Connectivity Properties for Topology Design in Sparse Multi-hop Wireless Networks

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CERTIFICATE OF COURSE WORK

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Abstract

Multi-hop Wireless Networks (MWNs) are decentralised, infrastructure-less networks enabled by cooperative multi-hop routing among the participating nodes. In this work, we deal with topology design with respect to connectivity properties for sparse MWNs.

In existing work, MWN topology design has primarily focused on one metric: connectivity. Connectivity is the probability that all the nodes of a network form a single connected component. Most related work consists of asymptotic analyses dealing with finding the values of network parameters that ensure that the MWN is connected with high probability. The parameters defining the network are usually the number of nodes, their transmission ranges, and the dimensions of the deployment area.

In this work, we deal with sparse MWNs, which are unlikely to be completely connected. We argue that sparse networks can form during the functioning of MWNs, and further, that networks can be designed to be sparse in order to facilitate tradeoffs between network parameters. Since much existing work on connectivity is asymptotic, and since it focuses only on the operating point at which the network becomes connected, we provide a finite-domain, empirical model for connectivity. However, we find that connectivity is not ideal for dealing with sparse MWNs because it is i) not indicative of the extent to which the network supports communication; and ii) it is unresponsive to fine changes in network parameters. We introduce a connectivity property called reachability, defined as the fraction of connected node pairs in the network, which we claim is more appropriate for topology design in sparse MWNs. We define and prove properties of reachability, and illustrate its application in performing fine-grained tradeoffs in network parameters through a case study. We also provide a finite-domain, empirical characterisation of reachability, and a tool called Spanner (Sparse Network Planner) to help apply this model. Given three values from side of the deployment area, number of nodes, uniform transmission range of the nodes, and reachability, Spanner computes the fourth. Our empirical charecterisations of connectivity and reachability are for static networks with up to 500 nodes uniformly distributed at random in a square area. These are also applicable to networks with mobile nodes where the mobility model preserves the uniform distribution of nodes.

Much work in the area, including our characterisations of connectivity and reachability, are for networks operating in a square area of deployment. We show that results obtained for a square area do not necessarily apply even to similar rectangular areas. We ascribe this to the edge effect by which nodes located near the boundaries of the area of operation cannot utilise their entire transmission coverage for communication. We quantify analytically the expected coverage for a single node in a rectangle and describe how this can be applied in extending results obtained for square areas to rectangular areas.

We have also developed a simulator, Simran, for studying topological properties of MWNs. Simran takes as input a scenario file with initial positions and movement traces of nodes, and generates a trace file containing metrics of topological interest such as average number of neighbors, averaged shortest path lengths over all pairs of nodes, reachability, connectivity, and number and size of connected components.

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Chapter 1

Introduction

Multi-hop Wireless Networks (MWNs) are decentralised, infrastructure-less networks enabled by cooperative multi-hop routing among the participating nodes. In recent years there has been much work on MWNs, and this has shown their applicability for diverse uses: Mobile ad hoc networks (MANETs) can be used for communication where network infrastructure may be unavailable, such as in vehicular networks or in battlefield and disaster relief operations; sensor networks have been used for collaborative sensing of events in inaccessible or dangerous areas; and mesh networks have been used for economical deployment of multi-hop backbone infrastructure for community and neighbourhood networks.

An important aspect of deploying MWNs is the *topology design* of the network. Topology design deals with deciding what values network parameters such as number of nodes or transmission power of radios should take in order to meet a design objective. Typical design objectives of topology design could be to ensure that the network is connected, that is, every node in the network has a path to every other node in the network, or to ensure that a specified network throughput is achievable.

Much existing work on MWNs has taken the view that a network needs to be connected in order to be useful. We have identified *sparse* MWNs as a class of MWNs in which the network may not be fully connected. Such networks can easily arise during the operation of an MWN. For example, a vehicular ad hoc network can become sparse when traffic density is low. Or, a sensor network may become sparse after the failure of some of its nodes. Moreover, we found that sparse MWNs can often support a significant amount of communication. This leads to the interesting possibility that MWNs can be *designed* to be sparse in order to facilitate tradeoffs between network parameters, deployment cost and its connectivity properties. A *connectivity property* is a measure of the extent to which a network possesses paths between its nodes. This thesis studies *topology design* for *sparse multi-hop wireless networks* with respect to their *connectivity properties*.

We are interested in answering questions such as:

- Are currently used connectivity properties appropriate for topology design in sparse MWNs?
 - If so, how can they be used?
 - If not, what other connectivity properties can be used?
- What is the nature of tradeoffs between network parameters that can be made in sparse deployments?
- What tools, such as models or simulators, would we require in order to accomplish such tradeoffs?

The answers to these and related questions form the body of this thesis, of which we provide an overview in Section 1.2. But we first give a more detailed introduction to topology design, connectivity properties and sparse networks.

1.1 Background

1.1.1 Topology design

Topology design is an important concern in deploying MWNs since it determines the extent to which a network can support communication. An MWN topology is characterised by its network graph, which in turn depends on physical network parameters such as number of nodes, transmission range of nodes, area of the network's operation, and type and extent of mobility. To illustrate, if the transmission range of nodes in a network is increased with all other parameters retaining their value, the average node degree of the network graph increases, and the number of hops on multi-hop paths decreases. Similar effects can be seen on the network graph by decreasing the area of operation. In general, *topology design involves setting network parameters such that the network graph that is obtained is suitable with respect to the constraints and intended application of the network*.

A network is characterised by its parameters—in this thesis the parameters we choose are the number of nodes N, their uniform transmission range R, the dimensions of the network's area of operation D, and location and mobility model parameters M. Therefore, a network, for our purposes, can be defined as a tuple: $\langle N, R, D, M \rangle$. Further, we state that the nodes of the network are initially located at positions chosen uniformly at random within the operational area. It is important to make the distinction here between a *network* and a *network instance*. That is, the same network parameters can result in different network graphs depending on the specific locations that the nodes take. To illustrate, if an MWN defined by $\langle N, R, D, M \rangle$ is to be deployed by scattering nodes over a field, two deployments could have different network graphs even though the network parameters are the same. For example, Figure 1.1 shows two instances of a network of 9 nodes, each with a transmission range of 100m, in a 500m × 500m area.

Note that topology design for a network instance can be deterministic. That is, we

can set values of network parameters for each node such that the exact desired topology is obtained. (This is commonly done with mesh networks, for example, where mesh nodes are installed at pre-determined locations.) But for a randomly deployed network defined only by its network parameters, topology design is usually probabilistic, and the objectives for topology design are met with some probability.

Topology design can be performed towards several objectives. For example, we may want to control the number of hops along a multi-hop path; or, we may want to adjust network parameters such that the network graph remains *connected*, but is not of such high degree that there is a significant loss of throughput due to radio interference. In this thesis, we are concerned with topology design with respect to the network's *connectivity properties*.



Figure 1.1: Different network instances of the same network

1.1.2 Connectivity properties

A connectivity property is a value associated with a network or a network instance that indicates the extent to which the nodes in the network are connected by paths. A well-studied example of a connectivity property in the context of MWNs is called *connectivity*. A network instance is said to be connected when all its nodes are part of a single

connected component. (For example, in Figure 1.1, the network instance on the left is connected.) We use the following definition of connectivity: connectivity of a *network* is the probability of a network instance being connected. Other examples of connectivity properties include the *size of the largest connected component* of the network graph, and *reachability*, introduced later in this thesis, and defined as the fraction of connected node pairs in the network.

1.1.3 Sparse networks

In this thesis, we deal with *sparse* MWNs. A *sparse* MWN is one which does not form a single connected component, or one in which connectivity with high probability is not ensured. We define a sparse network as follows: *An MWN is sparse if its probability of being connected is less than* 0.95.

A sparse network can arise in various ways: a vehicular ad hoc network in an area with low traffic density, an initially connected sensor network after some of its nodes have failed, and an ad hoc communications network that is being deployed incrementally can all be sparse networks. In constrained deployment scenarios, we may even wish to deploy a multi-hop network that trades off connectivity for deployment cost. There is work [SB03] that shows that tolerating some sparseness (requiring only 90% of nodes to be in the same connected component) results in a significant reduction in the required transmission range of nodes.

To examine the prevalence of sparse networks in MWN studies, we considered network parameters used for simulations in papers presented at the MobiHoc conference from the years 2000 to 2005 (the parameters are tabulated in [KCC05]). We conducted simulations to determine the connectivity of each set of network parameters, and classified a network as sparse if its connectivity was less than 0.95. This classification is in Table 2.1 in Chapter 2, and shows that 25 of the 60 networks examined were sparse.

1.2 Overview of work

We had raised the following questions as being of interest:

- Are currently used connectivity properties appropriate for topology design in sparse MWNs?
 - If so, how can they be used?
 - If not, what other connectivity properties can be used?
- What is the nature of tradeoffs between network parameters that can be made in sparse deployments?
- What tools, such as models or simulators, would we require in order to accomplish such tradeoffs?

We went about answering them as follows.

We first considered applying connectivity for topology design in sparse MWNs. We found that most existing work on connectivity (covered in Section 2.2) attempts to find the relation between network parameters such that the resulting MWN is connected with high probability. In contrast, topology design in sparse networks requires a continuous characterisation of connectivity that would express the network's capabilities across a range of network parameters. Further, most existing results are asymptotic and are difficult to apply to sparse networks. We therefore obtained a finite domain, empirical characterisation of connectivity in terms of number of nodes and transmission range normalised with the area of operation.

We found that the connectivity metric itself can be unsuitable when applied to sparse networks since i) it does not indicate the actual extent of communication possible in the MWN; and ii) it is unresponsive to fine changes in network parameters. We proposed that the *fraction of connected node pairs*, which we call *reachability*, would be a more appropriate measure for topology design in sparse networks, and proved its properties that are useful for this purpose. We also performed a case study showing how to apply reachability for evaluating design tradeoffs in sparse MWNs, and performed a characterisation which we incorporated into a design tool.

We also developed a simulator for studying topological properties of MWNs. This simulator, called Simran, takes as input a scenario file with initial positions and movement scripts of nodes, and generates a trace file containing metrics of interest such as average number of neighbour, averaged shortest path lengths over all pairs of nodes, reachability, connectivity, and number and size of connected components.

We found that our characterisations of reachability and connectivity, and much existing work in the area of topology design, give results for networks operating in square areas. However, results obtained for a square area did not necessarily apply even to similar rectangular areas. We attributed this to the *edge effect* where nodes at the boundaries of the area of operation do not use their full coverage area for communication. We analytically quantified the edge effect and obtained a method to extend connectivity property related results for square areas to a more general rectangular area.

We now present a more detailed overview of our work. The network model we used for our characterisations is as follows: N nodes, each with a transmission range of R are distributed uniformly at random in a square area of side l; r = R/l is the normalised transmission range. We represent connectivity, modelled as a function of N and r, as C(N, r), and reachability as Rch(N, r).

1.2.1 Connectivity

Most studies of connectivity (summarised in Section 2.2) have been asymptotic analyses of probabilistic connectivity, and become accurate as the number of nodes in the network increases. Some studies make use of the property that for large numbers of nodes, the connectivity versus transmission range curve behaves like a step function: at a critical value of transmission range, connectivity rises rapidly from almost zero to almost one [KWB01]. This property is made use of to analyse the point at which this transition occurs. As seen in Figure 1.2, such threshold behaviour, and in turn analyses based on this property, may not be accurately applicable to smaller networks with tens or a few hundreds of nodes. Further, we are specifically interested in the behaviour of connectivity properties in *sparse* MWNs. Such networks, by definition, are not fully connected, and our interest lies more in in finding exact values of connectivity for different combinations of number of nodes, transmission ranges and operational areas, than in determining when the network is fully connected. We obtain a finite domain, empirical characterisation of connectivity suitable for applying to sparse MWNs.



Figure 1.2: Connectivity vs. Normalised transmission range

We explored data from comprehensive simulations, and found that C(N, r) fits the Gompertz equation in the form: $C(N, r) = e^{-e^{(\beta_N - \gamma_N r)}}$. We then conducted simulations to obtain data representing the growth of C(N, r) from 0 to 1 as r increased, while keeping N fixed. Then we used the Gompertz equation as a regression function for simulated data, and obtained the coefficients β and γ for the corresponding value of N. This allowed us to characterise connectivity as a function of r for one value of N. We repeated this process for values of N ranging from 2 to 500, and performed a second level of regression on the estimated values of β_N and γ_N . This gave us a set of equations that allows us to obtain C(N, r) for values of N ranging from 2 to 500. While our characterisation itself was for a static network, it can be applied to mobile networks where nodes move such that their uniform distribution is preserved. Further details are presented in Chapter 3.

1.2.2 Reachability

We find that connectivity is not ideally suited for topology design in sparse networks since i) it is not indicative of the actual extent of communication possible in the MWN; and ii) it is unresponsive to fine changes in network parameters. We propose the *fraction of connected node pairs* as a more appropriate measure of the communication capabilities of a sparse network, and call this term *reachability*. Figure 1.3 is obtained from simulations, and plots the growth of reachability and connectivity as the transmission range, R, increases for 60 static nodes distributed uniformly at random in a 2000m × 2000m area. In this case, when reachability is 0.4, meaning 40% of node pairs are connected, connectivity is still at zero. Further, using only connectivity here is clearly inappropriate since the connectivity curve would lead us to believe that increasing R from 50 to any value less than 320 would have no effect on the extent of communication supported by the network. Reachability is more sensitive to changes in network parameters, and it is this property that we find useful for evaluating design tradeoffs in sparse MWNs. Sparse MWNs may use asynchronous store-and-forward communication to deal with disconnection, and in such cases, the difference between the behaviour of reachability

and connectivity is accentuated. Figure 1.4 is for a network where a node can store and forward data for another node for up to 30 seconds. In this case, almost 80% of node pairs have a path connecting them before connectivity rises above zero.



Figure 1.3: Increasing R, no mobility

The reachability of a static network is defined as the *fraction of connected node pairs* in the network. Using this definition we can calculate reachability for a network of N nodes as¹:

$$Reachability = \frac{\text{No. of connected node pairs}}{\binom{N}{2}}$$
(1.1)



Figure 1.4: Increasing R, with mobility and asynchronous communication We identify the following properties of reachability:

¹We assume that communication links between nodes are symmetric.

- 1. The reachability of a network lies in the interval [0, 1].
- 2. Reachability of a network is not less than the connectivity of the same network.
- 3. Reachability represents the probability that there exists a path between a randomly chosen pair of nodes in an MWN.
- 4. Reachability of a network represents the long term maximal packet delivery ratio achievable between random source-destination pairs in the network.

We prove these properties and describe the application of Property 4 in measuring routing performance in sparse MWNs in Chapter 4. The use of reachability for topology design is illustrated through a case study in Chapter 5.

1.2.3 Characterising Reachability

It may be possible to obtain asymptotic bounds for reachability, but since sparse networks often involve small numbers of nodes, we are particularly interested in characterisations in the finite domain, and we chose to model reachability using empirical regression. We model reachability as a function of N and r, and denote it by Rch(N, r).

For the characterisation, we explored data from comprehensive simulations and found that Rch(N, r) obeys logistic growth as given by $Rch(N, r) = \frac{1}{1+e^{\alpha_N-\beta_N r}}$. We then conducted extensive simulations to obtain data that represented the growth of Rch(N, r)from 0 to 1 as r increased, while keeping N fixed. We then used the logistic equation as a regression function, and obtained the coefficients α and β for the corresponding value of N. This allowed us to characterise reachability as a function of r for one value of N. We repeated this process for values of N ranging from 2 to 500, and performed a second level of regression on the estimated values of α_N and β_N . This gave us a set of equations that allows us to obtain Rch(N, r) for values of N ranging from 2 to 500. While our characterisation was for a static network, it can be applied to mobile networks where nodes move such that their uniform distribution is preserved. Further details are presented in Chapter 6.

1.2.4 Spanner

Spanner² is a tool we have developed for topology design in sparse MWNs. It uses our empirical model for reachability. It takes as input any three values from number of nodes, side of the deployment area, uniform transmission range of the nodes, and reachability, and computes the fourth value. As an example of Spanner's use, Figure 1.5 is plotted from data generated by Spanner, and shows the tradeoff required between number of nodes and transmission range to maintain a desired value of reachability. More details about Spanner can be found in Section 6.7.



Figure 1.5: Determining R and N for a given reachability

1.2.5 Simran

Simran³ is a simulator we have developed for studying topological properties of MWNs. Simran takes as input a scenario file with initial positions and movement scripts of nodes,

²Sparse network planner: http://www.it.iitb.ac.in/~srinath/tool/rch.html

³Available from http://www.it.iitb.ac.in/~srinath/simran/



Figure 1.6: Simran simulation environment

and generates a trace file containing metrics of interest such as average number of neighbour, averaged shortest path lengths over all pairs of nodes, reachability, connectivity, and number and size of connected components. Simran is also supported by a number of smaller programs for generating scenario files, managing large simulations, and for analysing results. A schematic diagram of the Simran simulation environment is shown in Figure 1.6. Simran can be used to evaluate design tradeoffs in sparse MWNs: Figures 1.3 and 1.4 are generated from results of simulations in Simran. Further, all data used for the characterisation of reachability and connectivity were also generated using Simran. Simran also supports topological simulation of networks with asynchronous communication. To facilitate simulation of asynchronous networks, we have modified the transitive closure algorithm to operate across multiple adjacency matrices representing the network at different instants. We call this the Temporal Transitive Closure algorithm. More details about this algorithm, and the design, implementation and use of Simran, can be found in Chapter 8.

1.2.6 Edge effects in MWNs

The empirical models presented in this thesis for connectivity and reachability are for nodes in a square area of operation. While the assumption of a square area of operation is common in work relating to connectivity properties of multi-hop networks, it is not clear how analytical or empirical results obtained for a square area can be applied to a more general rectangular area. We show that results obtained for a square area do not necessarily apply even to similar rectangular areas: we present simulation results that show reachability and connectivity varying for networks with the same area of operation, but with differing geometries. We ascribe this to the *edge effect* by which nodes located near the boundaries of the area of operation cannot utilise their entire transmission coverage for communication. This edge effect varies with the shape of the operating area, thereby also changing values of connectivity properties for the MWN. We quantify analytically the expected coverage for a single node in a rectangle and describe how this can be applied in extending results obtained for square areas to rectangular areas. Details are presented in chapter 7.

1.3 Contributions

The main contributions of this thesis are:

- an empirical model for connectivity for a two-dimensional network in the finite domain that is more general, accurate, and simpler to use than existing models for topology design in networks up to 500 nodes;
- identifying reachability as a suitable connectivity property for topology design in sparse MWNs, and properties of reachability that are useful towards this end;
- demonstrating the use of reachability for topology design and measuring routing protocol performance in sparse MWNs;

- an empirical, finite domain model for reachability of a two-dimensional network;
- Spanner: a topology design tool for sparse MWNs;
- Simran: a topological simulator for multi-hop wireless networks that is suitable for studying sparse networks; and
- quantification of the boundary effect for a single node in two dimensions, and its application in generalising results for connectivity properties in MWNs from square areas to more general rectangular areas.

1.4 Thesis organisation

We present related work in the area of MWNs in general, and in the area of topology design and sparse networks in particular, and motivate our work in relation to it in Chapter 2. Chapter 3 describes our characterisation of connectivity for MWNs. We introduce the notion of reachability, its definition, properties, and applications in Chapter 4. In Chapter 5, we illustrate the use of reachability for topology design in sparse MWNs with a case study. An empirical characterisation of reachability, and our attempts at an analytical characterisation are presented in Chapter 6. In the same chapter, we also describe Spanner, a tool we have developed for topology design in sparse networks based on our empirical model for reachability. In Chapter 7, we analyse the extent of the edge effect for a single node in an MWN, and describe its use in generalising results for connectivity properties from square to rectangular areas. We describe the design and implementation of Simran, our topological simulator, in Chapter 8. In the same chapter, we also present the Temporal Transitive Closure algorithm used in Simran for simulating asynchronous networks. We end with concluding remarks in Chapter 9.

Chapter 2

Related Work and Motivation

The main theme of this thesis is topology design of sparse Multi-hop Wireless Networks (MWNs) with emphasis on connectivity properties. The term MWNs is a broad one, encompassing mobile ad hoc networks, sensor networks and mesh networks. In Section 2.1 we present a brief overview of MWNs and their types, and outline characteristic research issues for these networks.

A connectivity property that has been very widely used in the context of MWNs is *connectivity*. In Section 2.2 we define connectivity and present an overview of existing work. We are specifically interested in *sparse* MWNs, and work related to sparse MWNs is summarised in Section 2.3. In this thesis we claim that connectivity is not suitable for topology design in sparse MWNs, and we propose a metric called *reachability*. Though there has been no thorough study of reachability, the notion has been touched upon briefly by others using different terms. We summarise existing work on reachability in Section 2.4. MWNs are often mobile. Section 2.5 briefly discusses the effect of mobility on such networks, and gives an overview of mobility models used or referenced later in this thesis. We conclude this chapter with Section 2.6 by relating the work in this thesis to the existing work discussed in this chapter.

2.1 Multi-hop Wireless Networks

Multi-hop Wireless Networks (MWNs) are decentralised, infrastructure-less networks enabled by cooperative multi-hop routing among the participating nodes, and have been the subject of much work in recent years. One reason for this recent interest is the increasing availability of smaller, cheaper and more powerful mobile devices with improved wireless networking capabilities. Using *multi-hop* wireless transmission is attractive because for a given distance, the total power required to transmit a packet is lower over multiple hops than for a single hop. Further, this lower transmission power reduces the extent of radio interference and allows parallel streams of communication in the network.

Multi-hop wireless Networks can be divided based on application into the following kinds of networks: Mobile Ad hoc Networking (MANET), Sensor Networks and Mesh Networks. Each of these types of networks have their own characteristic issues and are thriving areas of research.

2.1.1 Mobile Ad hoc Networks

A MANET is a collection of mobile nodes with wireless capabilities in which each node can act as a router. Nodes communicate using a multi-hop path in which other nodes of the MANET act as intermediate nodes. Typically MANETs do not rely on any infrastructure outside the nodes themselves. Often cited application scenarios include battlefield communications and disaster relief operations where networking infrastructure may be unavailable or destroyed. Active research issues in MANETs are medium access, transport layer performance, quality of service, security and applications. Chlamtac and others present a comprehensive survey of advances and challenges in MANET research in [CCL02].

A characteristic issue in MANETs is routing. It is particularly challenging and interesting since nodes are mobile. Even after a multi-hop route is discovered from source
to destination, it can be broken by the movement of any of the intermediate nodes along the route. A large number of MANET routing protocols have been proposed to address the issues of route discovery and maintenance. Broadly, these can be classified as being proactive or reactive. In proactive protocols, each node maintains at all times a route from itself to every other node in the network. An example of a proactive MANET routing protocol is DSDV (Destination Sequenced Distance Vector), which extends distance vector routing to MANETs by introducing more frequent updates to handle mobility, and a destination sequence number to avoid routing loops. Popular reactive protocols are DSR (Dynamic Source Routing) [JM96] and Ad hoc On-demand Distance Vector (AODV) [DPR00] which discover routes when there is a packet to be sent. Both DSR and AODV discover routes by having the source flood the network with route discovery packets, and having the destination reply with the sequence of hops required to reach it. DSR performs source routing and appends this route to each packet, while AODV maintains routing tables at each intermediate node which contain next-hop information for the route. There are also hybrid, hierarchical and location-aided approaches to routing in MANETs. A comprehensive survey of MANET routing strategies is available in [BR03].

Almost all the work in this thesis is directly applicable to MANETs: our simulator, Simran, described in Chapter 8 can be used for simulating topological properties of dense and sparse MANETs; our connectivity and reachability characterisations can be used for topology design of MANETs, that is, they help choose network parameters such as number of nodes and transmission range that result in desired values of connectivity properties.

2.1.2 Sensor Networks

A typical sensor network consists of a large number of nodes which are capable of sensing some phenomenon of interest and communicating this information to a central base station. Akyilidz and others present a survey of issues and research in the sensor network area in [ASSC02].

Sensor networks can be deployed where human presence may be inconvenient or impossible. Typical applications include sensing the presence of hazardous materials in a chemical plant, and identifying and communicating sources of threat in a battlefield scenario. Since random deployment of sensor nodes may be required, sensor network protocols must support self-organisation. Much research has gone into developing schemes that allow for low-power operation of sensor networks. These schemes include using sleep and wake-up schedules to minimise the time a node's radio is operational, and data filtering and aggregation to ensure that radio transmissions are as few as possible. Sensor networks are usually more dense than MANETs or mesh networks, and data may be transmitted using a large number of hops to save power. Routing in such networks is typically data-centric as opposed to node-centric in other forms of MWNs.

Much of the work in this thesis can be applied to sensor networks: Simran supports topological simulations in sensor networks, and the characterisation of connectivity can be used to determine network parameters such as number of nodes and transmission range required for complete or partial connectivity. Our characterisation of reachability can be used for topology design when sensor nodes are mobile.

2.1.3 Mesh Networks

Wireless mesh networks are MWNs that function as backbone networks for a set of clients. Akyilidz and others survey advances and challenges in the area of mesh networking in [AWW05].

Mesh networks consist of several mesh routers each of which may have multiple wireless links to connect to other mesh routers and clients. Mesh routers can also act as gateways by allowing clients to connect to them using a variety of network technologies such as Ethernet, 802.11, or a cellular network. Mesh networks can be an effective last-mile solution where the terrain is difficult, or where adequate infrastructure does not exist. Since such a solution can be deployed incrementally, and can be developed using inexpensive hardware, mesh networks are also cost effective, and are popular for setting up community and neighbourhood networks. Typically mesh routers are not mobile and routing is not as challenging as for MANETs. But mesh networks do need to be self-organising and adaptive to some extent to handle router failures and client mobility. Many existing mesh networks use well-established MANET routing protocols or their variants. Since mesh networks act as a backbone, network availability and capacity are of prime importance, and there is much work in this area. The operation and scheduling of multiple radio transceivers on mesh routers is also an active area of research.

The work in this thesis is primarily applicable when nodes are distributed randomly. While that is often the case with MANETs and sensor networks, mesh nodes are usually positioned in a carefully designed topology. However, our simulator Simran, can be used with mesh networks to determine topological properties of the network such as connectivity, number of neighbours per node, and shortest path lengths, which are useful in designing mesh networks, particularly those with large numbers of nodes.

2.2 Connectivity

A network graph is said to be *connected* when all its nodes belong to a single connected component. Traditionally, MWNs have been regarded as useful when they are fully connected, that is, when all the nodes in the network are part of a single connected component, and topology design efforts have therefore concentrated on determining the conditions under which the network becomes fully connected. Given network parameters such as the number of nodes, their distribution and their transmission ranges, the *connectivity* metric is usually defined as the *probability that the network forms a single*

connected component.

There is much work on finding the 'magic number' of neighbours per node that ensures connectivity, and we summarise this work in Section 2.2.1. Work addressing the Critical Transmission Range (CTR) problem, which finds the transmission range of nodes for which the network is connected with high probability, is covered in Section 2.2.2. Most work on these problems have involved asymptotic analyses. There also exist analytical and empirical results characterising connectivity in the finite domain and we present these in Section 2.2.3. This last mentioned work is directly related to ours: In Chapter 3 we present an empirical, finite domain characterisation for connectivity for use with sparse networks.

2.2.1 The quest for a magic number

In a multi-hop network, the transmission ranges of the nodes plays an important part in establishing both the connectivity and the capacity of the resulting network. If the transmission range is too low, the degree of nodes in the network graph may be too low for it to be connected, and nodes may be isolated. If the range is too high, the network graph has nodes with larger degree, and nodes are more likely to interfere with each others' transmissions. While this decreases network capacity, an additional factor to be considered is that a larger node degree decreases the number of transmission hops required from source to destination. This was formulated as an optimisation problem in Kleinrock and Silvester's 1978 paper titled 'Optimum Transmission Radii for Packet Radio Networks or Why Six is a Magic Number' [KS78]. They found that the optimum transmission radius which maximises throughput in a slotted ALOHA packet radio network resulted in around six neighbours per node.

Much work followed Kleinrock and Silvester's result identifying six as a magic number. In a subsequent paper, Takagi and Kleinrock revised this magic number to eight for slotted ALOHA, and identified magic numbers when the transmission protocols used were CSMA (Carrier Sense Multiple Access) and slotted ALOHA with capture as five and seven respectively[TK84]. Other work using different transmission strategies came up with magic numbers of six and eight [HL86], and three [Haj83]. Royer and others performed simulation studies for a mobile ad hoc network running the AODV routing protocol and found the optimal number of neighbours to be between seven and eight, and further that this number increased with mobility [RMSM01].

In 2004, Xue and Kumar showed that a multi-hop network is asymptotically disconnected with probability one if each node is connected to less than $0.074 \ln n$ neighbours, and asymptotically connected with probability one if each node is connected to more than $5.1774 \ln n$ neighbours. Therefore, there cannot exist a single magic number as a multi-hop network grows arbitrarily large [XK04].

2.2.2 Critical transmission radius

It is known that for large numbers of nodes, the connectivity versus transmission range curve behaves like a step function: at a critical value of transmission range, connectivity rises rapidly from almost zero to almost one [KWB01]. There is work in the asymptotic domain to find the Critical Transmission Radius (CTR) that guarantees that the network is completely connected.

Gupta and Kumar have shown using the theory of continuum percolation that a network with n nodes on a disc of unit area is almost surely connected if the transmission range is $O(\sqrt{(\ln n + c(n))/n})$ with $c(n) \to \infty$ as $n \to \infty$ [GK98, KMK04].

Santi and Blough have used occupancy theory to analyse the CTR for multi-hop wireless networks [SB02, SBV01, SB03]. They obtain bounds for one dimensional networks as follows: For n nodes of transmission range r distributed uniformly as random on a line of length l, the communication graph is connected with high probability if $rn \in \Theta(l \ln l)$, while it is not if $rn \in O(l)$. They go on to show that if $rn = k \ln l$, the network is connected w.h.p. when $k \ge 2$ and not connected w.h.p. when k < 1. They also provide a lower bound for the CTR in two and three dimensional networks: in d dimensions, with $n \gg 1$ and $r \ll l$, the network is not connected w.h.p. when $r^2n \in O(l^2)$. A difference in their work from Gupta and Kumar's is that they explicitly use the length of the side of the operating area l in their analyses, rather than working with only node density. As a result, their work is applicable even in networks with low node densities. However, it is asymptotic in the number of nodes.

Most existing work on the CTR problem uses an idealised radio propagation model where two nodes are connected if the distance between them is not greater than the transmission range. Work on CTR with a more realistic radio propagation model can be found in [HM04].

In MANET and sensor network research, it is often crucial to satisfy constraints such as minimising power consumption or radio interference. At the same time, it may be desirable to maintain certain properties of the network graph such as connectivity. Such work broadly falls under the category of *topology control*. The CTR problem is also a topology control problem. A survey of topology control related work for MANET and sensor networks is found in [San05].

2.2.3 Finite domain and empirical results

Among non-asymptotic results, Desai and Manjunath obtain exact expressions for connectivity of uniformly distributed nodes in a one-dimensional network [DM02]. Koskinen gives empirical quantile models for k-connectivity for k = 2 and k = 3 [Kos04]. Tang and others present an empirical regression model for connectivity [TFL03]. We cover their work in more detail since we too present an empirical model for connectivity in Chapter 3. For *n* nodes with transmission range *R* in an $L \times L$ area, Tang and others estimate the probability of connectivity, P, as:

$$P = \frac{exp\left(\frac{R-R_c}{E}\right)}{1 + exp\left(\frac{R-R_c}{E}\right)} \tag{2.1}$$

where R_c and E are model parameters given by:

$$R_c = \left(1.0362\sqrt{\frac{\ln n}{n} - 0.073}\right)L$$
 (2.2)

$$E = \left(\frac{0.3743n - 0.333}{n\ln^2 n}\right)L$$
 (2.3)

This model is applicable for $P \in [0.5, 0.99]$ and $n \in [3, 125]$. The model we present in Chapter 3 of this thesis is more general, being applicable for $P \in [0.05, 0.95]$ and $n \in [3, 500]$. This applicability for values of connectivity less than 0.5 covers the sparse region of operation more thoroughly. We elaborate on this in Section 3.6.

2.3 Sparse multi-hop wireless networks

This thesis deals primarily with *sparse* MWNs. A network is considered sparse when it does not have a high probability of being completely connected, that is, the networks is fragmented into multiple connected components. For the purposes of our work we classify an MWN as sparse if it has a connectivity value less than 0.95.

Sparse networks can arise in various ways: a vehicular ad hoc network in an area with low traffic density, an initially connected sensor network after some of its nodes have failed, and an ad hoc communications network that is being deployed incrementally can all be sparse networks. In constrained deployment scenarios, we may even wish to deploy a multi-hop network that trades off connectivity for deployment cost: in [SB03], Santi and others show that tolerating some sparseness (for example, requiring only 90% of nodes to be part of the same connected component) results in a significant reduction in

the required transmission range of nodes. The metric used to deal with sparse networks in [SB03] is the fraction of nodes contained in the largest component. The metric we propose in Chapter 4, reachability, differs from this in that it captures the communication ability of nodes in *all* the connected components. Römer and others have proposed a taxonomy of sensor network applications [RM04] in which a number of sparse sensor network applications are mentioned.

2.3.1 Asynchronous multi-hop wireless networks

When the nodes of an MWN are so spread out that nodes that need to communicate cannot have a path between them, mobility can be used to improve connectivity in the network. Mobility has also been used to improve the *capacity* of the network: Gupta and Kumar showed in [GK00] that throughput per source-destination pair in an MWN decreases as node density increases; Grossglauser and Tse in [GT01] showed that in the presence of mobility, multi-user diversity could be used to achieve a tradeoff between throughput and delay. This would allow throughput to be maintained almost constant even with increasing node density provided additional delay can be tolerated.

Similarly, connectivity can be improved by mobility. Two nodes that wish to communicate may not ever have a path between them at any single instant of time. However, there may be interactions between the nodes of the network due to mobility which can result in a disjoint path being formed over time. A store and forward policy for data can ensure that messages meant for a destination are eventually delivered. The delay involved in such a multi-hop asynchronous data transfer can be considerable, and depends on the number of nodes and their pattern of mobility. In [JFP04], Jain and others propose routing strategies for such *delay tolerant networks*, and a framework for evaluating these strategies. Zhao and others in [ZAZ04] propose special mobile nodes that they call *message ferries*, which store and forward messages between other nodes and themselves to connect sparse networks.

2.4 Reachability

A large portion of this thesis deals with a connectivity property of MWNs that we call *reachability*. We define reachability as the fraction of connected node pairs in the network and claim that it is a more suitable metric for use in the design of sparse MWNs. We go on to obtain an empirical regression model for reachability in terms of the number of nodes in the network and their uniform transmission range normalised by the side of their square area of operation. We have found two instances of work where the authors have independently touched upon the notion of reachability.

In [TFL03], Tang and others use regression to obtain a finite domain characterisation of connectivity for an MWN. Subsequently, they suggest that connectivity may not be appropriate for networks with smaller numbers of nodes. For use with such networks they propose a *connectivity index* to capture the network's connectivity properties. They define the connectivity index as follows:

$$\frac{\sum_{i} n_i (n_i - 1)}{\sum_{i} n_i (\sum_{i} n_i - 1)}$$

where n_i is the number of nodes in the *i*th connected component. This connectivity index is identical with reachability. However, we had independently defined and studied reachability before coming across this work. Moreover, applications, properties and characterisation of the metric are not covered in [TFL03].

In their 1994 work titled 'Connectivity properties of a random radio network' [NC94], Ni and Chandler present an analysis for connectivity of a two-dimensional MWN. Interestingly, their definition of connectivity differs from that used in other work in the area (covered in Section 2.2). They define connectivity as the *average probability of being* *able to make connection between an arbitrary station pair in the network.* In Chapter 4 we show to be a property of reachability. In effect, Ni and Chandler's notion of connectivity is equivalent to our notion of reachability. They also identify the property that reachability sets an upper limit on routing performance. They do this by creating two variants of their notion of connectivity: Pure Connectivity (P-Connectivity), which is a property of the network graph; and Routing Algorithm Based Connectivity (RAB-Connectivity), which they go on to analyse as the limiting value for connectivity after assuming some simple routing strategies. They also demonstrate that P-Connectivity is determined by the average number of neighbours per node.

Ni and Chandler present an approximate analysis of P-Connectivity by finding: i) the probability distribution of the distance between an arbitrary station pair in the network; and ii) the probability distribution of being able to make connection with another station at a given distance. These are combined to find the average probability of making connections between station pairs. However, in practice, the results of this analysis are very difficult to apply. The analysis results in a set of complex equations that require numerical integration and statistical measurements to evaluate. One of the terms in their equations is the expected maximum number of hops to make a connection for a given transmission range. In an example, the authors resort to simulation in order to find the value of their work is more in terms of approach rather than the applicability of results.

Our work differs from Ni and Chandler's work in the following ways: i) we identify reachability as useful for topology design in sparse MWNs, and state and prove its properties that are useful in this regard; ii) our characterisation of reachability is an exact one in the finite domain, and can be computed easily without requiring simulation or numerical algorithms.

We use the term *reachability* in this thesis since it is more intuitive in the context of

communication in sparse networks. Although this term has been used before in several other areas, to the best of our knowledge it has not been used to denote a connectivity measure.

2.5 Mobility

Most analytical results cited in preceding sections of this chapter are for static cases. Mobility introduces several variables in an MWNs connectivity properties depending on the nature of mobility and the capabilities of nodes. The nature of nodes' mobility is captured by *mobility models*, which we explore in this section.

When nodes are capable of buffering packets, mobility can be used to improve connectivity properties of a sparse network. Such networks, known as *asynchronous networks* or *delay tolerant networks*, are discussed in Section 2.3.1.

2.5.1 Mobility models

Mobility models allow us to describe and parametrise the mobility of nodes in a network. They capture the mobility of users or nodes in a network for purposes of analysis or simulation. Much of the work in this thesis deals with static networks, and where we have used mobility (sections 5.4.2 and 5.4.3), the nodes have followed the *random waypoint* mobility model. We also refer to the *random direction* mobility model while discussing the applicability of our results to mobile MWNs (Section 6.8.3).

Random waypoint

Random waypoint, introduced by Johnson and others in [JM96], and further refined in [BMJ⁺98], is meant to capture user movement in an enclosed space. Nodes are initially positioned at random in the area of operation. After waiting for a *pause time*, a node moves to a random destination at a uniform speed randomly chosen from the interval

 $(V_{min}, V_{max}]$. On reaching its destination the node waits for *pause-time*, selects another destination, and moves there. This behaviour is repeated till the end of simulation time.

The random waypoint model was in wide use when Yoon and others pointed out a shortcoming in the way in which it was being used [YLN03]. They pointed out that V_{min} was often set to 0, and this caused an increasing number of nodes to be stuck at very low speeds as simulation progressed. As a result, the average velocity of nodes decayed with simulation time, and the network never reached a steady state in terms of node velocity. They suggested the simple modification of setting V_{min} to a positive value to avoid this problem. A property of the random waypoint model that must be kept in mind is the non-uniform distribution of nodes with time [RMSM01, BRS03]. This can lead to initial results of simulations being unindicative of steady state behaviour. This is often handled in simulation by using a warm-up period till the network reaches steady state. However the duration of this warm-up period is difficult to choose accurately, and Navidi and Camp have provided a stationary distribution for the random waypoint model with which simulations can be initialised [NC04].

Random direction

Since random waypoint changes the distribution of nodes with time, analytical results obtained for a specific distribution of nodes are difficult to apply. A simple mobility model that preserves the uniform random distribution of nodes [Bet02] is the random direction model proposed by Royer and others [RMSM01]. Here every node initially choose a *direction* at random between 0 and 359 degrees, selects a speed from a defined range, and moves till it encounters a boundary. It pauses at the boundary for a defined *pause-time*. Then it picks another direction at random, this time between 0 and 180 degrees relative to the boundary, and moves there. This last step repeats till the end of simulation.

Other mobility models and stationary distributions

We have touched upon the two mobility models that are mentioned in later chapters of this thesis. Several other mobility models have been proposed based on, for example, assumptions regarding the nature of the area of operation, degree of randomness present, or the presence of group mobility. Camp and others have surveyed work on mobility models for ad hoc networking research in [CBD02]. There also exists recent work on characterising stationary distributions of several mobility models, that improves the understanding of these models when used in analysis or simulation of multi-hop wireless networks [NC04, BRS03, BV05].

2.6 Motivation

Traditionally, MWNs have been considered useful when the nodes of the network form a single connected component with high probability. There exists a vast amount of research that tells us how to ensure that an MWN is fully connected (Section 2.2). However, *sparse networks*, where all nodes may not be connected w.h.p. can occur in various applications. Further, it may be advantageous under certain circumstances to intentionally design a sparse network (Section 2.3).

In order to estimate the prevalence of sparse networks in MWN studies, we examined the network parameters used for simulations in papers presented at the MobiHoc conference from 2000 to 2005. These parameters have been tabulated by Camp and others in [KCC05]. We used simulations to obtain values of connectivity corresponding to these network parameters, and classified the networks as sparse or dense. As mentioned earlier, we treat a network with a connectivity value of less than 0.95 as sparse. The results of this classification are tabulated in Table 2.1. They show that 25 of the 60 networks examined are sparse, allowing us to conclude that sparse networks occur quite often in the course of MWN studies. The problem we address in this thesis is that of *topology design* in sparse MWNs. The design parameters we choose for the network are the number of nodes, their uniform transmission ranges, and the network's area of operation. We are interested in mapping these parameters to the resulting *connectivity properties* of the network graph. This is to allow us to determine tradeoffs between network parameters and the resulting network's connectivity properties.

There is much existing work on topology design using the connectivity metric (Section 2.2) which only allows us to find the conditions under which an MWN becomes connected with high probability. For example, given a certain number of nodes in a known area, work on the CTR problem (Section 2.2.2) can tell us the uniform transmission range that nodes must possess for the network to be connected w.h.p. However, this will not tell us *how much connectivity* some arbitrary lower transmission range will provide. This is in part due to the assumption that given a large enough node density, connectivity behaves as a step function. Such an assumption also leads to analyses being asymptotic in node density or number of nodes, making them inapplicable when the network is sparse. To use connectivity for topology design in a sparse network, we require a characterisation of connectivity as a *function* of number of nodes, uniform transmission range, and area of operation. We provide such a characterisation, based on empirical regression, in Chapter 3.

For topology design in a sparse network, we require a metric that is indicative of the *ability of nodes to communicate*. This ability is, in effect, the ability of potential source and destination nodes to possess a multi-hop path between them. We find that the connectivity metric, by its very definition, does not capture this ability. For example, a network in which several node pairs have a multi-hop path between them could still have a connectivity value close to 0. We demonstrate this in Chapter 4, and we propose that a *finer grained* metric to measure such a communication ability is the *fraction of connected node pairs*. We call this metric *reachability*. While this metric has been briefly

touched upon by others, there is not enough study to allow its practical use for topology design in MWNs (Section 2.4). We define reachability, and identify and prove some of its properties in Chapter 4. We conduct a case study in Chapter 5 to show how reachability can be used in identifying tradeoffs between network parameters. In Chapter 6, we present a finite domain characterisation of reachability in terms of the number of nodes and their normalised transmission ranges. To allow the easy use of this model, we have incorporated it into a simple design tool that we have developed called Sparse Network Planner (Spanner).

We obtain our characterisations for connectivity and reachability using an empirical approach based on regression analysis of data generated from extensive simulations. Such an approach has been used by others before as mentioned in Section 2.2.3. Such an approach is ideal for the purposes of topology design since it ensures finite domain results that can be applied with precision to practical cases. The metric is estimated for a range of network parameters of interest with a well-defined margin of error. The limitation of such an approach is that it is a utilitarian one, not providing insights into network behaviour to the same extent as analysis.

Most existing analytical and empirical results for connectivity (Section 2.2) either assume a square area of operation for the network, or abstract the network's geometry by using node density as a parameter. The characterisations of connectivity and reachability in our work too assume a square area of operation. In Chapter 7, we demonstrate that *geometry influences connectivity properties of an MWN*. Even for the same area of operation, connectivity properties of an MWN can vary significantly depending on the shape of the area. We identify this as resulting from *edge effects* by which the transmission ranges of nodes located near the edges of the operational area do not contribute fully towards the connectivity properties of the network. We show how results obtained for a square area can be applied to MWNs operating in a more general rectangular area.

In order to measure connectivity properties of sparse MWNs, and to generate data

points for empirical characterisations, we required a simulator capable of measuring connectivity properties in MWNs. To this end, we built *Simran*, a topological simulator for MWNs (described in Chapter 8). It allows the measurement of connectivity and reachability, and other topological metrics of interest such as average number of neighbours, shortest path lengths and average velocity of nodes. It also supports simulation of asynchronous MWNs.

N	X	Y	R	Rch	Conn	Dense/Sparse
10	1000	1000	100	0.0340	0	Sparse
20	350	350	100	0.7011	0.207	Sparse
20	1000	750	250	0.7036	0.22	Sparse
24	1200	800	250	0.6383	0.121	Sparse
25	200	200	100	0.9998	0.997	Dense
25	900	900	250	0.8099	0.337	Sparse
30	350	350	100	0.9270	0.633	Sparse
36	3000	3000	1061	0.9965	0.967	Dense
40	350	350	100	0.9844	0.86	Sparse
40	900	900	250	0.9772	0.81	Sparse
40	5000	5000	250	0.0088	0	Sparse
50	40	40	10	0.9824	0.828	Sparse
50	350	350	100	0.9966	0.947	Sparse
50	500	500	100	0.8301	0.218	Sparse
50	1500	300	250	0.9963	0.987	Dense
50	1500	300	275	0.9980	0.995	Dense
50	1000	1000	250	0.9824	0.828	Sparse
50	1000	1000	100	0.05578	0	Sparse
60	350	350	100	0.9990	0.976	Dense
70	25	25	10	1	1	Dense
70	350	350	100	0.9995	0.988	Dense
80	350	350	100	0.9999	0.997	Dense
90	350	350	100	0.9999	0.998	Dense

Table 2.1: Network parameters from 60 MobiHoc papers, 2001-2005

Ν	Х	Y	R	Rch	Conn	Dense/Sparse
100	100	100	20	0.9968	0.919	Sparse
100	350	350	100	1	0.999	Dense
100	300	1500	250	1	1	Dense
100	400	400	100	0.9999	0.995	Dense
100	1200	1200	250	0.9983	0.955	Dense
100	500	500	100	0.9968	0.919	Sparse
100	575	575	250	1	1	Dense
100	575	575	125	0.99902	0.971	Dense
100	650	650	67	0.1871	0	Sparse
100	1000	1000	250	0.9999	0.995	Dense
100	1000	1000	150	0.9116	0.277	Sparse
100	1000	1000	50	0.0106	0	Sparse
100	1000	1000	100	0.1558	0	Sparse
100	2200	600	275	0.9993	0.987	Dense
100	2000	600	250	0.9982	0.971	Dense
100	150	1500	250	1	1	Dense
100	3000	900	250	0.8383	0.254	Sparse
100	1000	1000	100	0.1558	0	Sparse
110	350	350	100	1	1	Dense
120	2500	1000	250	0.9772	0.695	Sparse
200	100	100	40	1	1	Dense
200	500	500	70	0.9974	0.906	Dense
200	1700	1700	250	0.9989	0.945	Sparse
200	1981.7	1981.7	250	0.9925	0.759	Sparse
225	100	100	20	1	0.999	Dense
225	600	600	100	0.9999	0.994	Dense
400	100	100	20	1	1	Dense
400	800	800	100	0.9999	0.992	Dense
500	3000	3000	67	0.0022	0	Sparse
600	3000	3000	250	0.9995	0.928	Sparse
625	1000	1000	100	1	0.997	Dense
1000	40	40	3	0.9999	0.986	Dense
1000	81.6	81.6	300	1	1	Dense
1000	100	100	10	1	1	Dense
1000	500	500	20	0.6303	0	Sparse
10000	600	600	35	1	1	Dense

Chapter 3

Characterising Connectivity

3.1 Introduction

Connectivity is an important and well-studied property of wireless multi-hop networks. Most studies of connectivity (summarised in Section 2.2) have been asymptotic analyses of probabilistic connectivity, and are more suitable for networks with large numbers of nodes. Some studies make use of the property that for large numbers of nodes, the connectivity versus transmission range curve behaves like a step function: at a critical value of transmission range, connectivity rises rapidly from almost zero to almost one [KWB01]. This property is made use of to determine the point at which this transition occurs.

Figure 3.1 illustrates the growth curves for connectivity against normalised transmission range for increasing numbers of nodes. The curve begins to resemble a step function only beyond N = 100. This threshold behaviour, and in turn analyses based on this property, may not be accurately applicable to smaller networks with tens or even a few hundreds of nodes. Further, we are specifically interested in the behaviour of connectivity properties in sparse multi-hop wireless networks. Such networks, by definition, are not fully connected, and our interest lies more in finding exact values of connectivity for different combinations of number of nodes, transmission ranges and operational areas, than in determining when the network is fully connected.



Figure 3.1: Connectivity vs. Normalised transmission range

In this chapter we present empirical regression based equations for connectivity of a two-dimensional, static wireless multi-hop network (sections 3.4.4 and 3.4.5). The regression is on simulated data, and we present the required background on regression (Section 3.2) and details about planning the simulations (Section 3.4). The obtained closed form expressions are in terms of number of nodes and transmission range of nodes, and are valid for nodes ranging from 3 to 500 in number that are distributed uniformly at random in a square area of operation. We also compare our results with existing related work (Section 3.6).

3.1.1 Network model and assumptions

We make the following network assumptions commonly used in connectivity related work (Section 2.2):

- the nodes of the network are static and uniformly distributed at random in a square area of operation;
- all nodes have a uniform transmission range;

• two nodes can communicate directly if the distance separating them is not greater than the transmission range;

In addition, we use a transmission range that is normalised to the side of the square area either explicitly by dividing by the side of the square, or implicitly, by assuming the square to be of unit area. The network is capable of multi-hop communication: if a network graph is drawn with nodes as vertices, with edges connecting every pair of nodes within transmission range of each other, two nodes can communicate if there is a path between them of length one or greater. The network graph is said to be *connected* if all the nodes are part of the same connected component.

Note that the notion of connectivity used in this work and other related work is probabilistic in nature. This is because a network defined by its parameters such as number of nodes, transmission range and side of the square area of operation, can have many different *instances* depending on the exact positions of the nodes. The connectivity for each of these network instances is a binary value—that is, an instance is either connected or not. But given a large number of network instances, the fraction that are connected represents the probability that a random instance of the network will also be connected. This probability represents the connectivity of the network.

There is some overlap in the use of the term 'connectivity' in the area of topology control in multi-hop wireless networks. The *k-connectivity* of a network graph is a measure of its fault tolerance capability. A graph is said to be k-connected if there exists a path between all remaining pairs of nodes when k - 1 nodes are removed. Or equivalently, if there exist at least k distinct paths between any pair of nodes. Expressed in these terms, the notion of connectivity used in our work is as follows: the connectivity of a network is the *probability that the network is 1-connected*. Examples of related work that also use this notion are [TFL03], [DM02], and [Kos04].

3.2 Background: Regression analysis

A regression model allows us to estimate or predict a random variable as a function of one of more other variables. The estimated variable is called the *response variable*, and the variables used to predict the response are called *predictor variables*. In this chapter, we use regression to model connectivity (C(N, r)) as a function of the number of nodes in the network (N), and the nodes' transmission range normalised by the side of the square area of operation (r). Although the techniques and models used are standard practice, we briefly explain them here for completeness.

3.2.1 Linear Regression

In simple linear regression, the response variable is modelled as a linear function of a single predictor variable. Of the many lines that potentially fit the points given by the instances of the predictor variable, one needs to be chosen. One criterion to define the best linear model is to pick the model that minimises the sum of squares of the errors. This is known as *least-squares regression* [Jai91]. Let the linear model be of the form

$$\hat{y} = b_0 + b_1 x$$

where \hat{y} is the predicted response when the predictor variable is x. The parameters b_0 and b_1 are fixed regression parameters to be determined from the data. Given n observation pairs $(x_1, y_1), ..., (x_n, y_n)$, the estimated response \hat{y}_i is given by $\hat{y}_i = b_0 + b_1 x_i$, with the error in the model given by $e_i = y_i - \hat{y}_i$. Then, the best linear model is given by the regression parameter values that minimise the sum of squared errors,

$$\sum_{i=1}^{n} e_i^2 = \sum_{i=1}^{n} (y_i - b_0 - b_1 x_i)^2$$

subject to the constraint that the mean error is zero,

$$\sum_{i=1}^{n} e_i = \sum_{i=1}^{n} (y_i - b_0 - b_1 x_i) = 0$$

It can be shown that this constrained minimisation problem is equivalent to minimising the variance of errors [Jai91].

The model parameters are estimated as

$$b_1 = \frac{\sum xy - n\overline{xy}}{\sum x^2 - n(\overline{x})^2}, b_0 = \overline{y} - b_1\overline{x}$$

where

$$\overline{x} = \frac{1}{n} \sum_{i=1}^{n} x_i \qquad \overline{y} = \frac{1}{n} \sum_{i=1}^{n} y_i$$
$$\Sigma xy = \sum_{i=1}^{n} x_i y_i \qquad \Sigma x^2 = \sum_{i=1}^{n} x_i^2$$

The above equations are substantially from [Jai91] where they are also derived. In our work, we used the R software [Tea05] for performing least-squares linear regression.

3.2.2 Goodness of fit

There are several methods to determine how closely the obtained linear model explains the response points used to construct the model.

R^2 metric

The total sum of squares (SST) is given by $SST = \sum_{i=1}^{n} (y_i - \overline{y})^2$, and the sum of squared errors (SSE) is given by $SSE = \sum_{i=1}^{n} (y_i - \hat{y}_i)^2$. SST is a measure of y's variability and is called the *variation* of y. The difference between SST and SSE is the sum of squares

explained by the regression. The fraction of variation that is explained determines the goodness of the regression and is called the *coefficient of determination*, or R^2 .

$$R^2 = \frac{SST - SSE}{SST}$$

An R^2 value close to one indicates a good fit between the model and the data used to obtain it.

Visual tests

Several visual indicators can be used to evaluate the goodness of fit offered by a linear regression model. Some of these are:

- a linear relationship in the scatter plot of y versus x values;
- no discernible trends in the scatter plot of residual errors versus predicted response; and
- an approximately linear plot of normal quantile versus residual quantile.

Cook's distance for the i^{th} observation is based on the differences between the predicted responses from the model constructed from all of the data and the predicted responses from the model constructed by setting the i^{th} observation aside, and is an indicator of that point's contribution to the regression model. A point with a Cook's distance greater than one may need to be investigated.

A *Scale-Location plot* plots the square root of residuals against the predicted responses. Taking the square root of the residuals is intended to diminish skewness.

3.2.3 Curvilinear Regression

When the relationship between the response and predictor variables are non-linear, we may be able to transform the non-linear function into a linear one. Such a regression is called curvilinear regression [Jai91]. The goodness of fit tests indicated for linear regression are also applicable here. The obtained linear function can be transformed to its original non-linear form by applying the inverse transformation.

3.3 Characterisation of Connectivity

Our model is in terms of the number of nodes, N, their uniform transmission range, R, and the side of the square area of operation, l. Since networks are scale models of each other when their R/l ratios are equal, we can subsume R and l into a normalised transmission range, r = R/l. We characterise connectivity as a function of N and r, and denote it as C(N, r).

We explored simulated data for C(N, r) versus r for several values of N between 3 and 500 to find a suitable regression model. The plots showed a sigmoidal growth curve, asymmetric about its point of inflexion. We used [Rat93], which provides a classification of non-linear regression models based on shape and behaviour of the curve, number of regression parameters, and estimation behaviour of the function, to identify potential regression functions. We then fit our simulated data with these functions and compared statistics and results of visual tests for goodness of fit. These comparisons were performed in the *Simfit* regression modelling tool [Bar], which allows iterative fitting with different functions, and provides detailed comparisons between the quality of fits.

The simplest model to fit C(N, r) accurately was a three-parameter model called the

Gompertz model [Rat93]. It is written in its general form as:

$$y = \alpha e^{-e^{(\beta - \gamma x)}} \tag{3.1}$$

where α is the upper asymptote and $\frac{\beta}{\gamma}$ is the point of inflexion, that is, the value of x at which the rate of growth of the curve is maximum. Since we are modelling the growth of C(N, r) as r increases, and since C(N, r) has an upper asymptote of 1, we can rewrite Equation 3.1 as:

$$C(N,r) = e^{-e^{(\beta_N - \gamma_N r)}}$$
(3.2)

requiring us to estimate only two parameters, β_N and γ_N , for any given value of N.

In order to characterise C(N, r) we:

- conducted simulations to obtain data representing the growth of C(N, r) from 0 to
 1 as r increased, while keeping N fixed;
- used Equation 3.2 as a regression function for simulated data, and obtained the coefficients β and γ for the corresponding value of N, allowing us to characterise connectivity as a function of r for one value of N;
- repeated the above two steps for values of N ranging from 3 to 500, and performed a second level of regression on the estimated values of β_N and γ_N .

This gave us a set of equations that allows us to obtain C(N, r) for values of N ranging from 3 to 500. While our characterisation itself was for a static network, it can be applied to mobile networks where nodes move such that their uniform distribution is preserved.

3.4 Details about simulation and curve fitting

We performed simulations using Simran, a simulator we have built for topology related simulations. Details about Simran can be found in Chapter 8. Regression analysis was performed using the R environment [Tea05, Ver02] and Simfit [Bar].



3.4.1 How many simulations?

Figure 3.2: Standard Deviation vs. Connectivity for 90 nodes

A single static arrangement of nodes is either completely connected or not. We estimate C(N, r) by determining the fraction of network instances with N nodes and r normalised transmission range that are connected. This fraction calculated over many network instances is an estimate of the probability that a network with N nodes and normalised transmission range of r will be connected. We conduct n runs using different node arrangements coming from a uniformly random distribution. The result of each run is a 1 if the network is connected, and a 0 if it is not. The mean of these results gives our estimate of C(N, r). For the purpose of discussion in this section, we call this the *sample mean*. The actual value C(N, r) is called the *population mean*. We now determine the value of n required to obtain an estimate of C(N, r) accurate to within 1% with a confidence of 95%. When the sample mean is \overline{x} , using the central limit theorem, a $100(1 - \alpha)\%$ confidence interval for the population mean is given by:

$$\left(\overline{x}-z_{1-\frac{\alpha}{2}}\frac{s}{\sqrt{n}},\overline{x}+z_{1-\frac{\alpha}{2}}\frac{s}{\sqrt{n}}\right)$$

where \overline{x} is the sample mean, *s* is the sample standard deviation, *n* is the sample size, and $z_{1-\frac{\alpha}{2}}$ is the $(1-\frac{\alpha}{2})$ -quantile of a unit normal variate [Jai91]. Substituting for $z_{0.025}$ from the unit normal distribution table, we can say with 95% confidence that the population mean lies within:

$$\overline{x} \pm \frac{1.96s}{\sqrt{n}} \tag{3.3}$$

Given the outcomes of the n runs, we can determine s, the standard deviation of the sample as [Jai91]:

$$s = \sqrt{\frac{1}{n-1} \sum_{i=1}^{n} (x_i - \overline{x})^2}$$
(3.4)

Alternatively, a computational formula that allows us to compute *s* in a single pass is given by [Mos86]:

$$s = \sqrt{\frac{1}{n-1} \left[\sum_{i=1}^{n} x_i^2 - \frac{1}{n} \left(\sum_{i=1}^{n} x_i \right)^2 \right]}$$
(3.5)

For us to be able to use Equation 3.3, we need to compute the value of s. This value can change depending on the values of N and r. Therefore, we shall compute an upper bound on the value of s that can occur, and use it to find a suitable n. If n network instances are simulated, let the fraction that are connected be p. Since the outcome of each simulation is either 0 or 1, the mean value of n simulations is also p. Also,

q = (1 - p). Substituting in Equation 3.4 we get:

$$s = \sqrt{\frac{1}{n-1} \sum_{i=1}^{n} (x_i - p)^2}$$

$$s = \sqrt{\frac{1}{n-1} [np(1-p)^2 + nq(-p)^2]}$$

$$s = \sqrt{\frac{1}{n-1} [npq^2 + nqp^2]}$$

$$s = \sqrt{\frac{n}{n-1} [pq(q+p)]}$$

$$s = \sqrt{\frac{n}{n-1} pq}$$

Since $\frac{n}{n-1}$ is very nearly equal to 1, especially when *n* becomes large, we can write:

$$s \approx \sqrt{pq}$$

Note that this can also be derived differently¹. Since p + q = 1, pq takes its maximum value when p = q = 0.5. This gives us a corresponding maximum *s* value of 0.5. (Figure 3.2 shows the variation of standard deviation with connectivity for 90 nodes. Values of standard deviation for the plot were obtained by using Equation 3.5 on the results of 10000 simulations.) Intuitively, this corresponds to the cases when N and r values are such that C(N, r) is 0.5. When C(N, r) is close to 0 or 1, most observations have the same value, that is, either 0 or 1, and hence the variability is low. However, when C(N, r) is close to 0.5, every sample point, which again is either 0 or 1, is away from the mean by around 0.5. Using this maximum value of *s* in Equation 3.3 gives us a value of *n* that ensures that our estimate of C(N, r) is within 1% of the actual value with 95%

¹Since the outcome associated with a network instance is binary (connected or not-connected), we can also obtain the same result by representing the outcome of each run as a Bernoulli random variable. When the probability of occurrence and non-occurrence are p and q respectively, the variance of a Bernoulli random variable is is given by pq [Tri01], and therefore, its standard deviation by \sqrt{pq} .

confidence. We do this by ensuring the following inequality:

$$\frac{1.96 \times 0.5}{\sqrt{n}} \leq 0.01$$
$$\frac{0.98}{\sqrt{n}} \leq 0.01$$
$$n > (\frac{0.98}{0.01})^2$$
$$n > 9604$$

For our simulations we choose n = 10000.

3.4.2 Simulations

We conducted simulations for 44 values of N between 2 and 500. For each value of N, we conducted simulations for different values of r. These were chosen such that sample values of C(N, r) were obtained at enough points in the interval [0,1] to permit accurate regression modelling. Each (N, r) pair was simulated over 10000 static arrangements of nodes distributed uniformly at random. At the end of these simulations, we obtained tables for the growth of C(N, r) with r for each of the 44 chosen values of N. For illustration, tables corresponding to N = 30 and N = 300 are presented in tables 3.1 and 3.2.

3.4.3 Regression

Since we have data for the growth of C(N, r) versus r, we use regression analysis to obtain estimates for β_N and γ_N of Equation 3.2 for the 44 values of N simulated. In the next phase, we perform regression on the values of β_N and γ_N to obtain an expressions for β_N and γ_N in terms of N.

r	C(30,r)
0.21	0.019
0.225	0.0677
0.235	0.1255
0.245	0.2081
0.255	0.3054
0.265	0.4058
0.275	0.5055
0.285	0.5999
0.295	0.6787
0.31	0.7778
0.34	0.8977
0.36	0.9421
0.4	0.9806

r	C(300, r)
0.08	0.0161
0.084	0.0649
0.086	0.1125
0.088	0.1768
0.09	0.245
0.092	0.3234
0.094	0.4045
0.096	0.4792
0.098	0.5516
0.1	0.618
0.104	0.728
0.108	0.8121
0.118	0.9262
0.13	0.9753

Table 3.2: Simulated Data for N=300

Table 3.1: Simulated Data for N=30

Equation 3.2 can be written as:

 $ln(-ln(C(N,r))) = \beta_N - \gamma_N r$

$$F_N = \beta_N - \gamma_N r \tag{3.6}$$

which is linear in r. Using this form, we perform linear regression with F_N as the predictor variable and r as the response variable for each of the 44 chosen values of N to obtain Table 3.3. The last column of the table shows the R^2 goodness of fit metric defined in section 3.2.2. The values of R^2 close to 1 indicate a strong linear relationship in the data.

For illustration, we take a closer look at the fit for N = 30. Figure 3.3(a) plots the simulated points representing the predictor variable, F_{30} , and the corresponding fitted straight line. This line is given by

$$F_{30} = 7.3345 - 28.1334r$$

after substituting values for β_{30} and γ_{30} from Table 3.3 into Equation 3.6.

Figure 3.3(b) shows summary statistics for the linear regression for N = 30. In the



Figure 3.3: Linear fit for F vs. r for N = 30

upper left is a scatter plot of residuals versus fitted values. Points in the centre seem to be above the zero line, but this is not a very discernible pattern, and is not visible in the Scale-Location plot which plots the square roots of the residuals to reduce skew. The normal quantile versus residual quantile plot displays slight evidence of non-linearity, and the Cook's distance plot indicates that the first point of the data has the largest influence on the regression model. These factors do not allow us to conclude that the linearity of the fit is perfect. However, the fact that the residual values are small indicates that the model is acceptable. (Similar analyses of linear fitting case studies can be found in [Jai91].) As can be seen from figures 3.4 and 3.5, β_N and γ_N grow with increase in N. We can use the values in table 3.3 to generate regression models for β_N and γ_N over the N values in the range of interest.

Table 3.3:	Ν,	β_N, γ	ŶΝ	and	R^2
------------	----	-------------------	----	-----	-------

N	β_N	γ_N	R^2
2	2.2364	5.4987	0.9441
3	2.8925	6.2940	0.9685
5	4.3857	9.5573	0.9703
10	5.8514	14.9225	0.9883
15	6.5842	19.2227	0.9947
20	6.9059	22.4932	0.9978
25	7.0835	25.1986	0.9996
30	7.3345	28.1334	0.9998
35	7.4651	30.5190	0.9999
40	7.6277	33.0017	0.9999
45	7.6442	34.8398	0.9994
50	7.8521	37.4772	0.9994
55	7 9990	39.8436	0 9994
60	8 0788	41 8016	0.9992
65	8.0272	43 1168	0.9986
70	8 2140	45.1100	0.9991
75	8 4454	48 1711	0.9995
80	8 1236	40.1711	0.0001
85	8.4786	51 2058	0.0007
00	8 5270	52 8564	0.9992
90	8.5279	54 3208	0.9993
95	0.3327	56 0914	0.9993
100	8.0251	56.0814	0.9990
110	8.0300	50.0814	0.9991
120	8.7298	61.6422	9989
130	8.8030	64.8565	0.9994
140	8.9/44	67.9537	0.9992
150	8.8631	69.2890	0.9990
160	8.9281	71.7544	0.9984
180	9.0528	76.9010	0.9988
200	9.1758	81.7448	0.9984
220	9.2947	86.4599	0.9982
240	9.2927	89.8950	0.9983
260	9.4660	94.9817	0.9990
280	9.6379	100.2136	0.9994
300	9.6134	103.0714	0.9991
320	9.6022	105.9842	0.9992
340	9.6956	110.0233	0.9990
360	9.6956	112.9008	0.9989
380	9.7008	115.7980	0.9987
400	9.7120	118.5848	0.9984
420	9.9182	123.6922	0.9992
440	10.0026	127.6732	0.9993
460	10.0126	130.2024	0.9991
480	10.0109	132.6134	0.9989
500	10.0307	135.4894	0.9985



Figure 3.4: β_N vs. N

3.4.4 Model I

Simple third degree polynomials obtained by regression over the data of table 3.3 for β_N and γ_N for values of N from 10 to 500 are:

$$\beta_N = 6.41 + 2.973 \times 10^{-2} N - 9.404 \times 10^{-5} N^2 + 1.002 \times 10^{-7} N^3 \qquad 10 \le N \le 500 \qquad (3.7)$$

$$\gamma_N = 13.61 + 5.045 \times 10^{-1} N - 9.6 \times 10^{-4} N^2 + 8.929 \times 10^{-7} N^3 \qquad 10 < N < 500 \qquad (3.8)$$

Equations 3.2, 3.7 and 3.8 model connectivity for $10 \le N \le 500$. We refer to this as Model I. In the graphs of figures 3.4 and 3.5, the circles represent values of β_N and γ_N obtained by the initial series of fitting simulated values of C(N, r), and the solid lines indicate values of β_N and γ_N obtained using Model I.



Figure 3.5: γ_N vs. N

3.4.5 Model II

Model I is only valid in the range $10 \le N \le 500$. Attempting to extend the model on the lower side results in a loss of accuracy. We can obtain more accurate and general expressions for β_N and γ_N by performing piece-wise fitting of the data in Table 3.3. In Figure 3.4, note that the curve has a knee at around N = 130. Using separate equations to mode the curves for N < 130, and for $N \ge 130$ allows the model to be more accurate.

Following a process similar to that described in section 3.3, we chose two-parameter curves of the form y = log(a + bx) to fit β_N [Rat93]. This form is easily reduced to a straight-line form suitable for linear regression:

 $e^{\beta_N} = aN + b$

Figures 3.6(a) and 3.7(a) give a visual indication of goodness of fit. Other statistics for the two fits are in figures 3.6(b) and 3.7(b).

We also split the curve for γ_N , using a sum of exponentials for the segment below

N = 130 and a quadratic for $130 \le N \le 500$). Note that we choose N = 3 as our starting point since exact expressions for connectivity are available for N = 2.

The model obtained is as follows:

$$\beta_N = \begin{cases} \log(55.74N - 155.72) & 3 \le N < 130\\ \log(44.42N + 953.59) & 130 \le N \le 500 \end{cases}$$
(3.9)

$$\gamma_{N} = \begin{cases} 102.13 - 11.26e^{-0.1678N} \\ -90.87e^{-6.801 \times 10^{-3}N} & 3 \le N < 130 \\ 30.57 + 0.285N \\ -1.511 \times 10^{-4}N^{2} & 130 \le N \le 500 \end{cases}$$
(3.10)

Equation 3.2 with equations 3.9 and 3.10 form Model II for C(N, r). In the graphs of figures 3.4 and 3.5, the circles represent values of β_N and γ_N obtained by the initial series of fitting simulated values of $C_{N,r}$, and the solid lines indicate values of β_N and γ_N obtained using Model II.



Figure 3.6: e^{β_N} vs. N, $3 \le N < 130$


Figure 3.7: e^{β_N} vs. N, $130 \le N \le 500$

3.5 Validation

For validation, and to estimate the error present in models I and II, we compared the values of connectivity generated by the models with that obtained by running simulations for 236 pairs of N and r values. We chose 59 values of N that did not contribute towards the model, and for each of those values of N, we chose four values of r such that the resulting value of C(N, r) would lie between 0.05 and 0.95. This choice of r is required because for a given value of N, there is only a narrow range of r values that will yield values of C(N, r) between 0 and 1. If r were to be chosen randomly, the resulting C(N, r) value would most often be very close to 0 or 1, and would not test the model.

We conducted 10000 simulations in Simran for each of the chosen (N, r) pairs, and compared the resulting connectivity with that obtained from Model I given by equations 3.2, 3.7 and 3.8. For values of N below 30, we found that the model had a mean absolute error of 0.0691, with the maximum error seen being 0.1756. The model is more accurate for values of N above 30 with a mean absolute error of 0.0116, with the maximum error seen being 0.044. Model II proved to be much more accurate than Model I. The mean absolute error over the 236 values after ignoring signs was 0.0089. The maximum error observed across all instances was 0.0418.

3.6 Comparison with other work

We compare the models we have obtained with the model obtained in [TFL03] given by equations 2.1, 2.2, and 2.3, which we refer to as Model III. (More details about this model can be found in Section 2.2.3.) This model is less generic, being for values of connectivity between 0.5 and 1, and for networks with 3 to 125 nodes. The authors of [TFL03] validate their model (Model III) by comparing values of connectivity obtained by simulation and Model III for 5 sets of network parameters. Table 3.4 shows a comparison between the values of connectivity obtained by simulation, by using Model III, and by using our models, Model I and Model II. The Table 3.4 is from [TFL03] after converting parameters to our notation for consistency of presentation. Model I yields values closer to the simulated values than Model III in four out of five instances. With Model II, all the five instances show values of connectivity closer to the simulated values than with Model III.

The regression model for connectivity presented in [TFL03], and summarised in Section 2.2.3 is applicable for $P \in [0.5, 0.99]$ and $n \in [3, 125]$. The model we present in this chapter 3 is more general, being applicable for $P \in [0.05, 0.95]$ and $n \in [3, 500]$. This applicability for values of connectivity less than 0.5 covers the sparse region of operation more thoroughly.

Table 3.4: Comparison of Models

N	r	C(N,r) (Simulated)	C(N,r) (Model III)	C(N,r) (Model I)	C(N,r) (Model II)
40	0.3	0.909	0.8974	0.8988	0.8980
20	0.4	0.8852	0.8589	0.9100	0.8851
36	0.26	0.5697	0.5532	0.5729	0.5545
81	0.2	0.8115	0.8390	0.7956	0.8121
60	0.21	0.6195	0.6364	0.5941	0.6059

Chapter 4

Reachability

In Chapter 3 we characterised connectivity in the finite domain for sparse, static, twodimensional networks¹. This was to enable the making of fine-grained tradeoffs between network parameters while designing sparse MWNs. However we claim that using connectivity as a metric for topology design in sparse networks can prove inadequate because i) connectivity is not indicative of the actual extent to which the network can support communication; and ii) it is unresponsive to fine changes in network parameters. For example, it is possible that a sparse network which allows a significant number of nodes to communicate has a connectivity close to zero. Further, an increase in some network parameter such as number of nodes, or transmission range, may increase the ability of nodes to communicate, but it may not be reflected by a corresponding increase in connectivity. We believe that a property of the network graph better suited for use with sparse networks is the *fraction of node pairs that are connected*. We call this properties of a network graph.

Figure 4.1 is obtained from simulations, and plots the growth of reachability and connectivity as the uniform transmission range of nodes, R, increases for 60 static nodes

¹Recall that we defined a sparse MWN in terms of connectivity as a network with a connectivity value less than 0.95.

distributed uniformly at random in a 2000m × 2000m area. In this case, when reachability is 0.4, meaning 40% of node pairs are connected, connectivity is still at zero. Further, using only connectivity here would lead us to believe that increasing R from 50m to any value less than 320m would have no effect on the extent of communication supported by the network. This example is taken from a case study presented in Chapter 5. The case study also goes on to show that connectivity is an even more misleading indicator in the presence of mobility and asynchronous communication. In the rest of this chapter, we define reachability and discuss its properties and applications.



Figure 4.1: Increasing R, no mobility

4.1 Reachability

The reachability of a static network is defined as the *fraction of connected node pairs* in the network. As defined in Equation 1.1, we can calculate reachability for a network of N nodes as²:

$$Reachability = \frac{\text{No. of connected node pairs}}{\binom{N}{2}}$$
(4.1)

A pair of nodes is considered connected if there is a path of length one or greater between

²We assume that communication links between nodes are symmetric.



Figure 4.2: A network instance with Reachability = 0.378

them. Figure 4.2 shows one instance of a network with 10 nodes. We count the number of node pairs that can reach each other, that is, nodes that are connected either directly or through other nodes, as 17. Substituting N = 10 in the denominator of Equation 4.1, we obtain the reachability for this network instance as 17/45 or 0.378.

It is possible that a different network instance with 10 nodes can have a different value of reachability. Recall that we define a network by the number of nodes, their bounding area, and the transmission ranges of the node. For the same network, there can exist many different network instances with different corresponding values of reachability. We define the network's reachability as the mean reachability across several instances. As we will show later in this chapter, this value is significant because it represents the probability that that there exists a path between an arbitrary pair of nodes in the network.

4.2 Reachability in mobile and asynchronous MWNs

4.2.1 Reachability for mobile MWNs

When nodes are mobile, the fraction of connected node pairs varies with time depending on the pattern of node movements. However a single value can be obtained for any time *instant*. We define the reachability of a mobile network to be the *average of instantaneous reachability values measured at frequent intervals* during the operation of the network.

Note that the *definition* of reachability is independent of the distribution of nodes. That is, given any network graph, we can calculate the corresponding reachability. However, in most cases of practical interest, we only have a *distribution* of nodes. In such cases, using reachability for topology design is much more meaningful when nodes are mobile: if a network is designed for a certain value of reachability, the measured reachability of a mobile network *converges with time* to the reachability value used for topology design. This is not the case in a static network because there is only a single instance that will be deployed, and its reachability value may differ from the value used for design.

4.2.2 Reachability for asynchronous MWNs

In asynchronous networks (described in Section 2.3.1), nodes can buffer packets when a path to the destination is unavailable, and forward it at a later time after mobility has brought about some change in the network graph. We define the reachability of an asynchronous MWN in the same way as for mobile MWNs: it is the average of instantaneous reachability values measured at frequent intervals.

Instantaneous reachability at any point of time in the operation of the network is measured as the fraction of connected node pairs at that instant. However, the notion of 'connected node pair' needs revisiting in the context of mobile and asynchronous networks.

4.2.3 When is a node pair connected?

In the static case we have considered a node pair as connected if they there exists a multihop path between them in the network graph. This notion may need to be qualified by several conditions when the network is mobile or has the capability to form asynchronous paths.

When nodes are mobile, there could be a minimum time for which a path would have to exist for it to be useful for communication. Therefore a node pair that is connected for a time less than some pre-defined threshold may be considered unconnected while calculating instantaneous reachability. For the rest of this thesis, we make the assumption that this threshold is negligibly low, and consider a node pair connected at an instant if a path between them exists at that instant.

The case of asynchronous networks involves mobility with buffers being present at the nodes. A node could then form a disjoint path to another node in which a path between the two nodes did not exist at any single point in time. In such cases, we count all node pairs with such a disjoint path as connected. In later chapters, we add a network parameter to represent the extent of such asynchronous communication possible: this takes the form of the maximum time for which a packet can be buffered in the network. A simple example is when only source nodes buffer packets: if we are studying a network where source nodes without a route to the destination buffer packets for 30 seconds, the number of connected node pairs at a time instant would include a particular pair of nodes if there existed a path between them at that instant, or within 30 seconds from that instant.

4.3 **Properties of Reachability**

We state and prove the following claims:

- 1. The reachability of a network lies in the interval [0, 1].
- Reachability of a sparse network is not less than the connectivity of the same network.
- 3. Reachability represents the probability that there exists a path between a randomly chosen pair of nodes in an MWN.
- 4. Reachability of a network represents the long term maximal packet delivery ratio achievable between random source-destination pairs in the network.

Claim 1: *Reachability of a network lies in the interval* [0, 1]*.*

Proof: The proof follows from our definition of reachability. The lowest value that the numerator in Equation 4.1 can take is 0. This happens when all the nodes in the network are isolated. The largest value for the numerator is $\binom{N}{2}$, and occurs when all node pairs are connected.

Claim 2: Reachability of a sparse network is not less than the connectivity of the network.

Proof: Consider observations of k network instances. Let m of the k instances show a single connected component containing all the nodes in the network. Then we determine the connectivity of the network as $\frac{m}{k}$. The reachability of the same network is measured by averaging the reachability obtained for each of the k network instances according to Equation 4.1. We have the following two cases:

Case N = 2: When there are two nodes in the network, reachability is 1 for the m instances in which the two nodes are connected, and 0 for the remaining (k - m) instances. Therefore, for N = 2, both connectivity and reachability have the same value of $\frac{m}{k}$.

Case N > 2: When there are more than two nodes in the network, the connectivity continues to be $\frac{m}{k}$. In each of the m instances where the network is completely connected, the corresponding reachability value is 1 by definition. Therefore, the value of reachability for the network is at least $\frac{m}{k}$. In addition, reachability values for the m - k unconnected instances lie in the interval [0, 1) as already shown³. Therefore, the mean reachability for the network must lie in the interval $[\frac{m}{k}, 1]$.

Claim 3: *Reachability represents the probability that a randomly chosen pair of nodes in the network is connected.*

Proof: Let k instances of a network be observed. Let the number of connected node pairs in the i^{th} instance be denoted by c_i . We then calculate the probability that a randomly

³The closed interval in [0, 1) is due to the knowledge that the (k - m) instances considered here are not fully connected, and cannot therefore have a reachability of 1.

chosen pair of nodes in the network is connected as the sum of the connected node pairs in the observed instances divided by the total number of observed node pairs:

$$\frac{c_1 + c_2 + \ldots + c_k}{k\binom{N}{2}} \tag{4.2}$$

Reachability for the same network is measured as the averaged reachability values of the k instances, which can be written as:

$$\frac{1}{k} \left(\frac{c_1}{\binom{N}{2}} + \frac{c_2}{\binom{N}{2}} + \ldots + \frac{c_k}{\binom{N}{2}} \right)$$

$$(4.3)$$

Expressions 4.2 and 4.3 are equivalent.

Claim 4: *Reachability of a network represents the long term maximal packet delivery ratio achievable between random source-destination pairs in the network.*

Proof: Given a network instance, the most thorough measurement of Packet Delivery Ratio (PDR) would be achieved by sending packets between *all* pairs of nodes, and measuring the fraction of packets received. Assuming no packets are dropped due to radio interference or routing inefficiencies, the only packets that will not reach their intended destinations are those without a path between source and destination. With N nodes in the network, and with p packets sent between each node pair, a total of $p \times {N \choose 2}$ packets will be sent. If c is the number of node pairs with a route $p \times c$ packets are delivered. Then, for this instance:

$$PDR = \frac{p \times c}{p \times \binom{N}{2}} = \frac{c}{\binom{N}{2}}$$

The right hand side is the reachability for this network instance by definition.

4.4 Applications of reachability

The primary application of reachability is in topology design of sparse MWNs. In this section we present only a brief overview of this application and defer more detailed discussion to Chapter 5. We also discuss here the application of reachability in measuring routing performance in MWNs.

4.4.1 Measuring routing performance

Packet Delivery Ratio (PDR) has been a popular metric for measuring the performance of routing protocols, particularly in studies of Mobile Ad hoc Networks (MANET). In MANETs routing is a challenging task given that links between nodes can change frequently due to mobility. PDR has been used to measure the effectiveness of a routing protocol in finding routes and delivering packets to the intended destinations in several studies, for example [BMJ⁺98] and [DPR00]. PDR is usually measured by sending bursts of traffic between different sets of node pairs in the network, and is defined as *the ratio of packets received to packets sent*. The idea behind PDR is that the bursts of traffic sample the paths between various node pairs in the network, and the fraction of packets successfully sent across all the tested pairs is representative of the network's ability to carry traffic.

While PDR is a good indicator of routing ability in dense networks, it can be unindicative in sparse networks. This is because PDR in a sparse network measures two properties simultaneously:

- 1. the existence of routes between the sampled source-destination pairs; and
- 2. the routing protocol's ability to exploit those routes to deliver packets.

As a result, a low value of PDR in a network may arise because of a sparse network, an ineffective routing protocol, or both.

This ambiguity can be eliminated by using reachability to normalise the measured value of PDR. We have shown in Claim 4 in Section 4.3, that reachability represents the long term maximal PDR in an MWN. In other words, reachability is the PDR that would be observed in the network if it ran a 'perfect' routing protocol: one that delivers every packet to its destination, provided a route exists. Therefore, dividing observed PDR by the network's reachability represents the *fraction of packets received between node pairs with routes*. Such a normalisation eliminates the role played by the network's sparseness, and provides a measure of only routing performance. Normalised Packet Delivery Ratio (NPDR) is calculated as:

$$NPDR = \frac{PDR}{Reachability} \tag{4.4}$$

Here, the value of reachability for the network in question can be obtained from simulations or from models, and the value of PDR from simulated or actual measurements. In following chapters of this thesis, we present tools and models that can be used to find the reachability of an MWN.

4.4.2 Application: Using reachability for topology design in sparse MWNs

Recall that we define a network using the following network parameters: number of nodes, uniform transmission range of the nodes, and the dimensions of the area of operation. Depending on the circumstances of deployment, some of these may be fixed,

and the designer may be able to vary the others. The intended application of the network supplies more constraints: the deployment scenario may involve mobility, which can change the connectivity properties of the network; there may be a minimum level of communication to be supported by the network, and this may be expressed in terms of a desired value of a connectivity property; there may be limited battery power available per node; or there may be only a fixed number of nodes available.

These design considerations are also interdependent to a large degree. Increasing the number of nodes is likely to increase the network's connectivity properties, but this also increases the cost of deployment. Trying to ensure the same level of connectivity while using fewer nodes would require us to increase transmission range. A small increase in transmission range could result in a large increase in the power consumption of a node. Reachability is useful in such problems of topology design because it allows for fine-grained evaluation of tradeoffs between network parameters. We illustrate this in Chapter 5 with a detailed case study in which we design a sparse MWN for rural voice communication.

Chapter 5

Case Study: Reachability for designing a sparse MWN

In Chapter 4 we introduced the reachability metric as an appropriate connectivity property for evaluating topology related design trade-offs in sparse multi-hop wireless networks. In this chapter, we illustrate this by using reachability to make design decisions for a sparse MWN intended to enable communication within a rural area¹ We use a topological simulator that we have built, Simran (Chapter 8), for evaluating connectivity properties for various configurations of network parameters. Plots of connectivity and reachability for the scenarios of our study show that reachability is a far more indicative measure of the extent of communication supported by a sparse MWN.

5.1 Case study scenario

5.1.1 Background

The Department of Telecommunications (DoT), India, through its Village Public Telephone (VPT) scheme, aims to have at least one telephone installed in each of approx-

¹This work appeared in [PI06b].

imately six lakh (0.6 million) villages identified in the 2001 census [Dep05a]. As of August 2005, VPTs have been deployed in 83.3% of the targeted villages [Dep05b]. The next phase involves installing a second telephone in villages with a population over 2000. The current focus of rural telecom initiatives is rightly to connect villages to the world outside. At the same time, there is also a need to connect people within a village. Census figures show that around half of all Indian villages have populations between 500 and 2000. Since these villages are predominantly agricultural, their inhabitants are spread over fairly large areas making local communication desirable. But neither cellular nor fixed telephony is likely to be viable in several villages for some time to come. This is due to the service providers' inability to recover infrastructure costs, and is borne out by statistics which show that cellular coverage in Indian rural areas is negligible at present [pbT04]. There are several efforts being made to bring connectivity to villages. Besides DoT and TRAI (Telecom Regulatory Authority of India) schemes, WLL (Wireless in Local Loop) solutions using corDECT [cor00], WiFiRe [PVI+07], and the Digital Gangetic Plain project [BRS04] are recent initiatives to connect villages to the world outside. In addition to these, we believe efforts are required to find ingenious ways to connect people within a village.

5.1.2 A possible MWN solution for intra-village communication

A possible means for enabling local communication within rural areas is through deploying multi-hop wireless networks that carry packetised voice. Individuals would carry inexpensive hand-held devices capable of encoding/decoding voice and performing multihop routing. These devices would form a network that facilitates communication in two modes: i) real-time VoIP conversations; and ii) offline voice messages. The offline voice messaging mode would be used when the network cannot satisfy bandwidth and connectivity requirements for a real-time conversation, and it can be used to communicate asynchronously using store and forward mechanisms. Such a system has several advantages in the rural scenario: it does not require any infrastructure deployment apart from the hand-held devices themselves, and as a result is relatively inexpensive and quick to deploy. This also makes it possible to use these networks as a short term arrangement while other efforts for intra-village teleconnectivity are underway. Such a system also does not have a single point of failure, is robust, and degrades gracefully. This is an advantage where regular system maintenance cannot be guaranteed.

Enabling communication in remote areas is a well known application for wireless ad hoc networks, but deploying *sparse* networks in constrained application scenarios is not very well studied. Such an approach introduces an additional degree of flexibility: we can trade deployment cost for performance depending on the application's requirements and the available resources. Understanding how to evaluate this trade-off is critical to having useful deployments of sparse multi-hop wireless networks.

5.2 Design Considerations

In designing a multi-hop wireless network, some of the following parameters may be known or given, and some will have to be decided upon by the designer: the number of devices, capabilities and cost of each device, dimensions and topography of the deployment area, usage pattern, and level of connectivity desired in the network. If the deployment is a dense one, interference between nodes, and the resulting loss in network capacity must also be considered.

For an application such as rural voice communication, the area of the network's operation is known. Processing power required at nodes and the bandwidth required from radio hardware can also be determined from the application. An important consideration for such an application is the overall cost of the solution. This affects the choice of design parameters in several ways. Increasing the number of nodes is likely to increase connectivity, but this also increases the cost of deployment. Trying to ensure the same level of connectivity while using fewer nodes would require us to increase transmission range. A small increase in transmission range can easily result in a large increase in the power consumption of a node which would result in either a shorter life for nodes, or a need for more expensive nodes with batteries of higher capacity. The transmission power to be used would also depends on the physical terrain in the area of deployment: the same transmission power would result in a longer range in a flat, field like area, and a shorter, fluctuating range in the presence of uneven, wooded terrain. Multi-hop ad hoc networks are also known to exhibit *phase transition* behaviour—a small change in transmission range or the number of nodes can cause large changes in connectivity properties [KWB01]. When nodes are capable of movement, the speed and pattern of mobility, and their effect on network performance must also be considered.

Our aim is to choose some combination of deployment parameters that meets the constraints of cost while providing an acceptable level of voice communication in the village. We make the following assumptions:

- nodes can communicate if there exists a path between them, and therefore, the extent of communication provided by the multi-hop network can be captured by a connectivity property such as connectivity or reachability;
- the nodes have radios with power control which are to be set to a homogeneous transmission range; and
- the node density will not be high enough for radio interference to have significant effect.

The network parameters we consider are the number of nodes, their uniform transmission range, and the connectivity properties of the network.

5.2.1 Sparse networks

An important design consideration with respect to the application is the extent of communication supported by the network. Complete connectivity may be desirable, but may not be achievable at an acceptable cost. In such cases we may be willing to tolerate a lower degree of communication between nodes of the network. There is work that shows that an ad hoc network willing to tolerate a small degree of sparseness can use a transmission range much lesser than that required for full connectivity [SB03]. Similarly, a sparse MWN would also need substantially fewer nodes for slightly reduced connectivity. Using fewer nodes or a smaller transmission range translates into lower deployment costs. *This ability of sparse MWNs to trade cost for connectivity makes them particularly well-suited for economically constrained rural deployments*.

For the application scenario, we use reachability as a measure of the supported communication since it is: i) a more intuitive measure of the extent of communication possible between pairs of nodes; and ii) more sensitive to changes in the number of nodes or transmission range, especially for sparse networks.

5.3 Deciding deployment parameters

Consider a village with a few hundred inhabitants that is spread over an area of 2 km x 2 km. Quite a large portion of the village is agricultural land, contributing to the low density of inhabitants. A number of devices capable of multi-hop packetised voice communication are to be deployed among people in the village. We now identify design trade-offs in this scenario through simulations.

5.3.1 Simulation Preliminaries

The simulations presented in this chapter are conducted using Simran [Per], a simulator we have developed for studying topological properties of multi-hop wireless net-



Figure 5.1: Reachability and Connectivity vs. R

works. Simran takes as input a scenario file with initial positions and movements of nodes, and generates a trace file containing metrics of interest such as average number of neighbours, averaged shortest path lengths over all pairs of nodes, reachability, connectivity, and number and size of connected components. Simran is also supported by a number of smaller programs for generating scenario files, managing simulations and for analysing results. Simran also supports topological simulation of networks with asynchronous communication. More details about the simulator can be found in Chapter 8.

Initially, in sections 5.3.2, 5.3.3, and 5.3.4, we assume that mobility is low enough that when a connection exists between two nodes, it is unlikely to break while a call is in progress. We treat the network as static with N nodes distributed uniformly at random over the area of operation. Later, in sections 5.4.1 and 5.4.2, we relax this assumption for assessing the impact of mobility and asynchronous communication. Usually transmission range depends on the transmission power at each node, terrain, presence of structures that cause radio interference, and antenna characteristics at the receiver. For simplicity, we assume that all nodes have a uniform transmission range, R.

5.3.2 Choosing R

• If there are 60 devices available for deployment in the village, and each device has a transmitter with power control, from what range of values should *R* be chosen?



Figure 5.2: Reachability and Connectivity vs. N

To answer this question, a graph such as Figure 5.1 is useful. It plots reachability and connectivity against R for 60 nodes. Each point on the graph is the average of 500 simulations. The graph tells us, for instance, that setting the value of R at 100m will certainly not facilitate communication in the village. Similarly, setting R to a value above 600m is unnecessary since the network is already fully connected at that point. We can set the value of R for any desired value of reachability or connectivity. However, as R is increased, there will be a corresponding increase in the node's power usage. When R is in the region of the curve where the network's connectivity or reachability is growing rapidly, small changes in R can result in large changes in the extent to which the network is connected.

5.3.3 Choosing N

• *R* is fixed at 300m for a specific device's capabilities in the local terrain. How many nodes are required to be operational in order to ensure that a villager who tries to make a call to another succeeds on average 60% of the time?

This question can be answered from finding the value of N corresponding to a reachability of 0.6. From the graph in Figure 5.2, we learn that we would need around 70 devices operational in the area. (Note also that Figure 5.2 provides an illustration of our claim in



Figure 5.3: Determining R and N for a given reachability

Chapter 4 that reachability is more sensitive than connectivity for sparse networks. When reachability is 0.6, the corresponding value of connectivity is not useful since it is still at zero.)

An interesting observation can be made from Figure 5.1 regarding the behaviour of the reachability and connectivity metrics. With R set to 400m, reachability is almost at 1, but connectivity does not reach 1 till R is around 600m. This implies that *the extra* 200m required to ensure full connectivity contributes very little towards increasing the number of node pairs that can communicate. At the same time, the extra range comes at a very high cost since transmission power varies as a power law function of distance.

5.3.4 R vs. N

Figure 5.3 shows the relationship between the values of R and N required to keep reachability fixed at 0.2, 0.6 and 0.95. Note that as N decreases below a threshold, the required value of R increases steeply. Given the maximum value R can take for a device, we can find the minimum number of those devices required to be operational for achieving the required reachability. As the network evolves, more nodes may join, or some nodes may be switched off. If we are in a position to implement distributed power control at the nodes, we can use curves like these to maintain reachability at a desired level.

In Chapter 6, we characterise reachability using an empirical regression model. We have used this model to build a design tool for sparse MWNs called *Spanner*². Given three values from deployment area, reachability, R and N, it calculates the fourth. Data points for Figure 5.3 have been generated using this tool.

5.4 Further observations

5.4.1 Network reach

Since the network we are studying is sparse, we would like to know if nodes are connected only to nearby nodes. If all the node pairs that contribute to the reachability of the network are located near each other, then the network would only be facilitating communication between people who are already within easy reach. We use the following theorem to find the span covered by a path.

Theorem 5.4.1: Let G = (V, E) be a graph in which every pair of nodes $(u, v) \in V \times V$ has a distance |uv|, and $(u, v) \in E$ iff $|uv| \leq R$. Then, if the shortest path between some two nodes in V has k edges, k > 1, the sum of the distances of those k edges, L, is bounded as: $\lfloor \frac{k}{2} \rfloor R < L \leq kR$.

Proof. The upper bound is trivially kR. L > kR would imply at least one of the k edges being larger than R, which is not possible by definition.

When k = 2, let nodes u, v, w in order be the nodes on the shortest path. Then, L = |uv| + |vw| cannot be less than or equal to R since this would imply $(u, w) \in E$. This is clearly not possible since the nodes u, v, w define a shortest path. Therefore L > R when k = 2. When k = 4 with a shortest path defined by nodes u, v, w, x, y in order, |uw| > R and |wy| > R, implying L > 2R. Extending this argument for all even

²Available from http://www.it.iitb.ac.in/~srinath/tool/rch.html

 $k, L > \frac{k}{2}R$. This same lower bound must also hold for the shortest path of odd length k+1, since adding an edge cannot *decrease* L. Therefore, for all $k > 1, L > \lfloor \frac{k}{2} \rfloor R$. \Box

To find typical values of the shortest path, k, for the network under consideration, we ran simulations with N = 70 and R = 300, and averaged the length of the shortest path between every pair of connected nodes. The absolute maximum value we saw in any of the 500 simulated network instances was 9.24, the minimum was 2.01, and the average shortest path length was 5.24. From the above theorem, an average shortest path length of around 5 implies a piece-wise linear distance greater than 600m, and at most 1500m in the average case. This indicates that the network is capable of connecting pairs of nodes that are not necessarily located near each other. The mean reachability observed in this case was 0.6.

5.4.2 Mobility

To investigate the effect of mobility, with N = 70 and R = 300, nodes were made to move at a speed between 0.5ms^{-1} and 2ms^{-1} following the random waypoint (RWP) mobility model. The simulation time was 12 hours in which nodes moved to random destinations, paused for half an hour, and then continued moving to another random destination. This mobility pattern was chosen to approximate the movement of people over one day.

We found that reachability had increased to 0.71 from the value of 0.6 observed for the static network. This increase is likely due to the effect of the RWP mobility model. As noted in Section 2.5.1, the RWP mobility model is known to change the initial distribution of nodes and cause density waves. As a result, localised parts of the network tend to be dense, causing an increase in the network's connectivity properties.



Figure 5.4: With asynchronous communication

5.4.3 Asynchronous Communication

Asynchronous communication is particularly useful in sparse networks when routes are difficult to find between source and destination. A message may be passed on to other nodes in the vicinity of the source, and these nodes in turn propagate the message till it reaches the destination. Thus, a message may travel from source to destination without a complete path existing between them at any time. Message Ferrying [ZAZ04] and routing in delay tolerant networks [JFP04] are representative examples of such asynchronous communication.

We extended the scenario from Fig. 5.1 to include some degree of asynchronous communication. R was varied keeping N = 60. Nodes moved at a uniform velocity of $5ms^{-1}$ without pause. For purposes of calculating reachability, a node pair was considered connected at simulation time t if a path, possibly asynchronous, existed between the two nodes within t + 30 seconds. This translates to asking whether a packet with a timeout of 30 seconds can be successfully transmitted between the two nodes using a store and forward mechanism. Similarly, for connectivity, the network was considered connected at a time instant t if all nodes could reach each other asynchronously within time t + 30. Averaged values of 20 simulations of 500 seconds each are shown in Fig. 5.4. On average, nearly 80% of node pairs are connected before connectivity begins to increase from zero. This indicates that sparse networks can achieve a significant degree

of communication by operating asynchronously, and further, that reachability is able to capture this communication capability.

5.5 Conclusions

In this chapter we proposed sparse wireless multi-hop networks as being a possible means for facilitating telecommunication within villages in India and discussed design considerations. We made several simplifying assumptions in the case study, and these will have to be addressed before such a solution can be considered practical. We also demonstrated the use of reachability in evaluating design tradeoffs for such networks, from which we draw the following conclusions:

- sparse MWNs can enable a significant degree of communication, and the extent of communication achieved is even more substantial when a sparse network is capable of mobility and asynchronous communication; and
- simulation studies in which we measured both reachability and connectivity indicate that reachability is more sensitive to changes in network parameters, and hence better suited for evaluating topological design considerations in sparse MWNs.

Chapter 6

Characterising Reachability

Recall that the reachability of a static network is defined as the *fraction of connected node pairs* in the network. Using this definition we can calculate reachability for a network of N nodes as¹:

$$Reachability = \frac{\text{No. of connected node pairs}}{\binom{N}{2}}$$
(6.1)

We consider a pair of nodes as connected if there is a path of length one or greater between them. Note that for the same set of nodes, it is possible to have different values of reachability for different instances of the network. A network for our purposes is defined by the number of nodes, their bounding area, and the transmission ranges of the node. The network's reachability would be the average of reachability values across several instances. This value is significant since it represents the probability that a random pair of nodes in the network are connected by a possibly multi-hop path. When nodes are mobile, the fraction of connected node pairs varies depending on node mobility, but a single value can be obtained for any time instant. We can measure reachability for a mobile network as the *average of instantaneous reachability values* measured at frequent

¹The equation is repeated here for easy reference.

intervals during the operation of the network.

In this chapter we characterise reachability for a two-dimensional static network in the finite domain with a uniform distribution of nodes². The characterisation is also valid for mobile networks in which the uniform distribution of nodes is preserved. The objective is to use the metric for topology design in sparse networks as shown in Chapters 4 and 5. In the rest of this chapter, we introduce the network model and notation used (Section 6.1), derive closed form expressions for two and three nodes in one dimension (Section 6.2), and present an empirical regression model for reachability based on simulated data (Section 6.4).

6.1 Network model and notation

Our network model is as follows:

- N nodes are distributed uniformly at random in a d dimensional cube of side l;
- two nodes can communicate directly with each other if the distance between them is not greater than *R*, the uniform transmission range of the nodes;
- since the network graph remains unchanged when R and l vary proportionally, we combine the two into a normalised transmission range, r = R/l, without loss of generality.

While this model takes a simplistic view of radio propagation, it promotes better defined behaviour of topological properties, and is useful for an initial study. For a network with N nodes, normalised transmission range r, and a mobility model denoted by M in a cube of d dimensions, we denote the corresponding value of reachability as $Rch_{N,r}^{M,d}$. In this work, since we deal only with characterisation of the static case, we use the notation

²This work appears in [PI06a] and [PI].

 $Rch_{N,r}^d$. In the case of most interest, when d = 2, we drop the superscript altogether for convenience and write $Rch_{N,r}$.

6.2 Analysis of small cases

In this section we derive closed form expressions for reachability of two and three static nodes whose positions are distributed uniformly at random along a line of length l: $Rch_{2,r}^1$ and $Rch_{3,r}^1$. It is evident that results for these cases will be of limited practical use. The main aim here is to attempt to gain a basis for a broader characterisation of reachability.

6.2.1 $Rch_{2,r}^1$



Figure 6.1: Positions of a single node on a line segment

Let N_1 and N_2 be two nodes that can take positions uniformly at random on a line of length l. $Rch_{2,r}^1$ is 1 when the two nodes are connected, and 0 when they are not. The reachability for this network is therefore equivalent to the probability that two nodes with transmission ranges R are connected when they are distributed randomly on a segment of length l. (As this implies, reachability and connectivity are identical when a network has two nodes.)

We define the *coverage* of a node as the length of the line segment that is covered by the transmission range of the node. The probability that N_1 and N_2 are connected is then given by the fraction of the length l that is covered by N_1 :

$$Rch_{2,r}^{1} = \frac{Coverage(N_{1})}{l}$$
(6.2)

We first consider the case when $l \ge 2R$. As seen in Figure 6.1, the coverage of N_1 varies depending on where it is positioned on the line segment. The coverage of N_1 is 2R if it is more than a distance R away from either end point of the line segment. If it is placed in one of the edge segments of length R, its coverage on one side would remain R, while the coverage on the other side would be between 0 and R. Considering all positions along the edge segments equally likely, the coverage of N_1 in an edge segment is R for the side away from the edge, and the expected coverage is $\frac{R}{2}$ for the side near the edge³. Therefore, the total expected coverage of N_1 on an edge segment of length R is $\frac{3R}{2}$, and the total coverage of N_1 in the middle segment of length l - 2R is 2R. The expected coverage of N_1 across the line of length l is obtained by weighting the expected coverages for edge and central segments with their relative lengths:

$$Coverage(N_1) = \left(\frac{2R}{l}\right) \left(\frac{3R}{2}\right) + \left(\frac{l-2R}{l}\right) 2R$$
$$= \frac{2Rl-R^2}{l}, \quad (l \ge 2R).$$

For the case when 2R > l > R, we divide the line of length l into three segments of lengths l - R, 2R - l and l - R. When N_1 is located in the central segment of length 2R - l, its coverage is l because N_1 's transmission range extends beyond the end-points on either side. When N_1 is located on either of the edge segments of length 2R - l, it extends to a length R on the side of the farther end-point. On the side of the nearer endpoint, N_1 's coverage is between l - R and, when it is exactly on the end-point, 0. The expected value for coverage on the side of the nearer endpoint is (l - R)/2. Therefore,

³If c is a random variable representing coverage on the side near the edge, the expected coverage when the node is located in the edge segment of length R is $\frac{1}{R} \int_0^R c \, dc$ or $\frac{R}{2}$.

when 2R > l > R,

$$Coverage(N_1) = 2\left(\frac{l-R}{l}\right)\left(R + \frac{l-R}{2}\right) + \left(\frac{2R-l}{l}\right)l$$
$$= \frac{2Rl-R^2}{l}, \quad (2R > l \ge R).$$

Since the coverage is the same for both cases, we can write

$$Coverage(N_1) = \frac{2Rl - R^2}{l}, \quad (l > R).$$

Substituting in Equation 6.2:

$$Rch_{2,r}^{1} = \frac{2R}{l} - \frac{R^{2}}{l^{2}}, \quad (l \ge R)$$

$$= 2r - r^{2}, \quad (r \le 1).$$
(6.3)

6.2.2 $Rch_{3,r}^1$

Finding $Rch_{3,r}^1$ using the method applied in Section 6.2.1 is considerably more involved. We proceed by enumerating the node configurations that are possible with three nodes on a straight line. We then find the value of reachability for each of these configurations, and then calculate expressions for the probability of occurrence of each of the configurations. The sum of reachabilities across these configurations weighted by the probability of its concurrence gives the expected value of $Rch_{3,r}^1$. We formalise this notion below.

A network consisting of three nodes on a straight line *must* be in one of the following configurations:

- A. All three nodes are isolated
- B. One node is isolated and the other two are connected
- C. All three nodes are connected with one node being an intermediate node

D. All three nodes are directly connected to each other

If the three nodes are isolated as in Case A, $Rch_{3,r}^1$ is 0 by definition. In Case B, it follows from our definition of reachability (Equation 6.1) that $Rch_{3,r}^1$ is $\frac{1}{3}$. This is because one node pair out of the possible three node pairs is connected. If the nodes are as in cases C and D, $Rch_{3,r}^1$ is 1 since all possible node pairs are connected. The sum of $Rch_{3,r}^1$ for each possible cases after weighting with the probability of concurrence of each case gives us the expected value of $Rch_{3,r}^1$:

$$Rch_{3,r}^{1} = 0.P(A) + \frac{1}{3}.P(B) + 1.P(C) + 1.P(D)$$

$$= \frac{1}{3}P(B) + P(C) + P(D).$$
 (6.5)

6.2.3 $Rch_{3,r}^1$ without edge effects

We first perform the analysis for N = 3 without accounting for edge effects. This means we assume the coverage of a node to be 2R regardless of where it is located on the line. Such an assumption is convenient since it allows us to illustrate the broad lines on which analysis for N = 3 proceeds without the distraction of deriving exact coverages for edge segments. In Section 6.2.4, we present an analysis for N = 3 that considers edge effects.

We enumerate the ways in which three nodes could come to be positioned on a straight line, and calculate their probabilities. This is represented in the tree diagram of Figure 6.2. At the root of the tree is the event where node N_1 is located on the line covering a length of 2R. At the next level of the tree are the exhaustive events X, Y and Z, caused by a second node being placed on the line. At the third level are events marked on the tree by subscripts of X, Y and Z, that are caused by a third node N_3 being placed on the line. We presently find the probabilities of these events, and use them to find values of P(A), P(B), P(C) and P(D), which in turn can be used with Equation 6.5. Node N_1 is positioned at an arbitrary point on the line segment, and it is assumed to



Figure 6.2: Tree diagram of outcomes for three nodes positioned on a line

cover a segment of the line that is 2R in length. Now, when N_2 takes a position uniformly at random on the line, it can do so in three ways represented here by X, Y and Z.

Case X: N_2 connects to N_1

For this, N_2 will have to be located within the 2R coverage of N_1 . Since N_2 takes its position uniformly at random, the probability of this case occurring is given by P(X) = 2R/l.

Figure 6.3 illustrates Case X. The coverages of N_1 is the distance between p and q, and the coverage of N_2 is the distance between r and s. We denote these by \overline{pq} and \overline{rs} respectively.

Case X_1 : Given X, X_1 represents the event that N_3 directly connects to both N_1 and



Figure 6.3: Case X: N_2 is connected to N_1

 N_2 . For this, N_3 should be located in the intersection of the coverages of N_1 and N_2 . Since N_1 and N_2 are connected, the segment them must fall in the intersecting length. Let this length be x as indicated in Figure 6.3. In addition, each of N_1 and N_2 also have their coverage extending for a length R - x beyond the other node. This segment is also part of the intersecting coverage of the two nodes and is represented in Figure 6.3 by $\overline{rN_1}$ and $\overline{N_2q}$. Therefore, the total intersecting coverage would be the length of the segment \overline{rq} which is [(R - x) + x + (R - x)], or (2R - x). For x, we substitute the expected distance between two connected nodes, given by $\frac{1}{R} \int_0^R c \, dc$ or R/2. Therefore, the expected intersecting coverage of N_1 and N_2 is 2R - (R/2), or 3R/2. The probability of X_1 given X is

$$P(X_1|X) = \frac{3R}{2l}.$$

Case X_2 : Given X, X_2 represents the event that N_3 connects to either of N_1 and N_2 , but not both. For this to occur, N_3 would have to be located in either one of the segments \overline{pr} or \overline{qs} in Figure 6.3. It can be seen from the figure that each of these segments is also of length x, and therefore N_3 can be located on a portion of the line measuring 2x for X_2 to occur. Using x = R/2, we obtain the probability of X_2 given X as

$$P(X_2|X) = \frac{R}{l}.$$

Case X_3 : Given X, X_3 represents the case that N_3 connects to neither N_1 nor N_2 . For this to occur, N_3 must be located anywhere along the line in Figure 6.3 except the entire segment \overline{ps} , whose length is 2R + x. Using x = R/2, we see that N_3 can be located anywhere along the line of length l except a segment of length 5R/2. We obtain the probability of X_3 given X as

$$P(X_3|X) = 1 - \frac{5R}{2l}.$$

Case $Y: N_2$ can only be connected to N_1 through an intermediate node

Since N_1 and N_2 cannot be connected directly, N_2 must not be located in the 2R coverage of N_1 . But it must be located close enough to N_1 for N_3 to potentially act as an intermediate node connecting N_1 and N_2 . For this, N_2 must be located at a distance between Rand 2R from N_1 . There are two such segments of length R on either side of N_1 , so the total length along which N_2 can be located for Case Y to occur is 2R. The probability of this case occurring is therefore P(Y) = 2R/l.



Figure 6.4: Case Y: N_2 can only connect to N_1 through an intermediate node

Case Y_1 : Given Y, Y_1 represents the case where N_3 connects N_1 with N_2 . For this to occur, N_3 must be located in the intersection of the coverages of N_1 and N_2 . This is represented by the segment \overline{rq} in Figure 6.4 whose length we denote as y. We have seen that for Case Y to occur, N_2 must be located at a distance between R and 2R from N_1 . Since N_2 is located uniformly at random along the line, the expected distance of N_2 from

 N_1 is 3R/2. Using $\overline{N_1N_2} = 3R/2$, we obtain the value of y as R/2. Therefore

$$P(Y_1|Y) = \frac{R}{2l}.$$

Case Y_2 : Given Y, Y_2 represents the case where N_3 connects either one of N_1 or N_2 . For this to occur, N_3 must be located in the segments \overline{pr} or \overline{qs} . From Figure 6.4 each of these can be seen to be of length 2R - y. Using y = R/2, the combined length of the two segments is obtained as 3R. Therefore

$$P(Y_2|Y) = \frac{3R}{l}.$$

Case Y_3 : Given Y, Y_3 represents the case where N_3 connects neither N_1 nor N_2 . For this to occur, N_3 must be located on *l* outside the segment \overline{ps} . The length of this segment can be seen to be 4R - y, or, using y = R/2, $\overline{ps} = 7R/2$. Therefore

$$P(Y_3|Y) = 1 - \frac{7R}{2l}.$$

Case Z: N_2 cannot connect to N_1 even through an intermediate node

In order for N_2 not to be directly connected to N_1 , it must not be located in the two segments of length R on either side of N_1 . For N_2 not to have a chance of being connected to N_1 through an intermediate node, a further segment of length R on either side of N_1 must be excluded. Therefore, the total length in which N_2 cannot be located is 4R. The probability of Case Z occurring is therefore P(Z) = (l - 4R)/l.

Case Z_1 : Given Z, Z_1 represents the case where N_3 connects to either one of N_1 or N_2 . From Figure 6.5 we see that this can happen by N_3 being located in either of the segments \overline{pq} or \overline{rs} , together of length 4R. Therefore, we obtain the probability of Z_1


Figure 6.5: Case Z: N_2 cannot connect to N_1 even through an intermediate node

given Z as

$$P(Z_1|Z) = \frac{4R}{l}.$$

Case Z_2 : Given Z, Z_2 represents the case where N_3 does not connect either N_1 or N_2 . For this, N_3 must be located on *l* outside the combined coverage of N_1 and N_2 , which is of length 4R. Therefore, the probability of Z_2 given Z is

$$P(Z_2|Z) = 1 - \frac{4R}{l}.$$

Obtaining P(A), P(B), P(C), P(D), and $Rch_{3,r}^1$

In Section 6.2.2 we identified the four configurations that three nodes on a line can take, termed them A, B, C and D, and defined $Rch_{3,1}^1$ in terms of their probabilities of occurrence. We now obtain expressions for P(A), P(B), P(C) and P(D) using the results of our analysis so far (summarised in Figure 6.2).

A: All three nodes are isolated

We can see from Figure 6.2 that A can occur only when either of the events Y_3 or Z_2

occur. Therefore:

$$P(A) = P(Y_3) + P(Z_2)$$

= $P(Y_3|Y).P(Y) + P(Z_2|Z).P(Z)$
= $\left(1 - \frac{7R}{2l}\right) \left(\frac{2R}{l}\right) + \left(1 - \frac{4R}{l}\right) \left(1 - \frac{4R}{l}\right)$ (6.6)

Simplifying and using r = R/l,

$$P(A) = 1 - 6r + 9r^2 \tag{6.7}$$

B: One node is isolated, and the other two are connected

From Figure 6.2 we see that B can occur only when one of X_3 , Y_2 or Z_1 occur. Therefore:

$$P(B) = P(X_3) + P(Y_2) + P(Z_1)$$

= $P(X_3|X) \cdot P(X) + P(Y_2|Y) \cdot P(Y) + P(Z_1|Z) \cdot P(Z)$
= $\left(1 - \frac{5R}{2l}\right) \left(\frac{2R}{l}\right) + \left(\frac{3R}{l}\right) \left(\frac{2R}{l}\right) + \left(\frac{4R}{l}\right) \left(1 - \frac{4R}{l}\right)$
 $P(B) = 6r - 15r^2$ (6.8)

C: All three nodes are connected with one node being an intermediate node

 ${\cal C}$ can only occur when either X_2 or Y_1 occur. Therefore:

$$P(C) = P(X_2) + P(Y_1)$$

= $P(X_2|X).P(X) + P(Y_1|Y).P(Y)$
= $\left(\frac{R}{l}\right)\left(\frac{2R}{l}\right) + \left(\frac{R}{2l}\right)\left(\frac{2R}{l}\right)$
 $P(C) = 3r^2$ (6.9)

D: All three nodes are directly connected to each other

D can only occur when X_1 occurs. Therefore:

$$P(D) = P(X_1)$$

$$= P(X_1|X) \cdot P(X)$$

$$= \frac{3R}{2l} \cdot \frac{2R}{l}$$

$$P(D) = 3r^2$$
(6.10)

Note that P(A) + P(B) + P(C) + P(D) = 1. Since the probabilities have been derived independent of each other, this validates that the events A, B, C and D, which we have considered exhaustive, are indeed so.

Substituting for P(B), P(C) and P(D) in Equation 6.5 we get:

$$Rch_{3,r}^1 = 2r + r^2 \tag{6.11}$$

As l grows relative to R, the error caused by ignoring edge effects decreases, and Equation 6.11 improves in accuracy.

6.2.4 $Rch_{3,r}^1$ with edge effects

Since quantifying the edge effect for every configuration of nodes is a painstaking task, we use our experience with the analysis in the previous section to choose the smallest number of cases that will allow us to obtain an expression for $Rch_{3,r}^1$. Of the events A, B, C and D, we saw that D is composed of a single sub-event (X_1) , both A and C are composed on two sub-events (Z_2 or Y_3 , and X_2 or Y_1 respectively), and B is composed of three sub-events (X_3 or Y_2 or Z_1). We therefore choose to rewrite Equation 6.5 in terms of P(A), P(C) and P(D). Since we know that P(A) + P(B) + P(C) + P(D) = 1, we substitute for P(B) in Equation 6.5 to obtain

$$Rch_{3,r}^{1} = \frac{1}{3}[1 - P(A) + 2P(C) + 2P(D)].$$
(6.12)

Expressions for P(A), P(C) and P(D) in terms of r when $l \ge 4R$ are obtained as follows. The approach used is similar to that used in deriving coverage for N = 2.

P(D): All three nodes are directly connected

As seen in Section 6.2.3, $P(D) = P(X_1)$. Here we will derive $P(X_1)$ considering node placements towards the edge of the line. Note that P(X) with edge effects is equivalent to $Rch_{2,r}^1$ which has been derived in Equation 6.3.

$$P(D) = P(X_1)$$

= $P(X_1|X).P(X)$
= $P(X_1|X).(2r - r^2)$ (6.13)

We now find $P(X_1|X)$ with edge effects. Let x be the expected distance between two directly connected nodes. Let N_1 be the node closest to the left end of the line segment of length l, and let N_2 be the other node connected to N_1 on its right. Note that the coverage area for N_3 to be connected to both of them is the length between the two nodes, x, and an overlap of R - x on N_2 's right and an overlap of R - x on N_1 's left. Here, we also need to accommodate for the reduction of this overlap when the two nodes are near the end segments of the length of operation, as we did for the case N = 2. Coverage for the initial R - x segment of l is (R - x)/2 to N_1 's left, x in between, and R - x to N_2 's right. Coverage for the rightmost segment of length R, after compensating for reduction of overlap is obtained as 5R/4, and coverage for the central l - 2R + x segment is 2R - x. The expected coverage is:

$$\left(\frac{R-x}{2} + x + R - x\right) \left(\frac{R-x}{l}\right) + \left(\frac{l-2R+x}{l}\right)(2R-x) + \left(\frac{R}{l}\right) \left(\frac{5R}{4}\right)$$

Substituting x = R/2 (we do not consider edge effects for x itself, since the resulting error is small and allows us to obtain an equation of lower degree), and dividing by l:

$$P(X_1|X) = \frac{3R}{2l} - \frac{3R^2}{8l^2}$$

Taking r = R/l and substituting in Equation 6.13,

$$P(D) = \left(\frac{3r}{2} - \frac{3r^2}{8}\right)(2r - r^2) \tag{6.14}$$

P(C): Three nodes are one-hop connected

As seen in Section 6.2.3, $P(C) = P(X_2) + P(Y_1)$. Here, we derive $P(X_2)$ and $P(Y_1)$ without ignoring node placements towards the edge of the line.

$$P(C) = P(X_2) + P(Y_1)$$

= $P(X_1|X).P(X) + P(Y_1|Y).P(Y)$ (6.15)

We have already seen that $Rch_{2,r}^1$ is identical with P(X).

 $P(X_2|X)$: Let the expected distance between two connected nodes be x. Since N_1 and N_2 are given to be connected, N_3 can be one-hop connected with N_1 only by being located to the right of N_2 in a segment that does not overlap with N_1 's coverage. This segment is of length x. We do not consider N_3 being located to the left of N_1 since that case is covered by the symmetrical nature of our analysis. (The analysis proceeds from left to right of the line segment with N_2 always to the right of N_1 . We could perform another analysis proceeding from right to left and weight both results by half, but the two analyses would be identical except for the nomenclature of the nodes.) The segment of length l is divided into four segments of length R - x, 2x, l - 2R - x, and R, from left to right to account for boundary conditions. After identifying the coverages for each of those segments, taking the product of coverages and segment lengths, summing, and substituting x = R/2, we get the coverage within which a node would one-hop connect two already connected nodes as $R - (R^2/l)$. Substituting r = R/l and dividing by l,

$$P(X_2|X) = r - r^2$$

P(Y): We find the probability of two nodes being located such that they are not connected, but can possibly be connected. Note that the criterion for this is that *the two nodes should be separated by at least a distance of R, and not more than a distance of* 2R. We call the length in which N_2 can be positioned to potentially satisfy condition Yas the *placement length*⁴ for N_2 . For ease of analysis we divide the line on which the nodes are located into five segments: two segments of length R from each of the endpoints, and a central segment of length l - 4R. We do this because the placement length of N_2 varies depending on where N_1 is located. We therefore calculate this length when N_1 is located in each of these segments, and we weight it by the length of the respective segment to get the expected placement length of N_2 .

When N_1 is located on the leftmost segment of length R, N_2 can only be positioned in a segment of length R after leaving a gap of R. Therefore, the placement length of N_2 for this part of the line is R.

When N_1 is located on the next segment on length R, N_2 can be placed on a segment of length R after leaving a gap of R as for the first segment. In addition, N_2 can also be placed to the left of N_1 after leaving a gap of R. Depending on where N_1 is located on

⁴Placement length is analogous to the term coverage used in other parts of this analysis. We do not use the term coverage here because there is no actual overlap of transmission ranges of nodes at this stage of the analysis.

the second segment, this length can vary from 0 to R, giving an expected value of R/2when the nodes are uniformly distributed. The total expected placement length for N_2 when N_1 is located in this segment is 3R/2.

When N_1 is in the central segment of length l - 4R, N_2 can be located in a segment of length R after a gap of R either to the left or right of N_1 . The expected placement length is therefore 2R.

The placement lengths for N_2 for the remaining two segments are the same as those for the first two segments by symmetry. Weighting by the relative length of the segments, we find the expected placement length for N_2 as:

$$\left(\frac{R}{l}\right)R + \left(\frac{R}{l}\right)\left(\frac{3R}{2}\right) + \left(\frac{l-4R}{l}\right)2R + \left(\frac{R}{l}\right)\left(\frac{3R}{2}\right) + \left(\frac{R}{l}\right)R$$

Dividing by l to obtain P(Y), substituting r = R/l, and simplifying we get:

$$P(Y) = 2r - 3r^2$$

 $P(Y_1|Y)$: Let y be the expected distance between nodes that are not connected, but can be connected by a third node. Then, y ranges from R to 2R with an expected value of 3R/2. The expected intersecting coverage of the two nodes in which N_3 must be positioned to satisfy condition Y_1 is 2R - y. Substituting y = 3R/2, this coverage is R/2. Therefore, after dividing by l, we get

$$P(Y_1|Y) = \left(\frac{r}{2}\right)$$

Substituting the above obtained equations in Equation 6.15 we get

$$P(C) = (r - r^2)(2r - r^2) + \left(\frac{r}{2}\right)(2r - 3r^2)$$
(6.16)

P(A): All three nodes are isolated

As seen in Section 6.2.3, $P(A) = P(Y_3) + P(Z_2)$. Here, we derive $P(Y_3)$ and $P(Z_2)$ without ignoring node placements towards the edge of the line.

$$P(A) = P(Y_3) + P(Z_2)$$

= $P(Y_3|Y).P(Y) + P(Z_2|Z).P(Z)$ (6.17)

We have already seen that P(Y), the probability of two nodes falling such that they are not connected, but can be connected by a third node is $2r - 3r^2$.

 $P(Y_3|Y)$: We find the coverage length within which the third node could be connected to one or both the nodes, and obtain its complement as the probability that the third node will not be located in this coverage length. Let the line be divided into four segments of length R, l - 3R, R and R. Let N_1 be the node near the leftmost endpoint of the line. From condition Y, we know that N_2 must be located between R and 2R to N_1 's right. When N_1 is in the first segment, the total coverage of the two nodes consists of the expected coverage of N_1 's to its left (R/2), the expected distance between N_1 and N_2 (3R/2), and N_2 's coverage to its right (R). When N_1 is in the second segment of length l - 3R, the coverages remain the same except for N_1 's coverage to its left, which increases to R. When N_1 is in the third segment of length R, the total coverage is the 2R constituted by the last two segments along with the expected coverage of N_1 extending to its left, which is R/2. Note that since N_1 is the leftmost node, and since N_2 must be a distance of at least R to its right, N_1 cannot be located in the last segment. Weighting the coverages by relative length of the segments, we get

$$\left(\frac{R}{l}\right)\left(\frac{R}{2} + \frac{3R}{2} + R\right) + \left(\frac{l-3r}{l}\right)\left(R + \frac{3R}{2} + R\right) + \left(\frac{R}{l}\right)\left(\frac{R}{2} + 2R + \frac{R}{3}\right)$$

Simplifying and dividing by l gives us the probability of N_3 being connected to either or

both of N_1 and N_2 . Taking the complement and substituting r = R/l gives us:

$$P(Y_3|Y) = \left(1 - \frac{7r}{2} + \frac{14r^2}{3}\right)$$

P(Z): In order not be connected by an additional node, N_1 and N_2 must be located at least 2R away from each other. To calculate P(Z) we first find the expected placement length for N_2 . For ease of analysis, we divide the line segment of length l into three segments of length 2R, l - 4R, and 2R.

Depending on the position of N_1 in the leftmost 2R, N_2 must be positioned between l - 2R and l - 4R from the rightmost end for condition Z to be satisfied. The expected placement length for N_2 when N_1 is in the leftmost segment is therefore l - 3R.

When N_1 is in the central segment of length l - 4R, N_2 can be positioned in the rightmost segment varying in length from l - 4R to 0, depending on the position on N_1 . N_2 can also be positioned in parallel in the leftmost segment varying in length from 0 to l - 4R. Therefore, the placement length for N_2 is fixed at l - 4R for this case.

The placement length for N_2 for the remaining segment is same as that for the first segment by symmetry. Weighting by the relative length of the segments, we find the expected placement length for N_2 for case Z as:

$$\left(\frac{2R}{l}\right)(l-3R) + \left(\frac{l-4R}{l}\right)(l-4R) + \left(\frac{2R}{l}\right)(l-3R)$$

Dividing by l to obtain P(Z), substituting r = R/l, and simplifying we get:

 $P(Z) = 1 - 4r + 4r^2.$

 $P(Z_2|Z)$: We find the combined coverage length of two nodes that cannot be connected to each other by a third node, and obtain the probability that the third node will not be located anywhere in that coverage area. For this, we find the total coverage that the

third node should *not* be located in. N_1 and N_2 have no overlap in their coverages since they satisfy condition Z. We divide the line into three segments of lengths R, l - 2R and R. When either of N_1 or N_2 is in the central l - 2R segment, its coverage is 2R. When it is in one of the edge segments, its expected coverage is R/2 on the side closer to the endpoint, and R on the side closer to the centre, making a total of 3R/2. Weighting by the relative size of the segments and doubling to account for both nodes gives us total coverage of N_1 and N_2 as

$$2\left[\left(\frac{2R}{l}\right)\left(\frac{3R}{2}\right) + \left(\frac{l-2R}{l}\right)2R\right]$$

We now divide by l, substitute r = R/l and simplify to obtain the probability of N_3 connecting to either N_1 or N_2 as $4r + 2r^2$. The complement of this gives us:

$$P(Z_2|Z) = 1 - 4r + 2r^2.$$

Substituting the above obtained equations in Equation 6.17 we get:

$$P(A) = (1 - 4r + 2r^2)(1 - 4r + 4r^2) + \left(1 - \frac{7r}{2} + \frac{14r^2}{3}\right)(2r - 3r^2)$$
(6.18)

Now, we have obtained expressions for P(D), P(C), and P(A) in Equations 6.14, 6.16 and 6.18. These along with Equation 6.12 constitute analytical expressions for $Rch_{3,r}^1$ with edge effects factored in.

Concluding note on analysis of $Rch_{3,r}^1$

As seen earlier, Equations 6.7, 6.8, 6.9 and 6.10 give us P(A), P(B), P(C) and P(D)without taking edge effects into account. This corresponds to the case when l >> R. Equations 6.14, 6.16 and 6.18 give us P(D), P(C) and P(A) for the case when l > 4R. Both these sets of equations yield polynomials in r. It is interesting to note that the coefficients of the first few terms remain the same in both sets. That is, *the effect of considering edge positions of nodes is to add higher order terms to the resulting equations*.

Table 6.1 shows analytical and simulated values when r = 0.1 for P(A), P(B), P(C), P(D) and $Rch_{3,r}^1$. The first column shows values obtained using equations 6.7, 6.8, 6.9 and 6.10. In the second column, the values of P(A), P(C) and P(D) are obtained using equations 6.18, 6.16 and 6.14, and these values are used to determine P(B). In both the analytical columns, the value of $Rch_{3,0.1}$ is obtained using Equation 6.12.

The values in the third column of Table 6.1 are from simulations conducted in Simran. Here $Rch_{3,0.1}$ is obtained as the mean reachability across 10,000 network instances of three uniformly distributed nodes. Each instance can be classified as an event of type A, B, C or D using the average hop count returned by the simulator for that instance. Probability for each event was calculated as the fraction of instances satisfying the event condition. For example, of the 10,000 instances simulated, 4338 had two nodes connected and one node isolated. This lets us calculate P(B) = 0.4338. Note that the values in the second column, where edge effects are considered in the analysis, are closer to simulated values. Our main aim in performing the analyses for $Rch_{2,r}^1$ and $Rch_{3,r}^1$ was to

	Analytical $(l >> R)$	Analytical $(l > 4R)$	Simulated
P(A)	0.49	0.5152	0.5122
P(B)	0.45	0.4314	0.4338
P(C)	0.03	0.0256	0.0261
P(D)	0.03	0.0278	0.0279
Rch_{301}^{1}	0.21	0.1972	0.1982

Table 6.1: Analytical and Simulated values for r = 0.1

investigate any structural properties of their derivations that could be exploited to obtain exact expressions for $Rch_{N,r}^1$, and perhaps even $Rch_{N,r}^2$ which is the case of most interest. The analysis performed for N = 3 required the handling of multiple cases, and was significantly more involved than the analysis for N = 2. Carrying the analysis beyond N = 3 would entail extending the tree diagram of Figure 6.2 to further levels. However, such a probabilistic analysis for N > 3 would have to account for a staggering multiplicity of cases, with many involving the calculation of multiple overlapping coverages. We can conclude that this method is impractical for applying to larger values of N, and other methods will have to be explored to characterise reachability for larger values of N.

6.3 Modelling $Rch_{N,r}$ in the finite domain

There is work that gives asymptotic probabilistic bounds on *connectivity* in a one-dimensional network by characterising the conditions required for a single node to be left out of the connected component [SB02, SBV01]. Such an approach is difficult to use with reachability since the metric by definition tries to capture communication capabilities in a network that can be separated by disconnections. In any case, asymptotic results for one dimensional networks, while of theoretical interest, are unlikely to be of practical use in networks with smaller numbers of nodes.

If the N nodes form k components with m_i nodes in the i^{th} component, we can rewrite Equation 6.1 as

$$Rch_{N,r} = \frac{\sum_{i=1}^{k} \binom{m_i}{2}}{\binom{N}{2}} = \frac{\sum_{i=1}^{k} m_i(m_i - 1)}{N(N - 1)}$$
(6.19)

It may be possible to use results for number of components and distributions of nodes for a Random Geometric Graph [Pen03] to obtain asymptotic bounds (as N tends to infinity) for $Rch_{N,r}$.

However, since sparse networks often involve small numbers of nodes, we are particularly interested in characterisations of $Rch_{N,r}$ in the finite domain. Since we can generate accurate data for $Rch_{N,r}$ from simulations, we choose to obtain a finite domain characterisation using empirical regression.

6.4 Empirical modelling of $Rch_{N,r}$ in the finite domain

We explored data from simulations to see if reachability obeyed any known growth models. For this, we studied the relationship between r and $Rch_{N,r}$ for various values of N. We chose r (rather than N) as our independent variable since it is continuous and allows greater precision in choosing data points. That is, it allows us to obtain simulated values for $Rch_{N,r}$ at arbitrarily close intervals of r. $Rch_{N,r}$ was observed to grow sigmoidally from zero at r = 0 and reach an asymptote of one for some value of r. Plots of $Rch_{N,r}$ versus r were seen to be consistent in this regard for different values of N. After a visual comparison with known growth models that explained this behaviour, we found several candidates for modelling $Rch_{N,r}$. We conducted an initial round of regression analysis using each of those models and selected the logistic growth model because it consistently fit the simulated data for a wide range of r and N values with high accuracy. Among models considered and rejected were power law models, sum of exponentials, the Gompertz model, and various logarithmic functions as described in [Rat93].

The model for $Rch_{N,r}$ is a function in terms of N and r, and is represented by Rch(N,r).

6.4.1 The Logistic Growth Curve

The logistic model is often used to fit sigmoidal curves with a lower asymptote of zero and a finite upper asymptote. Its most popular application has been in modelling the growth of populations over time. Intuitively, logistic growth models a system that grows rapidly beyond a threshold, and slows down as it approaches its maximum limit. Figure 6.6 shows a logistic curve expressed by the equation:

$$y = \frac{k}{1 + e^{\alpha - \beta x}} \tag{6.20}$$

where k is the limiting value that y can take, β is the maximum rate of growth, and α is a constant of integration [Kin82]. The curve is skew-symmetric and has a point of inflexion at $x = \alpha/\beta$, y = k/2, where the growth rate is maximum [Rat93]. We use the



Figure 6.6: A general logistic curve

logistic equation to model the growth of $Rch_{N,r}$ as r increases for a fixed value of N. Since the maximum value of reachability is one, it becomes our upper asymptote. α and β are found to increase monotonically with N, and we denote them by $\alpha(N)$ and $\beta(N)$. We use Equation 6.20 in the form:

$$Rch(N,r) = \frac{1}{1 + e^{\alpha(N) - \beta(N)r}}$$
(6.21)

Figure 6.7 shows the close correspondence between simulated data and Equation 6.21 for the case N = 100. The values of $\alpha(100)$ and $\beta(100)$ used were 9.58 and 79.2 respectively. We see how these values were obtained in Section 6.5.

6.5 Simulation and Regression Modelling

After having identified reachability as consistent with the logistic model, our approach towards characterising $Rch_{N,r}$ was as follows:

- We conducted extensive simulations to obtain data that represented the growth of $Rch_{N,r}$ from 0 to 1 as r increased, while keeping N fixed.
- We used Equation 6.21 as a regression function for simulated data, and obtained the coefficients α and β for the corresponding value of N. This allowed us to characterise reachability as a function of r for one value of N.
- We repeated the above two steps for values of N ranging from 2 to 500, and performed a second level of regression on the estimated values of α(N) and β(N). This gave us a set of equations expressing reachability as a function of N and r for values of N ranging from 2 to 500.



Figure 6.7: Logistic fit for N=100

6.5.1 Simulations

We conducted extensive simulations in Simran to generate the data required for fitting the regression function. Since we were looking to characterise reachability for small to medium sized networks, we chose 55 values of N between 2 and 500 as representative points. For each of these values of N, we varied r in increments from zero to a value where reachability was at its maximum value of one. For each such value of r, we conducted simulations over 1000 randomly generated network graphs and calculated the mean value of $Rch_{N,r}$ across those instances.

We know that the error of the mean is within $1.96s/\sqrt{n}$ with 95% confidence where s is the standard deviation of the samples, and n is the number of samples [Jai91]. A worst case bound for s would be the case when the samples are uniformly distributed in the interval [0, 1]. The variance for a uniform continuous distribution in the interval [a, b] is given by (b - a)/12 [Tri01]. The worst case standard deviation for the interval [0, 1] is therefore given by $s = \sqrt{1/12} = 0.2887$. Using this value of s, and with n = 1000, we find that the error in the mean is within 0.018 with a confidence of 95%.

At the end of our simulations, we had 55 tables each containing r and reachability values for the corresponding value of N. For illustration, one of these tables, for N=60, is shown in Table 6.2.

6.5.2 Fitting the Logistic Curve

Our next step was to fit each of those 55 tables of values to Equation 6.21. We transformed the non-linear equation to a linear form in order to use the linear least-squares regression. Applying logarithms to both sides of Equation 6.21 we get:

$$log\left(\frac{1}{Rch(N,r)}-1\right) = \alpha(N) - \beta(N)r$$

Substituting $t = log(\frac{1}{Rch(N,r)} - 1)$,

$$t = \alpha(N) - \beta(N)r$$

which allows us to estimate $\alpha(N)$ and $\beta(N)$ using linear least-squares regression.

We estimated α and β for each of the 55 selected values of N. Goodness of fit as measured by the R-squared statistic was close to 1 when averaged, with the lowest value being 0.996. This corroborates the close agreement of simulated values and the fitted equation seen in Figure 6.7. At this point, we obtained a table with estimated α and β values for the 55 values of N chosen. Some rows of this table are shown in Table 6.3.

Table 6.2: $N = 60$		
r	$Rch_{60,r}$	
0.11	0.097306765	
0.12	0.144781929	
0.13	0.214324298	
0.14	0.313522569	
0.15	0.436204508	
0.16	0.572368896	
0.17	0.703084160	
0.18	0.811325984	
0.19	0.880296608	
0.20	0.928937296	

Table 6.3: N with corresponding α and β

N	$\alpha(N)$	$\beta(N)$	
2	3.255884789	6.283736818	
5	3.977056234	9.870638140	
10	4.691024580	14.53923918	
•	•	•	
•	•	•	
55	8.145698174	50.98543867	
60	8.263521833	53.85171640	
•	•	•	
•	•	•	
175	11.47178670	124.4936168	
200	12.03414482	138.8969787	
•	•	•	
•	•	•	
450	16.21675101	278.7307447	
500	16.69687608	302.2307067	

6.5.3 Fitting the Logistic Coefficients

Having estimated the logistic coefficients $\alpha(N)$ and $\beta(N)$ for several values of N, we performed a second level of regression on the estimated coefficients to express $\alpha(N)$ and $\beta(N)$ in terms of N. Doing this allows us to interpolate $\alpha(N)$ and $\beta(N)$ for values of



Figure 6.8: Estimated and fitted α

N we have not simulated, and lets us express $\alpha(N)$ and $\beta(N)$ concisely in terms of N. This can also reduce error by staying faithful to a general trend, mitigating the effect of any anomalous data points.

We fit values of α to a sum of exponentials function, and values of β to a sixth degree polynomial. In the absence of physically significant models, we chose models that gave us maximum accuracy. The expressions in terms of N for $2 \le N \le 500$ are:

$$\alpha(N) = 3.004 + 3.815(1 - e^{-4.091 \times 10^{-2}N}) + 15.4(1 - e^{-2.055 \times 10^{-3}N})$$
(6.22)

$$\beta(N) = 5.141 + 0.9421N - 2.597 \times 10^{-3}N^{2} + 8.42 \times 10^{-6}N^{3} - 1.37 \times 10^{-8}N^{4} + 1.058 \times 10^{-11}N^{5} - 3.209 \times 10^{-15}N^{6}$$
(6.23)

Figures 6.8 and 6.9 plot the estimated values of α and β along with the curves represented by equations 6.22 and 6.23.



Figure 6.9: Estimated and fitted β

6.5.4 Validation

Equations 6.21, 6.22 and 6.23 form a model for reachability. Given a value of N and r, we obtain the corresponding value of reachability as follows:

- obtain $\alpha(N)$ and $\beta(N)$ by substituting N in equations 6.22 and 6.23; and
- substitute $\alpha(N)$, $\beta(N)$ and r in Equation 6.21.

We chose 20 values of N between 2 and 500 at random, which were not among the 55 values of N chosen for the regression model. For each value of N, we chose five values of r that would roughly correspond to a reachability value between 0.05 and 0.95. This choice of r is necessary because a random selection of r is very likely to result in a reachability of either zero or one, since reachability takes on values in between only for a narrow range of values of r. We calculated the reachability corresponding to these hundred pairs of N and r values using equations 6.21, 6.22 and 6.23, and compared them with values obtained from simulation. We calculated absolute and relative errors between the simulated and estimated values of reachability. We found an average relative error of 3.5% in the model. We did not observe a single instance where the value of reachability predicted by the model was in error by more than 0.05.

6.6 Extending the model

As N grows, smaller changes in r suffice for $Rch_{N,r}$ to increase from a value near 0 to a value near 1. For example, when N = 10, the increase of $Rch_{10,r}$ from 0.1 to 0.9 corresponds to an increase in r of 0.3. But when N = 500, it corresponds to an increase in r of only 0.015. As N grows larger, $Rch_{N,r}$ begins to resemble a step function by transitioning from a value of almost 0 to a value of almost 1 at a threshold value of r. Such phase transition behaviour [KWB01] is a known property of multi-hop networks, and the critical transmitting range is a well-studied problem for connectivity (Section 2.2.2).

In our model, the transition of Rch(N, r) for large values of N takes place at $g(N) = \frac{\alpha(N)}{\beta(N)}$ which is the point of inflexion for the logistic curve. Note that in figures 6.8 and 6.9, the shape of the curves seems relatively stable for N greater than 200. We use data for N between 200 and 500 to find a rough estimate for the critical transmitting range for Rch(N, r) up to N = 1000. We approximate $\alpha(N)$ using a simple exponential function, and $\beta(N)$ using a linear function as

$$\alpha(N) = 16.16(1 - e^{-1.947 \times 10^{-3}N}) + 6.658$$
(6.24)

$$\beta(N) = 27.8844 + 0.5522N \tag{6.25}$$

for $500 \le N \le 1000$. While these estimates do not exactly predict the point of inflexion, they are close enough that setting r = g(N) - 0.01 results in a Rch(N, r) value close to 0, and setting r = g(N) + 0.01 results in a Rch(N, r) value close to 1. Table 6.4 illustrates this: the second column contains g(N) values obtained from equations 6.24 and 6.25, and the third and fourth columns contain $Rch_{N,r}$ values obtained from simulations by setting r to g(N) - 0.01 and g(N) + 0.01 respectively.

N	$g_{N} = \frac{\alpha_{N}}{\beta_{N}}$	$Rch(N, g_N - 0.01)$	$Rch(N, g_N + 0.01)$
500	0.055	0.0515	0.9418
600	0.0495	0.0315	0.9470
700	0.0451	0.0201	0.9518
800	0.0413	0.0129	0.9518
900	0.0381	0.0086	0.9515
1000	0.0354	0.0060	0.9505
1200	0.0308	0.0031	0.9414

Table 6.4: Beyond N = 500

6.7 Using the model: Spanner

We have presented a model for reachability to be used for estimating trade-offs between number of nodes, transmission range, and required communication capability in wireless multi-hop networks. To this end, we have built a design tool, Spanner (*Sparse network* plan*ner*), incorporating the reachability model presented in this chapter. Given three values from the number of nodes in the network, N, their uniform transmission range, R, the side of the square area of operation, l, and the reachability, Rch, Spanner computes the fourth value. A brief description of the tool follows.

Spanner is implemented as a C program invoked through a web server⁵. Three of four values from N, R, l, and Rch are entered in a browser, and the value of the fourth is computed. When N is given, Spanner first computes $\alpha(N)$ and $\beta(N)$ using equations 6.22 and 6.23. It then solves for the value to be found by substituting in appropriate forms of Equation 6.21. If Rch is to be found:

$$Rch = \frac{1}{1 + e^{\alpha(N) - \beta(N)(\frac{R}{l})}}$$

⁵Spanner is online at http://www.it.iitb.ac.in/~srinath/tool/rch.html

When R or l are to be found:

$$\frac{R}{l} = \frac{\alpha(N) - \log(\frac{1 - Rch}{Rch})}{\beta(N)}$$

The computation is a little different when N is to be found from R, l and Rch. N cannot be directly solved because that would require us to know α and β which are functions of N. Therefore, we write Equation 6.21 as:

$$\alpha(N) - \beta(N) \left(\frac{R}{l}\right) = \log\left(\frac{1 - Rch}{Rch}\right)$$

Since N is known to be between 2 and 500, we use binary search to obtain the N value.

An example of Spanner's use can be seen in the case study in Chapter 5 where data points in Figure 5.3 have been generated using this tool.

6.8 Handling model limitations

6.8.1 Idealised wireless propagation

The assumptions made regarding wireless propagation are idealised, and reachability measured in a real deployment would almost certainly be lower than the value obtained by using our model. One way to factor this knowledge into using the model for net-work design would be to choose parameters conservatively. For instance, the value of reachability obtained using the model could be treated as the upper bound for an actual deployment. Or, if the model stipulates the transmission range required for a desired level of reachability, it could be treated as the minimum required range.

6.8.2 Square area of operation

We have assumed a square area of operation for deriving the reachability model in this chapter. It is not evident how this can be applied for a rectangular area of operation.

In Chapter 7, we demonstrate that connectivity properties for a network (such as connectivity and reachability) vary when the shape of the operational area is changed. We also provide analytical results for obtaining the side of a square area that has connectivity properties similar to a given rectangular area when all other network parameters are fixed. Such a transformation could be used to apply the reachability model presented here to rectangular networks.

6.8.3 Mobility

The results we have obtained in this chapter have been for a static network. In a static network, a probabilistic value of reachability for a network is of limited use because the specific network instance we obtain could have a different reachability value. But in the presence of mobility and asynchronous communication, the measured value of reachability would tend towards its expected value over time. As evidenced by Figure 5.4 in Chapter 5, a sparse MWN can support a significant degree of communication by operating asynchronously. Since reachability is able to effectively capture this communication ability, it is in the design of such networks that reachability would be most useful.

Note that it is not mobility itself that prevents us from applying a model obtained from static networks — it is what mobility does to the underlying *distribution* of nodes. Since we have assumed a uniform distribution of nodes while obtaining the reachability model in this chapter, it is also applicable to a mobile network whose mobility model results in a uniform distribution of nodes. Therefore, it cannot be used with a mobility model such as random way-point which causes non-uniform distributions of nodes [BRS03], but it can be used with a mobility model such as random direction [RMSM01], which is known to preserve a nearly uniform distribution of nodes [Bet02]. There is also work that allows us to determine the stationary distributions for the locations of mobile nodes [NC04, BRS03, BV05]. This distribution is a function of the mobility model used and its parameters. It should also be possible to use the models obtained here when the stationary

distribution of mobile node locations is close to the uniform distribution. When this is not the case, or when asynchronous communication exists between nodes, it is advisable to use simulation.

6.9 Concluding remarks

In this chapter we gave a finite domain empirical characterisation of reachability for a uniform distribution of nodes in a square area. Though the characterisation was obtained for a square network, it is also applicable to mobile networks where the uniform distribution of nodes is maintained. We also incorporated the obtained model in Spanner, a design tool for sparse MWNs. Given three values from the number of nodes in the network, N, their uniform transmission range, R, the side of the square area of operation, l, and the reachability, Rch, Spanner computes the fourth value.

The use of the reachability characterisation for topology design in sparse MWNs can perhaps be best illustrated by revisiting one of the design questions raised in the case study of Chapter 5:

• If nodes in an MWN to be deployed in an area of 2000m x 2000m have a transmission range of 300m, how many nodes will be required to ensure that around 60% of node pairs in the network can communicate at a given time?

To answer the above question, we had simulated the network scenario for various values of N, and plotted a curve of reachability against N (Figure 5.2). We then determined that the value of N corresponding to a reachability of 0.6 was around 70. This method of obtaining the answer is time consuming: the curve has 25 points, each of which is the averaged value of 500 simulations. Further, if any of the network parameters change, the entire process would need to be repeated. In contrast, we can use the reachability characterisation presented in this chapter to answer the same question easily

and quickly. Entering l = 2000m, R = 300m and Rch = 0.6 in Spanner (Section 6.7), we get N = 69. Other combinations of parameters too can be evaluated similarly.

Chapter 7

Edge effects on Connectivity Properties

In chapters 3 and 6, we obtained empirical models for connectivity and reachability for nodes in a square area of operation. While the assumption of a square area of operation is common in work relating to connectivity properties of multi-hop networks, it is not clear how analytical or empirical results obtained for a square area will apply to a more general rectangular area. In this chapter we show that results obtained for a square area do not necessarily apply even to similar rectangular areas: we present simulation results that show reachability and connectivity varying for networks with the same area of operation, but with differing geometries. One reason for this is the change in expected coverage of a node as the shape of the operating area changes. We quantify expected coverage for a single node in a rectangle and describe how it can be applied in extending results obtained for square areas to rectangular areas.

7.1 Motivation

It is known that nodes at the boundaries of operating regions must be treated differently from other nodes when dealing with topological and connectivity properties. For instance, [IB05] mentions that nodes at the boundaries have fewer neighbours, and that this can have a significant impact on network properties. In some studies, nodes are assumed to be operating on a toroidal region to avoid handling special cases introduced by edge effects [DB01]. A common feature in both finite domain and asymptotic analyses of connectivity is the parametrisation of the network in terms of node density since this subsumes both number of nodes and the area of operation [TFL03]. Further the area of operation may be considered to be an d-cube for convenient generalisation across one-, two-, and three-dimensional networks [SB02, SBV01, SB03]. However, such results can be misleading when applied out of context to a rectangular area of operation.

The graph in Figure 7.1 plots simulation results for connectivity and reachability against rectangularity in a static multi-hop network. We define rectangularity as the ratio of length to breadth. We started with a square area of operation of 2 square units, with 30 nodes, each with a uniform transmission range of 0.4 units. We proceeded to increase the ratio of length to breadth while keeping area and the number of nodes fixed. Hence the curves in Figure 7.1 are for a network with constant node density, but with changing geometry of the area of operation. The increase in rectangularity results in a decrease in connectivity and reachability. This can be intuitively understood by imagining that each node has a transmission area around it represented by the circle with transmission range as its radius. The node can communicate directly with any other node present in the transmission area. For any node, being present near the edge of the area of operation effectively means that some part of the transmission area is not utilised for communication with other nodes. It can be shown that a rectangle has a larger perimeter than a square of equal area. This causes a larger part of the nodes' transmission area to fall outside the area of operation, contributing to a decrease in connectivity properties.

In this chapter we quantify this edge effect using the notion of the effective 'coverage' of a node within a rectangular area of operation. Since coverage gives us the average number of neighbours per node which in turn has been shown to determine connectivity and reachability, we can use coverage to obtain the square equivalent of a network with a rectangular area of operation 7.3. This provides a way to apply results obtained for networks with square areas of operation to networks with rectangular areas of operation.



Figure 7.1: Connectivity properties change with rectangularity

7.2 Quantifying the edge effect for a single node

We derive exact expressions for expected coverage of a single node with transmission range R, in a $l \times b$ rectangle. We are interested in determining expected coverage because it allows to to quantify the extent of the edge effect. The expected coverage is also closely linked to connectivity: dividing the expected coverage for one node by the area of the rectangle gives us the probability that another similar node introduced at a random position in the rectangle is connected to the first node. That is, it gives us an exact expression for connectivity of two nodes in a rectangular area. We use this expression in Section 7.3 to deal with larger networks.

We assume that the node under consideration has a transmission range of R, and can be present with equal probability at any point within a rectangle of dimensions $l \times b$. We define:



Figure 7.2: Edge effects on a node's radio coverage

Coverage of a node as the area of the circle of radius R around it that lies within the rectangular area of operation.

For convenience of analysis we divide the rectangle into three regions. Figure 7.2 shows these regions:

- *Region 1* consists of the central portion of the rectangle where the coverage of the node is the entire area of the circle around the node.
- *Region 2* consists of a band of width *R* inside the perimeter of the rectangle, in which a part of the node's coverage area is circumscribed by exactly one of the edges of the rectangle.
- *Region 3* consists of squares of side *R* at each vertex of the rectangle, and represents the region where portions of the node's coverage area are circumscribed by two intersecting edges of the rectangle.

If τ_1 , τ_2 , and τ_3 are the expected coverages in regions 1,2, and 3, the overall expected coverage, τ , is given by weighting each of the coverages with the area of the corresponding region, and dividing by the area of the rectangle:

$$\tau = \frac{\tau_1(l-2R)(b-2R) + \tau_2[2R(l-2R) + 2R(b-2R)] + \tau_3(4R^2)}{lb} \quad (l,b \ge 2R) \quad (7.1)$$

7.2.1 Coverage in Region 1

For every possible location of the node within Region 1, the entire area of the circle lies inside the rectangle. The expected coverage of the node in this region is:

$$\tau_1 = \pi R^2 \tag{7.2}$$



Figure 7.3: Edge effect in Region 2

7.2.2 Coverage in Region 2

Region 2 consists of a band of width R. Since the radius of the node's covering circle is R, there is some portion that extends beyond the edge of the rectangle for any location of the node within Region 2. (This is shown in Figure 7.3).

Let the height of the circle's segment beyond the edge be h. The area of a circular segment of radius R with height h is given by:

$$A(R,h) = R^{2} \cos^{-1}\left(\frac{R-h}{R}\right) - (R-h)\sqrt{2Rh-h^{2}}$$
(7.3)

The value of h varies with the position of the node in Region 2, and can range from 0 when it is on the inner edge, to R when it is on the outer edge. Therefore, when the node is in Region 2, the expected area of the circle *outside* the rectangle is given by:

$$\frac{1}{R}\int_0^R A(R,h) \ dh$$

Substituting in Equation 7.3 and subtracting from πR^2 , we get an expression for expected coverage of the node inside the rectangle:

$$\tau_{2} = \pi R^{2} - \frac{1}{R} \left[R^{2} \int_{0}^{R} \cos^{-1} \left(\frac{R-h}{R} \right) dh - \int_{0}^{R} (R-h) \sqrt{2Rh-h^{2}} dh \right]$$

$$\tau_{2} = \pi R^{2} - \frac{1}{R} \left[R^{2} I_{1} - I_{2} \right]$$
(7.4)

$$r_{2} = \int_{0}^{R} \cos^{-1} \left(\frac{R-h}{R} \right) dh \text{ and } I_{n} = \int_{0}^{R} (R-h) \sqrt{2Rh-h^{2}} dh$$

where $I_1 = \int_0^R \cos^{-1}\left(\frac{R-h}{R}\right) dh$ and $I_2 = \int_0^R (R-h)\sqrt{2Rh-h^2} dh$.

For evaluating I_1 , we use $\int \cos^{-1} dx = x \cos^{-1} x - \sqrt{1 - x^2}$ to obtain

$$I_1 = R \left[-\left(\frac{R-h}{R}\right) \cos^{-1}\left(\frac{R-h}{R}\right) + \sqrt{1 - \left(\frac{R-h}{R}\right)^2} \right]_0^R = R$$

For evaluating I_2 , let $u = \sqrt{2Rh - h^2}$ and dv = (R-h) dh. Using the rule $\int u \, dv =$

 $uv - \int v \, du$ we have

$$I_{2} = \sqrt{2Rh - h^{2}} \int (R - h) dh - \int \left[\int (R - h) dh \times \frac{d}{dh} \left(\sqrt{2Rh - h^{2}} \right) \right] dh$$

$$= \frac{1}{2} \left(2Rh - h^{2} \right)^{3/2} - \int \frac{(2Rh - h^{2})(R - h)}{2\sqrt{2Rh - h^{2}}} dh$$

$$= \frac{1}{2} \left(2Rh - h^{2} \right)^{3/2} - \frac{1}{2} \int (R - h) \sqrt{2Rh - h^{2}} dh$$

$$I_{2} = \frac{1}{2} \left(2Rh - h^{2} \right)^{3/2} - \frac{1}{2} I_{2}$$

Simplifying and taking limits,

$$I_2 = \frac{1}{3} \left[\left(2Rh - h^2 \right)^{3/2} \right]_0^R = \frac{R^3}{3}$$

Substituting for I_1 and I_2 in equation 7.4 and reducing, we get

$$\tau_2 = (\pi - \frac{2}{3})R^2 \tag{7.5}$$

7.2.3 Coverage in Region 3



Figure 7.4: Edge effects in Region 3

When the node is in Region 3, the circle formed by its transmitting range, R, can lie entirely within the rectangle, or can intersect either or both the rectangle's edges at one or two points. Some of these cases are shown in Figure 7.2.3. Clearly, τ_3 lies between πR^2 and $\pi R^2/4$. For convenience, we transform the problem of finding the expected coverage area for Region 3 into the following equivalent one: In a Cartesian co-ordinate system, consider a circle of radius R centred between (0,0) and (R,R). Find the average fraction of this circle's area that lies within (0,0) and (l,b).

Due to the multiplicity of cases required to be handled, and due to some of the cases being unwieldy to analyse, we use Monte Carlo simulation to obtain an estimate of the required area¹. For a circle of radius R, with its centre between (0,0) and (R,R), we find the fraction of its area between (0,0) and (l,b) by generating N_c number of circles. For each such circle, we generate N_p number of points within the circle and calculate the fraction of points that lie within the defined rectangle.

We implemented Algorithm 1 in C and ran it for a circle of unit area, $R = 1/\sqrt{\pi}$, land b set at a value greater than 2R, with N_c and N_p set to 10,000. l and b can be set at any value larger than 2R since our problem definition implies that the circle is bounded in the first quadrant by (0,0) and (2R, 2R). Pseudo-random numbers were generated by the C library function, rand (), initially seeded using the system time. Over ten sets of runs, the average fraction of the area lying in the first quadrant varied between 0.6134 and 0.6191 with the mean being 0.6165. Therefore, we estimate:

$$\tau_3 \approx 0.6165(\pi R^2) \approx (\pi - \frac{6}{5})R^2 \quad (l, b \ge 2R)$$
(7.6)

¹Monte Carlo simulations are used to model probabilistic phenomena that do not change with time, and for evaluating non-probabilistic expressions using probabilistic methods [Jai91]. This method is often used to estimate definite integrals that are inconvenient to compute, and can be explained easily by taking an example. Suppose we are to calculate the area of an irregular surface. We bound it within a regular surface of known area, say a square or a circle, and generate pseudo-random numbers uniformly within the bounding area. The fraction of pseudo-random numbers that lie within the irregular surface gives its area as the same fraction of the bounding area. The accuracy of the estimated area increases with the number of points generated.

Algorithm 1: MCC3

Input: R, l, b, N_c, N_p Output: mean fractional area over N_c circlesbeginGenerate N_c circles with centre $(c_x, c_y) : 0 \le c_x, c_y \le R$)foreach circle (c_x, c_y) doGenerate N_p points, $(x, y): (x - c_x)^2 + (y - c_y)^2 \le R^2$ foreach (x, y) do \mid if $x, y \ge 0$ and $x \le b$ and $y \le l$ then \mid Increment $N_{intersecting}$ end $\frac{N_{intersecting}}{N_p}$ is intersecting area for this circleendReturn mean fractional area over N_c circles

7.2.4 Combined expected coverage for the three regions

Substituting the obtained expressions for τ_1 , τ_2 , and τ_3 in equation 7.1 we get:

$$\tau \approx \frac{(l-2R)(b-2R)\pi R^2 + 2[R(l-2R) + R(b-2R)](\pi - \frac{2}{3})R^2 + 4R^2(\pi - \frac{6}{5})R^2}{lb}$$

Simplifying,

$$\tau \approx \pi R^2 - \frac{4R^3(l+b)}{3lb} + \frac{8R^4}{15lb} \quad (l,b \ge 2R)$$
(7.7)

7.2.5 Connectivity: $C_{2,r}$

The overall expected coverage for a single node also gives us an expression for connectivity for two nodes with transmission range R distributed uniformly at random in an $l \times b$ rectangle.

$$C_{2,R,l,b} = \frac{\tau}{lb} \quad (l,b \ge 2R) \tag{7.8}$$

We would like to gauge the effect of τ_3 's approximation on the total expected coverage. We already have simulation data from the connectivity characterisation presented in Chapter 3 for $C_{2,r}$. Table 7.1 compares these with values obtained using Equation 7.8. Since the simulated values were obtained for a square area where r was normalised with the side of the square, we use l = b = 1, and R = r in equation 7.8. The simulated values are accurate to within 0.01 with 95% confidence and the analytically obtained values show a close correspondence: the maximum difference observed between the two sets of values is 0.004.

r	$C_{2,r}$ (Simulated)	$C_{2,r}$ (Analytical)
0.1	0.0286	0.0288
0.2	0.1042	0.1052
0.3	0.2140	0.2151
0.4	0.3445	0.3456
0.5	0.4814	0.4854

Table 7.1: $C_{2,r}$: simulated and analytical

7.3 Applying our formula for edge effects

While it is known that edge effects result in discrepancies between theoretically predicted and measured values of connectivity properties, the extent is not well understood. As an example, consider [Bet02], in which Bettstetter derives an analytical expression for the uniform transmission range of nodes that would result in an almost surely *k*-connected network for a given node density. However, when a simulation is run for verification, the results are seen to vary quantitatively from the analytical results. Bettstetter writes:

Are our formulas wrong? No, they are not. The difference results from the fact that the simulation was done on a bounded area, whereas our analytical derivation assumed an infinite large area. In the simulation environment,
nodes located at the edges and borders of the area can only have links toward the middle of the area. Thus, their node degree is on average lower than that of nodes in the middle. This *border effect* makes it impossible to compare the results of the simulation with the analytical formulas.

To show agreement between analytical and simulation results, Bettstetter goes on to negate the edge effect by considering the simulation area to be toroidal. Then, nodes near the edges form links with nodes near the opposite edge by wrapping around.

In the case just described, the assumption of a toroidal area is justified in order to validate the obtained analytical results. But such an assumption would be difficult to apply to a real-life deployment. How, then, can we apply analytical results to real deployments in the presence of edge effects? One approach would be to use Equation 7.7 to obtain the actual expected coverage of a node with edge effects, and to obtain an *equivalent transmission range* that gives the same coverage when edge effects are ignored.

7.3.1 How to square a rectangle

It is common for analytical and empirical work regarding connectivity properties in multi-hop wireless networks to assume a square area of operation. Some instances are [TFL03, SB02, SBV01, SB03], and [Kos04]. Our work in earlier chapters characterising connectivity and reachability was also for a square area of operation. Since areas of deployment can easily be rectangular, it is of interest to know how results obtained for square areas can be applied to rectangular areas. We have seen earlier in this chapter that connectivity and reachability values for a static multi-hop wireless network drop significantly as the length to breadth ratio increases. It is evident that results obtained assuming a square area cannot be applied as is to a rectangular area. In order to use such results, we define the problem of squaring the rectangle as follows: *Given a multi-hop wireless network with a rectangular area of operation, find the side of a square area of operation*.

such that the two networks have identical connectivity properties.

Note that such a definition immediately gives rise to the question of *which* connectivity properties we would like to preserve between the two. In our case, we are interested in connectivity and reachability. We therefore pick as an invariant between the two networks the *expected number of neighbours per node*. This is because there is evidence to suggest that the average number of neighbours per node determines connectivity and reachability. This is demonstrated for P-Connectivity, equivalent to reachability, in [NC94]. It is also the basis for much work aimed at finding a magic number for expected number of neighbours to ensure connectivity, discussed in Section 2.2.1.

Given N nodes with uniform transmission range R distributed uniformly at random in a rectangle of dimensions $l \times b$, we can obtain the expected coverage for a single node in the rectangle, τ_{rect} , using Equation 7.7. The node density for the rectangle would be N/lb. Since τ_{rect} gives the expected coverage of a single node, its product with node density gives the expected number of neighbours per node in the network denoted by $Nbrs_{rect}$:

$$Nbrs_{rect} = \tau_{rect} \frac{N}{lb}$$
(7.9)

Now, we must find a square which, for N nodes of transmission range R, has the same value for expected neighbours per node. Let this square be of side a with expected coverage τ_{sq} and expected neighbours per node as $Nbrs_{sq}$. Substituting l = b = a in Equation 7.7 we obtain:

$$\tau_{sq} = \pi R^2 - \frac{8R^3}{3a} + \frac{8R^4}{15a^2} \tag{7.10}$$

The expected neighbours per node for this square is:

$$Nbrs_{sq} = \tau_{sq} \frac{N}{a^2}$$

Equating $Nbrs_{rect}$ with $Nbrs_{sq}$, substituting from Equation 7.10, and simplifying we get:

$$\frac{\tau_{rect}}{lb}a^4 - \pi R^2 a^2 + \frac{8R^3}{3}a - \frac{8R^4}{15} = 0$$
(7.11)

Since we know the values of τ_{rect} , l, b and R, we can solve for a using suitable numerical methods.

We have conducted preliminary experiments using the method just described. For different values of l, b and R, we determined the side of the corresponding square by solving Equation 7.11, and conducted simulations to test the agreement of connectivity properties obtained for the square and rectangle. So far, we have observed that this method accurately preserves values of connectivity properties when the rectangle of interest has smaller length to breadth ratios (such as 2 : 1 or 3 : 1). We also observed that we obtained more accurate results when the number of nodes in the network was large. This is interesting because Equation 7.11 is independent of the term N. It is not yet clear if this is caused by limitations in our experiments, such as inconsistent node distribution, or by some invalidity in our assumptions. More systematic experiments are required to determine the applicability of the proposed method for squaring a rectangle.

Chapter 8

Simran: A topological simulator for sparse multi-hop wireless networks

8.1 Introduction

Simran is a discrete event based simulator for studying topology related issues in wireless multi-hop networks, particularly in sparse networks. Simran allows simulations to be specified in a configuration file, and suitably generates scenario files, runs simulations and collates results. Simran is intended to be useful as a topology design tool for multihop wireless networks, and so does not support packet-level simulations. A typical simulation could take as input a scenario file with initial positions and movements of nodes, and generate metrics of interest such as average number of neighbours, reachability, and averaged shortest path lengths between all pairs of nodes. A number of smaller programs for generating scenario files, managing simulations and analysing results constitute the rest of the Simran simulation environment.

8.2 Motivation and Design considerations

Analytical and empirical models of connectivity properties invariably have certain limitations. They may assume a certain distribution of nodes to make analysis tractable, or they may make simplifying assumptions regarding mobility or the area of operation. For example, in our empirical models for connectivity and reachability presented in Chapters 3 and 6, nodes are assumed to be distributed uniformly at random in a square area of operation. Further, the applicability of our equations to mobile networks is limited to those mobility models which preserve the uniform distribution of nodes. Asynchronous communication, which can be an important factor in allowing sparse MWNs to function, is not captured in our models. These limitations are typical of other work in the area too as seen in Chapter 2. Further, some analytical results are in the asymptotic domain, and while they are of interest in studying the properties of MWNs, they may not be directly applicable to designing real-life networks. Several studies also make assumptions about the deployment area, such as it being square or toroidal, and these too may be difficult to apply in practical cases.

While assumptions are made in simulations too, there usually exists a greater degree of correspondence to the real-world situation. The effort involved in relaxing assumptions in simulations is usually more deterministic, and those assumptions which are recognised as limiting can be avoided. For example, in the specific case of simulating MWNs, the initial positions of nodes may be application dependent (such as in a troop formation), and this may not easily fit a known distribution. Further, mobility may not follow a uniform mobility model, and may be varied. Such issues are relatively simple to handle with simulation.

Studying topological properties of networks is important for determining deployment parameters. Given parameters like number of nodes available, transmission ranges of the nodes, and the area of deployment, some of which may be fixed, and some variable, suitable decisions and trade-offs between network parameters have to be made to ensure that the network meets its design goals. We have demonstrated in Chapter 4 that topology design in sparse MWNs is aided by using metrics such as reachability that are more sensitive in reflecting the connectivity properties of sparse networks.

While there are several simulators for packet-level traffic simulation in wireless networks such as *ns-2*, *Opnet Modeler* and *Qualnet* [Pro, opn, SI], they do not measure connectivity properties and other topological metrics of interest in designing MWNs. They can be modified to do so, but their architecture is designed for packet-level simulations, and would be cumbersome to use for our purposes. We built a simulator called *Simran* as a tool for topology design in sparse MWNs.

Some of the considerations while designing Simran were:

- support for measuring metrics significant to the design of sparse networks such as connectivity, reachability, size and number of connected components, average neighbours, and shortest paths;
- support for mobility, and the ability to easily introduce new mobility models into the simulator;
- support for asynchronous communication between nodes, since this is a common feature in sparse multi-hop networks; and
- the ability to easily run comparative simulations, since topology design is an important intended use.

Though Simran was designed with sparse networks in mind, it can also be applied for topology design in dense networks. Simran has been used by others in ongoing work to determine network parameters that ensure connectivity of mobile sensors for a contour estimation application. It can also be seen as complementary to packet level simulators. For example, a simulation for packet level performance run in ns-2 can also be run in Simran with respect to its topological parameters. This can provide insights into the functioning of network protocols, and even help in tuning them.

8.3 Simran Environment



Figure 8.1: Simran simulation environment

Simran is a discrete event based simulation engine. It takes input from a scenario file that contains the initial co-ordinates of each node and directives for their movement, and returns values of topologically significant metrics such as average number of neighbours per node, fraction of node pairs without routes, number of links broken, number of links formed, average shortest path between nodes, average velocity of nodes, and number and sizes of connected components. Simran can be configured to return these values in two ways: i) As a trace file containing instantaneous values of these parameters at discrete time intervals; or ii) as one tuple that is the average of all the instantaneous values.

Figure 8.1 shows a schematic of the Simran simulation environment. Ovals represent executable units such as programs and scripts, rectangles represent data stores, and arrows indicate the flow of data. The arrows with a rounded end indicate the entry and exit points into the Simran simulation environment. The numbers indicate the order in which a user runs a set of simulations—the config file is initialised first, then genscen, runset and avres are run in order before the user receives the output of the simulations. We will briefly explain the the function of each unit, and then provide a detailed description of the simulation engine. Examples of each unit's functioning can be seen in the illustrative example of Section 8.6.

config: is a file containing specification of simulations to be run. This contains a broad definition of the network such as number of nodes (N), dimensions of the simulation area $(X_{max}, Y_{max}, Z_{max})$, transmission range of the nodes (R), and mobility parameters (V_{min}, V_{max}, P) . It also contains information for running integrated simulation experiments. For example, it can be specified that multiple simulations be conducted while varying one or more of the parameters across a given set of values. This is done by entering multiple values for the parameters that are to be run for several values. Simulator settings such as time of simulation (T), time granularity of simulation (dt) and a seed, some function of which will be used to seed pseudo-random number generation (seedseed) are also defined here. All the parameters are written as Perl variables since other units can use the values directly without parsing.

genscen: is a Perl script that oversees scenario generation. It reads specified values from config, and calls the topogen program to generate the different sets of scenario files required for the experiment. The generated scenarios are written to files which are named according to a standard naming convention, and stored in the sc directory.

topogen: is a topology generator that generates node scenario files in a format understood by Simran. It takes the command-line parameters:

<N, T, dt, mobilityModel, Xmax, Ymax, Zmax, Vmin, Vmax, P, seedSeed>

and generates a file with initial node positions and mobility directives. Topogen is designed to be modular, and new mobility models can be introduced easily. Currently,

only the RWP model is implemented. An allied script is setdest2topogen, which converts scenario files from an ns-2 format to Simran format. Running the equivalent scenario file in Simran can help us understand results of packet-level simulations better.

sc: is a directory containing all the files required for a specified simulation experiment. The file-naming convention followed is:

v-<velocity>-N-<numberOfNodes>-x-<Xmax>-y-<Ymax>-z-<Zmax>-<seedNumber>

runset: reads the config file, and invokes the Simran engine as many times as required with the appropriate scenario files from sc. The output is stored in res.

res: is a directory containing the results of individual simulations. By default, Simran's output is a summary of various statistics for the simulation. The results for each unique set of network parameters are stored in a single file. Therefore, the naming convention for files in res is identical to that in sc, with the difference that the last field, the seed, is absent:

v-<velocity>-N-<numberOfNodes>-x-<Xmax>-y-<Ymax>-z-<Zmax>

avres: reads the config file, and averages appropriate fields from the results in the res directory. Its output is the average of values returned by Simran for each unique set of network parameters.

8.4 Simran

The Simran simulation engine is run with a single scenario file and transmission range of the nodes are parameters. Directives such as simulation time, granularity of simulation, and co-ordinates of simulation area are conveyed by the first line of the scenario file, which is initialised by topogen, which in turn receives this information from genscen which reads the config file. The output of a single run can be either as a continuous trace file giving instantaneous values of measured parameters at regular small intervals, or, as is by default, a time-averaged value of the measured parameters. The parameters that can be measured are: average number of neighbours per node, fraction of node pairs without routes between them, number of links broken, number of links formed, average shortest path between nodes, average velocity of nodes, number and sizes of connected components in the network graph, average connectivity, and average reachability.

8.4.1 Implementation

We briefly describe the data structures used, and present an overview of the Simran algorithm. The language of implementation is C.

Data Structures

struct mobilityModel: contains data pertaining to the mobility model. It contains the fields:

<mmType, Xmax, Ymax, Zmax, Vmin, Vmax, pauseTime>
struct Node: contains the following data for each node:

<x, y, z, dxBydt, dyBydt, dzBydt, stopTime, lastUpdated>

The x, y and z fields contains the co-ordinates for the node as known at the time lastUpdated. dxBydt, dyBydt and dzBydt give the differential rates of movements along different axes. these are set only when a node moves, and are calculated from current co-ordinates, destination co-ordinates, and velocity, v. The time at which the node is to stop moving is also calculated in advance, and is contained in stopTime.

Three tables are maintained in Simran. These are two-dimensional arrays of size $N \times N$, and are dynamically allocated at the beginning of the simulation when N, the number of nodes, is known.

Adj is an adjacency matrix; Adj[i][j] is set to 1 if nodes i and j are within transmission range, R, of each other.

Dist is a table containing the lengths of the shortest path between all pairs of nodes.

A	lgori	itl	ım	2:	Simran
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begin
Read and parse scenario file
Initialise tables and data structures with parameters from scenario file and
command line
for $(t = 0; t \le T; t = t + dt)$ do
Call relevant mobility model handler to update node position
Start events scheduled to start between $(t - dt)$ and t
Update Adjacency matrix Adj and count number of links broken and
formed
Run Floyd-Warshall all pairs shortest path algorithm and update Dist and
Pre tables
Calculate instantaneous values of average velocity, average shortest path, number of node pairs without routes, average number of neighbours per
node, number and size of connected components, connectivity and
reachability
Print to trace file if so configured
Maintain running average of above parameters
Output values of parameters averaged over the entire simulation
end

Pre is a table containing the precursor node on the shortest path between pairs of nodes.

connC, cSize are arrays of length N dynamically allocated at the beginning of the simulation. connC[i] contains the head node of its connected component as determined during a depth first search. cSize[i] contains the size of the connected component with i as its head; if i is not the head of any connected component, it contains -1.

Other important simulation parameters are N, the number of nodes; R, the transmission radius for all nodes; T, the duration of the simulation; and dt, the time interval at which the simulator computes changes in the state of the network.

Algorithm Overview

An overview of the steps during the execution of a simulation are shown in Algorithm 2.

Calculating Reachability

While the calculation of most of the metrics mentioned above are self-evident, reachability calculation may require some explanation. Recall that reachability of a multi-hop network is defined as the fraction of connected node pairs in the network. We find the number of multi-hop connected node pairs by scanning the Dist table which contains the distances between nodes after the shortest path algorithm has been run. We then divide by the number of possible node pairs to calculate reachability as:

 $\frac{\text{Number of connected node pairs}}{\frac{N}{2}(N-1)}$

8.4.2 Scalability and Complexity

Simran, being a discrete simulator, works with snapshots of the network at small intervals of simulation time. This interval, dt, is required to be configured by the user. The algorithm in section 8.4.1 is run $\frac{T}{dt}$ times where T is the simulation time. Adjusting this value is useful in trading precision for execution speed in cases of large simulations. The degree to which precision is affected also depends on the degree of mobility in the network. Hence, by designing simulations carefully, we can hope to achieve a satisfactory degree of precision while ensuring that simulations do not run for an inconveniently long time.

When Simran operates as described in section 8.4.1, the execution time for each simulation becomes impractically large for simulations consisting of more than a few hundred nodes. The bottleneck is in the Floyd-Warshall all pairs shortest path algorithm which has a running time of $\Theta(N^3)$ [CLRS01]. If the shortest path is not of importance for a set of simulations (for example, when it is only connectivity properties we are interested in), Simran can be configured to run without it. In this case, we cannot use the Dist matrix to compute reachability using the number of connected node pairs. Instead,

we use the connected components procedure that is already in place. Since cSize[i] already contains k, the size of the connected component with its head as i, we can now compute reachability as:

$$\frac{\sum_{1}^{N} \frac{k}{2} (k-1)}{\frac{N}{2} (N-1)}$$

This involves finding only the connected components using a depth first search, of running time O(n). Using this procedure, we have been able to run simulations of up to 5000 nodes without perceptible delay.

Setting T and dt

It is important to set appropriate values for T and dt in a simulation because this choice determines the trade-off between execution time and simulation accuracy. The value for the simulation time, T, must be set such that it is long enough to give the network time to settle into a stable state before measuring metrics of interest. Simran can be configured to ignore measurements for an initial settling period so that the mean values of metrics output by the simulator do not contain measurements taken before the network is in a stable state. This settling period depends on which mobility model is in use, and what the mobility parameters are. Camp and others suggest in [CBD02]that 1000 seconds is a safe settling period while using the random waypoint mobility model which is currently implemented in Simran. However, this may have to be increased if the nodes exhibit very slow mobility¹.

The parameter dt is the interval at which network state is sampled. This choice is trivial in the case of a stationary network because it is sufficient to sample network state

¹A simple method to avoid the settling time altogether is to start the simulation with the nodes positioned according to the steady state distribution for the mobility model. This technique is known as 'perfect simulation' and such a steady state distribution for the random waypoint model, used in Simran, is presented in [NC04]. Stationary distributions for a number of other mobility models can be found in [BV05]. Though simple to implement, 'perfect simulation' is not currently supported in Simran.

only once. Further measurements will not yield different values, therefore we can set dt at any value greater than T to ensure that the Simran algorithm runs only once. When nodes are mobile, network state changes and desired metrics are calculated as the mean of static snapshot values. Therefore, it is important that dt be set low enough that changes in network state are captured to the extent possible. Note that it may not be possible to capture every change in state: two mobile nodes travelling in different directions may form a link for an arbitrarily short span of time when their radii of transmission intersect momentarily. Depending on the application, it may not be desirable even to consider such links as connected because short-lived links are unlikely to be of use in actual communication. The aim is to set dt such that our measurements are as accurate as possible. In general, the greater the degree of mobility, the higher the number of link changes, and therefore, the changes in network state.

To illustrate, consider a network of two nodes with transmission range R, moving with a maximum velocity v on a straight line. When the nodes are already in motion, the fastest that a link can be formed and broken between them is when they pass each other in opposite directions. That is, they form a link when they are a distance R away from each other, and this link exists for a time-span of R/v. This indicates that we must use a dt value less than R/v. However, this does not consider short-lived links caused by nodes that stop just within transmission range of another node and move away. Such a bound also will not work in two- or three-dimensional networks where links can be formed for arbitrarily short periods. We provide the following thumb rules for setting dt:

- for a static network, set dt greater than T;
- for a mobile network, simulate a representative network using different values of dt, and use the highest value at which the measured value of link changes in the network is stable;
- a quicker, but more approximate rule for a mobile network is to set dt less than

R/v, and preferably, as low as feasible.

8.5 Handling asynchronous communication

Sparse networks can use asynchronous communication in cases of low connectivity. This involves a store-and-deliver mechanism in which a node could transmit data to nodes other than the intended recipient. If any of these nodes encounters the intended recipient at a later time, the message is delivered. Such a mechanism could also take place across multiple hops, where an intermediate node could pass the data to another node which is not the destination.

Implementing asynchronous transmissions in Simran reduces to *extending the notion* of two nodes being connected. While normally two nodes are considered connected if a path of length one or more exists between them at the same time, in this case, we consider two nodes connected if there is a possibly disjoint path of length one or more between them across time. We define a parameter that sets the maximum time that can elapse between a transmission and its eventual reception. We call this the *patience factor*, and denote it by *P*. *P* is specified in terms of *dt*. Note that the simulator can measure asynchronous connectivity properties of the network at time *t* only *P* time steps later, that is at $t + P \times dt$.

At any instant, Simran maintains network state for the previous P - 1 steps in a sliding window. Each element in the window, Q_t maintains the transitive closure of the adjacency matrix, Adj_t , at time step t for the last P time steps. The transitive closure of Adj_t gives a summary of which nodes were connected with paths of length one or more at time t. To calculate connectivity properties at a time instant t, Simran takes the closures Q_{t-P} to Q_t and collapses them into a single matrix we denote as $Q_{t,P}$ in which the element (i, j) is set to 1 if a direct or asynchronous path existed from i to j between the times t - P and t. We term this process the Temporal Transitive Closure (TTC).

Input: Transitive closures of adjacency matrices from time t - P to t: $Q_{t-P} \dots Q_t$ **Output**: Temporal transitive closure of input in $Q_{(t,P)}$ **begin**

end $\begin{bmatrix}
l = t - P \\
Q_{(t,l)} = Q_{t-P} \\
\text{while } l! = t \text{ do} \\
l = l + 1 \\
\text{for } k = 1 \text{ to } N \text{ do} \\
\begin{bmatrix}
l = l + 1 \\
\text{for } i = 1 \text{ to } N \text{ do} \\
\begin{bmatrix}
for \ i = 1 \text{ to } N \text{ do} \\
\end{bmatrix}
\begin{bmatrix}
for \ j = 1 \text{ to } N \text{ do} \\
\begin{bmatrix}
for \ j = 1 \text{ to } N \text{ do} \\
\end{bmatrix}
\begin{bmatrix}
for \ j = 1 \text{ to } N \text{ do} \\
\end{bmatrix}
\begin{bmatrix}
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for \ j = 1 \text{ to } N \text{ do} \\
\end{bmatrix}
\begin{bmatrix}
for \ j = 1 \text{ to } N \text{ do} \\
\end{bmatrix}
\end{bmatrix}$

We have modified the Floyd-Warshall transitive closure algorithm [CLRS01] to obtain an algorithm for TTC. Intuitively, in the Floyd-Warshall transitive closure algorithm, we examine every node in order to see if it can act as an intermediate node to connect two neighbouring nodes. In our modification for the TTC, we examine every node in the matrix Q_i (already a transitive closure of Adj_i), to see if it can be an intermediate node between a neighbour in Q_i and a neighbour in Q_{i+1} . This algorithm for TTC is presented in Algorithm 3.

Note that it is essential for the temporal transitive closure to be performed in the direction of increasing time. If nodes r and q were connected at time i, and if nodes p and q were connected at time i + 1, then r can send a packet asynchronously to p through q. However, p cannot send a packet asynchronously to r.

This algorithm can be shown to have a running time of $\Theta(\frac{T}{dt}PN^3)$, and a storage cost of $\Theta(PN^2)$. These can quickly exceed the capacity of most systems, and it is important that the combination of parameters be chosen carefully.

8.6 Illustrative example

In this section we run through a simple topological simulation to illustrate the functioning of Simran. 30 nodes are to be deployed in a $2000m \times 1000m$ area, and our aim is to investigate the extent of connectivity when the transmission range is varied from 200m to 500m in steps of 100m. The nodes are mobile, and can be assumed to follow the random way-point model with a constant velocity of $10ms^{-1}$ and a pause time of 5 seconds.

The simulation specifications are first entered in the config file. A screen-shot is shown in figure 8.2(a). The period of simulation, T, and the granularity of simulation dtare also entered here. Note that since we are interested in varying R, there are multiple values specified for it. The number of runs for each set of parameters is also specified here as 100.

On running genscen, scenario files are generated for the required simulations. Figure 8.2(b) shows a portion of a scenario file. The last few entries of the initial node placement, and the first few movement directives are shown.

Figure 8.2(c) shows a portion of one of the result files in res for one combination of simulation parameters. The result on each line is with using a different seed for the 100 runs. The file name can be seen at the lower left corner indicating the simulation parameters.

The summarised output from avres is seen in figure 8.2(d). The simulations parameters are printed first within brackets, followed by the different transmission ranges used. The average number of neighbours per node, connectivity, reachability and shortest path length are reported next. (Other statistics have been turned off.) The value of shortest path length is shown as zero because the shortest path computation has been turned off for reasons of efficiency as described in section 8.4.2. \$D=2; @Xmax = (2000); @Ymax = (1000); @Zmax = (0); @Vmin = (10); @Vmax = (10); %P = 5; @nodes = (30); \$runs = 100; \$T = 100; \$dt = 0.1; \$seedseed = 1; @R=(200,300,400,500);

(a) Configuration

```
22 1320.07122156686 702.545711460417 0

23 1549.61838554868 813.266588118715 0

24 639.630364131229 982.326742438232 0

25 1359.26542552456 150.974781042471 0

26 1752.05418385944 696.75860892464 0

27 754.731841920652 564.835229210324 0

28 949.984411417695 272.490919281552 0

29 1878.65600767096 259.060503741843 0

5.1 0 1080.36789935691 647.779552376463 0 10.5697753638801

5.1 1 1019.1305681352 203.298449756634 0 10.0885761843326

5.1 2 561.736261856616 704.66452207183 0 10.7599290652364

5.1 3 1341.24020747956 607.099433563771 0 10.3756203540846

5.1 4 1024.49312914843 552.193045688 0 10.6585107510064
```

(b) Sample from a scenario file

4.562027 103.120879 0.237061 0.000000 183 152 0.058941 4.782870 102.415584 0.235436 0.000000 176 175 0.181818 4.997998 99.590410 0.228944 0.000000 186 170 0.207792 5.032568 66.002997 0.151731 0.000000 199 172 0.143856 4.921817 103.426573 0.237761 0.000000 199 174 0.142857 6.181350 91.415584 0.210148 0.000000 228 160 0.107892 4.960971 68.113886 0.156584 0.000000 194 149 0.206793 6.059672 103.389610 0.237676 0.000000 120 189 0.027972 4.653547 65.295704 0.150106 0.000000 183 148 0.279720 4.800536 37.211788 0.085544 0.000000 182 163 0.555445 4.725205 78.821179 0.181197 0.000000 177 153 0.064935 4.937127 55.580420 0.127770 0.000000 184 172 0.114885 5.047878 77.458541 0.178065 0.000000 228 180 0.172827 "v-10-N-30-x-2000-y-1000-z-0-R-300" 100L, 5442C

(c) Sample from one result file

\$./avres
[(10,10) 30 (2000,1000,0)] 200 2.48671963 0.00034965 0.29447924 0
[(10,10) 30 (2000,1000,0)] 300 5.18723701 0.20534452 0.78575735 0
[(10,10) 30 (2000,1000,0)] 400 8.43139849 0.72792221 0.96268181 0
[(10,10) 30 (2000,1000,0)] 500 11.8604159 0.93295711 0.99304276 0
\$

(d) Output from avres

Figure 8.2: Screen shots of the Simran environment

Chapter 9

Conclusion

In this thesis we presented our work on topology design of sparse multi-hop wireless networks (MWNs) with respect to their connectivity properties. In Chapter 3, we gave an empirical characterisation of connectivity suitable for use with sparse MWNs. However, we found that connectivity is not ideal for dealing with sparse MWNs because it is i) not indicative of the extent to which the network supports communication; and ii) unresponsive to fine changes in network parameters.

We introduced a connectivity property called reachability, defined as the fraction of connected node pairs in the network, which is more appropriate than connectivity for topology design in sparse MWNs. Its definition, properties, and applications were covered in Chapter 4, and we illustrated the use of reachability for topology design in sparse MWNs with a case study in Chapter 5. An empirical characterisation of reachability, and our attempts at an analytical characterisation are presented in Chapter 6. In the same chapter, we also described Spanner, a tool we have developed for topology design based on our empirical model for reachability. It takes as input any three values from number of nodes, side of the deployment area, uniform transmission range of the nodes, and reachability, and computes the fourth value.

We showed that results relating to connectivity properties of a square area do not

necessarily apply even to similar rectangular areas in Chapter 7. We ascribed this to the edge effect by which nodes located near the boundaries of the area of operation cannot utilise their entire transmission coverage for communication. We quantified analytically the expected coverage for a single node in a rectangle and described how this can be applied in extending results obtained for square areas to rectangular areas.

We described the design and implementation of Simran, a simulator for studying topological properties of multi-hop networks, in Chapter 8. We also presented the Temporal Transitive Closure algorithm used in Simran for simulating asynchronous networks.

9.1 Limitations of our work

We have made several assumptions that limit the accuracy or applicability of the work in this thesis:

- Homogeneous transmission range: We have assumed that all nodes in the network have the same transmission range. This need not hold in practice because nodes with different capabilities can easily be present in the network.
- 2. Unit disk model: We have assumed a simplistic model of wireless propagation in which two nodes can communicate if they are separated by a distance less than their transmission range. This assumption will almost certainly not hold in practice where wireless capture is determined by several factors such as distance, terrain, signal attenuation and interference by structures, and height and specifications of the antennas.
- 3. *Uniform distribution:* We assumed that nodes in the network are distributed uniformly at random over the area of operation. This assumption is valid in several scenarios, for example, in those where nodes are scattered over the area of deployment. However, there can be many applications in which such an assumption is

not justified: for example, troop movement in a formation, or vehicular ad hoc networks.

4. *Limited application to mobility:* Our characterisations are applicable for mobile network only when mobility is of a nature that ensure the uniform distribution of nodes.

The above limitations are not exceptional. In fact, they are very commonly made in related work in the area to make analysis tractable. However, they do make it difficult to apply results to the design of a network for practical deployment. The simplest way around these assumptions is to use simulation for topology design: individual nodes can easily be assigned different transmission ranges, and node positions and node mobility can be as required by the application. We suggest a method for obtaining realistic propagation models for simulation by conducting limited field trials in Section 9.2.3.

9.2 Future directions for work

9.2.1 Analytical results for connectivity properties

In this thesis we have favoured empirical methods such as simulation and regression analysis in order to obtain results that can be applied practically. But analytically obtained bounds for connectivity properties are important for establishing fundamental properties and limits, and for gaining insights into the behaviour of these properties. While there exists a large body of analytical work on the connectivity metric (Section 2.2), this is not the case for reachability.

As stated in Chapter 6, if N nodes in an MWN form k components with m_i nodes in the i^{th} component, we can express reachability as

$$Rch_{N,r} = \frac{\sum_{i=1}^{k} \binom{m_i}{2}}{\binom{N}{2}} = \frac{\sum_{i=1}^{k} m_i (m_i - 1)}{N(N - 1)}$$
(9.1)

It may be possible to use results for number of components and distributions of nodes for a Random Geometric Graph [Pen03] to obtain asymptotic bounds for $Rch_{N,r}$.

It would also be interesting to investigate if any other connectivity properties are suitable for topology design in sparse MWNs. A possible candidate would be the *normalised largest component* of the network graph: that is, the number of nodes in the largest component divided by the number of nodes in the network.

9.2.2 Three dimensional networks

Three dimensional (3D) networks have become an area of recent study due to applications such as underwater wireless sensor networks. The characterisations of connectivity and reachability we have undertaken in this thesis have been for 2D networks, but the same method should be applicable to 3D networks also. Simran, the simulator we used for generating data-points in the 2D case can be used directly in the 3D case as well. Besides being useful for topology design, it would be interesting to see if the models obtained in the 3D case are similar to the 2D models.

9.2.3 Simulation techniques

Simulation is an important tool for practical topology design in MWNs since analytical results almost always contain simplifying assumptions. One factor responsible for simulation results not matching test-bed or deployment results is the lack of an accurate wireless propagation model. Another factor is that links in MWNs, and especially in sparse MWNs where asynchronous communication might be possible, possess a temporal nature which may not be adequately represented by network graph models currently in use. We suggest two techniques that might be explored to make topological simulations of MWN more accurate and efficient.

Realistic propagation models

There are several problems in using existing wireless propagation models for MWN simulations. A number of models (for example, the Okamura and the Hata models [Rap04]) are specific to cellular communications. Most indoor propagation models require precise knowledge of building floor plans and obstacles; still, indoor propagation would vary with temporal factors such as movement in the room and slight change in arrangement. Outdoor models such as the free space model and the two-ray ground reflection models assume line-of-sight communication, and work best over long distances (in the order of kilometres). All of these require precise information about receiver and sender antenna characteristics and antenna heights. Additionally, these models require parameters summarising radio permeability of intervening media. There may be several deployment scenarios for which accurate parameters may not be available for plugging into generic propagation models. For example: deployment of an underwater sensor network, or a multi-hop network in a hamlet where walls are made of mud and cane.

A simple way to arrive as a scenario specific propagation model could be as follows: Two devices are exercised in the actual deployment environment. They run a tool that tabulates distance between the two nodes, and the corresponding fraction of beacon messages transmitted successfully along with delay and signal strength. Actual tables of these values are provided to the simulator in a predefined format, and the simulator learns an empirical propagation model that is specific to the deployment scenario. Using such a propagation model might help reduce the discrepancy between simulation results and deployment results.

Temporal network graphs

The standard data structure used to represent a network graph is an adjacency matrix or, when a network is sparse, an adjacency list. Such a representation is efficient for a static network. But when the network in question has mobile nodes, as is often the case with MWNs, only storing the current state of the network graph can be misleading because it masks the temporal nature of links. This temporal aspect arises in deployments in the following ways:

- a minimum link or route lifetime may be required for communication;
- implicit buffering by the protocol stack at nodes; and
- explicit buffering by nodes operating in an asynchronous network.

The 'implicit buffering' referred to comes from the observation that even MWNs that are not explicitly asynchronous in operation show some degree of store-and-forward behaviour. An example: we conducted packet level simulations in ns-2 to confirm that the network's reachability is indeed an upper bound on PDR. While investigating discrepancies, we found that the routing protocol being used, AODV, buffered packets when it did not find a route to the destination. This introduced an element of asynchronous behaviour, causing the observed PDR to increase beyond the reachability for a network that was only mobile and not asynchronous. Disabling buffers in the AODV implementation caused the PDR to drop as expected.

Handling such a temporal nature of links while representing the network as a standard network graph involves maintaining multiple versions of the adjacency matrix at different time instants. This is expensive in terms of storage overhead. Further, the necessary graph algorithms such as shortest path or transitive closure will have to be modified to work across several graph representation and this can result in algorithms with large time complexity. For an example illustrating these points, see the Temporal Transitive Closure algorithm for asynchronous networks in Chapter 8.

A natural question to ask is: can we do better? It may be useful to look for more natural representations of graphs that change with time. One possibility that could be explored is as follows: represent a network of N nodes with a *complete graph* of N nodes, associating with each edge a list of time-spans during which the edge is active. It is our belief that a large amount of simulation-time processing might be avoided by initial optimisations such as eliminating unused edges and aggregating long-lived paths. Such a representation would also call for the design of novel algorithms. It would be interesting to see what effect such a representation would have on the efficiency with which temporal factors can be included in simulation.

9.3 Publications

Publications arising from this thesis (with Sridhar Iyer):

- Characterisation of a connectivity measure for sparse wireless multi-hop networks.
 Workshop on Wireless Ad hoc and Sensor Networks (WWASN), in conjunction with ICDCS, Lisboa, July 2006. (Expanded version accepted for publication in Ad Hoc and Sensor Wireless Networks journal.)
- *Designing sparse wireless multi-hop networks*. Student workshop paper at IEEE INFOCOM, Barcelona, April 2006.
- *Reachability: An alternative to connectivity for sparse wireless multi-hop networks.* Poster presentation at IEEE INFOCOM, Barcelona, April 2006.
- Sparse multi-hop wireless for voice communication in rural India. National Conference on Communications (NCC), New Delhi, January 2006.

Other publications:

VoIP based intra-village teleconnectivity: An architecture and case study Workshop on Wireless Systems: Advanced Research and Development (WISARD), Bangalore, January 2007. (With Janak Chandarana and others.)

- *Bridging the gap between reality and simulation: An Ethernet case study.* Conference on Information Technology (CIT), Bhubaneswar, December 2006. (With Punit Rathod and Raghuraman Rangarajan.)
- Improving the performance of MANET routing protocols using cross-layer feedback. Conference on Information Technology (CIT), Bhubaneswar, December 2003. (With Leena Chandran-Wadia and Sridhar Iyer.)
- *Router handoff: A preemptive route repair strategy for AODV.* IEEE International Conference on Personal Wireless Computing (IEEE ICPWC), New Delhi, December 2002. (With Abhilash P. and Sridhar Iyer.)
- *Router handoff: preemptive route repair in mobile ad hoc networks.* International Conference on High Performance Computing (HiPC), Bangalore, December 2002. (With Abhilash P. and Sridhar Iyer.)

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