

Early History of FORTRAN: The Making of a Wonder

Uday Khedker

(www.cse.iitb.ac.in/~uday)

Department of Computer Science and Engineering,
Indian Institute of Technology, Bombay



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Outline

- Computing Before FORTRAN
- The Creation of FORTRAN
- FORTRAN I: The Language
- FORTRAN I: The Compiler
- Conclusions



Part 1

Computing Before FORTRAN

Pioneers of Programming Languages (Knuth-Pardo, 1976)

Zuse (Plankalkul, 1945)
Curry (Composition, 1948)
Rutishauser (1951)
Bohm (1951)
Glennie (AUTOCODE, 1952)
Laning/Zierler (1953)
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Ershov (P.P., 1955)
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Mauchly et al. (Short Code, 1950)
Burks (Intermediate PL, 1950)
Goldstine/von Neumann (Flow Diagrams, 1946)
Brooker (Mark I Autocode, 1954)
Kamynin/Liubimskii (P.P., 19654)
Gremis/Porter (BACAIC, 1955)
Elsworth et al. (Kompiler 2, 1955)
Katz et al. (MATH-MATIC, 1956-1958)
Hopper et al. (FLOW-MATIC, 1956-1958)
Bauer/Samelson (1956-1958)



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- Many efforts, and yet a breakthrough had to wait for Backus and his team
- We need to go back into the history to understand why it was so



Computing: Hand to Hand Combat with Machine (1)

- Computing was a black art
- Things available:
The problem, the machine, the manual, and individual creativity
- *“Computers were pretty crazy things. They had very primitive instructions and extremely bizarre input-output facilities.”*
- Example: Selective Sequence Electronic Calculator (SSEC), 1948 - 1952
Store of 150 words, Vacuum tubes and electro-mechanical relays



Computing: Hand to Hand Combat with Machine (2)

- The story of paper tape
 - Punched paper tape glued to form a paper loop
 - Problem would appear and then disappear
 - Pattern repeated many times
 - Mobius strip

(Image source: Wikipedia)



- Debugging by the ear. When IBM 701 Defence Calculator arrived
“How are we going to debug this enormous silent monster”

Beliefs of the Times

- Popular Mechanics Prediction in 1949

Computers in the future may weigh no more than 1.5 tons

(ENIAC, completed in 1947 weighed almost 30 tons)

- Editor of Prentice Hall business books, 1957

I have travelled the length and breadth of this country and talked with the best people, and I can assure you that data processing is a fad that wont last out the year



Octal Humour

- *“Why cant programmers tell the difference between Christmas and New Years Eve? Because 25 in decimal is 31 in octal.”*
- *“We programmed it in octal. Thinking I was still a mathematician, I taught myself to add, subtract, and multiply, and even divide in octal. I was really good, until the end of the month, and then my check book didn't balance! It stayed out of balance for three months until I got hold of my brother who was a banker. After several evenings of work he informed me that at intervals I had subtracted in octal. And I faced the major problem of living in two different worlds.”*

“That may have been one of the things that sent me to get rid of octal as far as possible.”

– Grace Hopper



The Priesthood of Computing

- *“Programming in the America of the 1950s had a vital frontier enthusiasm virtually untainted by either the scholarship or the stuffiness of academia.”*
- *“Programmer inventors of the early 1950s were too impatient to hoard an idea until it could be fully developed and a paper written. They wanted to convince others. Action, progress, and outdoing one’s rivals were more important than mere authorship of a paper.”*
- *“An idea was the property of anyone who could use it and the scholarly practice of noting references to sources and related work was almost universally unknown or unpractised.”*



Obstacles in Creation of a High Level Language

- Priesthood wanted to preserve the order

“Priesthood wanted and got simple mechanical aids for the clerical drudgery which burdened them, but they regarded with hostility and derision more ambitious plans to make programming accessible to a larger population. To them, it was obviously a foolish and arrogant dream to imagine that any mechanical process could possibly perform the mysterious feats of invention required to write an efficient program.”



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- There also were purveyors of snake oil

“The energetic public relations efforts of some visionaries spread the word that their “automatic programming” systems had almost human abilities to understand the language and needs of the user; whereas closer inspection of these same systems would often reveal a complex, exception-ridden performer of clerical tasks which was both difficult to use and inefficient.”



The A2 Compiler

- Programmers had a library of subroutine
- They needed to copy the subroutine on the coding sheets by hand and change addresses manually
- Grace Hopper added a “call” operation whereby
 - ▶ the machine would copy the code
 - ▶ and update the addresses
- Inspiration for implementing a forward jump: A game of basketball!
- The name “compiler” was used because it put together a set of subroutines



The “Real” High Level Languages

- Conrad Zuse's Plankalkul developed in a small village in Germany (1945)
 - ▶ “Program Calculus”
 - ▶ Only design, no implementation
(Computers were destroyed in world war II)
- Laning and Zierler's language for the WHIRLWIND at MIT (1953)
 - ▶ Fully algebraic in terms of supporting expressions
 - ▶ Very inefficient



Challenges for Creation of High Level Languages

- The tyranny of OR
Expressiveness OR Efficiency
- Expressiveness:
Higher level abstraction, features not supported by hardware
- Most time was spent in floating point subroutines
 - ▶ Not much attention was paid to address calculation, good use of registers



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- Most time was spent in floating point subroutines
 - ▶ Not much attention was paid to address calculation, good use of registers
- IBM 704 directly supported fast floating point operations
 - ▶ One need of expressiveness vanished revealing inefficiencies
Clumsy treatment of loops, indexing, references to registers
 - ▶ Led to rejection of “automatic programming”



Part 2

The Creation of FORTRAN

The Genius of John Backus

He made the following important observations

- The main reason of inefficiency was a clumsy treatment of loops and array address computations
If that could be handled, things may be far different
- The possibility made a lot of economic sense
- Language implementation was far more critical than language design



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The "TRAN" in "FORTRAN" conveys the spirit



The Genesis of FORTRAN

- Motivation:

Programming and debugging costs already exceeded the cost of running a program, and as computers became faster and cheaper this imbalance would become more and more intolerable

- Goals: Can a machine translate

- ▶ a sufficiently rich mathematical language into
- ▶ a sufficiently economical program at
- ▶ a sufficiently low cost

to make the whole affair feasible?

The generated programs needed to be comparable to hand coded programs in efficiency



The Design Philosophy

- About Language Design
 - ▶ *“We simply made up the language as we went along. We did not regard language design as a difficult problem, merely a simple prelude to the real problem: designing a compiler that could produce efficient programs.”*
 - ▶ *“We had notions of assignment statements, subscripted variables, and the DO statement as the main features. Whatever else was needed emerged as we tried to build a way of programming on these basic ideas.”*



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 - ▶ Study the inner loops to find the most efficient method of execution
 - ▶ Find how the efficient code can be generated for sample statements
 - ▶ Generalize the observations by removing specificities and exceptions



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Effectively, they raised the level of computing from

number processing to processing text that processed numbers



The FORTRAN Project

- Approved in Jan 1954, system delivered in April 1957
- Supportive management
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 - ▶ Great team spirit and synergy



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- ▶ Helped in ignoring the doubters and overcome discouragement and despair



FORTRAN Claims

- *“The amount of knowledge necessary to utilize the 704 effectively by means of FORTRAN is far less than the knowledge required to make effective use of the 704 by direct coding.*

It will be possible to make the full capabilities of the 704 available to a much wider range of people than would otherwise be possible without expensive and time-consuming training programs.”




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- *“FORTRAN will virtually eliminate coding and debugging.”* 



Part 3

FORTRAN I: The Language

The Very First Question in FORTRAN FAQ

In the IBM Customer Engineering Manual of Instructions

- Q. Why is Fortran used and what are its advantages over the SHARE assembly program ?
- A. Fortran allows a programmer to write in relatively familiar and simple language the steps of a procedure to be carried out by the 704. The programmer need not know 704 language, and is relieved of clerical work; human error is minimized. The programmer writes in symbolic machine language in SHARE. Fortran translates, compiles, and assembles, whereas a SHARE assembly program essentially just assembles, although subroutines can be compiled from the library tape of SHARE.



The Language FORTRAN

- Scalar and array variables
- Integer and real (floating point) values
- Expressions
- Assignment statements
- DO loops
- Functions
- Other statements: READ, PRINT, FORMAT, IF and GOTO
- Comments



FORTRAN Examples (1)

Formula	$root = \frac{-B + \sqrt{B^2 - 4AC}}{2A}$
FORTRAN Statement	<code>ROOT = (-B + SQRTF(B**2 - 4*A*C))/(2.0*A)</code>
Defining Function	<code>ROOTF(A,B,C) = (-B + SQRTF(B**2 - 4*A*C))/(2.0*A)</code>



FORTRAN Examples (2)

Problem:

Set Q_{max} equal to the largest quantity $\frac{P(a_i+b_i)}{P(a_i-b_i)}$ for some i between 1 and 1000 where $P(x) = c_0 + c_1x + c_2x^2 + c_3x^3$

FORTRAN Program

```
1 POLYF(X) = C0+X*(C1+X*(C2+X*C3))
2 DIMENSION A(1000), B(1000)
3 QMAX = -1.0E20
4 DO 5 I = 1, 1000
5 QMAX = MAXF(QMAX, POLYF(A(I) + B(I))/POLYF(A(I) - B(I)))
6 STOP
```



Limitations of FORTRAN I Language

- No reserved words
- Simplistic functions
- No subprograms, no recursion
- No spaces
- DO loops with limited nesting depth of 3
- Implicit types based on the first letter
- No declarations required



Minor Errors Could be Rather Expensive

- The first American Venus probe was lost because of a computer problem
- A programmer replaced a comma by a dot

Should have been	Was
DO 3 I = 1, 3	DO 3 I = 1. 3

- What was essentially a DO loop header got treated as an assignment statement `D03I = 1.3` by the compiler



Fun with FORTRAN

- A provision to override the default types was added later

- No reserved words



Fun with FORTRAN

- A provision to override the default types was added later

“GOD is real unless declared integer”.

- No reserved words

IF (IF .LT. THEN) THEN ELSE = THEN ELSE THEN = ELSE



Part 4

FORTRAN I: The Compiler

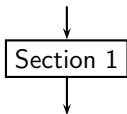
Contributions of FORTRAN I Compiler

- Phase-wise division of work
- Optimizations:
 - ▶ Common subexpressions elimination,
 - ▶ Array address optimization in loops
(a form of strength reduction and induction variable elimination)
 - ▶ Register allocation using hierarchical regions
(optimal under number of loads for straight line code)
- Basic blocks and execution frequency analysis
- Distinction between pseudo registers and hard registers



Phases of FORTRAN I Compiler

Input program

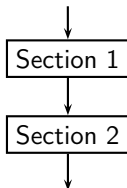


- Input may be on tape or cards
- Transferred to tape 2
- Statements are classified and Internal Formula Number (IFN) is assigned
- Arithmetic statements are translated
- Output is recorded on COMPAIL file on tape 2
(Complete Arithmetic, Input-Output, Logical)
- Other statements are stored in buffer areas
(if it is full, the information is transferred to tape 4)



Phases of FORTRAN I Compiler

Input program

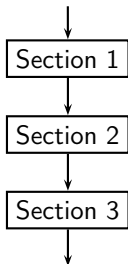


- DO loops are translated
- Arithmetic statements involving subscripts and induction variables are translated
- Unlimited index registers are assumed (in place of actual 3 index registers)
- Output is recorded on COMPDO file on tape 2



Phases of FORTRAN I Compiler

Input program

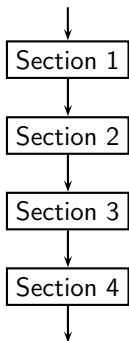


- COMPAIL and COMPDO files are merged into a single file
- Rest of the statements are translated
- Translation is complete except that actual index registers are not used



Phases of FORTRAN I Compiler

Input program

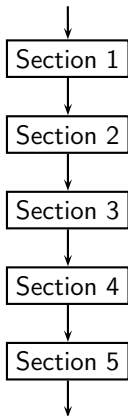


- Basic blocks are created and flow analysis is performed
- Execution frequencies are computed using simulated execution
- The program may be executed several hundred times
- Outcome of conditional control transfers is determined by
 - ▶ a random number generator suitably weighted according to
 - ▶ the branch frequency specification in the program



Phases of FORTRAN I Compiler

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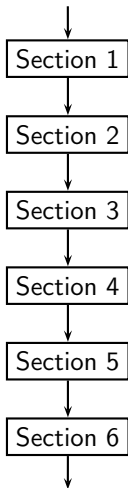


- Pseudo registers are replaced by hard index register
- Results of flow analysis of section 5 are used
- Hierarchical regions are formed and inner most regions are assigned the registers first
- “Distance-to-next-use” policy is used to evict registers if required
- Now the translation to assembly is complete



Phases of FORTRAN I Compiler

Input program



Output program

- The program is assembled to produce the executable
 - It may be created on the tape or on cards
 - A listing of the program can also be generated
- Source statements and corresponding executable statements



Expressions in the Programs

- Other “algebraic” compilers needed parenthesis for expressions
- No concept for parsing using grammars

Expression	Expression Tree	Required Syntax
$a + b ** c * (d + e)$	<pre>graph TD; N1((+)) --- N2((a)); N1 --- N3((*)); N3 --- N4((**)); N3 --- N5((+)); N4 --- N6((b)); N4 --- N7((c)); N5 --- N8((d)); N5 --- N9((e));</pre>	$(a) + (b ** (c * (d + e)))$



FORTRAN Rules for Expressions

1. Any fixed point (floating point) constant, variable, or subscripted variable is an expression of the same mode. Thus 3 and I are fixed point expressions, and $ALPHA$ and $A(I, J, K)$ are floating point expressions.
2. If $SOMEF$ is some function of n variables, and if E, F, \dots, H are a set of n expressions of the correct modes for $SOMEF$, then $SOMEF(E, F, \dots, H)$ is an expression of the same mode as $SOMEF$.
3. If E is an expression, and if its first character is not “+” or “-”, then $+E$ and $-E$ are expressions of the same mode as E . Thus $-A$ is an expression, but $--A$ is not.
4. If E is an expression, then (E) is an expression of the same mode as E . Thus $(A), ((A)), (((A)))$, etc. are expressions.
5. If E and F are expressions of the same mode, and if the first character of F is not + or -, then $E + F, E - F, E * F, E / F$ are expressions of the same mode.



FORTRAN Expression Handling

- Conventional precedences were used and parenthesis were not required.
- Simple rule of reconstructing parenthesized expressions:

Assuming three levels of precedences of “+”, “*”, and “**”

- ▶ Add “(((” in the beginning of the expression
(and hence before every “(” in the expression)
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$$A + B ** C * (D + E)$$


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(The rules can be applied in a single left-to-right scan of the expression)



FORTRAN Compiler Anecdotes (1)

- Expression computation problem observed by Bernard A. Galler
 - ▶ For $n = 10$, the expression $n * (n - 1) / 2$ computed 40 instead of 45!



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 - ▶ For $n = 10$, the expression $n * (n - 1) / 2$ computed 40 instead of 45!
 - ▶ “/” had a higher precedence and $9/2$ is 4 in integer arithmetic



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- How about the same precedence for “/” and “*” and left associativity?
 - ▶ $n / 2 * (n - 1)$
 - ▶ $n * (n - 1) * (1 / 2)$



FORTRAN Compiler Anecdotes (2)

On compiler reliability

- Tables stored on the magnetic drum based memory
- Slow searches and more load on drums
- The compiler worked far better at GM than at Westinghouse
- GM people had ensured a much better servicing of magnetic drums!



FORTAN Compiler Anecdotes (3)

On compiler efficiency

- Frank Engel at Westinghouse observed that tapes moved independently but sequentially
- Compiler could become faster if tape movement is made to overlap
- Frank asked for the source and got a reply: (source meant assembly)
“IBM does not supply source code”
- Frank patched up the octal object code of the compiler and the throughput increased by a factor of 3!
- IBM was surprised and wanted a copy, so Frank said:
“Westinghouse does not supply object code”



A FORTRAN Program for Array Copy

Program

```
DIMENSION A (10,10)
DIMENSION B (10,10)

DO 1 J = 1, 10
DO 1 I = 1, 10
1  A(I,J) = B(I,J)
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A simplified view for 4x3 fragments

B(1,1)	B(1,2)	B(1,3)
B(2,1)	B(2,2)	B(2,3)
B(3,1)	B(3,2)	B(3,3)
B(4,1)	B(4,2)	B(4,3)

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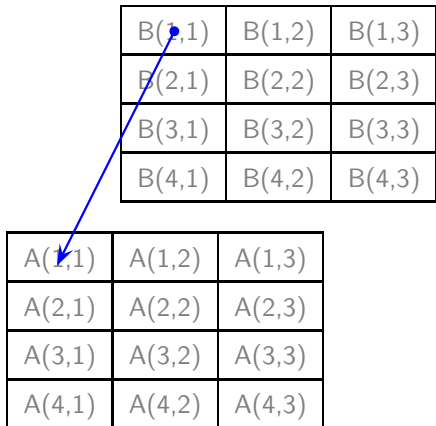
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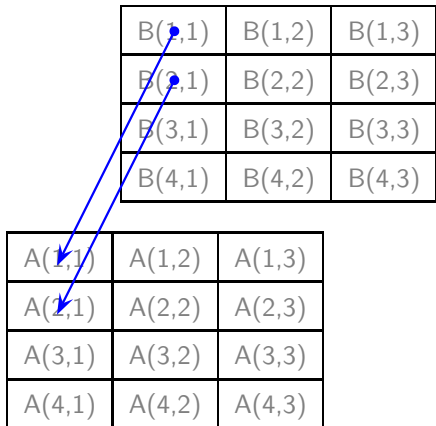
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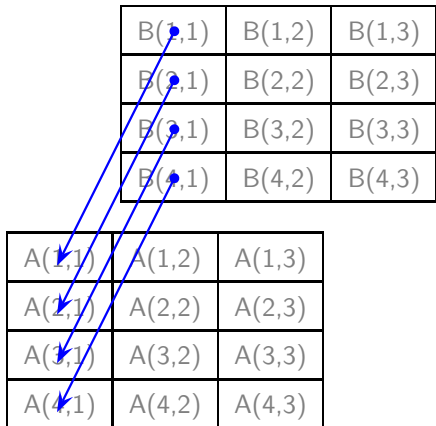
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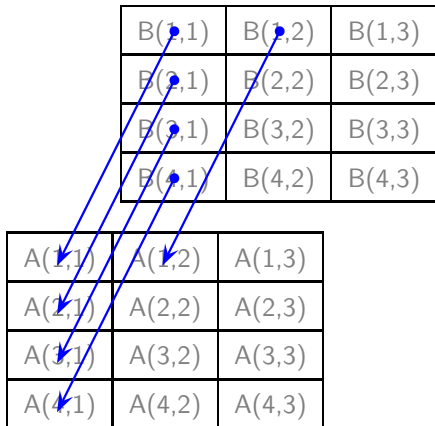
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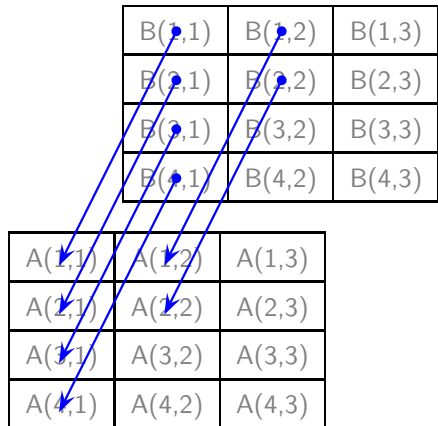
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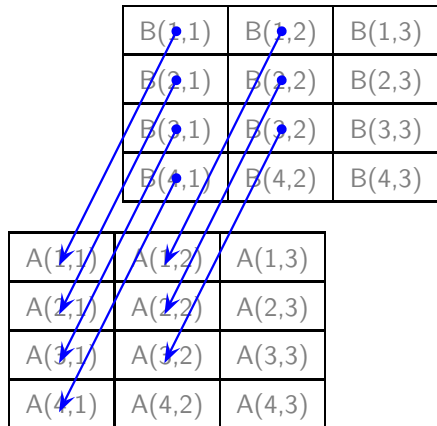
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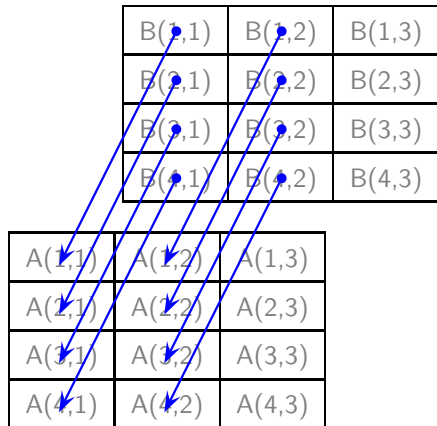
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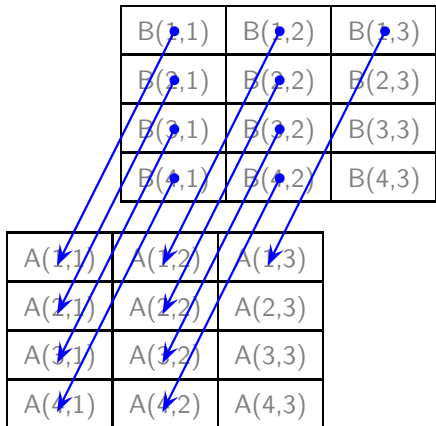
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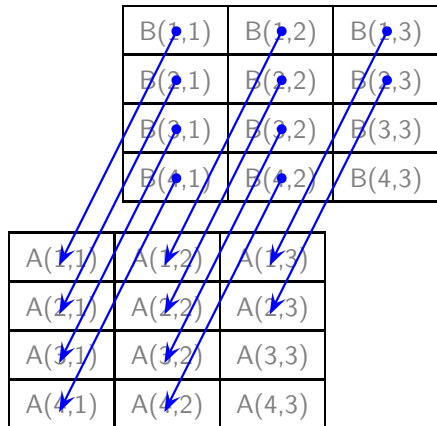
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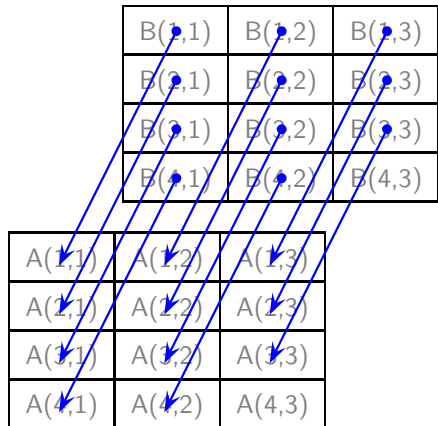
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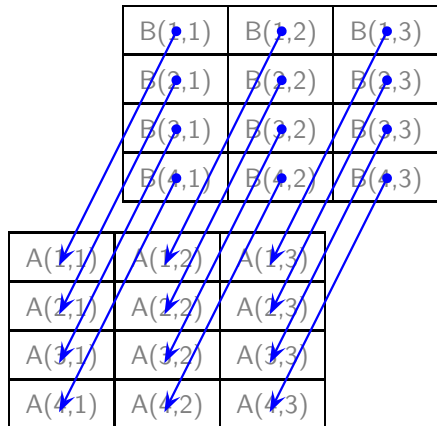
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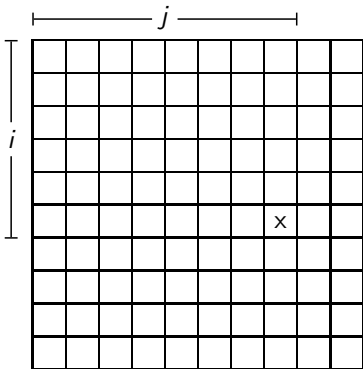
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Array Address Calculation

Cell (i, j)

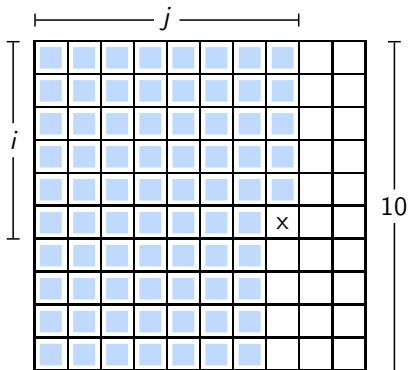
Its address



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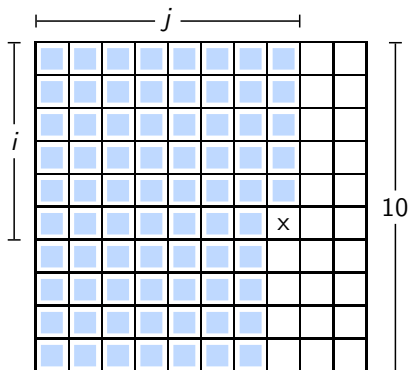
$$\text{Base} + (j - 1) * 10 + i - 1$$



Array Address Calculation

Cell (i, j)

Its address



$$\text{Base} + (j - 1) * 10 + i - 1$$

An additional complication: In FORTRAN, arrays are stored backwards and index registers are subtracted from the base



Output of FORTRAN I Compiler

Source
Program

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Object
Program

Statement	Explanation
LXD ONE, 1	$lxr1 = 1$
LOOP CLA B+1, 1	$Acc = *(B + 1 - lxr1)$
STO A+1, 1	$*(A + 1 - lxr1) = Acc$
TXI * +1, 1, 1	$lxr1 = lxr1 + 1$, jump ahead by 1
TXL LOOP,1 ,100	if ($lxr1 \leq 100$), goto LOOP



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- Address calculation?
- Nested loops?

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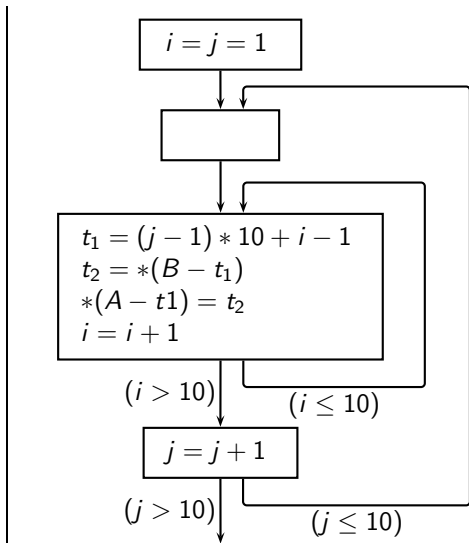
Compiling Array Copy Program: Control Flow Graph

```

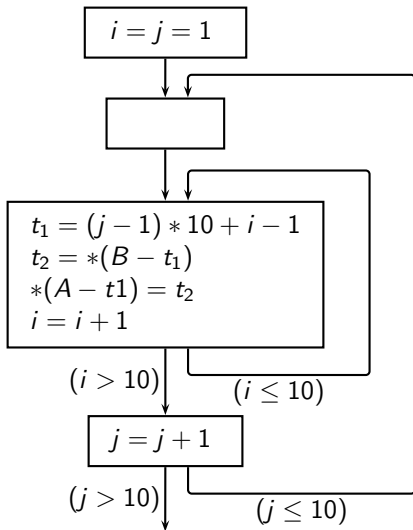
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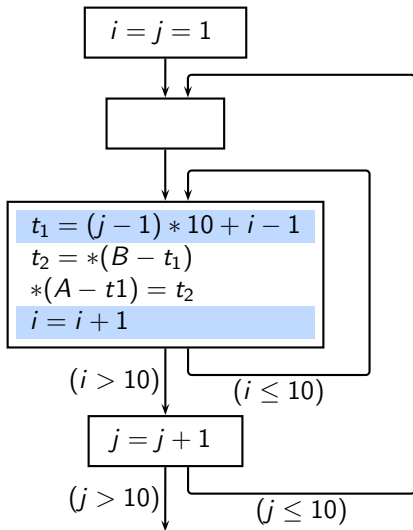
Compiling Array Copy Program: Strength Reduction (1)



Observations about the inner loop



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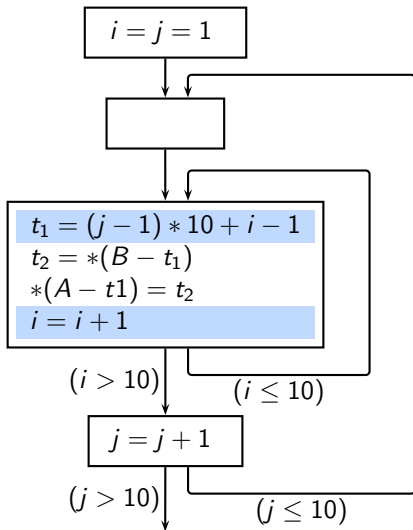


Observations about the inner loop

- Whenever i increments by 1, t_1 also increments by 1



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- Whenever i increments by 1, t_1 also increments by 1
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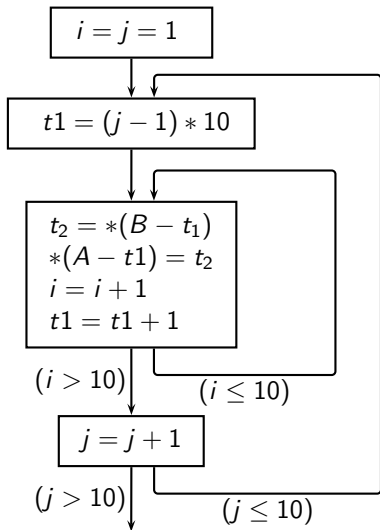
$$\begin{aligned}
 t_1 &= (j - 1) * 10 + i - 1 \\
 &= (j - 1) * 10 \\
 &\quad (\text{because } i \text{ is } 1)
 \end{aligned}$$

and increment it within the loop

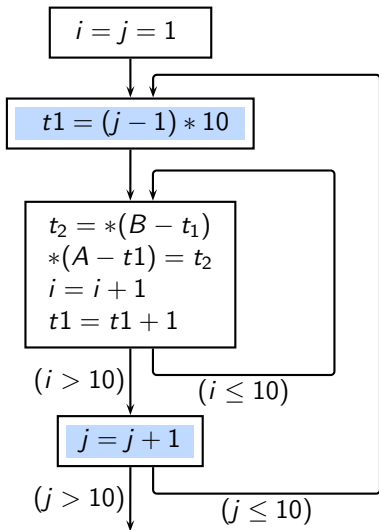
$$t_1 = t_1 + 1$$



Compiling Array Copy Program: Strength Reduction (2)



Compiling Array Copy Program: Strength Reduction (2)

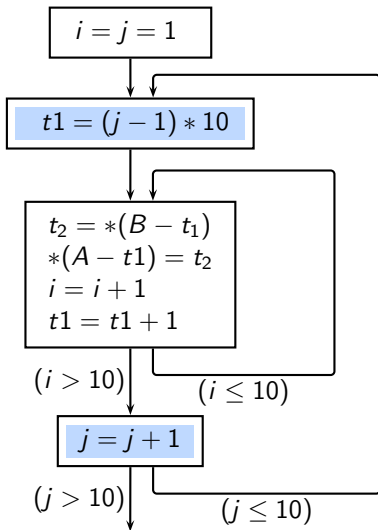


Observations about the inner loop

- Whenever j increments by 1, $t1$ increments by 10



Compiling Array Copy Program: Strength Reduction (2)



Observations about the inner loop

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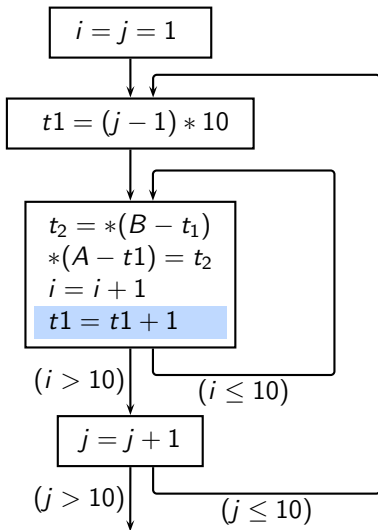
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Compiling Array Copy Program: Strength Reduction (2)



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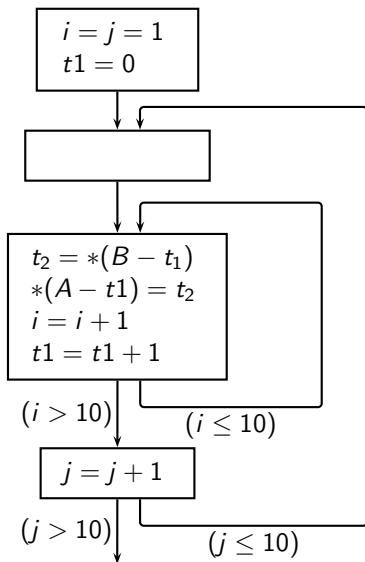
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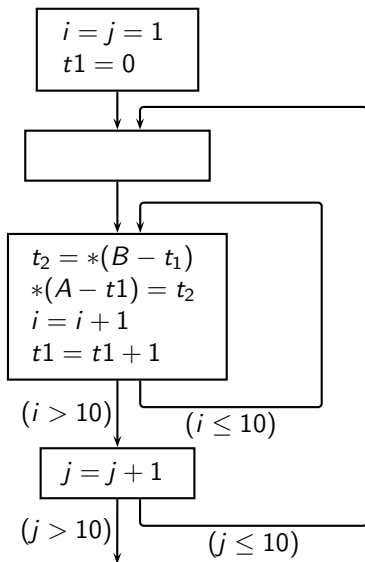
$$t1 = t1 + 10$$
- However, the inner loop already increments $t1$ by 10.



Compiling Array Copy Program: Flattening the Loops



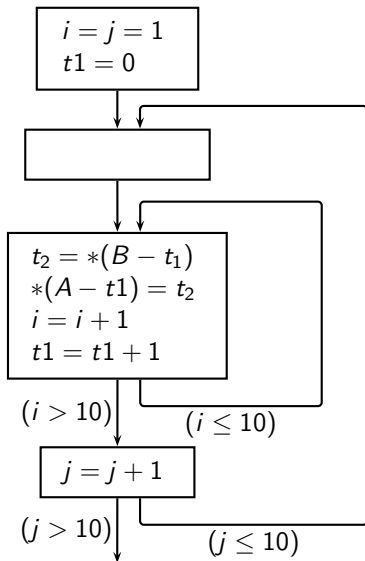
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- The only activity in the outer loop now is to control the loop iterations
No other computation



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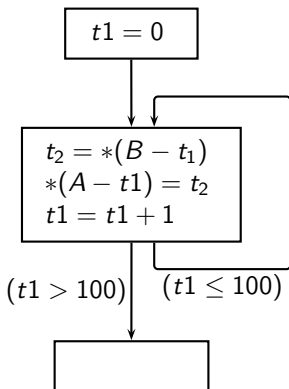


- The only activity in the outer loop now is to control the loop iterations
No other computation
- We can combine the loops into a single loop by taking a product of the two loop bounds
- Variables i and j would not be required



Compiling Array Copy Program: The Final Program

Control flow graph (CFG)

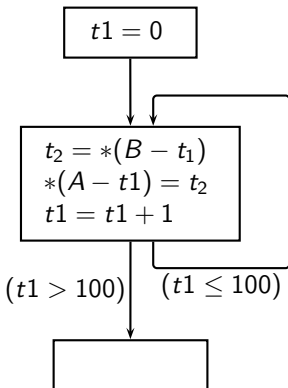


Original Assembly



Compiling Array Copy Program: The Final Program

Control flow graph (CFG)



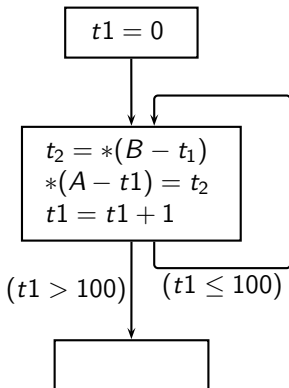
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```

Minor differences

	CFG	Assembly
Base address	B	$B + 1$
Initial value of $t1$	0	1



Compiling Array Copy Program Using GCC 4.7.2 (gfortran)

.L5:

```
leal    408(%esp), %ebx
movl    $1, %eax
leal    808(%esp), %ecx
addl    %esi, %ebx
addl    %esi, %ecx
.p2align 4,,7
.p2align 3
```

.L4:

```
movl    -44(%ecx,%eax,4), %edx
movl    %edx, -44(%ebx,%eax,4)
addl    $1, %eax
cmpl    $11, %eax
jne     .L4
addl    $40, %esi
cmpl    $400, %esi
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- Integer is now 4 bytes



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- Integer is now 4 bytes
- Efficient address calculation with strength reduction
- Nested loops not flattened



Part 5

Conclusions

So is There Nothing New in Compilers?

- Languages have changed significantly
- Processors have changed significantly
- Problem sizes have changed significantly
- Expectations have changed significantly
- Analysis techniques have changed significantly



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 - ▶ Parsing, Data flow analysis, Parallism Discovery, Heap Analysis



The Wonder Element of FORTRAN

- Expressiveness Vs. Efficiency conflict
 - ▶ Efficiency of programming and reach of programming, OR
 - ▶ Efficiency of program execution and resource utilization
- FORTRAN: The triumph of the genius of AND over the tyranny of OR



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- *The software equivalent of a transistor*



Why Things Happen the Way They Happen?

- John Backus was the *right person* at the *right time* at the *right place*



Why Things Happen the Way They Happen?

- John Backus was the *right person* at the *right time* at the *right place*
 - ▶ He had the foresight to recognize the *adjacent possible*
 - ▶ He was Bernard Shaw's proverbial "unreasonable person"



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- John Backus was the *right person* at the *right time* at the *right place*
 - ▶ He had the foresight to recognize the *adjacent possible*
 - ▶ He was Bernard Shaw's proverbial "unreasonable person"
- The ideas of Charles Babbage were far beyond the adjacent possible



The Challenge Ahead

- Expressiveness Vs. Efficiency conflict due to the problem of scale



The Challenge Ahead

- Expressiveness Vs. Efficiency conflict due to the problem of scale
- Have we reached the Von Neumann bottleneck?



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Backus argued so over three decades ago!



The Challenge Ahead

- Expressiveness Vs. Efficiency conflict due to the problem of scale
- Have we reached the Von Neumann bottleneck?
Backus argued so over three decades ago!
- The world awaits another John Backus to give us the next break-through!



Acknowledgements

- Mostly based on the online documents of the *Computer History Museum* (www.computerhistory.org)
 - ▶ FORTRAN examples by John Backus
 - ▶ Array copy example by Frances Allen
 - ▶ FORTRAN expression handling explanation by David Padua
- Interesting discussions with Supratim Biswas



Last But Not the Least

Thank You!



Last But Not the Least

Thank You!

Contacting me :

- `uday@cse.iitb.ac.in`
- `http://www.cse.iitb.ac.in/~uday`

