

# Randomized Encoding of Functions

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# Overview

- Can we make a computation simpler by just encoding the output?
- Question originally motivated by secure computation
- Answers have found applications in other areas of cryptography and elsewhere

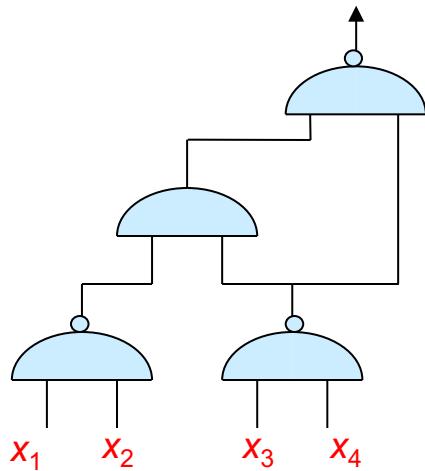
# Garbled Circuit Construction



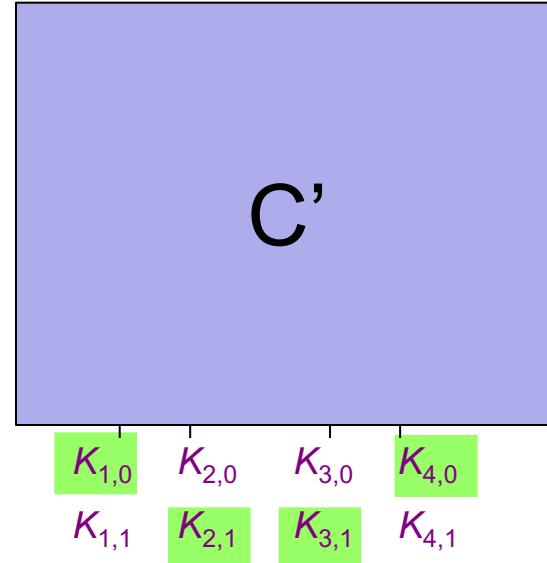
**Yao, 1986**

# Garbled Circuit Construction

Circuit C

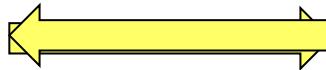


Garbled circuit  $C'$



Pairs of short  
keys

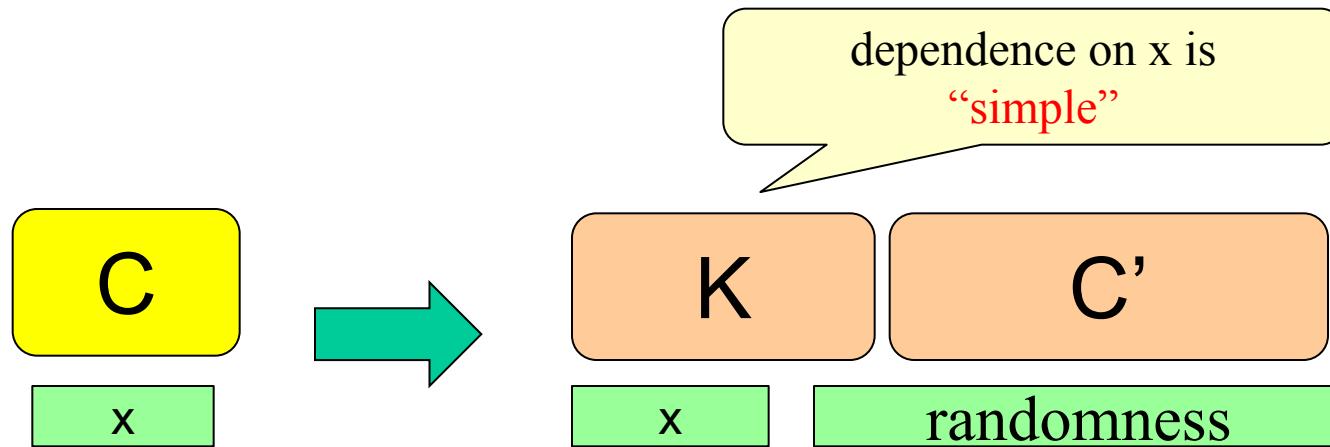
$C(x)$



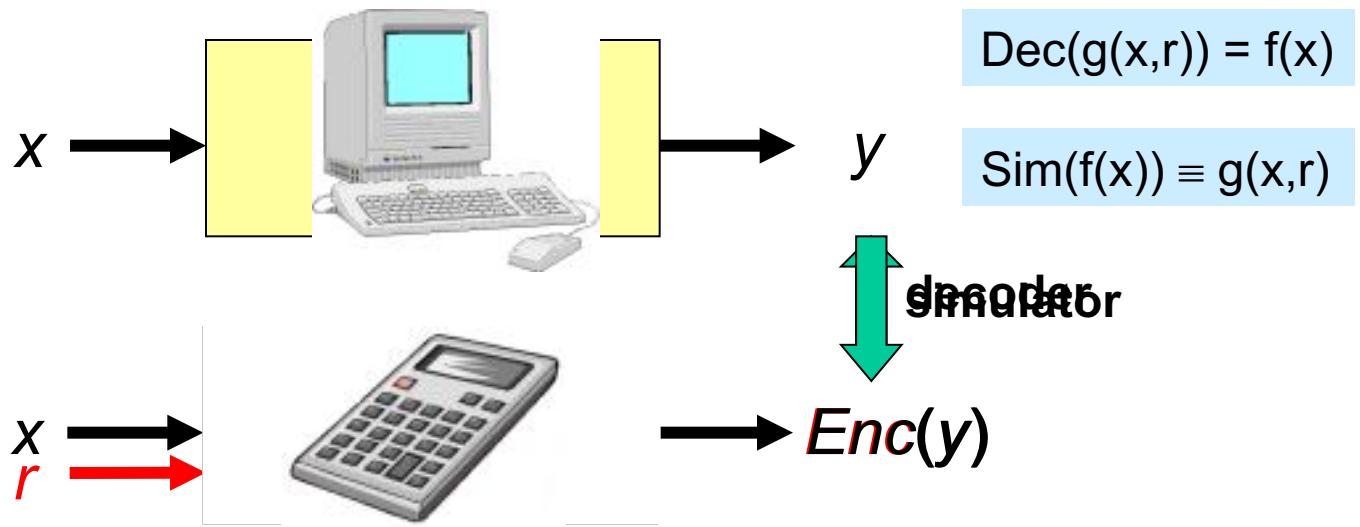
$C', K_{i,x_i}$

**side channel**

# Even more abstractly...



# The General Question



- $g$  is a “randomized encoding” of  $f$ 
  - Nontrivial relaxation of computing  $f$
- Hope:
  - $g$  can be “simpler” than  $f$   
(meaning of “simpler” determined by application)
  - $g$  can be used as a substitute for  $f$

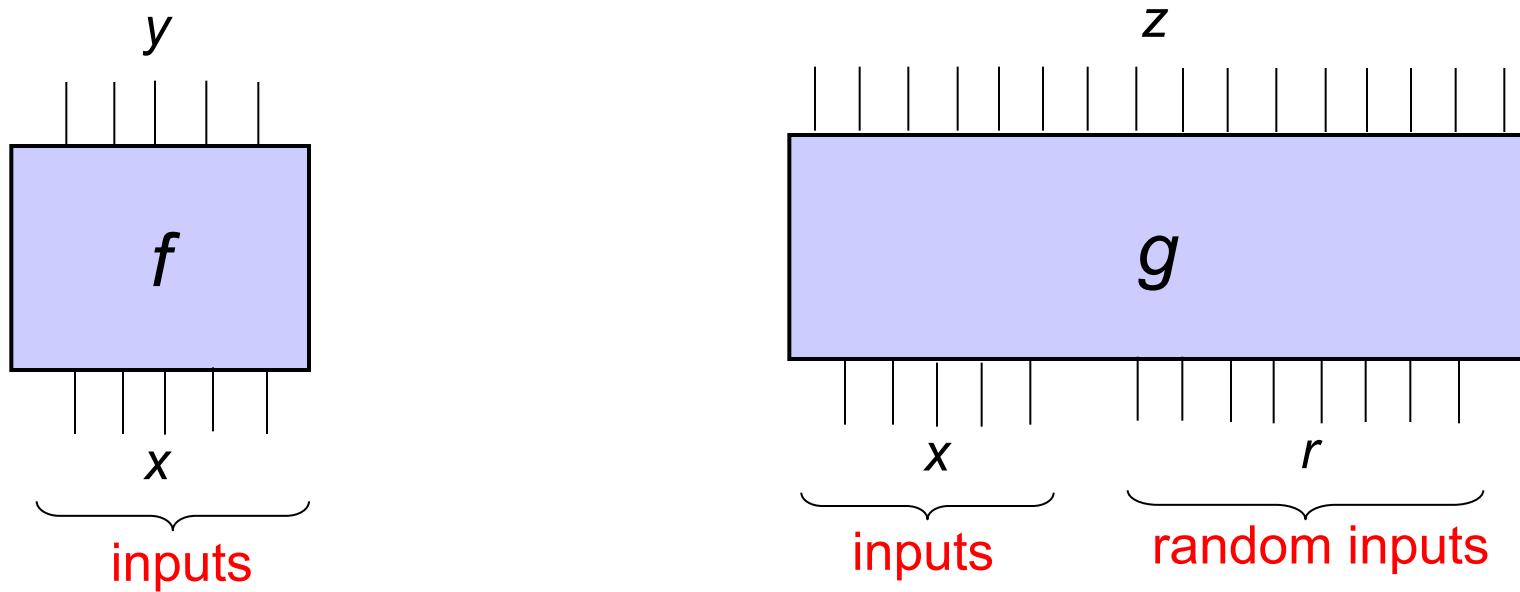
# Applications

- Secure computation [Yao82...]
- Parallel cryptography [AIK04...]
- One-time programs [GKR08...]
- KDM-secure encryption [BHHI10...]
- Verifiable computation [GGP10...]
- Functional encryption [SS10...]
- ...

# Rest of Tutorial

- **Constructions of randomized encodings**
  - Different notions of simplicity
  - Different mathematical tools
    - Finite groups
    - Linear algebra
    - Number theory
  - Focus on **information-theoretic** security
    - Not in this tutorial: “succinct” and “reusable” variants
- **Applications**
  - Secure multiparty computation
  - Parallel cryptography

# Randomized Encoding - Syntax

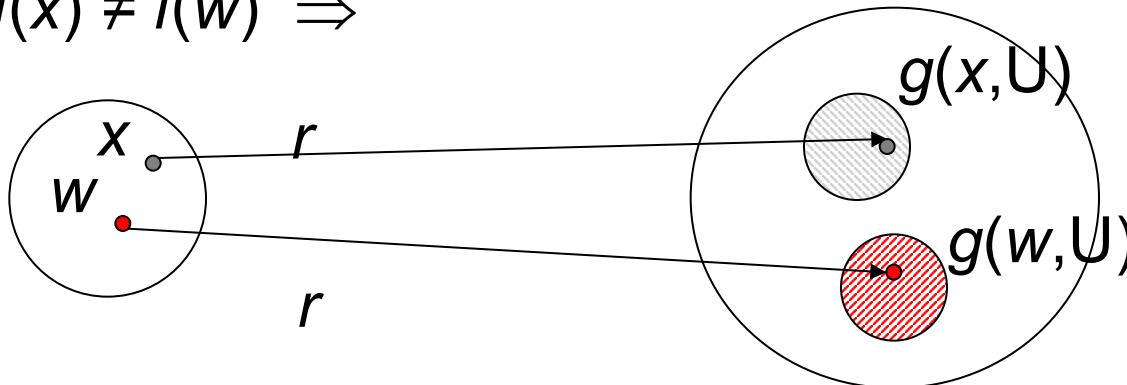


$f(x)$  is encoded by  $g(x,r)$

# Randomized Encoding - Semantics

- Correctness:  $f(x)$  can be efficiently decoded from  $g(x,r)$ .

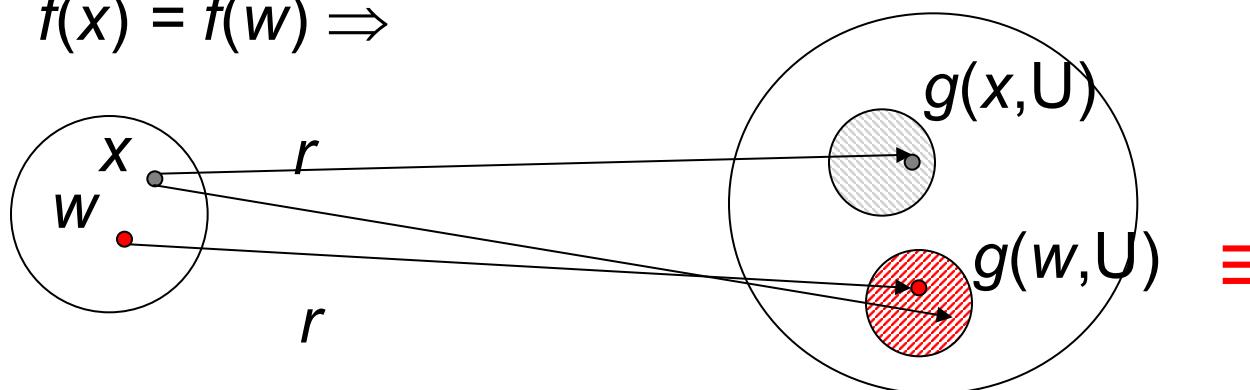
$$f(x) \neq f(w) \Rightarrow$$



- Privacy:  $\exists$  efficient simulator  $\text{Sim}$  such that  $\text{Sim}(f(x)) \equiv g(x, U)$

–  $g(x, U)$  depends **only** on  $f(x)$

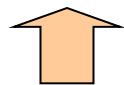
$$f(x) = f(w) \Rightarrow$$



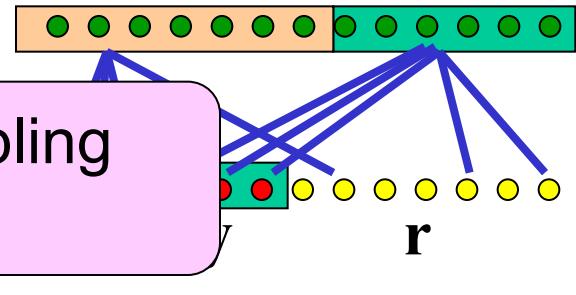
# Notions of Simplicity

2-Decomposable encoding

$$g((x,y),r) = (g_x(x,r), g_y(y,r))$$

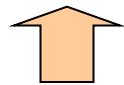


AKA: projective garbling  
scheme [BHR12]



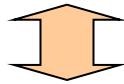
Decomposable encoding

$$g((x_1, \dots, x_n), r) = (g_1(x_1, r), \dots, g_n(x_n, r))$$



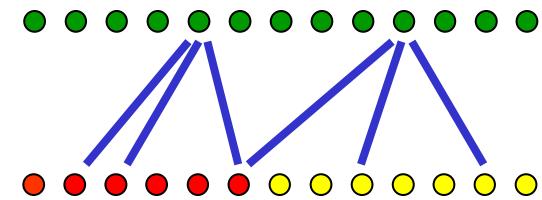
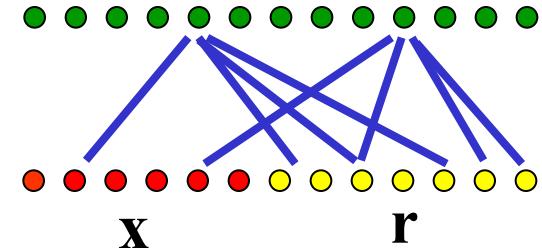
NC<sup>0</sup> encoding

Output locality c



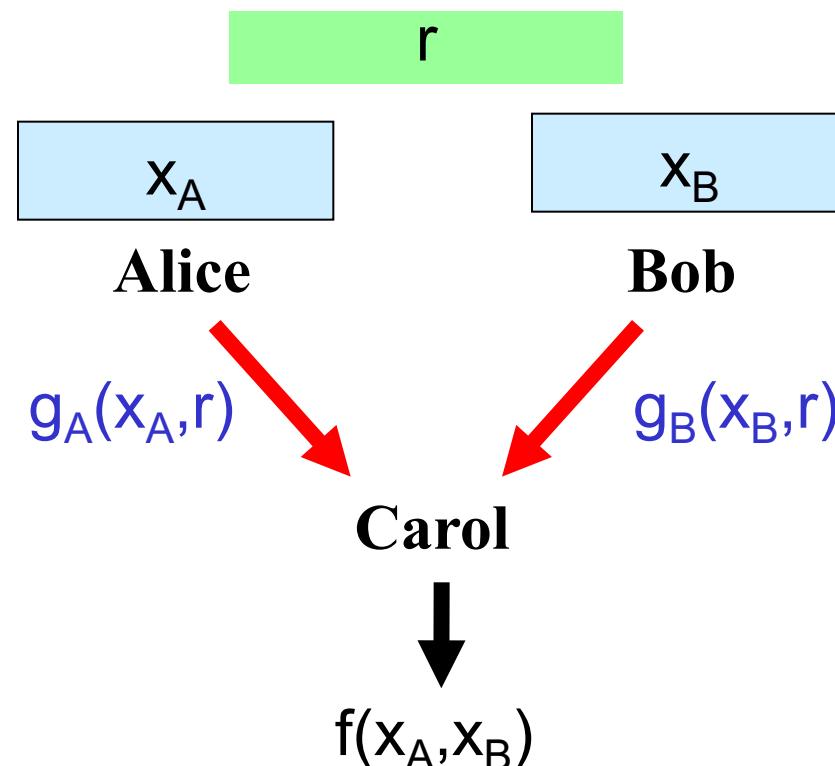
Low-degree encoding

Algebraic degree d over F



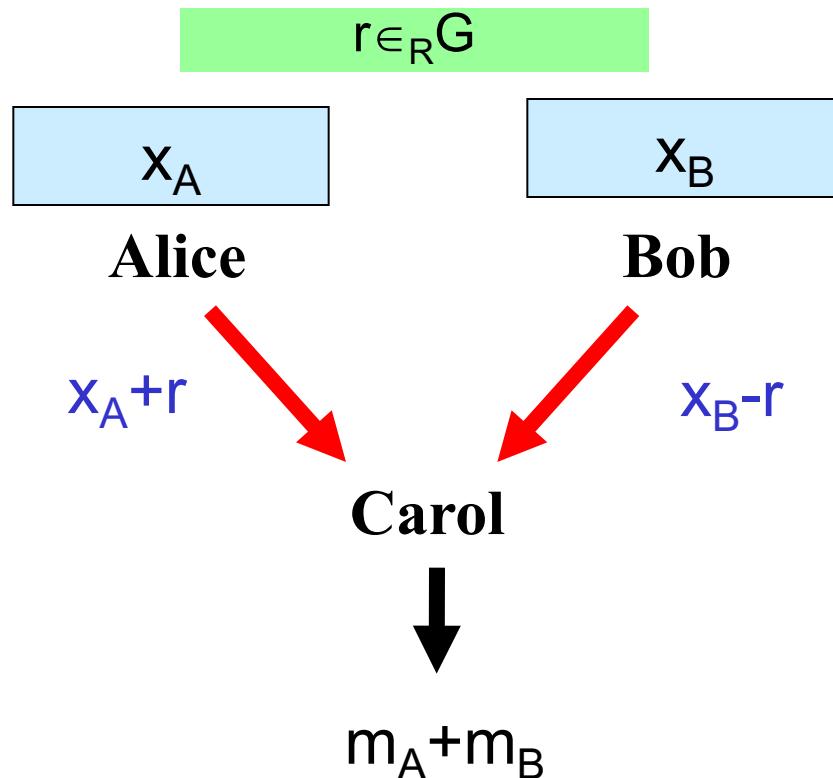
# 2-Decomposable Encodings

- $g((x_A, x_B), r) = (g_A(x_A, r), g_B(x_B, r))$
- Application: “minimal model for secure computation” [Feige-Kilian-Naor 94, ...]



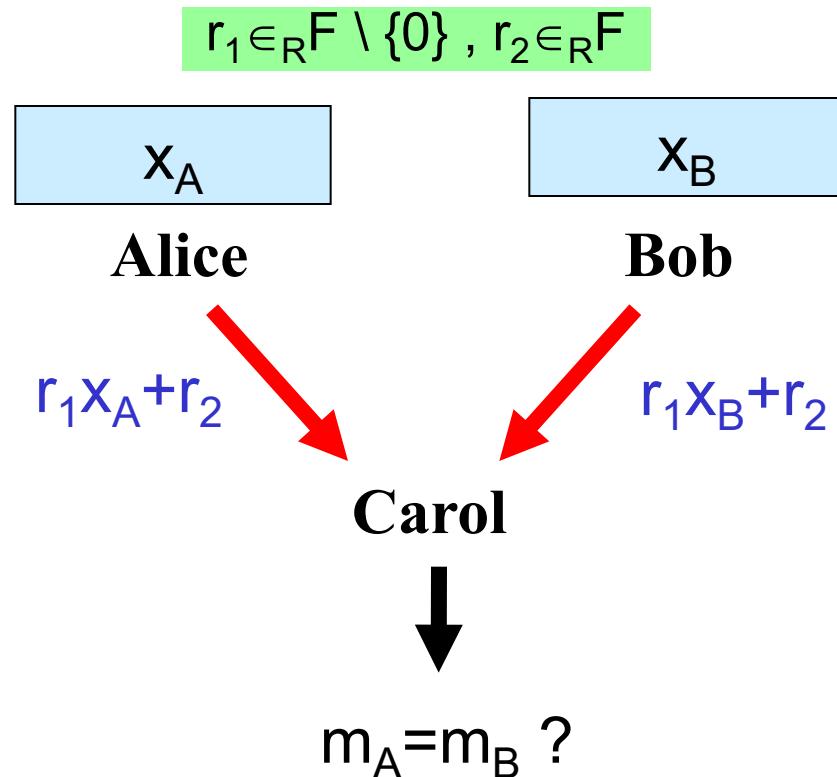
# Example: sum

- $f(x_A, x_B) = x_A + x_B \quad (x_A, x_B \in \text{finite group } G)$



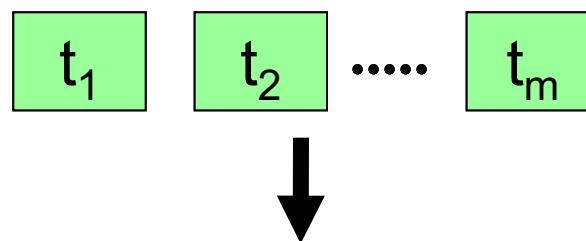
# Example: equality

- $f(x_A, x_B) = \text{equality}$  ( $x_A, x_B \in \text{finite field } F$ )



# Example: ANY function

- $f(x_A, x_B) = x_A \wedge x_B \quad (x_A, x_B \in \{0, 1\})$ 
  - Reduction to equality:  $x_A \rightarrow 1/0, x_B \rightarrow 2/0$
- General boolean  $f$ : write as **disjoint** 2-DNF
  - $f(x_A, x_B) = \bigvee_{(a,b):f(a,b)=1} (x_A=a \wedge x_B=b) = t_1 \vee t_2 \vee \dots \vee t_m$

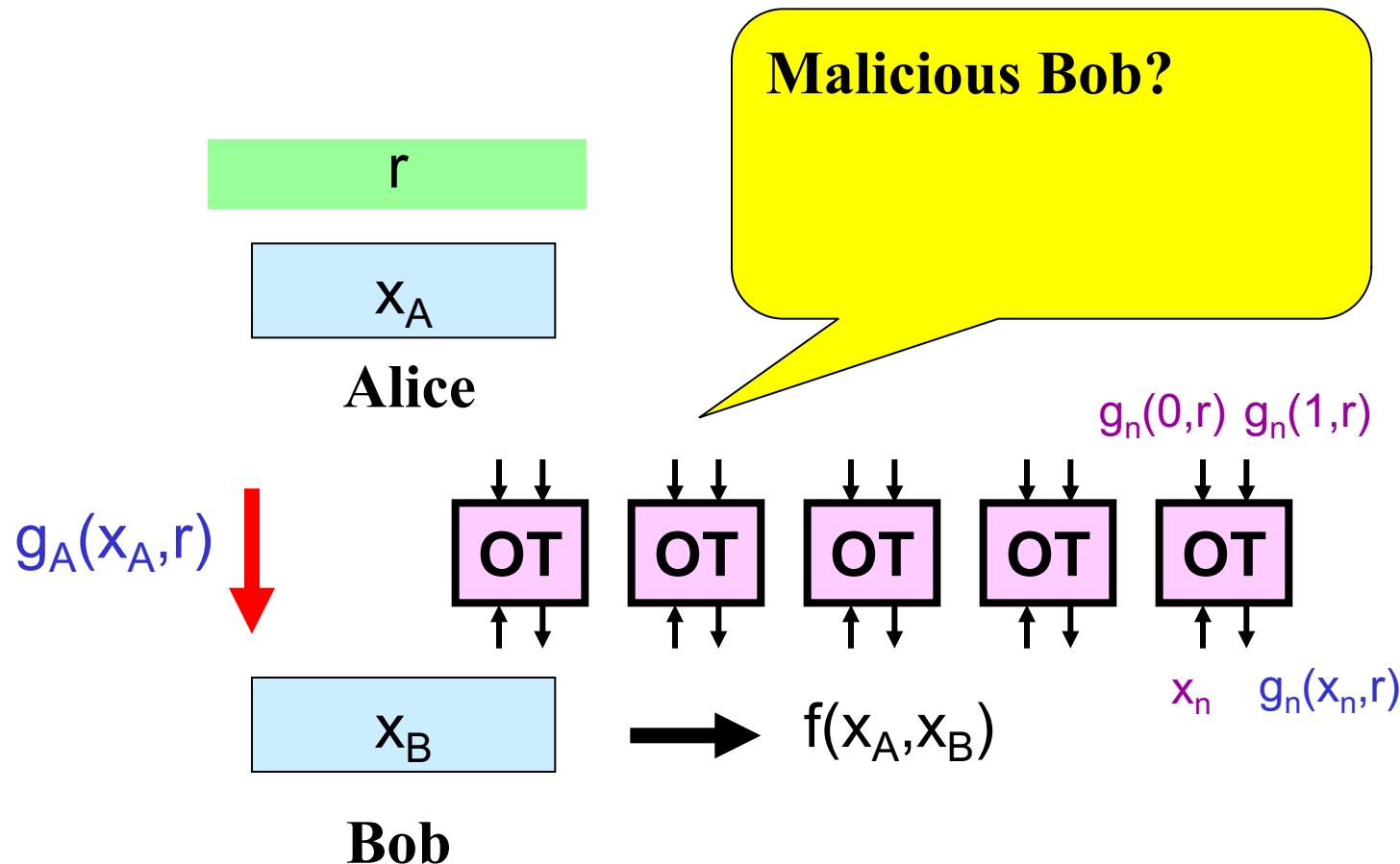


Exponential complexity

000000000000  $\rightarrow$  0  
000001000000  $\rightarrow$  1

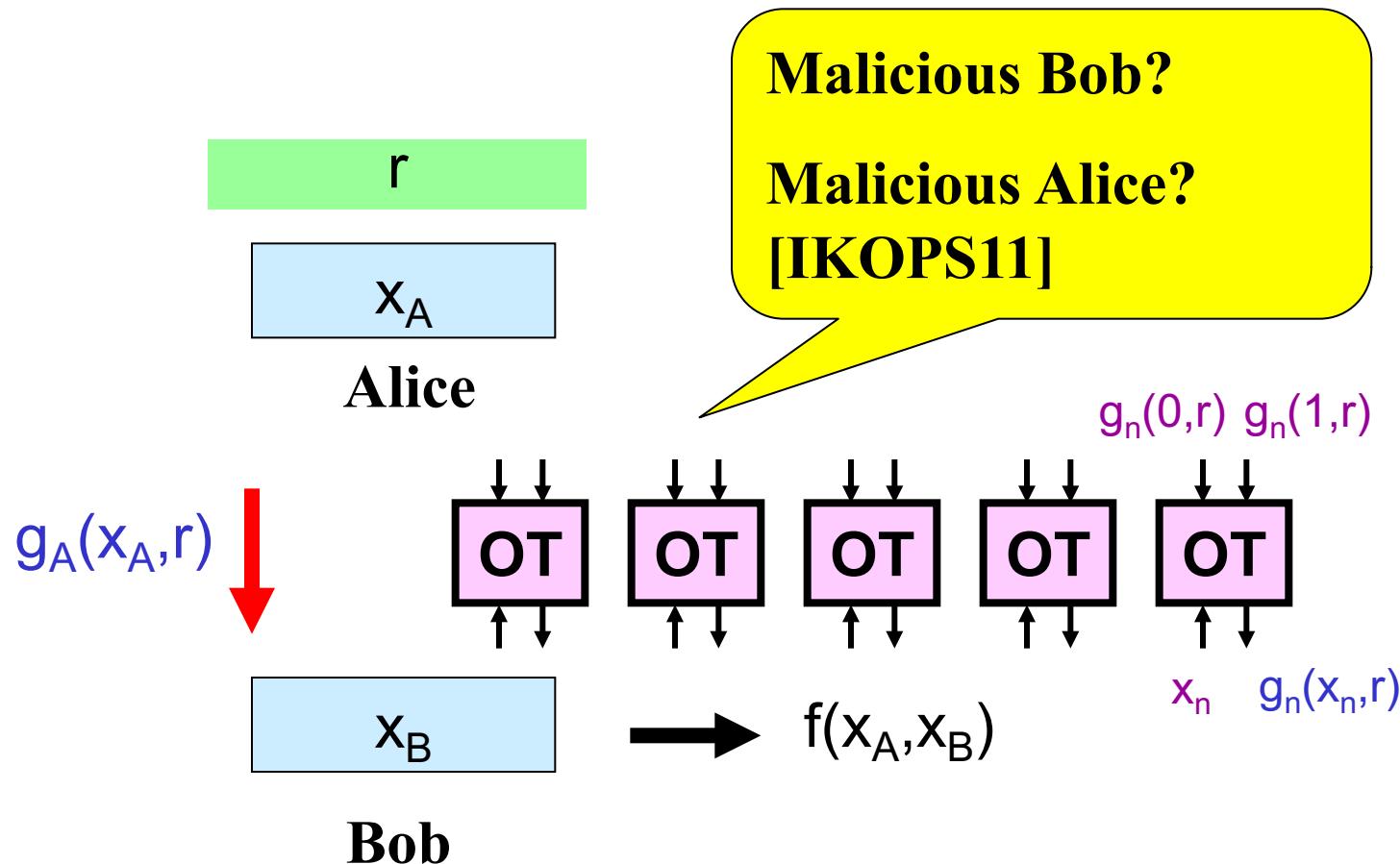
# Decomposable Encodings

- Decomposability:  $g((x_1, \dots, x_n), r) = (g_1(x_1, r), \dots, g_n(x_n, r))$ 
  - Application: Basing 2-PC on OT [Kilian 88, ...]



# Decomposable Encodings

- Decomposability:  $g((x_1, \dots, x_n), r) = (g_1(x_1, r), \dots, g_n(x_n, r))$ 
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# Example: iterated group product

- **Abelian case**

- $f(x_1, \dots, x_n) = x_1 + x_2 + \dots + x_n$

- $g(x, (r_1, \dots, r_{n-1})) =$

$$x_1 + r_1 \quad x_2 + r_2 \quad \dots \quad x_{n-1} + r_{n-1} \quad x_n - r_1 - \dots - r_{n-1}$$

- **General case [Kilian 88]**

- $f(x_1, \dots, x_n) = x_1 x_2 \cdots x_n$

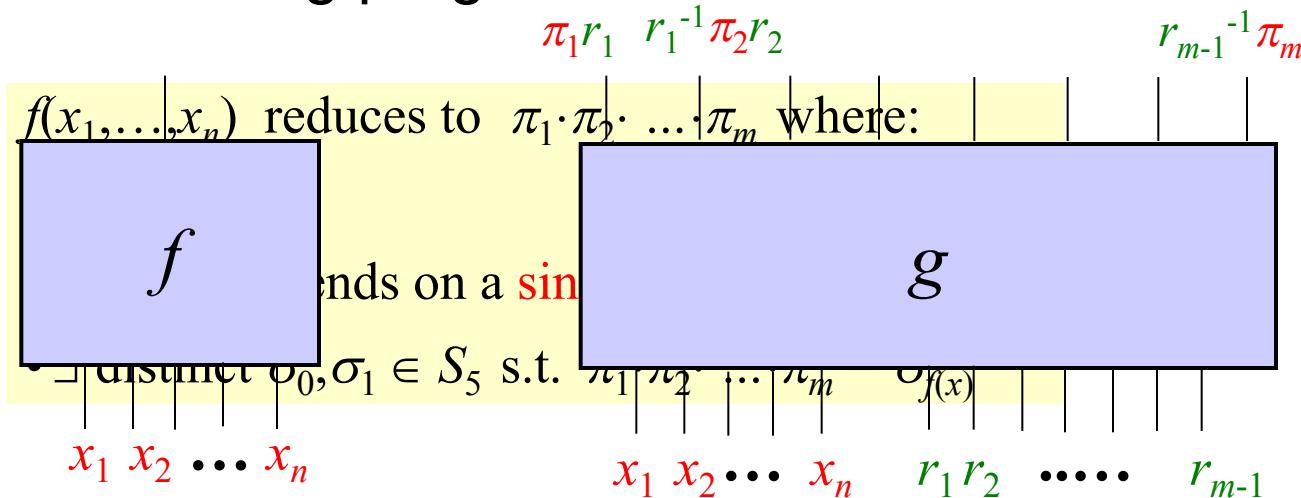
- $g(x, (r_1, \dots, r_{n-1})) =$

$$x_1 r_1 \quad r_1^{-1} x_2 r_2 \quad r_2^{-1} x_2 r_3 \quad \dots \quad r_{n-2}^{-1} x_{n-1} r_{n-1} \quad r_{n-1}^{-1} x_n$$

# Example: iterated group product

Thm [Barrington 86]

Every boolean  $f \in \text{NC}^1$  can be computed by a poly-length, width-5 branching program.



Encoding iterated group product

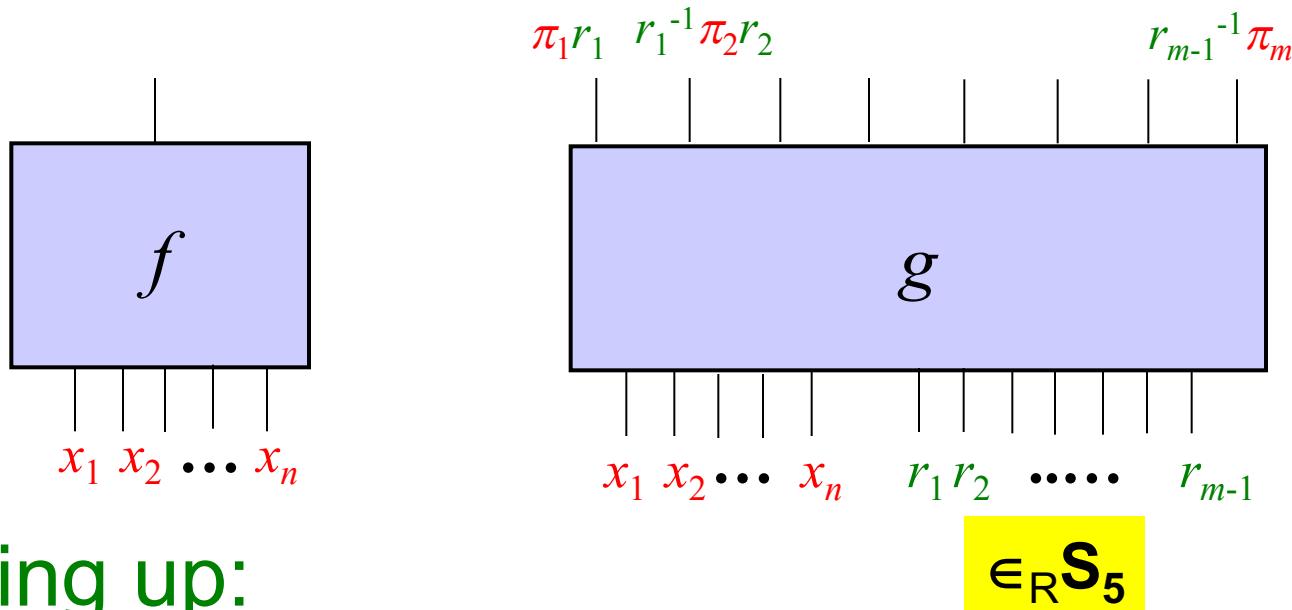
- Every output bit of  $g$  depends on just a single bit of  $x$
- Efficient decomposable encoding for every  $f \in \text{NC}^1$

# Low-Degree Encodings

- Low degree:  $g(x,r) = \text{vector of degree-}d \text{ poly in } x,r \text{ over } F$ 
  - aka “Randomizing Polynomials” [I-Kushilevitz 00,...]
  - Application: round-efficient MPC
- Motivating observation:  
**Low-degree functions are easy to distribute!**
  - Round complexity of MPC protocols  
[GMW87,BGW88,CCD88,...]
    - Semi-honest (passive) adversary:
      - $t < n$  using ideal OT  $\rightarrow O(\log d)$  rounds
      - $t < n/d \rightarrow 2$  rounds
      - $t < n/2 \rightarrow$  multiplicative depth + 1 =  $\lceil \log d \rceil + 1$  rounds
    - Malicious (active) adversary:
      - Optimal  $t \rightarrow O(\log d)$  rounds

# Examples

- What's wrong with previous examples?
  - Great degree in  $x$  ( $\deg_x=1$ ), bad degree in  $r$

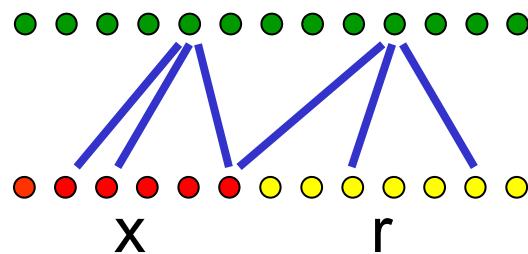


- Coming up:
  - Degree-3 encoding for every  $f$
  - Efficient in size of branching program

$\in_R S_5$

# Local Encoding

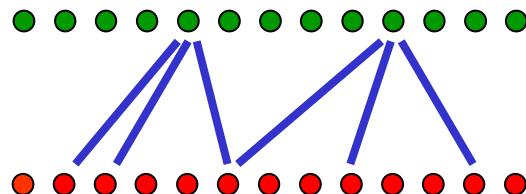
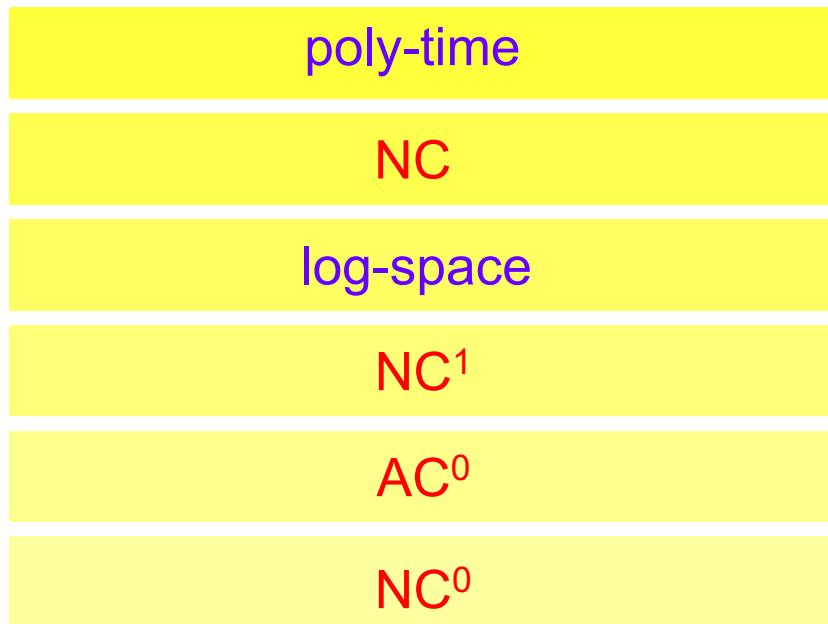
- Small output locality:



- Application: parallel cryptography!
- Coming up: encodings with output locality 4
  - degree 3, decomposable
  - efficient in size of branching program

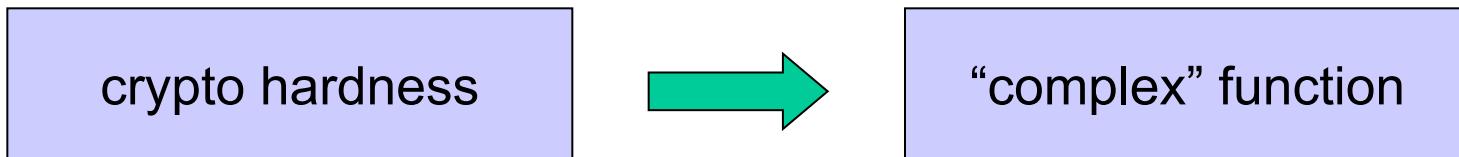
# Parallel Cryptography

How low can we get?



# Cryptography in $\text{NC}^0$ ?

- Real-life motivation: fast cryptographic hardware
- Tempting conjecture:

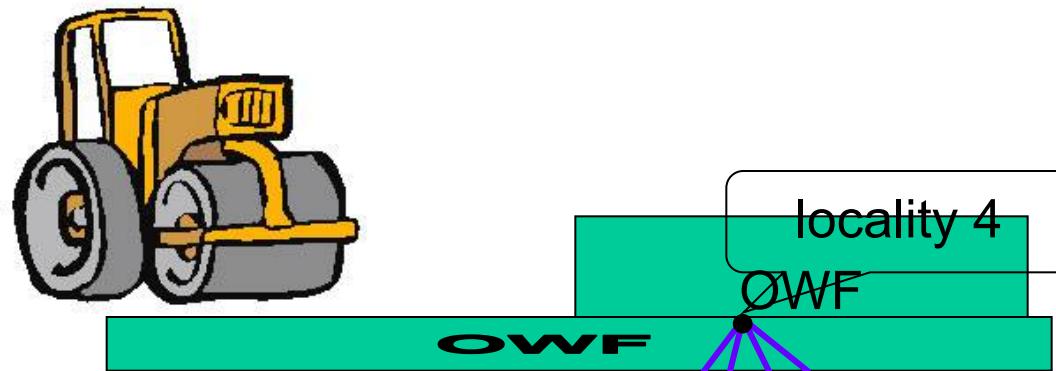


# Surprising Positive Result [AIK04]

Compile primitives in a “**relatively high**” complexity class  
(e.g., NC<sup>1</sup>, NL/poly,  $\oplus$ L/poly) into ones in **NC<sup>0</sup>**.

NC<sup>1</sup> cryptography implied by **factoring, discrete-log, lattices...**

⇒ essentially settles the existence of cryptography in NC<sup>0</sup>



# Remaining Challenge

How to encode “complex”  $f$  by  $g \in \dots$

Coming up...

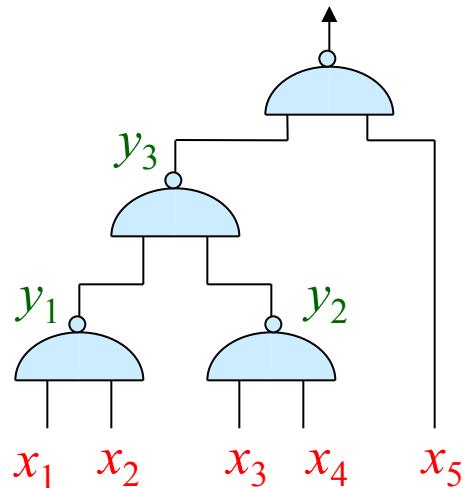
- **Observation:** enough to obtain const. degree encoding
- **Locality Reduction:**  
degree 3 poly over GF(2)  $\Rightarrow$  locality 4 rand. encoding

$$f(x) = T_1(x) + T_2(x) + \dots + T_k(x)$$

$$g(x, \textcolor{green}{r}, \textcolor{blue}{s}) = \begin{array}{cccc} T_1(x) + r_1 & T_2(x) + r_2 & \dots & T_k(x) + r_k \\ -r_1 + s_1 & -s_1 - r_2 + s_2 & \dots & -s_{k-1} - r_k \end{array}$$

# 3 Ways to Degree 3

1. Degree-3 encoding using  
a circuit representation



$$\begin{aligned} f(\mathbf{x})=1 &\iff \exists y_1, y_2, y_3 \\ &y_1 = \text{NAND}(x_1, x_2) = x_1(1-x_2) + (1-x_1)x_2 + (1-x_1)(1-x_2) \\ &y_2 = \text{NAND}(x_3, x_4) \\ &y_3 = \text{NAND}(y_1, y_2) \\ &1 = \text{NAND}(y_3, x_5) \end{aligned}$$

Note:  $\Rightarrow \exists! y_1, y_2, y_3$

## Using circuit representation (contd.)

$$\left. \begin{array}{l} q_1(\textcolor{red}{x}, \textcolor{green}{y})=0 \\ q_2(\textcolor{red}{x}, \textcolor{green}{y})=0 \\ \dots \\ q_s(\textcolor{red}{x}, \textcolor{green}{y})=0 \end{array} \right\} \text{deg.-2}$$

$$g(\textcolor{red}{x}, \textcolor{green}{y}, \textcolor{red}{r}) = \sum \textcolor{green}{r}_i q_i(\textcolor{red}{x}, \textcolor{green}{y}) \quad \left. \right\} \text{deg.-3}$$

$f(\textcolor{red}{x})=0 \Rightarrow g(\textcolor{red}{x}, \textcolor{green}{y}, \textcolor{red}{r})$  is uniform

$f(\textcolor{red}{x})=1 \Rightarrow g(\textcolor{red}{x}, \textcolor{green}{y}, \textcolor{red}{r}) \equiv 0$  given  $\textcolor{green}{y}=y_0$ , otherwise it is uniform

Statistical distance amplified to  $1/2$  by  $2^{\Theta(s)}$  repetitions.

- works over any field
- complexity exponential in circuit size

## 2. Degree-3 encoding using quadratic characters

Fact from number theory:

$$\forall N \ \forall \text{bit-sequence } b \in \{0,1\}^N$$

$$\exists \text{prime } q (= 2^{O(N)}) \ \exists d > 0 \text{ such that } b = \chi_q(d)\chi_q(d+1)\cdots\chi_q(d+N-1)$$

- Let  $N=2^n$ ,  $b$  = length- $N$  truth-table of  $f$ ,  $F=\text{GF}(q)$

- Define  $p(x_1, \dots, x_n, r) = \left( d + \sum_{i=1}^n 2^{i-1} x_i \right) \cdot r^2$

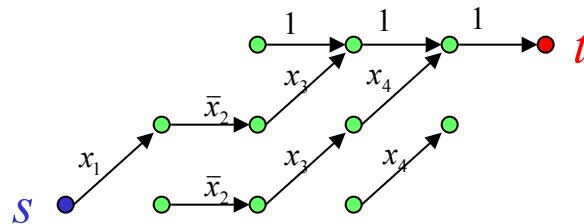
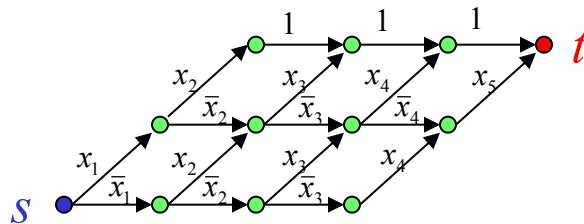
- one polynomial

- huge field size

### 3. Perfect Degree-3 Encoding from Branching Programs

BP=( $G, s, t$ , edge-labeling)

$G_x$ =subgraph induced by  $x$



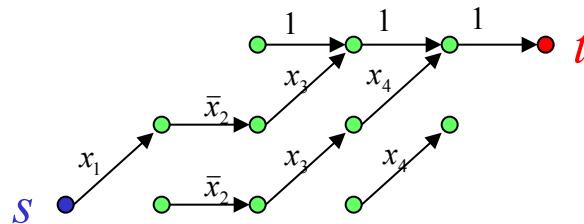
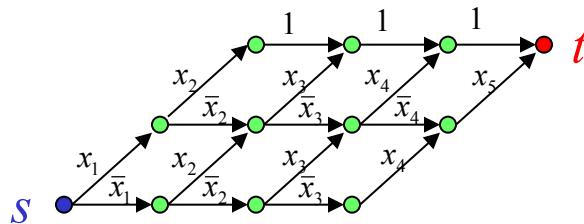
mod- $q$  NBP:  $f(x) = \# s-t$  paths in  $G_x$  (mod  $q$ )

- **size** = # of vertices
- circuit-size  $\leq$  BP-size  $\leq$  formula-size
- Boolean case:  $q=2$ .
  - Captures complexity class  $\oplus L/\text{poly}$

### 3. Perfect Degree-3 Encoding from Branching Programs

$\text{BP}=(G, s, t, \text{edge-labeling})$

$G_x = \text{subgraph induced by } x$



- $\text{BP}(x) = \det(L(x))$ , where  $L$  is a degree-1 mapping which outputs matrices of a special form.
- Encoding:

$$\begin{matrix} * & * & * & * \\ -1 & * & * & * \\ 0 & -1 & * & * \\ 0 & 0 & -1 & * \end{matrix}$$

$$g(\textcolor{red}{x}, r_1, r_2) = R_1(r_1) \cdot \textcolor{red}{L(x)} \cdot R_2(r_2)$$

$$\begin{matrix} 1 & \$ & \$ & \$ \\ 0 & 1 & \$ & \$ \\ 0 & 0 & 1 & \$ \\ 0 & 0 & 0 & 1 \end{matrix}$$

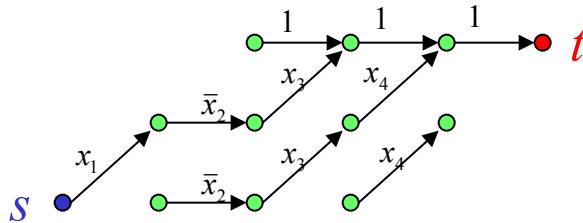
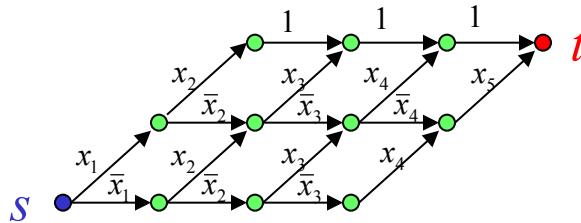
$$\begin{matrix} * & * & * & * \\ -1 & * & * & * \\ 0 & -1 & * & * \\ 0 & 0 & -1 & * \end{matrix}$$

$$\begin{matrix} 1 & 0 & 0 & \$ \\ 0 & 1 & 0 & \$ \\ 0 & 0 & 1 & \$ \\ 0 & 0 & 0 & 1 \end{matrix}$$

# Perfect Degree-3 Encoding of BPs

BP=( $G, s, t$ , edge-labeling)

$G_x$ =subgraph induced by  $x$



Encoding based on Lemma:  $g(\mathbf{x}, r_1, r_2) = R_1(r_1) \cdot L(\mathbf{x}) \cdot R_2(r_2)$

mod- $q$  BP:  $f(x) = \# s \rightarrow t$  paths in  $G_x$  mod  $q$ .

1 \$ \$ \$	* * * *	1 0 0 \$
0 1 \$ \$	-1 * * *	0 1 0 \$
0 0 1 \$	0 -1 * *	0 0 1 \$
0 0 0 1	0 0 -1 *	0 0 0 1

size(BP)

Lemma:  $\exists$  degree-1 mapping  $L : \mathbf{x} \rightarrow$  s.t.  $\det(L(\mathbf{x})) = f(x)$ .

Correctness:  $f(x) = \det g(\mathbf{x}, r_1, r_2)$

Privacy:

$$\begin{array}{c} \begin{array}{|c|c|c|c|} \hline 1 & * & * & * \\ \hline 0 & 1 & * & * \\ \hline 0 & 0 & 1 & * \\ \hline 0 & 0 & 0 & 1 \\ \hline \end{array} \quad \begin{array}{|c|c|c|c|} \hline * & * & * & * \\ \hline -1 & * & * & * \\ \hline 0 & -1 & * & * \\ \hline 0 & 0 & -1 & * \\ \hline \end{array} \quad \begin{array}{|c|c|c|c|} \hline 1 & 0 & 0 & * \\ \hline 0 & 1 & 0 & * \\ \hline 0 & 0 & 1 & * \\ \hline 0 & 0 & 0 & 1 \\ \hline \end{array} \quad = \quad \begin{array}{|c|c|c|c|} \hline 0 & 0 & 0 & * \\ \hline -1 & 0 & 0 & 0 \\ \hline 0 & -1 & 0 & 0 \\ \hline 0 & 0 & -1 & 0 \\ \hline \end{array} \end{array}$$

$g(\mathbf{x}, r_1, r_2) \equiv$

$$\begin{array}{c} \begin{array}{|c|c|c|c|c|c|c|c|} \hline 1 & \$ & \$ & \$ & \$ & \$ & \$ & \$ \\ \hline 0 & 1 & \$ & \$ & \$ & \$ & \$ & \$ \\ \hline 0 & 0 & 0 & \$ & \$ & \$ & \$ & \$ \\ \hline 0 & 0 & 0 & 0 & \$ & \$ & \$ & \$ \\ \hline \end{array} \quad \begin{array}{|c|c|c|c|} \hline 0 & 0 & 0 & * \\ \hline -1 & 0 & 0 & 0 \\ \hline 0 & -1 & 0 & 0 \\ \hline 0 & 0 & -1 & 0 \\ \hline \end{array} \quad \begin{array}{|c|c|c|c|c|c|c|c|} \hline 1 & 0 & 0 & \$ & 0 & 0 & \$ & \$ \\ \hline 0 & 1 & 0 & \$ & 0 & 0 & \$ & \$ \\ \hline 0 & 0 & 1 & \$ & 0 & 0 & \$ & \$ \\ \hline 0 & 0 & 0 & 0 & 1 & 0 & \$ & \$ \\ \hline \end{array} \end{array}$$

# Proof of Lemma

Lemma:  $\exists$  degree-1 mapping  $L : x \rightarrow$  s.t.  $\det(L(x)) = f(x)$ .

$$\begin{matrix} * & * & * & * \\ -1 & * & * & * \\ 0 & -1 & * & * \\ 0 & 0 & -1 & * \end{matrix}$$

Proof:

$A(x)$ = adjacency matrix of  $G_x$  (over  $F=\text{GF}(q)$ )

$$A^* = I + A + A^2 + \dots = (I - A)^{-1}$$

$$A_{s,t}^* = (-1)^{s+t} \cdot \det(I - A)|_{t,s} / \det(I - A)$$

$$= \det(A - I)|_{t,s}$$

$$L(x) = (A(x) - I)|_{t,s}$$

$$A = \begin{matrix} & s & & t \\ & & & \\ s & & & \\ & & & \\ & & & \\ t & & & \end{matrix} \quad \begin{matrix} * & * & * & * \\ 0 & * & * & * \\ 0 & 0 & * & * \\ 0 & 0 & 0 & * \end{matrix}$$

**Thm.** size- $s$  BP  $\Rightarrow$  degree 3 encoding of size  $O(s^2)$

- perfect encoding for mod- $q$  BP (capturing  $\oplus L/\text{poly}$  for  $q=2$ )
- imperfect for nondeterministic BP (capturing  $NL/\text{poly}$ )

The secure evaluation of an arbitrary function can be reduced to the secure evaluation of degree-3 polynomials.

Round complexity of information-theoretic MPC in semi-honest model:

- How many rounds for maximal privacy?      3 rounds suffice
- How much privacy in 2 rounds?  
     $t < n/3$  suffices
  - perfect privacy + correctness
  - complexity  $O(\text{BP-size}^2)$

# Is 3 minimal?

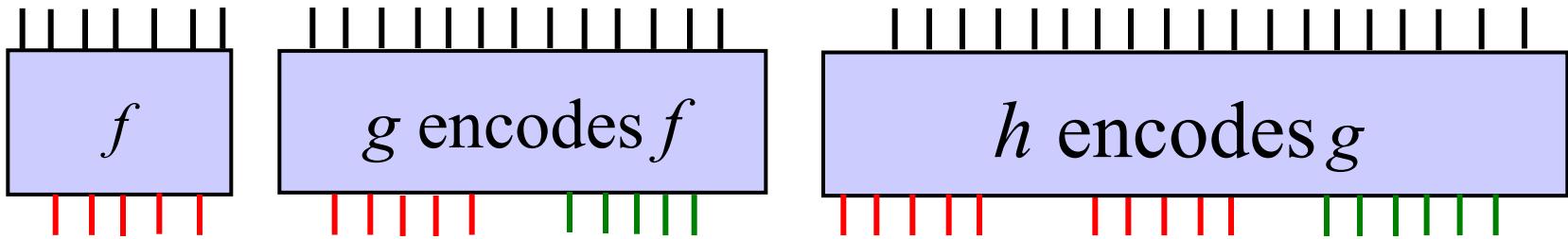
Thm. [IK00]

A boolean function  $f$  admits a *perfectly private* degree-2 encoding over  $F$  if and only if *either*:

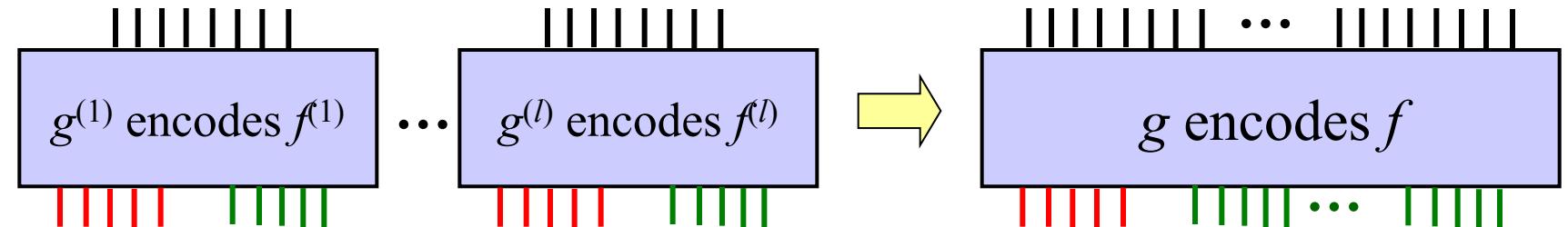
- $f$  or its negation test for a linear condition  $Ax=b$  over  $F$ ;
- $f$  admits standard representation by a degree-2 polynomial over  $F$ .

# Wrapping Up

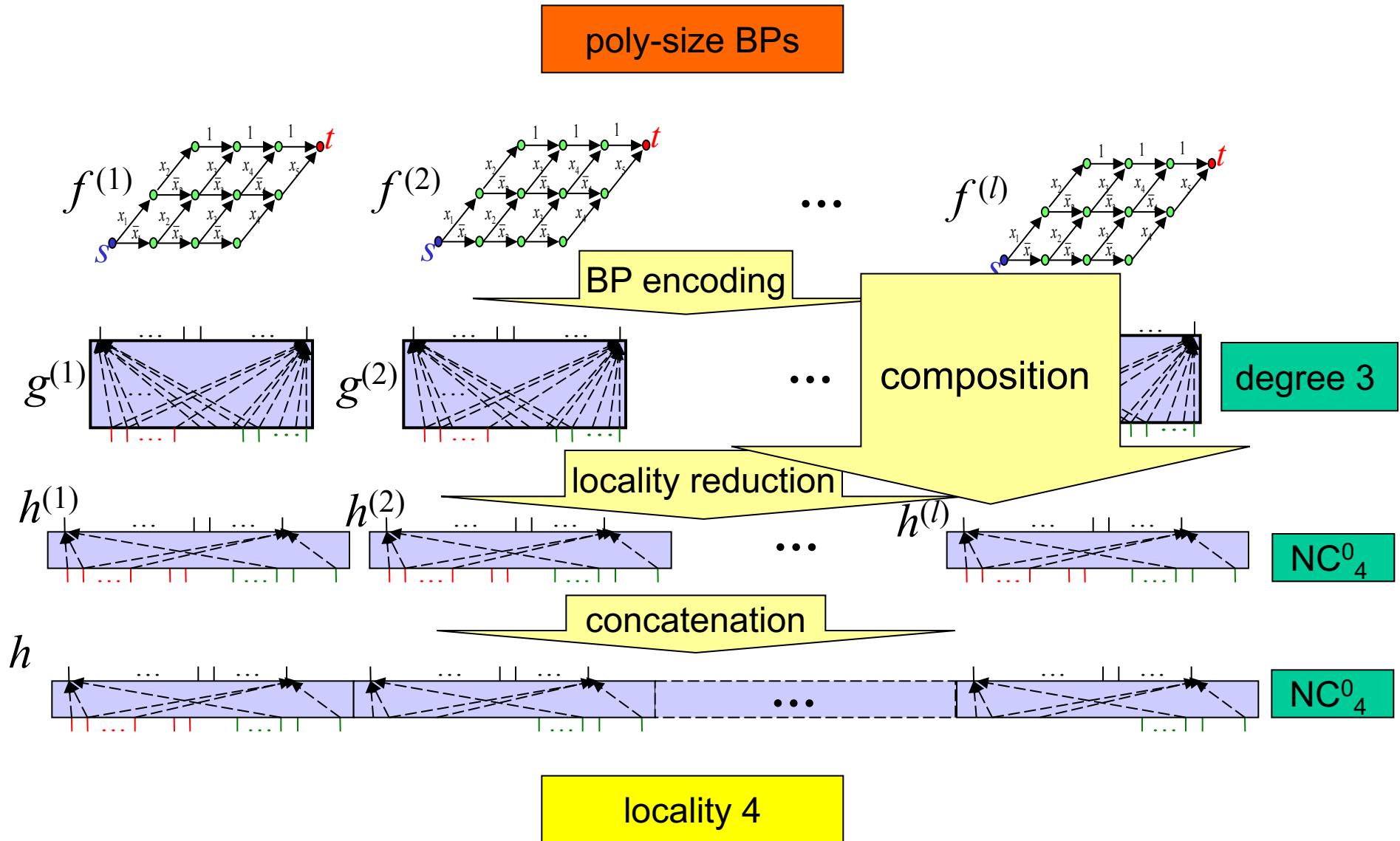
Composition Lemma:



Concatenation Lemma:



# From Branching Programs to Locality 4



# Summary

- Different flavors of randomized encoding
  - Motivated by different applications
- “Simplest” encodings: outputs of form  $x_i r_j r_k + r_h$ 
  - Efficient perfect/statistical encodings for various complexity classes ( $\text{NC}^1$ ,  $\text{NL}/\text{poly}$ ,  $\text{mod}_q \text{L}/\text{poly}$ )
  - (Efficient computationally private encodings for all  $P$ , assuming “Easy PRG”.)

# Open Questions

## Randomized encoding

## MPC

## Parallel crypto

poly-size  $\text{NC}^0$   
encoding for  
every  $f \in P$ ?

Unconditionally  
secure constant-  
round protocols for  
every  $f \in P$ ?

$\exists$ OWF  $\rightarrow$

$\exists$ OWF in  $\text{NC}^0$ ?

locality 3 for  
every  $f$ ?

maximal privacy with  
minimal interaction?

$\exists$ OWF in  $\text{NC}^1 \rightarrow$

$\exists$ OWF in  $\text{NC}_3^0$ ?

better  
encodings?

better  
constant-round  
protocols?

practical  
hardware?