

Mathematical Logic

Lecture 13: Model existence theorem and its consequences

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Where are we and where are we going?

We have seen

- ▶ Syntax and semantics of FOL
- ▶ Herbrand model and Hintikka theorem

We will see

- ▶ Model existence theorem
- ▶ Compactness theorem
- ▶ Löwenheim-Skolem Theorem

Topic 13.1

Model existence theorem

Parameters

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Example 13.2

Consider $\mathbf{S} = (\{\}, \{P/1\})$. Is the following formula sat?

$$\forall x. P(x) \wedge \exists x. \neg P(x)$$

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Consider $\mathbf{S} = (\{\}, \{P/1\})$. Is the following formula sat?

$$\forall x. P(x) \wedge \exists x. \neg P(x)$$

1. Instantiate existential quantifier with a fresh symbol c .

$$\neg P(c) \wedge \forall x. P(x) \wedge \exists x. \neg P(x)$$

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1. Instantiate existential quantifier with a fresh symbol c .

$$\neg P(c) \wedge \forall x. P(x) \wedge \exists x. \neg P(x)$$

2. Instantiate universal quantifier with a term c .

$$P(c) \wedge \neg P(c) \wedge \forall x. P(x) \wedge \exists x. \neg P(x)$$

Parameters

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Definition 13.1

Let $\mathbf{S} = (\mathbf{F}, \mathbf{R})$ be a signature. Let \mathbf{par} be a infinite countable set of constant symbols disjoint from \mathbf{S} . Let $\mathbf{S}^{\mathbf{par}} = (\mathbf{F}, \mathbf{R} \cup \mathbf{par})$.

Consistency property

Definition 13.2

Let $\mathbf{S} = (\mathbf{F}, \mathbf{R})$ be a signature. Let \mathcal{C} be a collection of sets of sentences in signature \mathbf{S}^{par} . \mathcal{C} is a *consistency property* wrt to \mathbf{S} if for each $S \in \mathcal{C}$ satisfies the following.

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1. for each $F \in A_{\mathbf{S}^{\text{par}}}$, either $F \notin S$ or $\neg F \notin S$
2. if $\neg\neg F \in S$ then $\{F\} \cup S \in \mathcal{C}$
3. if $\alpha \in S$ then $\{\alpha_1, \alpha_2\} \cup S \in \mathcal{C}$
4. if $\beta \in S$ then $\{\beta_1\} \cup S \in \mathcal{C}$ or $\{\beta_2\} \cup S \in \mathcal{C}$

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4. if $\beta \in S$ then $\{\beta_1\} \cup S \in \mathcal{C}$ or $\{\beta_2\} \cup S \in \mathcal{C}$
5. if $\gamma \in S$ then $\{\gamma(t)\} \cup S \in \mathcal{C}$ for each $t \in \hat{T}_{\mathbf{S}^{\text{par}}}$
6. if $\delta \in S$ then $\{\delta(c)\} \cup S \in \mathcal{C}$ for some $c \in \mathbf{par}$

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5. if $\gamma \in S$ then $\{\gamma(t)\} \cup S \in \mathcal{C}$ for each $t \in \hat{T}_{\mathbf{S}^{\text{par}}}$
6. if $\delta \in S$ then $\{\delta(c)\} \cup S \in \mathcal{C}$ for some $c \in \mathbf{par}$
7. $S \cup \{t \approx t\} \in \mathcal{C}$ for each $t \in \hat{T}_{\mathbf{S}^{\text{par}}}$
8. if $t_1 \approx u_1, \dots, t_n \approx u_n \in S$ then $S \cup \{f(t_1, \dots, t_n) \approx f(u_1, \dots, u_n)\} \in \mathcal{C}$ for each $f/n \in \mathbf{F}$
9. if $t_1 \approx u_1, \dots, t_n \approx u_n, P(t_1, \dots, t_n) \in S$ then $S \cup \{P(u_1, \dots, u_n)\} \in \mathcal{C}$ for each $P/n \in \mathbf{RU}\{\approx/2\}$

Model existence theorem

Theorem 13.1

Let \mathcal{C} be a consistency property wrt to \mathbf{S} , S be a set of \mathbf{S} -sentences. If $S \in \mathcal{C}$ then S is sat.

Recall the proof in propositional case.

1. convert \mathcal{C} into finite character
2. show limit exists in finite character
3. construct a monotonic sequence of elements of \mathcal{C} starting from S
4. show its limit is a maximal element of \mathcal{C}
5. show the limit is a Hintikka set

Naturally things are more complicated here.

Recall: subset closed consistency property

Theorem 13.2

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Let $\mathcal{C}^+ := \{S' \mid S' \subseteq S \text{ and } S \in \mathcal{C}\}$. We show \mathcal{C}^+ is consistency property.

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Let $\mathcal{C}^+ := \{S' \mid S' \subseteq S \text{ and } S \in \mathcal{C}\}$. We show \mathcal{C}^+ is consistency property.
Consider $S' \in \mathcal{C}^+$. By definition, there is $S \in \mathcal{C}$ s.t. $S' \subseteq S$.

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Let $\mathcal{C}^+ := \{S' \mid S' \subseteq S \text{ and } S \in \mathcal{C}\}$. We show \mathcal{C}^+ is consistency property.

Consider $S' \in \mathcal{C}^+$. By definition, there is $S \in \mathcal{C}$ s.t. $S' \subseteq S$.

1. Therefore, S' does not contain contradictory literals.
2. If $\neg\neg F \in S'$. Therefore, $\neg\neg F \in S$. Therefore, $\{F\} \cup S \in \mathcal{C}$. Therefore, $\{F\} \cup S' \in \mathcal{C}^+$.
3. (trivially extends to all 9 cases) □

Recall: finite character

Definition 13.3

A consistency property \mathcal{C} has *finite character* if $S \in \mathcal{C}$ iff every finite subset of S is in \mathcal{C} .

Theorem 13.3

if \mathcal{C} is of finite character then \mathcal{C} is subset closed.

Theorem 13.4

Let consistency property \mathcal{C} is of finite character. If S_1, S_2, \dots is sequence of members of \mathcal{C} such that $S_1 \subseteq S_2 \subseteq \dots$. Then, $\bigcup_i S_i \in \mathcal{C}$.

Proofs of the above theorems were given in lecture 6.

Extendable to finite character

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(counter case).

$\mathcal{C}^+ := \{S' \mid \text{all finite subsets of } S' \text{ are in } \mathcal{C}\}$ is consistency property. Let $S' \in \mathcal{C}^+$.

6. case $\delta \in S'$: Consider finite set $T \subseteq S' \cup \{\delta(c)\}$ for some $c \in \mathbf{par}$. Therefore, $(T - \{\delta(c)\}) \subseteq S'$.

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Therefore, $(T - \{\delta(c)\}) \subseteq S'$.
Therefore, $\{\delta\} \cup (T - \{\delta(c)\}) \subseteq S'$. Therefore, $\{\delta\} \cup (T - \{\delta(c)\}) \in \mathcal{C}$.

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Therefore, $(T - \{\delta(c)\}) \subseteq S'$.
Therefore, $\{\delta\} \cup (T - \{\delta(c)\}) \subseteq S'$. Therefore, $\{\delta\} \cup (T - \{\delta(c)\}) \in \mathcal{C}$.
Since \mathcal{C} is consistency property, $\{\delta\} \cup (T - \{\delta(c)\}) \cup \{\delta(c')\} \in \mathcal{C}$.

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~~Therefore, $\{\delta\} \cup T \cup \{\delta(c)\} \in \mathcal{C}$.~~

Since \mathcal{C} is subset closed, $T \in \mathcal{C}$. Therefore, $S' \cup \{\delta(c)\} \in \mathcal{C}^+$.



Expanded consistency property

Definition 13.4

Let $\mathbf{S} = (\mathbf{F}, \mathbf{R})$ be a signature. Let \mathcal{C} be a collection of sets of sentences in signature \mathbf{S}^{par} . \mathcal{C} is a **expanded consistency property** wrt to \mathbf{S} if for each $S \in \mathcal{C}$ satisfies the following.

1. for each $F \in A_{\mathbf{S}^{\text{par}}}$, either $F \notin S$ or $\neg F \notin S$
2. if $\neg\neg F \in S$ then $\{F\} \cup S \in \mathcal{C}$
3. if $\alpha \in S$ then $\{\alpha_1, \alpha_2\} \cup S \in \mathcal{C}$
4. if $\beta \in S$ then $\{\beta_1\} \cup S \in \mathcal{C}$ or $\{\beta_2\} \cup S \in \mathcal{C}$
5. if $\gamma \in S$ then $\{\gamma(t)\} \cup S \in \mathcal{C}$ for each $t \in \hat{T}_{\mathbf{S}^{\text{par}}}$
6. if $\delta \in S$ then $\{\delta(c)\} \cup S \in \mathcal{C}$ for each $c \in \text{par}$ and not occurring in S
7. $S \cup \{t \approx t\} \in \mathcal{C}$ for each $t \in \hat{T}_{\mathbf{S}^{\text{par}}}$
8. if $t_1 \approx u_1, \dots, t_n \approx u_n \in S$ then $S \cup \{f(t_1, \dots, t_n) \approx f(u_1, \dots, u_n)\} \in \mathcal{C}$ for each $f/n \in \mathbf{F}$
9. if $t_1 \approx u_1, \dots, t_n \approx u_n, P(t_1, \dots, t_n) \in H$ then $S \cup \{P(u_1, \dots, u_n)\} \in \mathcal{C}$ for each $P/n \in \mathbf{RU}\{\approx/2\}$

Converting to extended consistency property

Definition 13.5

A *parameter substitution* π is $\mathbf{par} \rightarrow \mathbf{par}$. Let $F\pi$ be a formula obtained by replacing parameter c by $\pi(c)$ in F for every $c \in \mathbf{par}$. The substitution naturally extends to a set of formulas.

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Theorem 13.6

For subset-closed consistency property \mathcal{C} , let

$\mathcal{C}^+ := \{S \mid \text{there is } \pi \text{ s.t. } S\pi \in \mathcal{C}\}$.

1. \mathcal{C}^+ extends \mathcal{C} and subset closed
2. \mathcal{C}^+ is expanded consistency property

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Proof.

Part 1 can be easily proved.



Converting to extended consistency property(contd.)

Proof for part 2.

For part 2 we can easily check that conditions 2-5 and 7-8 holds.

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For part 2 we can easily check that conditions 2-5 and 7-8 holds.

Consider $S \in \mathcal{C}^+$.

1. Choose closed atom F .
Assume $\{F, \neg F\} \in S$.

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There is a π s.t. $S\pi \in \mathcal{C}$.

Since $\{F\pi, (\neg F)\pi\} \subseteq S\pi$, $\{F\pi, \neg(F\pi)\} \subseteq S\pi$. **Contradiction.**

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Since there is a π s.t. $S\pi \in \mathcal{C}$, there is a $c' \in \mathbf{par}$ s.t. $S\pi \cup \{\delta\pi(c')\} \in \mathcal{C}$.

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Therefore, $S\pi \cup \{\delta(c)(\pi[c \mapsto c'])\} \in \mathcal{C}$.

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Therefore, $(S \cup \{\delta(c)\})(\pi[c \mapsto c']) \in \mathcal{C}$.

Therefore, $(S \cup \{\delta(c)\}) \in \mathcal{C}^+$.



Extension to finite character

Theorem 13.7

A subset-closed expanded consistency property \mathcal{C} is extendable to one of finite character.

Proof.

$\mathcal{C}^+ := \{S' \mid \text{all finite subsets of } S' \text{ are in } \mathcal{C}\}$ is consistency property. Let $S' \in \mathcal{C}^+$

6. case $\delta \in S'$:

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Therefore, $(T - \{\delta(c)\}) \subseteq S'$.

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Since c does not occur in $\{\delta\} \cup (T - \{\delta(c)\})$ and \mathcal{C} is expanded consistency property, $\{\delta\} \cup (T - \{\delta(c)\}) \cup \{\delta(c)\} \in \mathcal{C}$.

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Therefore, $\{\delta\} \cup T \cup \{\delta(c)\} \in \mathcal{C}$.

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Therefore, $(T - \{\delta(c)\}) \subseteq S'$.

Therefore, $\{\delta\} \cup (T - \{\delta(c)\}) \subseteq S'$. Therefore, $\{\delta\} \cup (T - \{\delta(c)\}) \in \mathcal{C}$.

Since c does not occur in $\{\delta\} \cup (T - \{\delta(c)\})$ and \mathcal{C} is expanded consistency property, $\{\delta\} \cup (T - \{\delta(c)\}) \cup \{\delta(c)\} \in \mathcal{C}$.

Therefore, $\{\delta\} \cup T \cup \{\delta(c)\} \in \mathcal{C}$.

Since \mathcal{C} is subset closed, $T \in \mathcal{C}$. Therefore, $S' \cup \{\delta(c)\} \in \mathcal{C}^+$.

Extension to finite character

Theorem 13.7

A subset-closed expanded consistency property \mathcal{C} is extendable to one of finite character.

Proof.

$\mathcal{C}^+ := \{S' \mid \text{all finite subsets of } S' \text{ are in } \mathcal{C}\}$ is consistency property. Let $S' \in \mathcal{C}^+$

6. case $\delta \in S'$:

Consider finite set $T \subseteq S' \cup \{\delta(c)\}$ for some fresh $c \in \mathbf{par}$ wrt S' .

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Other cases are similarly proven. □

Exercise 13.1

Prove case 8.

Model existence theorem

Theorem 13.8

Let \mathcal{C} be a consistency property wrt to \mathbf{S} . If $S \in \mathcal{C}$ then S is sat.

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Wlog, we assume \mathcal{C} is of finite character and expanded (why?).

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Wlog, we assume \mathcal{C} is of finite character and expanded (why?).

Let F_1, F_2, \dots be an enumeration of all the sentences of \mathbf{S}^{par} in an order(why?).

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Proof.

Wlog, we assume \mathcal{C} is of finite character and expanded (why?).

Let F_1, F_2, \dots be an enumeration of all the sentences of \mathbf{S}^{par} in an order(why?).

Let us define a sequence S_1, S_2, \dots as follows.

$$S_1 = S \quad S_{n+1} = \begin{cases} S_n \cup \{F_n, \delta(c)\} & S_n \cup \{F_n\} \in \mathcal{C} \text{ and } F_n = \delta \\ S_n \cup \{F_n\} & S_n \cup \{F_n\} \in \mathcal{C} \text{ and } F_n \neq \delta \\ S_n & \text{otherwise} \end{cases}$$

where c is a fresh parameter wrt $S_n \cup \{F_n\}$.

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where c is a fresh parameter wrt $S_n \cup \{F_n\}$.

Since S_n are in \mathcal{C} and \mathcal{C} is of finite character, $\bigcup_n S_n \in \mathcal{C}$. Let $M := \bigcup_n S_n$.

Model existence theorem(contd.)

Proof.

Claim: M is maximal in \mathcal{C} . (same argument as in propositional logic)

Model existence theorem(contd.)

Proof.

Claim: M is maximal in \mathcal{C} . (same argument as in propositional logic)

Assume $M' \in \mathcal{C}$ s.t. $M \subset M'$. There is F_n such that $F_n \in M'$ and $F_n \notin M$.

Model existence theorem(contd.)

Proof.

Claim: M is maximal in \mathcal{C} . (same argument as in propositional logic)

Assume $M' \in \mathcal{C}$ s.t. $M \subset M'$. There is F_n such that $F_n \in M'$ and $F_n \notin M$.

By def. of M , $S_n \cup \{F_n\} \notin \mathcal{C}$.

Model existence theorem(contd.)

Proof.

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Assume $M' \in \mathcal{C}$ s.t. $M \subset M'$. There is F_n such that $F_n \in M'$ and $F_n \notin M$.

By def. of M , $S_n \cup \{F_n\} \notin \mathcal{C}$.

Since $S_n \cup \{F_n\} \subseteq M'$ and \mathcal{C} is subset closed, $S_n \cup \{F_n\} \in \mathcal{C}$. **Contradiction.**

Model existence theorem(contd.)

Proof.

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Claim: M is a Hinttika set.

Model existence theorem(contd.)

Proof.

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Claim: M is a Hintikka set.

If $\alpha \in M$ then $\{\alpha_1, \alpha_2\} \cup M \in \mathcal{C}$. Since M is maximal, $\{\alpha_1, \alpha_2\} \subseteq M$.

Model existence theorem(contd.)

Proof.

Claim: M is maximal in \mathcal{C} . (same argument as in propositional logic)

Assume $M' \in \mathcal{C}$ s.t. $M \subset M'$. There is F_n such that $F_n \in M'$ and $F_n \notin M$.

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Claim: M is a Hintikka set.

If $\alpha \in M$ then $\{\alpha_1, \alpha_2\} \cup M \in \mathcal{C}$. Since M is maximal, $\{\alpha_1, \alpha_2\} \subseteq M$.

Other conditions hold similarly, except δ case.

Model existence theorem(contd.)

Proof.

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Assume $M' \in \mathcal{C}$ s.t. $M \subset M'$. There is F_n such that $F_n \in M'$ and $F_n \notin M$.

By def. of M , $S_n \cup \{F_n\} \notin \mathcal{C}$.

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Claim: M is a Hinttika set.

If $\alpha \in M$ then $\{\alpha_1, \alpha_2\} \cup M \in \mathcal{C}$. Since M is maximal, $\{\alpha_1, \alpha_2\} \subseteq M$.

Other conditions hold similarly, except δ case.

Since M is a Hinttika set, M is sat. Since $S \subseteq M$, S is sat. □

Exercise 13.2

Prove δ case to show that M is a Hinttika set.

Topic 13.2

Consequences of model existence theorem

Compactness

Theorem 13.9

Let $\mathbf{S} = (\mathbf{F}, \mathbf{R})$ be a signature and S be a set of \mathbf{S} -sentences.

If each finite subset of S is sat then S is sat.

Proof.

Let $\mathcal{C} := \{S' \subset \mathbf{S}^{\text{par}}\text{-sentences} \mid \text{all finite subsets of } S' \text{ are sat and there are infinitely many parameters in } \mathbf{par} \text{ that do not occur in } S'\}$.

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Claim: \mathcal{C} is a consistency property.

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Let $S' \in \mathcal{C}$. We need to satisfy the nine conditions.

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Claim: \mathcal{C} is a consistency property.

Let $S' \in \mathcal{C}$. We need to satisfy the nine conditions.

1. If $\{F, \neg F\} \subseteq S'$, then $\{F, \neg F\}$ is sat. **contradiction**. First cond. holds.

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There is a finite $T' \subseteq S'$ s.t. $T \subseteq \{\alpha, \alpha_1, \alpha_2\} \cup T'$.
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Therefore, $T' \cup \{\alpha, \alpha_1, \alpha_2\}$ is sat.

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Therefore, $T' \cup \{\alpha, \alpha_1, \alpha_2\}$ is sat.

Therefore, T is sat.

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There is a finite $T' \subseteq S'$ s.t. $T \subseteq \{\alpha, \alpha_1, \alpha_2\} \cup T'$.

Since $T' \cup \{\alpha\} \subseteq S'$, $T' \cup \{\alpha\}$ is sat.

Therefore, $T' \cup \{\alpha, \alpha_1, \alpha_2\}$ is sat.

Therefore, T is sat.

Therefore, every finite subset of $\{\alpha_1, \alpha_2\} \cup S'$ is sat.

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Therefore, T is sat.

Therefore, every finite subset of $\{\alpha_1, \alpha_2\} \cup S'$ is sat.

Therefore, $\{\alpha_1, \alpha_2\} \cup S' \in \mathcal{C}$

Compactness (contd.)

Exercise 13.3

Prove the δ case.

Compactness (contd.)

Exercise 13.3

Prove the δ case.

Proof(contd.)

6. Let $\delta \in S'$.

Consider a finite $T \subseteq \{\delta(c)\} \cup S'$ for fresh $c \in \mathbf{par}$ (why possible?).

Compactness (contd.)

Exercise 13.3

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Therefore, T is sat.

Therefore, every finite subset of $\{\delta(c)\} \cup S'$ is sat.

Compactness (contd.)

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Therefore, T is sat.

Therefore, every finite subset of $\{\delta(c)\} \cup S'$ is sat.

Therefore, $\{\alpha_1, \alpha_2\} \cup S' \in \mathcal{C}$

7. similarly other cases are proven.

Compactness (contd.)

Exercise 13.3

Prove the δ case.

Proof(contd.)

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Due to model existence theorem, S is sat. □

Compactness (contd.)

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7. similarly other cases are proven.

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Exercise 13.4

If $\Sigma \models F$ then there is a finite subset S of Σ such that $S \models F$

Impossibility of encoding finite models

Theorem 13.10

Let $\mathbf{S} = (\mathbf{F}, \mathbf{R})$ be a signature and S be a set of \mathbf{S} -sentences. If S is sat in arbitrary large finite models then S is true in an infinite model.

Impossibility of encoding finite models

Theorem 13.10

Let $\mathbf{S} = (\mathbf{F}, \mathbf{R})$ be a signature and S be a set of \mathbf{S} -sentences. If S is sat in arbitrary large finite models then S is true in an infinite model.

Proof.

Let $E/2$ be a predicate symbol that is not in \mathbf{S} . Let $\mathbf{S}' = (\mathbf{F}, \mathbf{R} \cup \{E/2\})$.

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As we have seen,

let F_i be a \mathbf{S}' -sentence only using predicate E that is false in models with domain smaller than i , and sometimes true in larger models.

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Let $S' = S \cup \{F_1, F_2, F_3, \dots\}$.

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let F_i be a \mathbf{S}' -sentence only using predicate E that is false in models with domain smaller than i , and sometimes true in larger models.

Let $S' = S \cup \{F_1, F_2, F_3, \dots\}$.

By construction, S' cannot be satisfied by a finite model.

Impossibility of encoding finite models

Theorem 13.10

Let $\mathbf{S} = (\mathbf{F}, \mathbf{R})$ be a signature and S be a set of \mathbf{S} -sentences. If S is sat in arbitrary large finite models then S is true in an infinite model.

Proof.

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Therefore, S' has infinite model.

Löwenheim-Skolem Theorem

Theorem 13.11

Let $\mathbf{S} = (\mathbf{F}, \mathbf{R})$ be a *countable* signature and S be a set of \mathbf{S} -sentences. If S is sat then S is true in a *countable* model.

Proof.

Let $\mathcal{C} := \{S' \subset \mathbf{S}^{\text{par}}\text{-sentences} \mid S' \text{ is sat and there are infinitely many parameters in } \mathbf{par} \text{ that do not occur in } S'\}$.

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Remark:

For every satisfiable set of first order sentences, we have a countable model therefore real numbers can not be *axiomatized* using formulas in FOL.

Actually the story is more complicated. Check out "skolem's paradox"!

End of Lecture 13