Four Colour Theorem A Computational View

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Ajit Diwan Four Colour Theorem

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#### Theorem

Every planar map is four colourable.

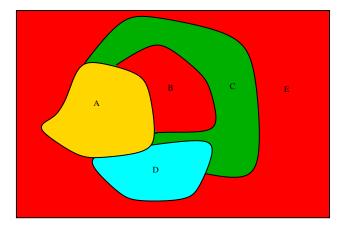
- Planar map: A partition of the plane into a finite number of regions bounded by simple closed curves.
- Four colourable: Each region can be coloured using one of four colours so that any two regions that share a boundary of non-zero length have different colours.

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Ajit Diwan Four Colour Theorem

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## What is different about it?

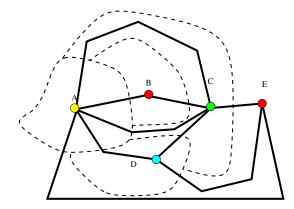
- Easy to state and understand, first conjectured by an undergraduate student (1852).
- Several failed attempts, including erroneous published proofs.
- The known proofs all use a computer to verify some cases.
- Lead to major developments in graph theory, several conjectured and proven generalizations within graph theory.
- Reformulated in terms of many other mathematical objects, can be viewed in different ways.
- Still hope of finding a simple, easily verifiable proof.

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- Represent each region by a point contained in the region.
- If two regions share a boundary draw a simple curve joining the points representing them.
- Points representing regions are vertices and curves joining them are edges.
- Edges are drawn so that their interiors are disjoint.
- This gives a plane graph.
- This is also a planar map called the dual of the original map.

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## Dual Map



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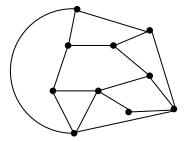
- Equivalent statement of the Four Colour Theorem.
- Every plane graph is four colourable.
- Each vertex is assigned one of four colours.
- Vertices joined by an edge must have different colours.
- There exists such an assignment of colours for every plane graph.

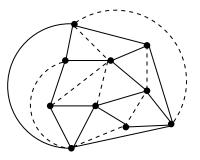
## **Plane Triangulations**

- Given any plane graph, add as many edges to it as possible, as long as the graph remains a plane graph.
- If the graph obtained after adding edges in four colourable then so is the original graph.
- No more edges can be added to a plane graph keeping planarity if an only if every region, also called a face, is bounded by exactly three edges.
- Such a plane graph is called a plane triangulation.
- Sufficient to prove that plane triangulations are four colourable.

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## Triangulating a Plane Graph





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### Tait's reformulation

- Suppose a plane triangulation is four colourable.
- Assume the four colours are 0, 1, 2, 3.
- Assign to each edge the XOR of the colours assigned to its endpoints.
- Four colouring implies every edge gets one of the three colours **1**, **2**, **3**.
- The XOR of colours of edges in any cycle, and more generally, any subgraph with all vertices of even degree, must be **0**.
- All three edges on the boundary of any face get distinct colours.

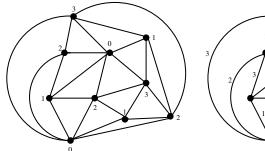
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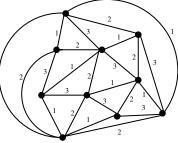
## Three Colouring Edges

- Converse holds for plane triangulations.
- Consider a colouring of edges with colours **1,2,3** such that the boundary of every face has distinct colours.
- The XOR of the colours on the boundary of any face is 0.
- Any cycle, considered as a set of edges, is the XOR of the boundaries of faces contained inside it.
- For any cycle, and hence for any even subgraph, the XOR of the edge colours is **0**.
- For any two vertices, all paths between them must have the same XOR of edge colours.
- Assign colour 0 to a fixed vertex r, and colour any other vertex by the XOR of the edge colours in any path from r.
- This gives a four colouring.

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## Three Edge Colouring





### Heawood's reformulation

- Consider an edge colouring with three colours such that the boundary of any face has distinct colours.
- Assign value +1 to an internal face if the colours **1,2,3** appear on the face in clockwise order and -1 otherwise.
- For every internal vertex *v* the sum of values of faces whose boundary contains *v* is divisible by 3.
- The converse is also true, given such an assignment of +1 or -1 values to the faces, a three edge coloring with distinct colours on the boundary of each face can be constructed.

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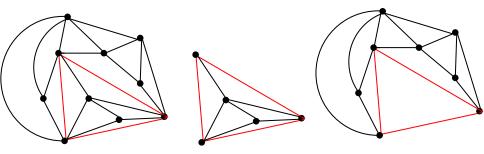
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## **Separating Triangles**

- A triangle in a plane triangulation is called a separating triangle if there are vertices of the graph in its interior and exterior.
- If there exists a separating triangle, induction can be used easily.
- Delete vertices in the exterior to get a smaller triangulation and the vertices in the interior to get another smaller triangulation.
- By induction, both are four colourable.
- In any four colouring of both triangulations, the vertices of the separating triangle get three distinct colours, and may be assumed to be same in both, by permuting the colours.
- The colourings can be combined to get a four colouring of the original triangulation.

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# Separating Triangle



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• Sufficient to consider triangulations without a separating triangle.

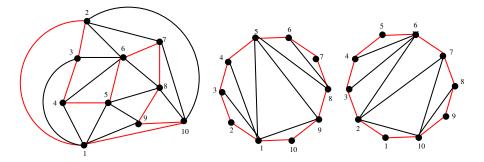
#### Theorem (Whitney)

Every plane triangulation without a separating triangle has a Hamiltonian cycle, that is, a cycle passing through all vertices.

- The edges of the triangulation are divided into those inside, on or outside the Hamiltonian cycle.
- Fixing the colours of edges on the Hamiltonian cycle, fixes the colours of edges inside and outside the cycle uniquely, if a three edge colouring is possible.
- The problem reduces to finding a colouring of the edges in the cycle, so that it can be extended to the edges inside and outside the cycle.

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## Hamiltonian cycle



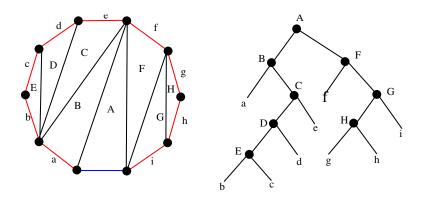
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## **Binary Trees**

- Triangulations of a cycle represented by binary trees.
- Fix an edge of the cycle as the base.
- Triangle containing the base is the root node of the binary tree.
- Divides the cycle into two edge-disjoint cycles.
- The left (right) subtree is the binary tree corresponding to the cycle with the left (right) edge of the root triangle as the base.
- Every triangle corresponds to an internal node.
- Every edge of the cycle other than the base corresponds to an external node.

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### Triangulation as a Binary Tree



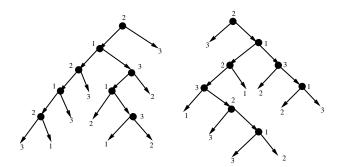
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- Two arbitrary binary trees corresponding to the triangulation inside and outside the Hamiltonian cycle.
- Colouring edges is equivalent to colouring nodes of the binary trees.
- If an internal node is coloured i then its left and right child must be coloured j, k where { i,j,k } = { 1,2,3 }.
- Corresponding external nodes and the root node must get the same colour in both trees.
- Four colour theorem equivalent to the statement that this is always possible for any pair of binary trees.

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## **Colouring Binary Trees**



3 1 3 3 2 1 2 2 3

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### **Context Free Grammar**

- Expressed using the context free grammar
  - $\mathbf{1} \quad \rightarrow \quad \mathbf{23} \mid \mathbf{32} \mid \mathbf{1}$
  - $\mathbf{2} \quad \rightarrow \quad \mathbf{13} \mid \mathbf{31} \mid \mathbf{2}$
  - $\mathbf{3} \quad \rightarrow \quad \mathbf{12} \mid \mathbf{21} \mid \mathbf{3}$
- Bold numbers are non-terminals, start symbol is **1**.
- A string in the language can have many parse trees.
- Given any two binary trees, there exists a string in the language that can be parsed using both the trees.
- Can be proved easily if the trees have some structure, for example linear trees.

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- Alphabet of four letters { a,b,c,d } or {A, C, G, T}, the building blocks of the genome sequence.
- A string is a sequence of these letters, the length is the number of letters.
- Given a string  $s = s_1 s_2 s_3 \dots s_l$ , the string  $s_i s_{i+1} \dots s_j$  for  $1 \le i \le j \le l$  is a substring.

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#### An Automaton

- A state is a set S(I) of strings, all of the same length  $I \ge 2$ .
- A transition is defined by a pair of numbers i, j such that  $1 \le i < j \le l$ .
- The new state is the set of strings T of length I + i + 2 j obtained as follows:
  - For every string *s* ∈ *S*(*I*), replace the substring *s*<sub>*i*+1</sub>...*s*<sub>*j*-1</sub> by any single letter that does not occur in the substring and is not equal to either *s*<sub>*i*</sub> or *s*<sub>*j*</sub>.
  - If there is more than one such letter, put all possible such strings in *T*.
  - If there is no such letter, the string *s* does not contribute any string to *T*.
- The set *T* may be empty.
- If not empty, every string in T has length l + i + 2 j and further transitions can occur from it.

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## Sample Transitions

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#### Theorem

The four colour theorem is true if and only if there is no sequence of transitions from the set {acb } to the empty set.

- Every sequence of transitions starting from {*acb* } corresponds to a plane triangulation and vice versa.
- The triangulation is not four colourable if and only if the sequence of transitions leads to the empty set.

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#### Theorem

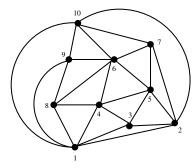
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- Every sequence of transitions starting from {*acb* } corresponds to a plane triangulation and vice versa.
- The triangulation is not four colourable if and only if the sequence of transitions leads to the empty set.

- Every triangulation can be built up starting with a triangle and adding one vertex at a time.
- At every step, all internal faces are triangles, the external face may be of any length. Such graphs are called near-triangulations.
- Every new vertex added is adjacent to a consecutive sequence of vertices on the current external face.
- The current state represents the possible sequence of colours on the outer boundary in a proper four colouring of the current near-triangulation.
- Addition of a vertex corresponds to state transition.

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### **Triangulation and Transitions**



Boundary	Transitions
12	_
132	_
1 4 3 2	[1,2]
1 4 5 2	[2,4]
14652	[2,3]
14672	[3,5]
18672	[1,3]
19672	[1,3]
1 10 2	[1,5]

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### Generalization

- **Decision Problem:** Does there exist an algorithm to decide if the empty set can be reached by a sequence of transitions starting from a given set of strings of equal length?
- If so, this gives a short proof of the Four Colour Theorem, just apply the algorithm to the set {acb }.
- **Conjecture:** There exists a computable function *f*(*I*), perhaps even linear, such that for any set of strings of length *I*, if the empty set is reachable, then it is reachable in at most *f*(*I*) transitions.
- If true, this gives a simple decision algorithm, just consider all sequences of transitions of length at most f(l).

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- Many variations possible.
- Use different number of letters and different starting sets.
- **Problem:** Every planar graph can be coloured with k + 1 colours such that the vertices of any specified cycle of length *k* get distinct colours for all  $k \ge 3$ .
- Corresponds to using an alphabet with k + 1 letters and an initial string with k distinct letters.
- Use different rules for generating the new set.
- Allows considering colourings of plane triangulations with different properties.

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#### Thank you Questions? What are the applications? - None!



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