a, b, \dots, j\}$
 $E_1 = \{(a, g), (a, h), \dots\}$

Isomorphic: Exists a mapping $\phi : V_0 \rightarrow V_1$ such that
 $(\alpha, \beta) \in E_0 \Leftrightarrow (\phi(\alpha), \phi(\beta)) \in E_1$



Interactive Proof for Graph Isomorphism

$$L = \{(G_0, G_1) \mid G_0 \approx G_1\}$$

Prover
Alice



$$G_0 \not\approx G_1$$

H



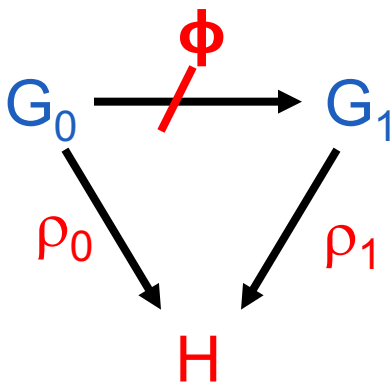
$b \in [0,1]$



ρ_b



Verifier
Bob



Accept if $\rho_b(G_b)=H$



Zero Knowledge Interactive Proofs



What is Knowledge?

Question as old as Humanity

Mostly studied in Philosophy: Epistemology
(also psychology, neuroscience, economics...)

Today, important in Computer Science



A Computational Approach to Knowledge [Goldwasser Micali 84]



2012 Turing Award Winners

“...for transformative work that laid the complexity-theoretic foundations for the science of cryptography, and in the process pioneered new methods for efficient verification of mathematical proofs in complexity theory”



A Computational Approach to Knowledge [Goldwasser Micali 84]

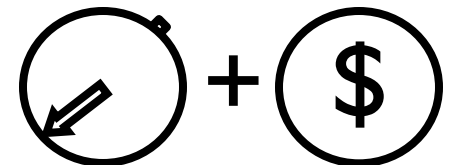
First in [GM84]: Probabilistic Encryption

Mature in [GMR85]: Zero-Knowledge + Proofs of knowledge

“I only know what I can **feasibly** compute”

Feasibly compute = PPT

*Probabilistic Polynomial Time
Turing Machines*

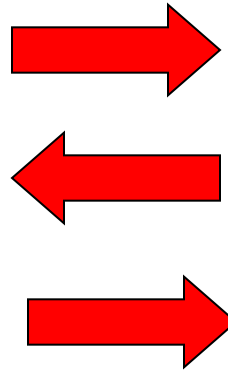


Zero-Knowledge Proofs [GMR]

Prover
Alice



Verifier
Bob



$X = P$ vs NP

Thank you **Alice**,
I believe **X** is true.
But I don't know why!

Completeness : P can convince V if X is true

Soundness: no (efficient) P^* can convince V if X is not true

Zero Knowledge: no efficient V^* learns anything more than validity of X



ZK Proof for Graph Isomorphism

Darn! I did not learn a thing

Prover
Alice



$$G_0 \approx G_1$$

H



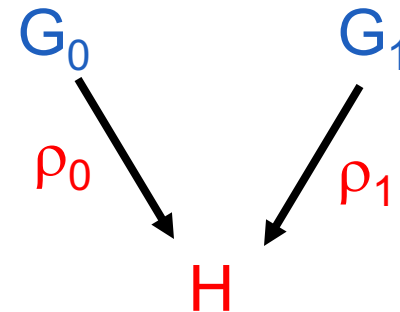
$b \in [0,1]$



ρ_b



Verifier
Bob



ZK Definition

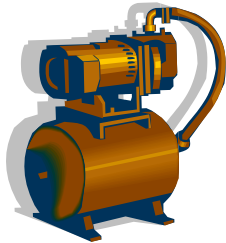
\forall PPT adversary verifier V^* , \exists PPT *simulator* S such that

S -views



V^* -views with Prover

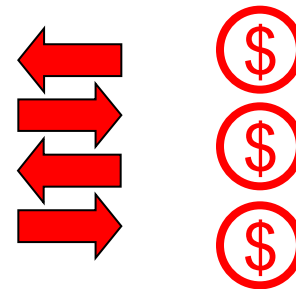
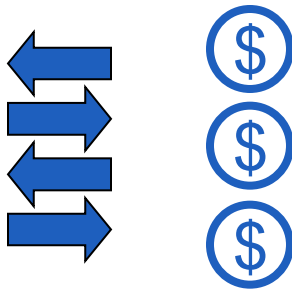
Simulator



Prover



Verifier*



ZK Definition

\forall PPT adversary verifier V^* , \exists PPT *simulator* S such that
 S -views $\approx V^*$ -views with Prover

ZK Rationale

V^* learns nothing that cannot be generated by V^* itself

V^* itself = All Prob. Poly Time



ZK Definition

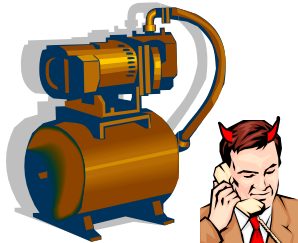
\forall PPT adversary verifier V^* , \exists PPT simulator S such that

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V^* -views with Prover

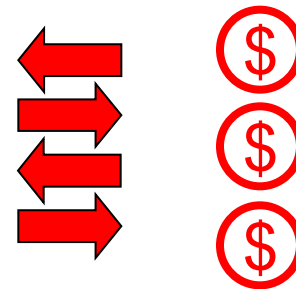
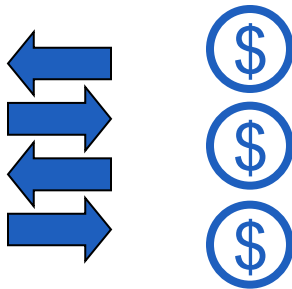
Simulator



Prover



Verifier*



ZK as an instance* of MPC

NP language L with relation R



X, W



X

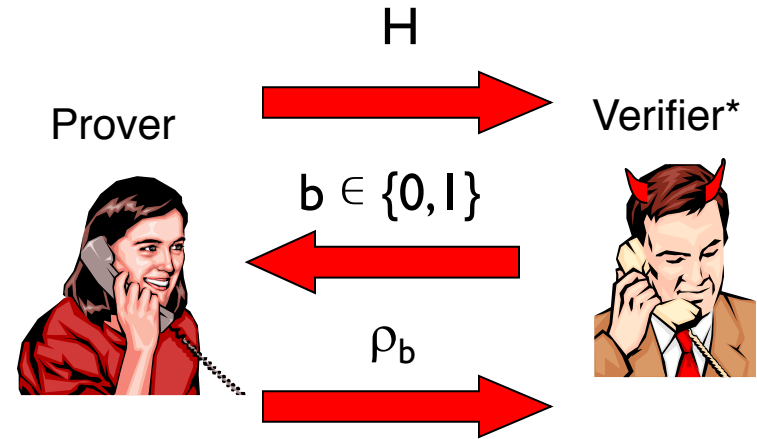
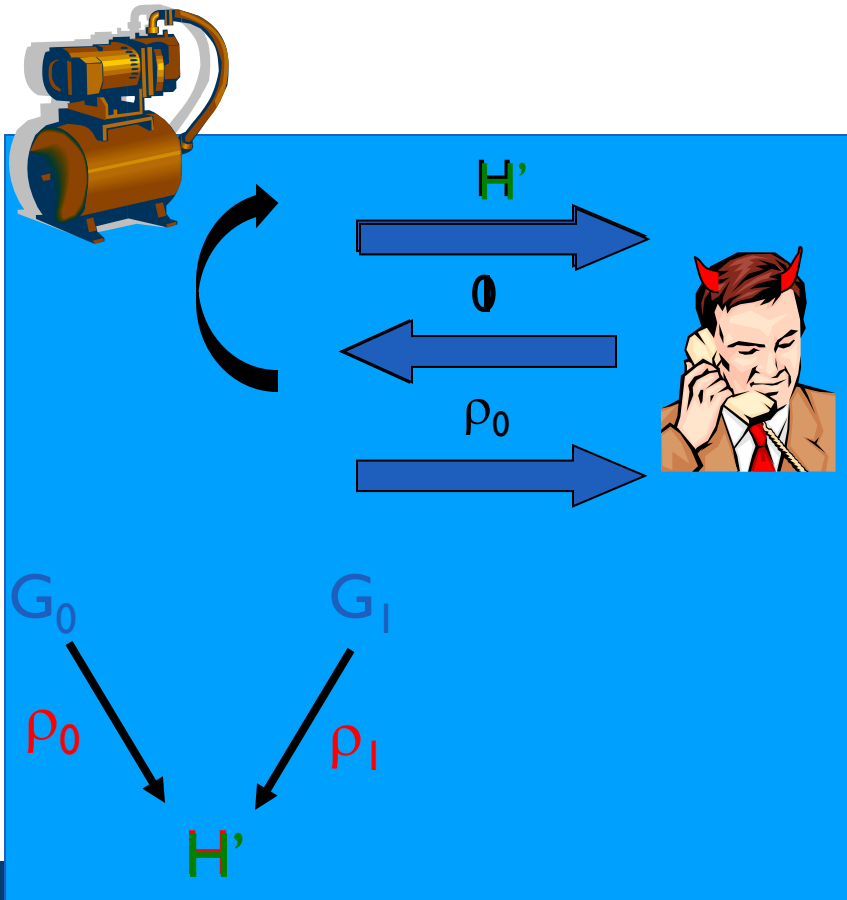
Securely Compute
 $f(x, w) = R(x, w)$



ZK Proof for Graph Isomorphism

$$G_0 \approx G_1$$

Simulator



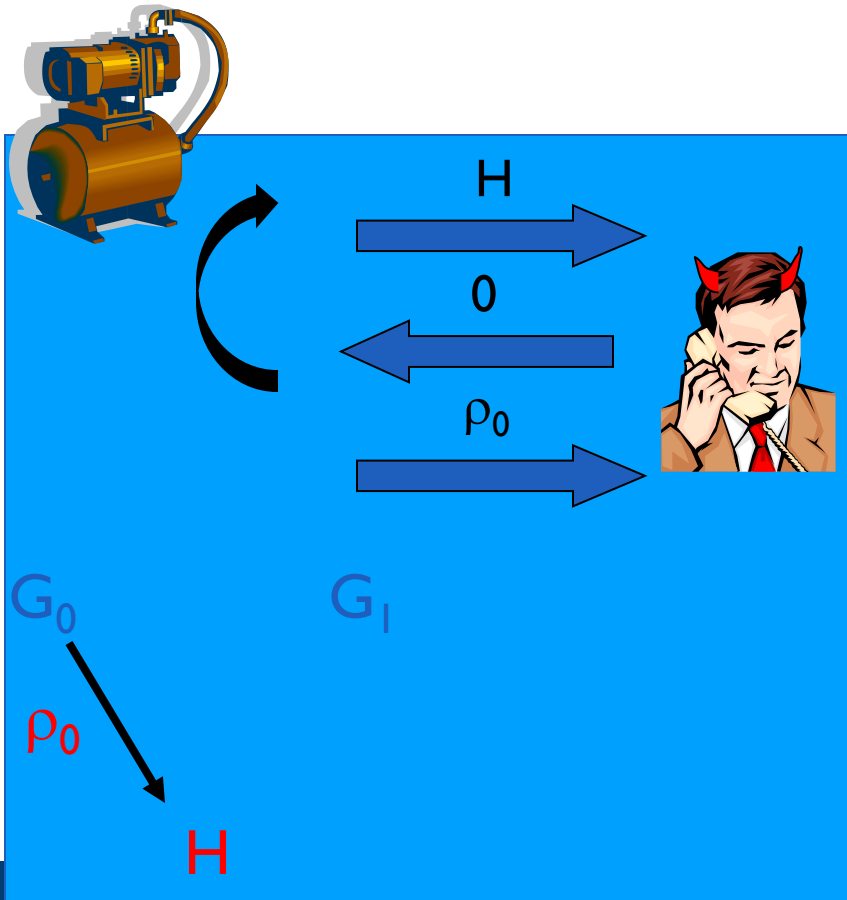
1. Choose G_0 or G_1 at random



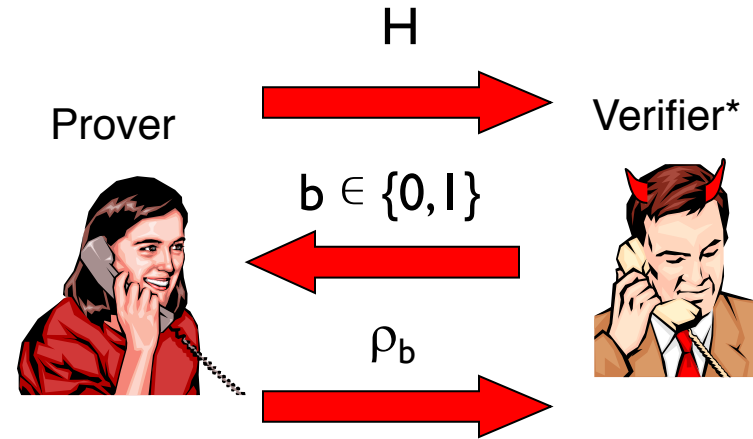
ZK Proof for Graph Isomorphism

$$G_0 \approx G_1$$

Simulator



\approx



1. Choose G_0 or G_1 at random
2. Simulator will succeed w.p $1/2$



What can you prove in ZK?

Can prove any classical proof in ZK [GMW86]
(a.k.a NP statements)

“Everything provable is provable in ZK” [BGGHKMR90]
(a.k.a languages in IP)

$IP = PSPACE$ [S90,LFKN90]

PSPACE contains every language that is solvable with polynomial space



ZK for all of NP

Step 1: Construct a ZK Proof for an NP-complete language L_C

Step 2: Given any NP lang. L and instance x , compile* instance x to an instance x_C for L_C and use ZK Proof for $x_C \in L_C$

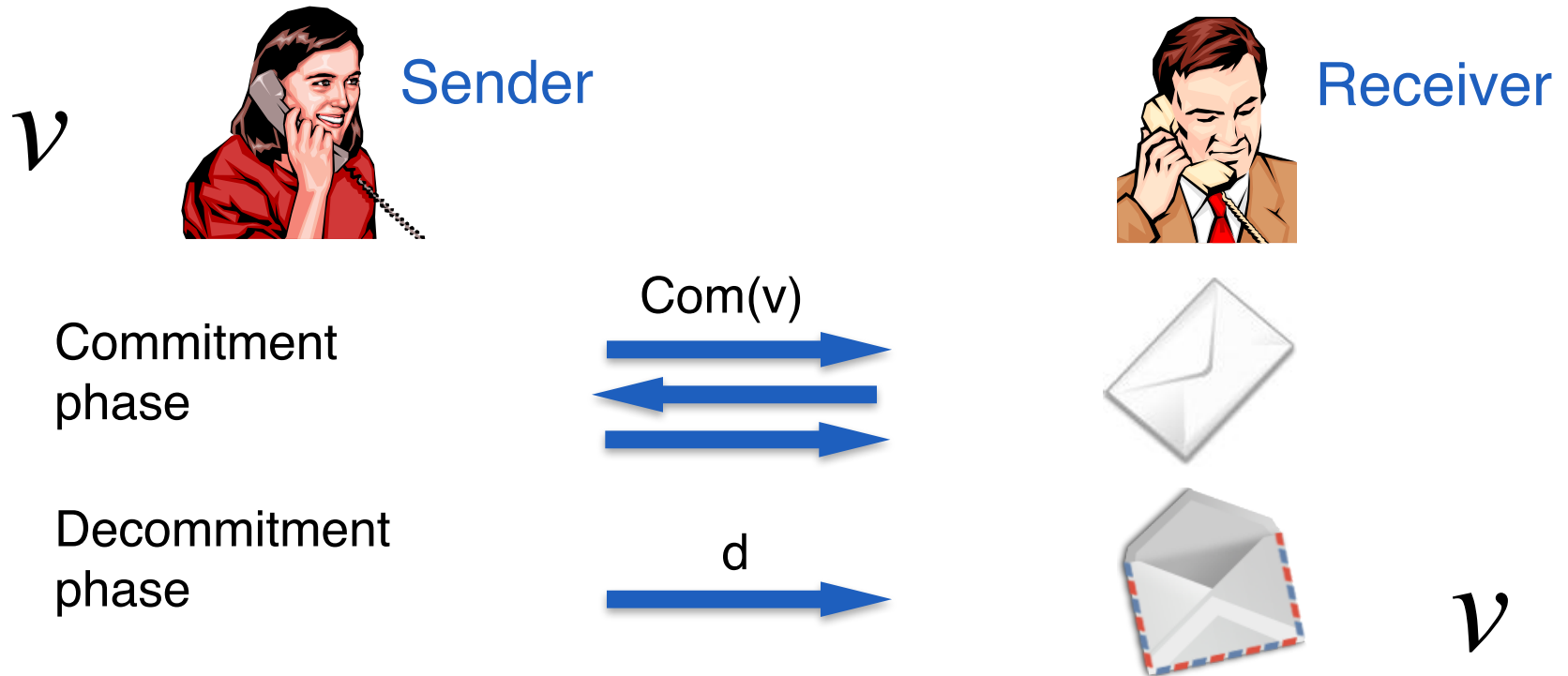
* compile via Karp reduction

Need Cryptographic Commitments



Commitment Scheme

The “digital analogue” of sealed envelopes.



Hiding: The commitment hides the committed value

Binding: The commitment can only open to one value



ZERO KNOWLEDGE FOR ~~ALL OF NP~~

Prover



Com(c(1)), ..., Com(c(n))



e=(i,j)



Open c(i) and c(j)



Verifier

 $x = G(V, E)$ $w = c : V \rightarrow \{1, 2, 3\}$ $x = G(V, E)$ Accept iff $c(i) \neq c(j)$

Completeness : Valid 3-Coloring satisfies $c(i) \neq c(j)$ for every edge $e(i, j)$

Soundness: Com() is **binding** \Rightarrow prover cannot change colors later

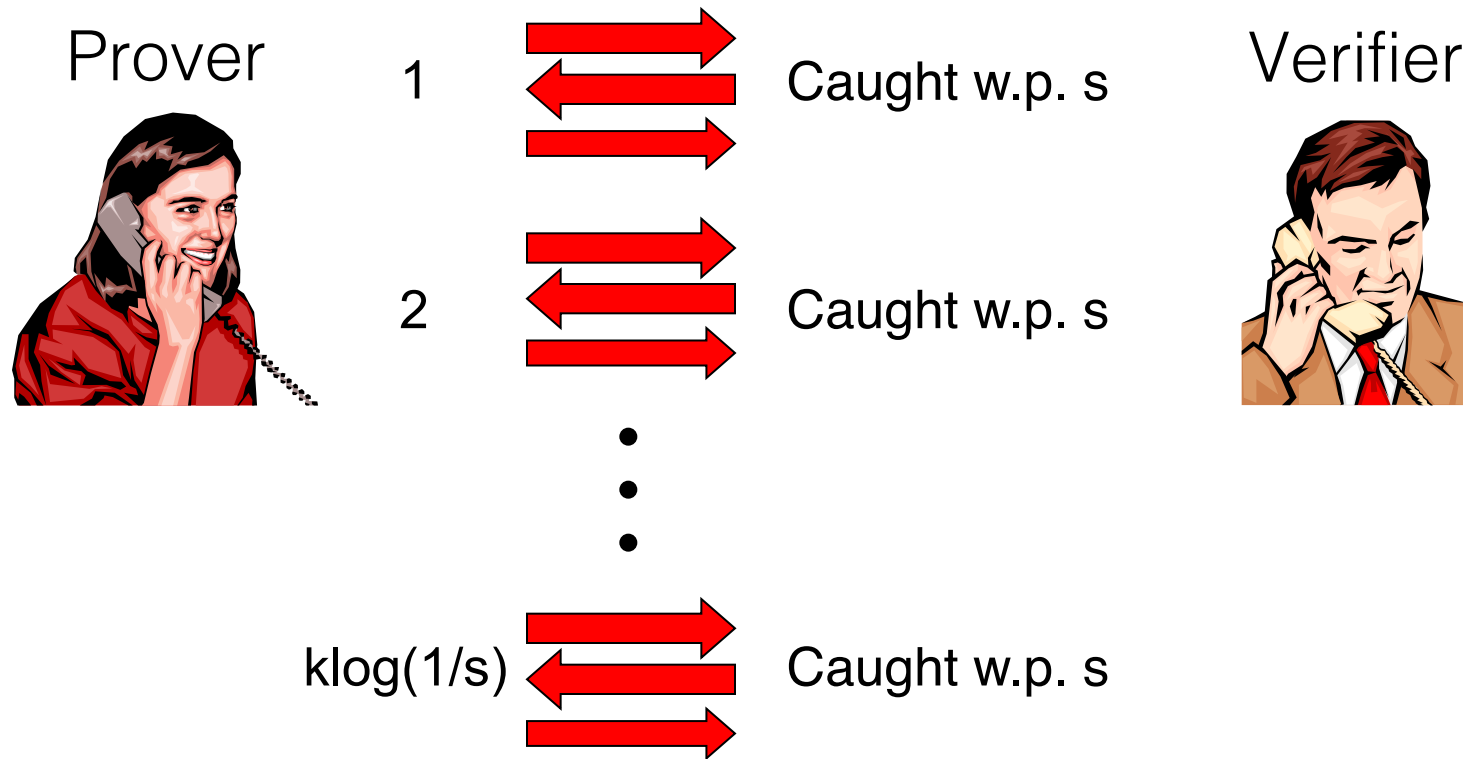
If G is **not 3 colorable**, prover caught on at least one edge. Occurs **w.p. $1/|E|$**

Zero Knowledge: Guess edge $e(i, j)$ and give different colors for $c(i)$ and $c(j)$



Constant s -soundness to negligible soundness

Repeat $k \log(1/s)$ times

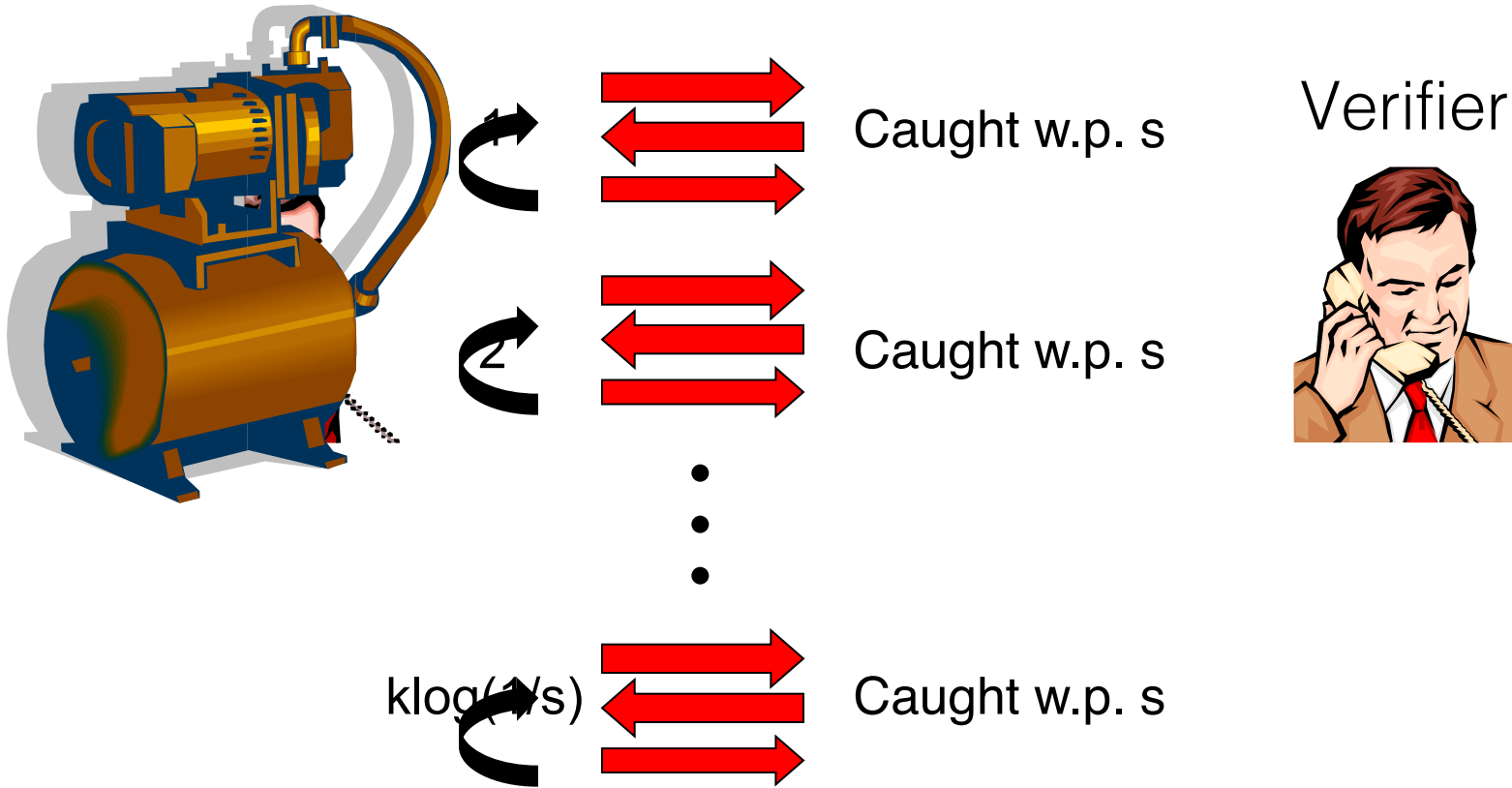


Each rep. is indep. and soundness is $s^{k \log(1/s)} = 2^{-k}$



What about ZK property?

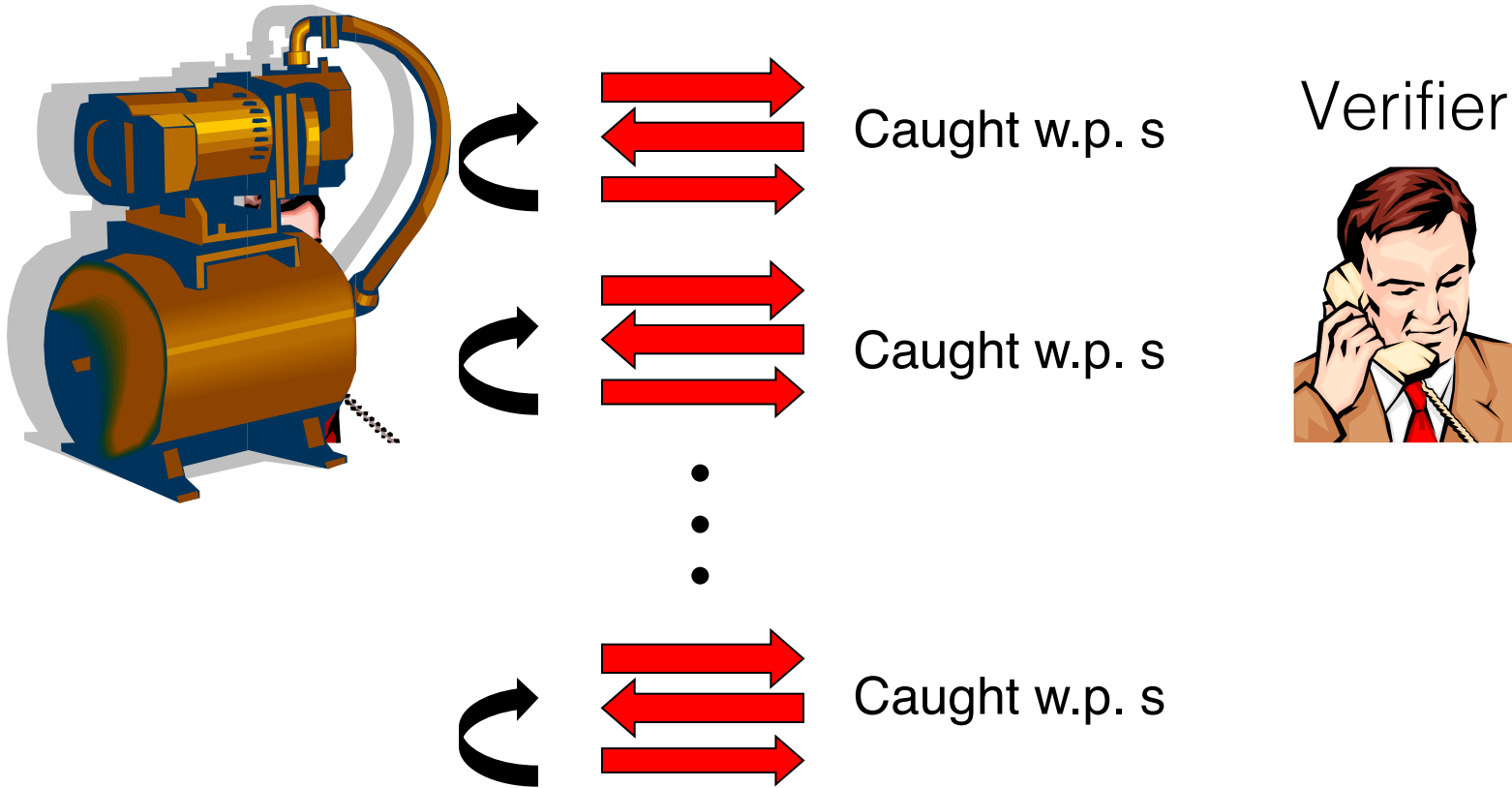
Repeat $k \log(1/s)$ times



Each rep. is indep. and soundness is $s^{k \log(1/s)} = 2^{-k}$



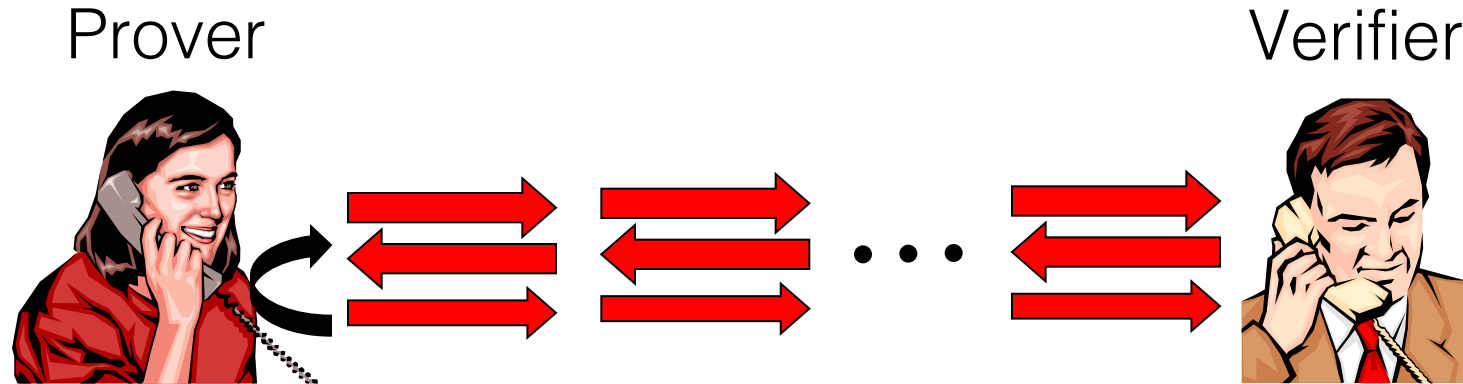
Can we repeat it in parallel?



Each rep. is indep. and soundness is $s^{k \log(1/s)} = 2^{-k}$



Can we repeat it in parallel?



NO!

Simulator's guess for all rep. are correct simultaneously only with probability 2^{-k}

Expected number of rewidings is 2^k



ZK for NP

ZK proof for Graph 3 Coloring [GMW86]

ZK proof for Hamiltonicity [Blum86]

ZK proof for SAT [BC87]

Theorem [BG+90]: Assume the existence of one-way functions. There exists a ZK proof for all of IP

ZK proof for any NP relation without using Karp reductions [IKOS07]

...more on Wednesday



Numerous Applications

- Boosting passive to active security
- Identification/ Authentication
- CCA secure encryption
- Resettable Security
- Bitcoins



Main Application: Active secure MPC

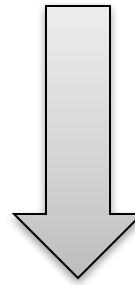
Compiling passive to active security when majority are dishonest

Passive adversaries
(a.k.a. honest-but-curious)
follow protocol instructions
to-the-word

Passive-secure
MPC protocol

Coin Tossing

Zero Knowledge



Active adversaries
(a.k.a. malicious)
arbitrarily deviate from
protocol

Active-secure
MPC protocol



Passive → Active: Enforce honest behavior

1. Force adversary to use a **fixed input** **Commitments**
2. Force adversary to use a **uniform random tape** **Coin-tossing**
3. Force adversary to **follow protocol instructions** exactly **Zero Knowledge**

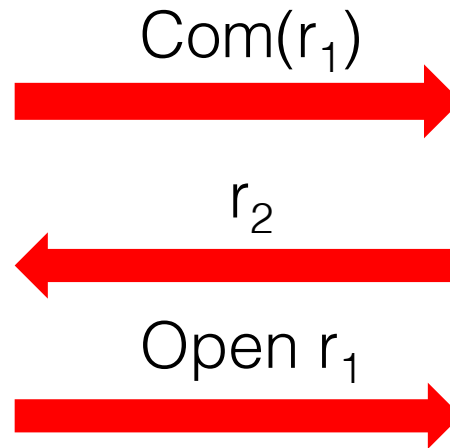


Coin Tossing

Goal: Fix random tape of every party



Output: $r_1 \oplus r_2$

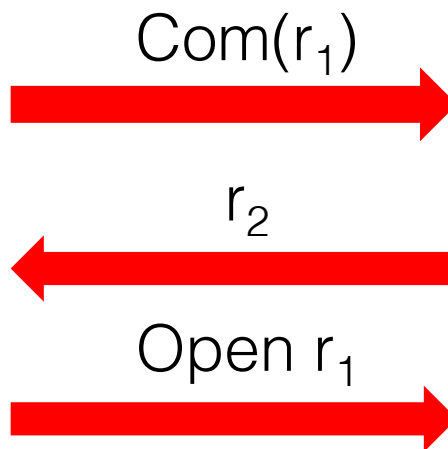


Output: $r_1 \oplus r_2$



Augmented Coin Tossing: Fix Alice's tape

Goal: Alice's random tape is uniform.
Bob receives commitment to tape



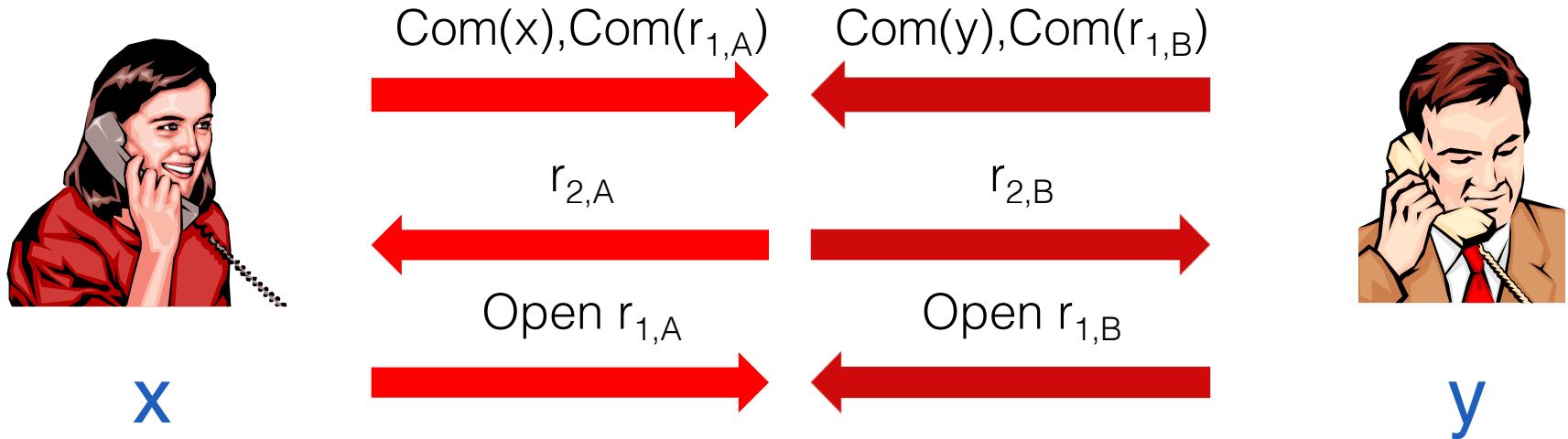
Random output = $r_1 \oplus r_2$

Commitment to
Output: $(r_1 \oplus r_2, r_2)$
coin toss = $(\text{Com}(r_1), r_2)$



Forcing good behavior

Preamble Phase:



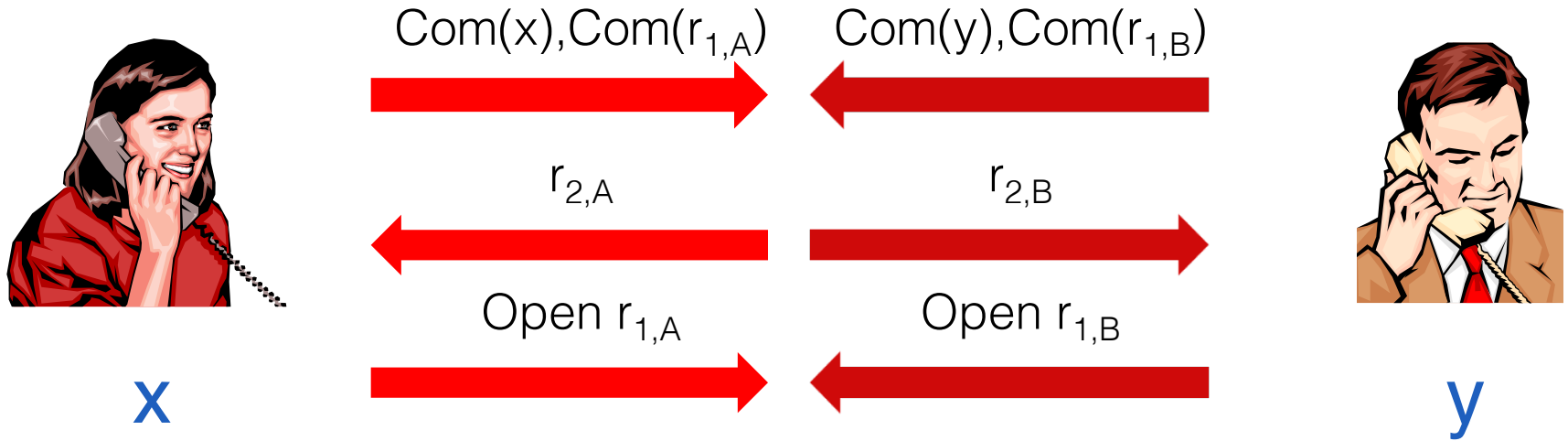
After this stage, each party holds a commitment to the other party's input and random tape.

Main Insight: A protocol is a deterministic function of a party's input, random tape and series of incoming messages.



Forcing good behavior

Preamble Phase:



Execute passive protocol
Prove correctness of message
every step



Forcing good I

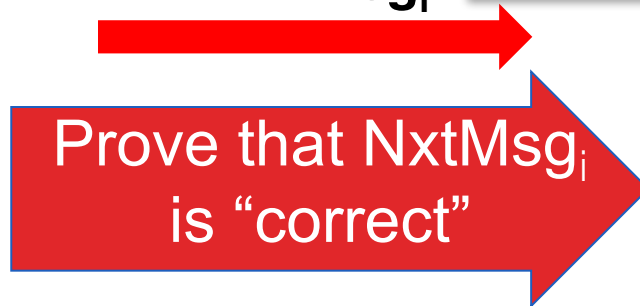
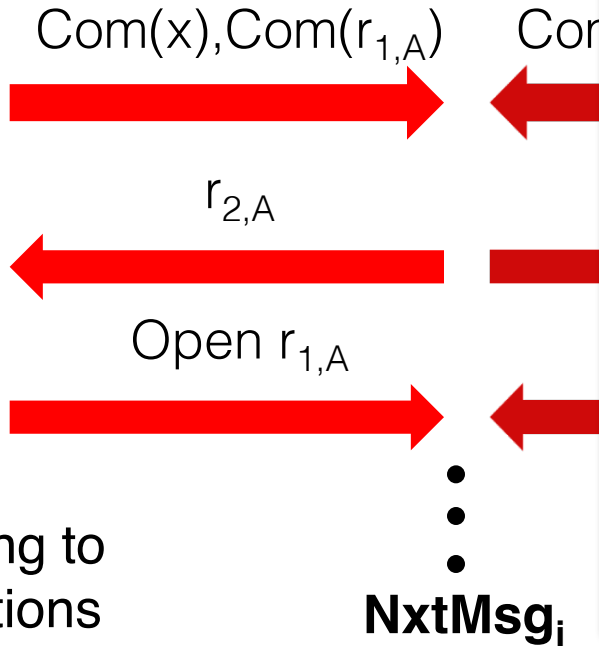
Preamble Phase:



x

“Correct”: According to protocol specifications with input x and random tape $r_{1,A} \oplus r_{2,A}$

Expressible as an NP statement



Statement: Transcript
Witness: x , $r_{1,A}$ and
rand. for Com(x), Com($r_{1,A}$)

Polytime Relation:

1. Check commitments correct w.r.t x , $r_{1,A}$
2. Check all messages generated according to honest Alice algorithm with input x and random tape

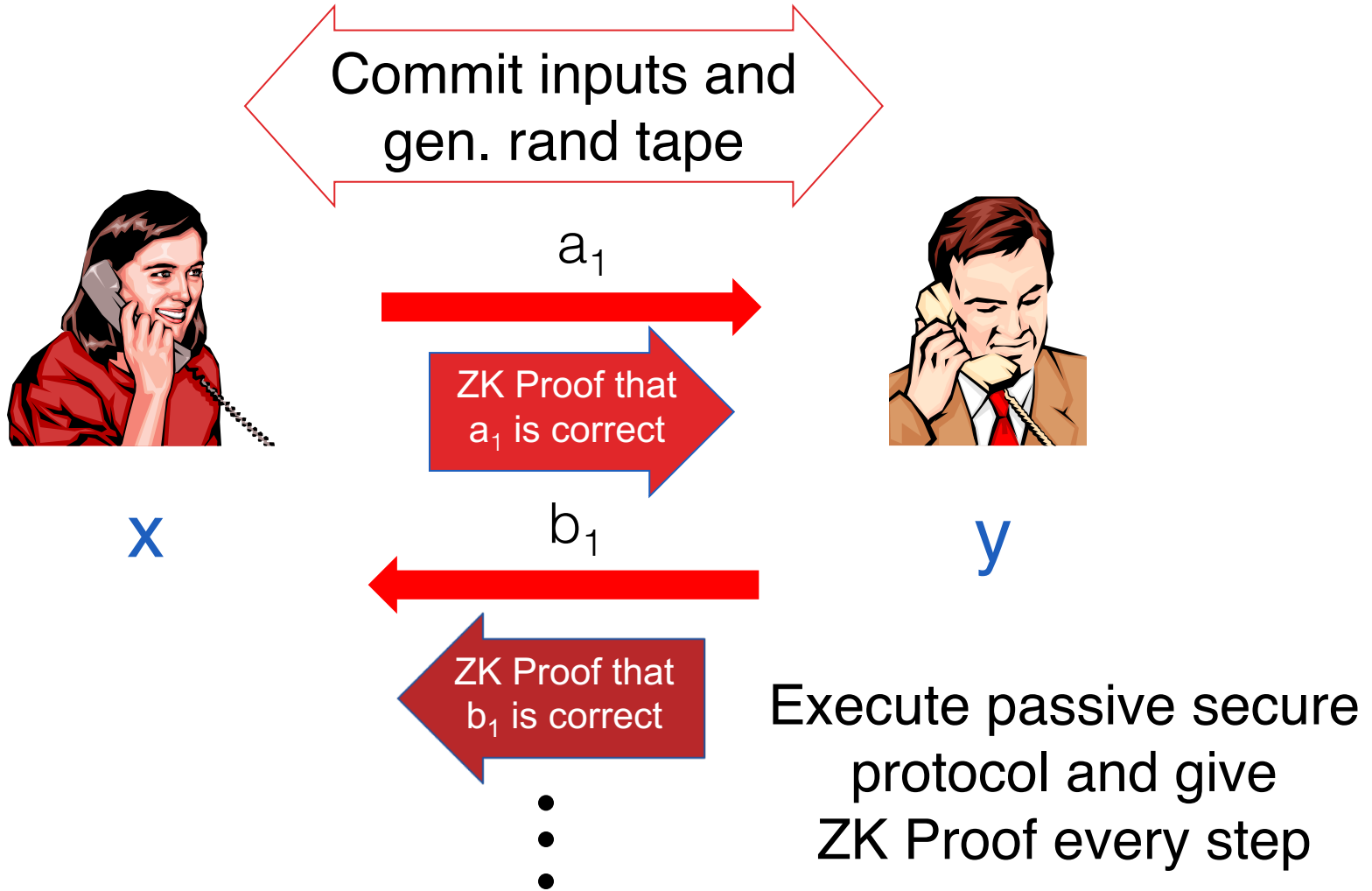
$$r_{1,A} \oplus r_{2,A}$$

Caveat: Should not reveal witness!

Use ZK



Final Compilation (a.k.a GMW Paradigm)



State-of-the-art for Active MPC

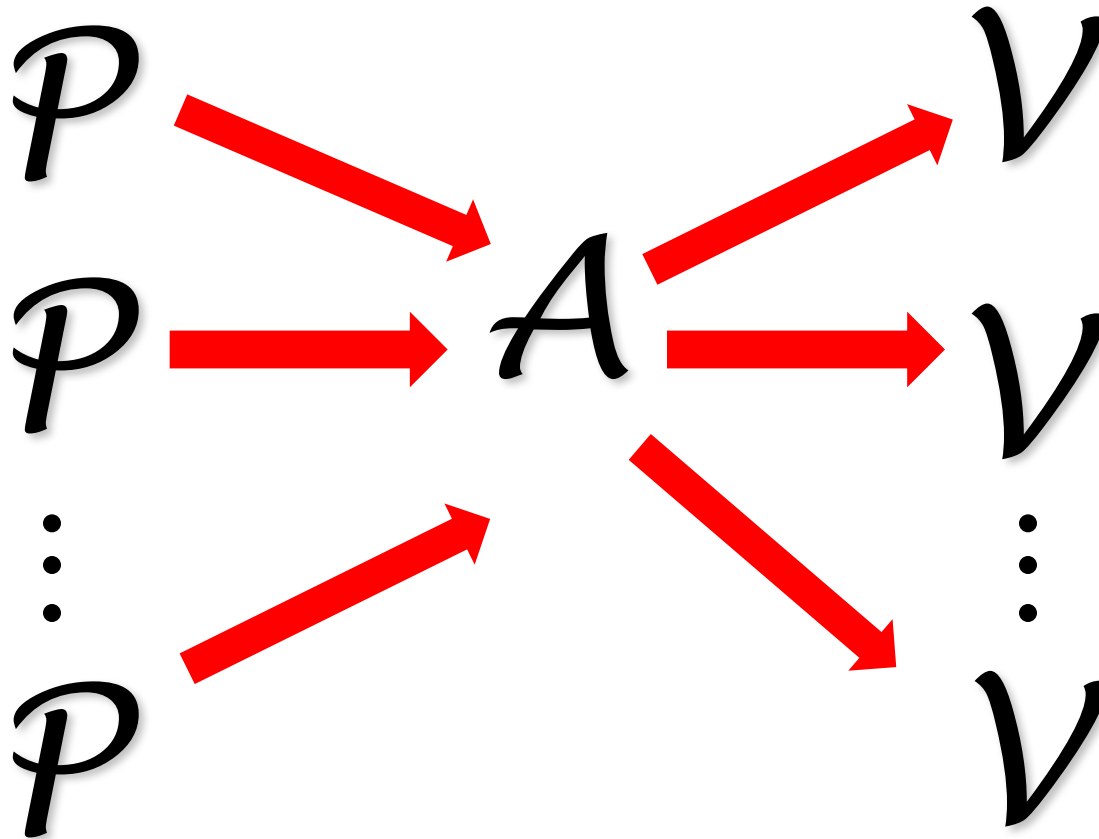
In theory, ZK Proofs allows compilation of passive to active security

In practice, use other techniques, eg, (cut-and-choose, MPC-in-the-head)

In fact, these other techniques have ZK implicit



Concurrency



Standard ZK is not secure in a concurrent setting



Zero Knowledge Proofs [GMR85]

Cornerstone of modern **definitions** of security

Techniques for arguing security

Fundamental cryptographic **building block**



Thank You

