

# Mathematical Logic 2016

## Lecture 21: Gödel's incompleteness theorem I

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# Where are we and where are we going?

We have seen

- ▶ Definition of FO-theories
- ▶ an algorithm that decides quantifier free formulas for decidable theories

We will

- ▶ start showing that number theory is not axiomatizable and the endeavor will last 2 lectures
- ▶ define representability of relations

# Gödel's incompleteness theorem

## Theorem 21.1

$m_{\mathbb{N}}$  can not be axiomatized.

## Proof structure.

1. Choose a subtheory of  $m_{\mathbb{N}}$  s.t. that it can encode the resolution proofs in any subtheory of  $m_{\mathbb{N}}$
2. This allows us to construct a sentence and show that this sentence is true in  $m_{\mathbb{N}}$  but there is no axiomatization that can deduce its validity
3. Therefore, no axiomatization of  $m_{\mathbb{N}}$



## Topic 21.1

A special subtheory of  $m_{\mathbb{N}}$

## A special subtheory of $m_{\mathbb{N}}$

In the next slide, we will see a subtheory of  $m_{\mathbb{N}}$ .

We will see that this theory will be capable of saying something very strong about **decidable sets**.

Our choice of the theory is not minimum. Proofs at other places uses even fewer axioms.

## The subtheory of number theory

Consider signature  $\mathbf{S} = (\{0/0, s/1, +/2, \cdot/2, e/2\}, \{</2\})$ .

Consider a theory  $\mathcal{T}_D = Cn(A_D)$ , where  $A_D$  contains the following axioms

1.  $\forall x. s(x) \neq 0$
2.  $\forall x, y. s(x) \approx s(y) \Rightarrow x \approx y$
3.  $\forall x, y. x < s(y) \Leftrightarrow (x < y \vee x \approx y)$
4.  $\forall x. x \neq 0$
5.  $\forall x, y. (x < y \vee x \approx y \vee y < x)$
6.  $\forall x. x + 0 \approx x$
7.  $\forall x, y. x + s(y) \approx s(x + y)$
8.  $\forall x. x \cdot 0 \approx 0$
9.  $\forall x, y. x \cdot s(y) \approx x \cdot y + x$
10.  $\forall x. e(x, 0) \approx s(0)$
11.  $\forall x, y. e(x, s(y)) \approx e(x, y) \cdot x$

These axioms are weak. Various natural claims can not be proven.  
e.g.  $\forall x. x \neq 0 \Rightarrow \exists y. x \approx s(y)$

Clearly,  $\mathcal{T}_D \subseteq \mathcal{T}_{\mathbb{N}} = Th(m_{\mathbb{N}})$

### Exercise 21.1

Show if  $n \neq m$ ,  
 $A_D \vdash s^m(0) \neq s^n(0)$

We will refer to the axioms by their number.

# Counting up to a fixed number

## Theorem 21.2

a.  $A_D \vdash \forall x. x \neq 0$

b. For any  $k \in \mathbb{N}$ ,  $A_D \vdash \forall x. x < s^{k+1}(0) \Leftrightarrow x \approx s^0(0) \vee \dots \vee x \approx s^k(0)$

## Proof.

a. claim is axiom 4

b. We prove by induction over  $k$ .

### base case:

Due to axiom 3,  $x < s(0) \Leftrightarrow (x < 0 \vee x \approx 0)$

Due to axiom 4,  $x < s(0) \Leftrightarrow x \approx 0$

### induction step:

Due to induction hypothesis,  $x < s^k(0) \Leftrightarrow (x \approx s^0(0) \vee \dots \vee x \approx s^{k-1}(0))$

Due to axiom 3,  $x < s^{k+1}(0) \Leftrightarrow (x < s^k(0) \vee x \approx s^k(0))$

After substitution, we obtain the result.

$x < s^{k+1}(0) \Leftrightarrow (x \approx s^0(0) \vee \dots \vee x \approx s^{k-1}(0) \vee x \approx s^k(0))$

□

# Evaluating closed terms

## Theorem 21.3

For every variable-free term  $t$ , there is a unique  $n \in \mathbb{N}$  s.t.  $A_D \vdash t \approx s^n(0)$

### Proof.

Since  $m_{\mathbb{N}} \models A_D$ , for  $m \neq n$ ,  $A_D \not\vdash s^n(0) \approx s^m(0)$ . Therefore, uniqueness.

We prove existence of  $n$  by induction over structure of  $t$ .

#### base case:

$$A_D \vdash 0 \approx 0$$

#### induction step:

Due to induction hyp., let  $A_D \vdash t \approx s^k(0)$  and  $A_D \vdash u \approx s^l(0)$ .

case  $s$ : Due to congruence,  $A_D \vdash s(t) \approx s^{k+1}(0)$ .

case  $+$ : Due to congruence,  $A_D \vdash t + u \approx s^k(0) + s^l(0)$ .

After  $l$  applications of axiom 7,  $A_D \vdash t + u \approx s^l(s^k(0) + 0)$ .

After applying axiom 6,  $A_D \vdash t + u \approx s^{k+l}(0)$ .

Similarly the other construction cases. □



# Evaluating quantifier-free(QF) sentences

## Theorem 21.4

For every QF sentence  $F$ , if  $\models_{\mathcal{T}_N} F$  then  $A_D \vdash F$ .

Proof.

**base case:**

Assume  $\models_{\mathcal{T}_N} t_1 \approx t_2$ .

Therefore for some  $n$ ,  $\models_{\mathcal{T}_N} t_1 \approx s^n(0)$  and  $\models_{\mathcal{T}_N} t_2 \approx s^n(0)$ .

Therefore due to previous theorem,  $A_D \vdash t_1 \approx s^n(0)$  and  $A_D \vdash t_2 \approx s^n(0)$ .

Therefore,  $A_D \vdash t_1 \approx t_2$ .

Similarly, if  $\models_{\mathcal{T}_N} t_1 \not\approx t_2$  then  $A_D \vdash t_1 \not\approx t_2$  (why?).

Again similar argument for  $<$  and  $\not<$ .

**induction step:**

Since  $F$  is QF, the induction trivially follows the boolean structure □

## Exercise 21.2

Show for every QF sentence  $F$ , either  $A_D \vdash F$  or  $A_D \vdash \neg F$

## Notation:

vector notation for tuple of variables/values/terms

- ▶ Let  $\vec{x} := x_1, \dots, x_n$
- ▶ Let  $\vec{a} := a_1, \dots, a_n$
- ▶ Let  $s^{\vec{a}}(0) := s^{a_1}(0), \dots, s^{a_n}(0)$

# Existential formula

## Definition 21.1

An *existential formula* is of the following form.

$$\exists \vec{x}. F(\vec{x})$$

where  $F$  is QF formula.

An *existential sentence* is an existential formula without free variables.

## Theorem 21.5

Let  $\exists \vec{x}. F(\vec{x})$  be an existential sentence. If  $\mathcal{T}_{\mathbb{N}} \models \exists \vec{x}. F(\vec{x})$  then  $A_D \vdash \exists \vec{x}. F(\vec{x})$ .

## Proof.

Since  $\mathcal{T}_{\mathbb{N}} \models \exists \vec{x}. F(\vec{x})$ , there are terms  $s^{\vec{k}}(0)$  s.t.  $\mathcal{T}_{\mathbb{N}} \models F(s^{\vec{k}}(0))$  (why?).

Due to the previous theorem,  $A_D \vdash F(s^{\vec{k}}(0))$ .

Therefore,  $A_D \vdash \exists \vec{x}. F(\vec{x})$ . □

Unlike the last theorem, the claim is not closed under negation  
For universal formulas, the above theorem does not hold

## What have we been proving?

We are showing  
classes of formulas whose truth value  
can be established  
by systematic(non-deterministic) applications of axioms of  $A_D$ .

“systematic application of axioms” is another phrase for decision procedure.

Now, we will generalize the concept of the ability to establish truth.

## Topic 21.2

### Representability

# Definability in number theory

## Definition 21.2

A relation  $R \subseteq \mathbb{N}^n$ , is *defined by a formula*  $F(\vec{x})$  in  $m_{\mathbb{N}}$  if

$$\vec{a} \in R \quad \text{iff} \quad \models_{\mathcal{T}_{\mathbb{N}}} F(s^{\vec{a}}(0))$$

## Exercise 21.3

Show the following relations are definable in number theory

- ▶ *divisibility relation*
- ▶ *set of prime numbers*
- ▶ *set of pairs of consecutive primes*

## Exercise 21.4

- Prove there are undefinable relations.*
- Give a relation that is not definable in number theory*

# Representability

Note that the definition is about some theory  $\mathcal{T}$ , not  $\mathcal{T}_D$ .

## Definition 21.3

A formula  $F$  *represents* a relation  $R \subseteq \mathbb{N}^n$  in theory  $\mathcal{T}$  (with signature containing  $s$  and 0) if for each  $\vec{a} \in \mathbb{N}^n$

$$\begin{aligned} &\text{if } \vec{a} \in R \text{ then } F(s^{\vec{a}}(0)) \in \mathcal{T} \\ &\text{if } \vec{a} \notin R \text{ then } \neg F(s^{\vec{a}}(0)) \in \mathcal{T} \end{aligned}$$

## Theorem 21.6

$F$  represents  $R$  in  $\mathcal{T}_{\mathbb{N}}$  iff  $F$  defines  $R$  in  $m_{\mathbb{N}}$

## Theorem 21.7

$F$  represents  $R$  in  $\mathcal{T}_D$  iff

$$\begin{aligned} &\text{if } \vec{a} \in R \text{ then } A_D \vdash F(s^{\vec{a}}(0)) \\ &\text{if } \vec{a} \notin R \text{ then } A_D \vdash \neg F(s^{\vec{a}}(0)) \end{aligned}$$

## Proof.

The above holds due to the completeness of FOL and the definition of axiomatizable theories. □

# Definability vs. Representability

Definability in number theory

says that

a **S**-formula can describe a relation.

Representability in  $A_D$

says that

$A_D$  can deduce the membership of the relation.

We need to a bit more concertize **the concept of deducible**.



## Numeralwise determined

### Definition 21.4

Let  $F(\vec{x})$  be a formula with only free variables  $\vec{x}$  and  $|\vec{x}| = n$ .

$F(\vec{x})$  is **numeralwise determined** by  $A_D$  iff for every  $\vec{a} \in \mathbb{N}^n$  either

$$A_D \vdash F(s^{\vec{a}}(0)) \quad \text{or} \quad A_D \vdash \neg F(s^{\vec{a}}(0)).$$

### Theorem 21.8

A formula  $F(\vec{x})$  represents a relation  $R$  in  $\mathcal{T}_D$  iff

$F(\vec{x})$  is numeralwise determined by  $\mathcal{T}_D$  and  $F(\vec{x})$  defines  $R$  in  $m_{\mathbb{N}}$ .

### Proof.

#### Forward direction:

Since  $F(\vec{x})$  represents  $R$ ,  $F(\vec{x})$  is numeralwise determined by  $\mathcal{T}_D$ .

Since  $\mathcal{T}_D \subseteq \mathcal{T}_{\mathbb{N}}$ ,  $F(\vec{x})$  defines  $R$  in  $m_{\mathbb{N}}$ .

#### Backward direction:

assume  $\vec{a} \in R$ .

Therefore,  $\models_{m_{\mathbb{N}}} F(s^{\vec{a}}(0))$ .

Since  $m_{\mathbb{N}} \models A_D$ ,  $A_D \not\vdash \neg F(s^{\vec{a}}(0))$ .

Therefore,  $A_D \vdash F(s^{\vec{a}}(0))$ .

assume  $\vec{a} \notin R$ .

Therefore,  $\models_{m_{\mathbb{N}}} \neg F(s^{\vec{a}}(0))$ .

Therefore,  $A_D \not\vdash F(s^{\vec{a}}(0))$ .

Therefore,  $A_D \vdash \neg F(s^{\vec{a}}(0))$ .



## Numeralwise determined

“Numeralwise determined by  $A_D$ ” is a property of a formula.

“Representability in  $A_D$ ” is a property of a relation.

The first is a **means** to achieve the later.

The last theorem says, if we have the first property along with definability in  $m_{\mathbb{N}}$  then we have achieved later.

Let us see which class of formulas are numeralwise determined.  
We will drop “by  $A_d$ ” in the following slides.

# A class of numeralwise determined

## Theorem 21.9

- a. atomic formulas are numeralwise determined.*
- b. if  $F$  and  $G$  are numeralwise determined then  $\neg F$ ,  $F \circ G$  are numeralwise determined, where  $\circ$  is binary boolean operator*

## Proof.

- a. we have seen how to evaluate the variable-free formulas.
- b. trivial □

## Exercise 21.5

*Complete the above argument.*

# Bounded quantification is numeralwise determined

## Theorem 21.10

If  $F$  is numeralwise determined then so are the following formulas

$$\forall x(x < y \Rightarrow F(x, y, \vec{z}))$$

$$\exists x(x < y \wedge F(x, y, \vec{z}))$$

## Proof.

Consider  $\exists x(x < y \wedge F(x, y, \vec{z}))$ , where  $|\vec{z}| = n$ . Choose  $(c, \vec{a}) \in \mathbb{N}^{n+1}$ .

We need to show that either

$$A_D \vdash \exists x(x < s^c(0) \wedge F(x, s^c(0), s^{\vec{a}}(0)))$$

or

$$A_D \vdash \neg \exists x(x < s^c(0) \wedge F(x, s^c(0), s^{\vec{a}}(0))).$$

Pushing negation inside the later case we obtain

$$A_D \vdash \forall x(x < s^c(0) \Rightarrow \neg F(x, s^c(0), s^{\vec{a}}(0))).$$

## Bounded quantification is numeralwise determined(contd.)

### Proof(contd.)

Let us suppose there is a  $0 \leq a_0 < c$  s.t.  $A_D \vdash F(s^{a_0}(0), s^c(0), s^{\vec{a}}(0))$ .

Therefore,  $A_D \vdash s^{a_0}(0) < s^c(0)$

$A_D \vdash s^{a_0}(0) < s^c(0) \wedge F(s^{a_0}(0), s^c(0), s^{\vec{a}}(0))$

Therefore, the first possibility occurs

$$A_D \vdash \exists x(x < s^c(0) \wedge F(x, s^c(0), s^{\vec{a}}(0)))$$

## Bounded quantification is numeralwise determined(contd.)

### Proof(contd.)

Now suppose for each  $0 \leq a_0 < c$  s.t.  $A_D \vdash \neg F(s^{a_0}(0), s^c(0), s^{\vec{a}}(0))$ .

Since we know

$$A_D \vdash \neg \forall x. (x < s^{a_0}(0) \Rightarrow x \approx s^0(0) \vee \dots \vee x \approx s^{a_0}(0))$$

Therefore, we can show the following

$$A_D \vdash \forall x (x < s^c(0) \Rightarrow \neg F(x, s^c(0), s^{\vec{a}}(0)))$$

The other formula is shown numeralwise determined similarly. □

### Exercise 21.6

*Write a resolution proof that proves the last formula given the top two.*

### Exercise 21.7

*Consider  $F(v_1) = s(0) < v_1 \wedge \forall x. (x < v_1 \Rightarrow \forall y (y < v_1 \Rightarrow x \cdot y \approx v_1))$ .  
Numeralwise determine  $F(s(s(s(0))))$  and  $F(s(s(s(s(0)))))$ .*

## Some closure properties of representable relations

### Theorem 21.11

*The class of representable relations is closed under union, intersection, and negation.*

### Proof.

trivially due to theorem 21.9 □

### Theorem 21.12

*If  $R \subseteq \mathbb{N}^{n+1}$  is representable then the following relations are also representable*

$$\{(\vec{a}, b) \mid \text{for each } c < b, (\vec{a}, c) \in R\}$$

*and*

$$\{(\vec{a}, b) \mid \text{there is } c < b, (\vec{a}, c) \in R\}.$$

### Proof.

Trivially follows from theorem 21.10. □

## Topic 21.3

### Representable functions



# Function as relation and function as function

## Definition 21.5

*A function  $f : \mathbb{N}^n \rightarrow \mathbb{N}$  may be viewed as a relation.*

$$(\vec{a}, b) \in f \text{ iff } f(a) = b$$

*In the lhs,  $f$  is referred as relation.*

A formula may represent a function (viewed as a relation).

However, we need further definitions since the above definition is not conducive for function composition, etc.

# Representable function

## Definition 21.6

Let  $f : \mathbb{N}^n \rightarrow \mathbb{N}$  be a function.

A formula  $F(\vec{x})$  with  $|\vec{x}| = n + 1$  **functionally represents**  $f$  if for every  $\vec{a} \in \mathbb{N}^n$ ,

$$A_D \vdash \forall y. (F(s^{\vec{a}}(0), y) \Leftrightarrow y \approx s^{f(\vec{a})}(0))$$

## Theorem 21.13

If  $F(\vec{x})$  functionally represents  $f$  then  $F(\vec{x})$  represents  $f$  as relation

**Proof.**

Since  $F(\vec{x})$  functionally represents  $f$ , we have for each  $\vec{a} \in \mathbb{N}^n$

$$A_D \vdash \forall y. (F(s^{\vec{a}}(0), y) \Leftrightarrow y \approx s^{f(\vec{a})}(0)).$$

$$\text{For any } b \in \mathbb{N}, A_D \vdash (F(s^{\vec{a}}(0), s^b(0)) \Leftrightarrow s^b(0) \approx s^{f(\vec{a})}(0))$$

If  $(\vec{a}, b) \in f$ , then rhs of  $\Leftrightarrow$  is trivially true. Therefore,  $A_D \vdash F(s^{\vec{a}}(0), s^b(0))$ .

If  $(\vec{a}, b) \notin f$ , then the rhs is false by  $A_D$ . Therefore,  $A_D \vdash \neg F(s^{\vec{a}}(0), s^b(0))$ .

## Converse of function representability

### Theorem 21.14

If  $F(\vec{x}, y)$  represents  $f$  as a relation, there is  $F'(\vec{x}, y)$  that functionally represents  $f$ .

### Proof.

Let  $F'(\vec{x}, y) = F(\vec{x}, y) \wedge \forall z. (z < y \Rightarrow \neg F(\vec{x}, z))$ .

For each  $\vec{a} \in \mathbb{N}^n$ , we show  $A_D \vdash \forall y. (F'(s^{\vec{a}}(0), y) \Leftrightarrow y \approx s^{f(\vec{a})}(0))$ .

Since  $F(\vec{x}, y)$  represents  $f$  for each  $b < f(\vec{a})$ ,  $A_D \vdash \neg F(s^{\vec{a}}(0), s^b(0))$ .

Since  $A_D \vdash \forall x. (x < s^{f(\vec{a})}(0) \Rightarrow x \approx s^0(0) \vee \dots \vee x \approx s^{f(\vec{a})-1}(0))$ ,

$$A_D \vdash \forall z. (z < s^{f(\vec{a})}(0) \Rightarrow \neg F(s^{\vec{a}}(0), z)) \quad (*)$$

Therefore the following holds,

$$A_D \vdash F(s^{\vec{a}}(0), s^{f(\vec{a})}(0)) \wedge \forall z. (z < s^{f(\vec{a})}(0) \Rightarrow \neg F(s^{\vec{a}}(0), z)),$$

which is the backward implication in the desired formula.

## Converse of function representability (contd.)

### Proof(contd.)

Now we consider forward direction of the implication.

Lets assume lhs  $F'(s^{\vec{a}}(0), y) = F(s^{\vec{a}}(0), y) \wedge \forall z. (z < y \Rightarrow \neg F(s^{\vec{a}}(0), z))$ .

Due to equation (\*),  $A_D, F'(s^{\vec{a}}(0), y) \vdash \neg(y < s^{f(\vec{a})}(0))$ .

Instantiate  $z$  by  $s^{f(\vec{a})}(0)$ , we obtain  $A_D, F'(s^{\vec{a}}(0), y) \vdash \neg(s^{f(\vec{a})}(0) < y)$ .

Due to axiom 5,  $A_D, F'(s^{\vec{a}}(0), y) \vdash y \approx s^{f(\vec{a})}(0)$ .

Therefore,  $A_D \vdash \forall y. F'(s^{\vec{a}}(0), y) \Rightarrow y \approx s^{f(\vec{a})}(0)$ . □

### Exercise 21.8

*Why  $F$  could not represent  $f$  functionally and we need to construct  $F'$ ?*

**Commentary:** Note that  $y$  appeared as a free variable in the left hand side of  $\vdash$ .

## Representing composition of functions

### Theorem 21.15

If  $g : \mathbb{N}^n \rightarrow \mathbb{N}$  and  $h_i : \mathbb{N}^\ell \rightarrow \mathbb{N}$  are functionally representable then the following composition is also functionally representable.

$$f(\vec{a}) = g(h_1(\vec{a}), \dots, h_n(\vec{a}))$$

### Proof.

Let  $G(\vec{x}, y)$  functionally represent  $g$  and  $H_i(\vec{z}, y)$  functionally represent  $h_i$ .

We show  $F(\vec{z}, y) \triangleq \forall x_1. (H_1(\vec{z}, x_1) \Rightarrow \dots (\forall x_n. H_n(\vec{z}, x_n) \Rightarrow G(\vec{x}, y)) \dots)$  functionally represents  $f$ , i.e., for every  $\vec{a}$ ,

$$A_D \vdash \forall y. (F(s^{\vec{a}}(0), y) \Leftrightarrow y \approx s^{g(h_1(\vec{a}), \dots, h_n(\vec{a}))}(0)).$$

So, we have  $\forall y. (H_1(s^{\vec{a}}(0), y) \Leftrightarrow y \approx s^{h_1(\vec{a})}(0))$

$\vdots$

$$\forall y. (H_n(s^{\vec{a}}(0), y) \Leftrightarrow y \approx s^{h_n(\vec{a})}(0))$$

$$\forall y. (G(s^{h_1(\vec{a})}(0), \dots, s^{h_n(\vec{a})}(0), y) \Leftrightarrow y \approx s^{g(h_1(\vec{a}), \dots, h_n(\vec{a}))}(0))$$

## Representing composition of functions(contd.)

### Proof(contd.)

#### forward direction:

We assume  $A_D \vdash \forall x_1 \dots x_n. (H_1(s^{\vec{a}}(0), x_1) \Rightarrow \dots (H_n(s^{\vec{a}}(0), x_n) \Rightarrow G(\vec{x}, y)) \dots)$ .

Since we can instantiate  $x_1$  to  $x_n$  with any term, let  $x_i = s^{h_i(\vec{a})}(0)$ .

We obtain,  $A_D \vdash (H_1(s^{\vec{a}}(0), s^{h_1(\vec{a})}(0)) \Rightarrow \dots (H_n(s^{\vec{a}}(0), s^{h_n(\vec{a})}(0)) \Rightarrow G(s^{h_1(\vec{a})}(0), \dots, s^{h_n(\vec{a})}(0), y)) \dots)$ .

Since lhs's are true (why?), we obtain  $A_D \vdash G(s^{h_1(\vec{a})}(0), \dots, s^{h_n(\vec{a})}(0), y)$ .

Due to assumptions, we obtain  $y \approx s^{g(h_1(\vec{a}), \dots, h_n(\vec{a}))}(0)$ .

# Representing composition of functions(contd.)

## Proof(contd.)

### backward direction:

We need to show

$$A_D \vdash \forall x_1 \dots x_n. (H_1(s^{\vec{a}}(0), x_1) \Rightarrow \dots (H_n(s^{\vec{a}}(0), x_n) \Rightarrow G(\vec{x}, s^{g(h_1(\vec{a}), \dots, h_n(\vec{a}))}(0)))) \dots)$$

If any of  $x_i \not\approx s^{h_i(\vec{a})}(0)$ , then the lhs chain is false (why?).

Therefore, matrix of the formula is trivially provable.

If all of  $x_i \approx s^{h_i(\vec{a})}(0)$  then we need to prove

$$A_D \vdash G(s^{h_1(\vec{a})}(0), \dots, s^{h_n(\vec{a})}(0), s^{g(h_1(\vec{a}), \dots, h_n(\vec{a}))}(0)).$$

Again, it is provable due to assumptions. □

## Topic 21.4

### More representable function and relations



## Representing least zero

### Theorem 21.16

Let  $g : \mathbb{N}^{n+1} \rightarrow \mathbb{N}$  be a representable function.

Then the following function  $f$  is also representable.

$$f(\vec{a}) = \min\{b \mid g(\vec{a}, b) = 0\} = \underbrace{\mu b (g(\vec{a}, b) = 0)}_{\text{new notation}}$$

### Proof.

Let  $G(\vec{x}, y, z)$  represents  $g$  (relationally).

Consider the formula  $F(\vec{x}, y)$ .

$$F(\vec{x}, y) \triangleq G(\vec{x}, y, 0) \wedge (\forall z. (z < y) \Rightarrow (\neg G(\vec{x}, z, 0)))$$

Since  $F(\vec{x}, y)$  is numerically determined and  $F$  defines  $f$  in  $m_{\mathbb{N}}$ ,  $F$  represents  $f$ . □

# Characteristic functions

## Theorem 21.17

If a relation  $R$  is representable iff its characteristic function  $K_R$  is representable.

$$K_R(\vec{a}) = \begin{cases} 1 & \vec{a} \in R \\ 0 & \vec{a} \notin R \end{cases}$$

## Proof.

### Forward direction:

Let  $F(\vec{x})$  represents  $R$ . Then the  $F'(\vec{x}, y)$  represents  $K_R$ , which defined as follows.

$$F'(\vec{x}, y) \triangleq (F(\vec{x}) \wedge y \approx s(0)) \vee (\neg F(\vec{x}) \wedge y \approx 0)$$

### Backward direction:

Let  $F(\vec{x}, y)$  represents  $K_R$ . Then,  $F(\vec{x}, s(0))$  represents  $R$ . □

## Notation: extended least-zero functions

### Theorem 21.18

Let  $R \subseteq \mathbb{N}^{n+1}$  be a representable relation s.t. for every  $\vec{a}$  there is  $b$  s.t.  $(\vec{a}, b) \in R$ . Then, the following function is representable.

$$f(\vec{a}) = \min\{b \mid (\vec{a}, b) \in R\} = \underbrace{\mu b((\vec{a}, b) \in R)}_{\text{new notation}}$$

Proof.

$$f(\vec{a}) = \mu b(K_{\bar{R}}(\vec{a}, b) = 0)$$

□

## Composition relation

### Theorem 21.19

If  $R$  is a representable relation and  $f_1, \dots, f_n$  are representable functions, then

$$R' = \{\vec{a} \mid (f_1(\vec{a}), \dots, f_n(\vec{a})) \in R\}.$$

is representable.

### Proof.

Since  $R$  is representable,  $K_R$  is representable.

Therefore, the following composition is representable.

$$K_{R'} = K_R(f_1(\vec{a}), \dots, f_n(\vec{a}))$$

Therefore,  $R'$  is representable. □

### Exercise 21.9

Suppose  $R$  is representable.

Show  $\{(x, y) \mid (y, x, x) \in R\}$  is representable.

## Non-strict bounded quantification

### Theorem 21.20

If  $R \subseteq \mathbb{N}^n$  is representable then the following relations are also representable

$$R_{\forall} = \{(\vec{a}, b) \mid \text{for each } c \leq b, (\vec{a}, c) \in R\}$$

and

$$R_{\exists} = \{(\vec{a}, b) \mid \text{there is } c \leq b, (\vec{a}, c) \in R\}.$$

### Proof.

Let  $R'_{\forall} = \{(\vec{a}, b) \mid \text{for each } c < b, (\vec{a}, c) \in R\}$ , which is representable due to the bounded quantification theorem.

Since  $R_{\forall} = \{(\vec{a}, b) \mid (\vec{a}, s(b)) \in R'_{\forall}\}$ , due to previous theorem  $R_{\forall}$  is representable.

Similarly,  $R_{\exists}$  is representable. □

## What representable means?

# Are you confused/bored by now?

Review the content before the next lecture and try to grasp the following ideas

- ▶ representable = numeralwise determined + number theory definable
- ▶ representability means decidability
- ▶ The relations we have shown to be representable have clear sequence of instructions for applying deductions
- ▶ In other words, numeralwise determined  $\implies$  executable

Next, we use the power of representable relations

End of Lecture 21