

# Program verification 2016

## Lecture 4: Abstract interpretation

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# Where are we?

- ▶ Abstraction for fixed point computation
- ▶ Lattice theory and Galois connection
- ▶ Conditions for effective fixed point computation

# Lecture plan

- ▶ Abstract interpretation
- ▶ Abstract domains
- ▶ Widening/Narrowing
- ▶ Box domain
- ▶ Polyhedral domain
- ▶ Octagonal domain

# Topic 4.1

## Galois connection

# Galois connection

## Definition 4.1

For posets  $(X, \leq)$  and  $(Y, \sqsubseteq)$ , a pair of maps  $(\alpha, \gamma)$  of maps  $\alpha : X \rightarrow Y$  and  $\gamma : Y \rightarrow X$  is a *galois connection* if

$$\forall x \in X \forall y \in Y. \alpha(x) \sqsubseteq y \Leftrightarrow x \leq \gamma(y)$$

which is usually written

$$(X, \leq) \begin{matrix} \xleftarrow{\gamma} \\ \xrightarrow{\alpha} \end{matrix} (Y, \sqsubseteq)$$

$\alpha$  and  $\gamma$  are called upper and lower adjoints respectively.

## Unique adjoints

### Theorem 4.1

In  $(X, \leq) \xleftrightarrow[\alpha]{\gamma} (Y, \sqsubseteq)$ ,  $\alpha$  uniquely defines  $\gamma$  and vice-versa.

$$\alpha(x) = \sqcap\{y \mid x \leq \gamma(y)\} \quad \gamma(y) = \vee\{x \mid \alpha(x) \sqsubseteq y\}$$

### Proof.

- ▶ By definition of meet,  $\sqcap\{y \mid \alpha(x) \sqsubseteq y\}$  exists and

$$\alpha(x) = \sqcap\{y \mid \alpha(x) \sqsubseteq y\}$$

- ▶ By def. of galois connection

$$\alpha(x) = \sqcap\{y \mid x \leq \gamma(y)\}$$

Symmetrically for  $\gamma(x)$ . □

## Properties of galois connection

Let  $(X, \leq) \xleftrightarrow[\alpha]{\gamma} (Y, \sqsubseteq)$  then

1.  $\forall x \in X. x \leq \gamma \circ \alpha(x)$
2.  $\forall y \in Y. \alpha \circ \gamma(y) \sqsubseteq y$
3.  $\alpha$  is monotone
4.  $\gamma$  is monotone
5.  $\alpha \circ \gamma \circ \alpha = \alpha$
6.  $\gamma \circ \alpha \circ \gamma = \gamma$
7.  $\alpha$  is onto  $\Leftrightarrow \gamma$  is one-to-one  $\Leftrightarrow \alpha \circ \gamma = 1_X$
8.  $\gamma$  is onto  $\Leftrightarrow \alpha$  is one-to-one  $\Leftrightarrow \gamma \circ \alpha = 1_Y$

### Exercise 4.1

*Prove the above properties*

### Exercise 4.2

*Prove properties 1-4 also define galois connection*

## Topic 4.2

### Abstract interpretation



# Abstract interpretation

- ▶ **Concrete** objects of analysis or domain —  $C =$  sets of valuations  $\subseteq \mathbb{Q}^V$ 
  - ▶ not all sets are concisely representable in computer
  - ▶ too (infinitely) many of them
- ▶ **Abstract** domain — only simple to represent sets  $D \subseteq C$ 
  - ▶  $D$  should allow efficient algorithms for desired operations
  - ▶ far fewer, but possibly infinitely many
  - ▶ Sets in  $C \setminus D$  are **not precisely** representable in  $D$

How to use  $D$  to capture semantics of a program?

Note:  $C$  naturally forms a complete lattice

$$(C, \subseteq, \emptyset, \mathbb{Q}^V, \cup, \cap)$$

# Abstracting and concretization function

This is not the most general definition!  
Any partial order can replace  $\supseteq$ .

## Definition 4.2

An *abstraction function*  $\alpha : C \rightarrow D$  maps each set  $c \in C$  to  $\alpha(c) \supseteq c$ .

## Definition 4.3

A *concretization function*  $\gamma : D \rightarrow C$  maps each set  $d \in D$  to  $d$ .

The above definitions become more meaningful, if we think of  $D$  as the *representation of sets* on a computer instead of the sets themselves.

## Lemma 4.1

$D$  contains  $\mathbb{Q}^V$

## Example: abstraction – intervals

### Example 4.1

Let us assume  $V = \{x\}$

Consider  $D = \{\perp, \top\} \cup \{[a, b] \mid a, b \in \mathbb{Q}\}$ .

Ordering among elements of  $D$  are defined as follows:

$\perp \sqsubseteq [a, b] \sqsubseteq \top$  and  $[a_1, b_1] \sqsubseteq [a_2, b_2] \Leftrightarrow a_2 \leq a_1 \wedge b_1 \leq b_2$

*D forms a lattice.*

Let  $\alpha(c) \triangleq [\text{inf}(c), \text{sup}(c)]$       and       $\gamma([a, b]) \triangleq [a, b]$

- ▶  $\alpha(\{0, 3, 5\}) = [0, 5]$
- ▶  $\alpha((0, 3)) = [0, 3]$
- ▶  $\alpha([0, 3] \cup [5, 6]) = [0, 6]$
- ▶  $\alpha(\{1/x \mid x \geq 1\}) = [0, 1]$

## Minimal abstraction principle

It is always better to choose smaller abstraction.

Choose  $\alpha(c)$  **as small as possible**, therefore more precise abstraction

Therefore, if  $d \in D$  then  $\alpha(d) = d$  and  $\alpha$  must be monotonic

There may be multiple minimal abstractions.

**Even worse**, there may be no minimal approximation,  
e. g., approximating a circle with a polytope  
(In this lecture, we assume minimal abstractions exist.)

## Properties of $D$ , $\alpha$ , and $\gamma$

Now on we will ignore that  $D$  is set of sets. We assume  $D$  is a topped poset

$$(D, \sqsubseteq, \top)$$

- ▶  $\alpha$  is monotone (due to minimality principle)
- ▶  $\gamma$  is monotone
- ▶  $c \sqsubseteq \gamma \circ \alpha(c)$
- ▶  $\alpha \circ \gamma(d) \sqsubseteq d$  (due to minimality principle)

Therefore,

$$(C, \sqsubseteq) \begin{matrix} \xleftarrow{\gamma} \\ \xrightarrow{\alpha} \end{matrix} (D, \sqsubseteq)$$

We always choose  $D$ ,  $\alpha$ , and  $\gamma$  such that the above galois connection holds.

# Best approximation

## Definition 4.4

$\alpha$  performs *best approximation* if  $\forall c \in C, d \in D. c \subseteq \gamma(d) \Rightarrow \alpha(c) \sqsubseteq d$ .

The above is one of the galois conditions. So,  $\alpha(c) = \sqcap \{d \in D \mid c \subseteq \gamma(d)\}$ .

## Theorem 4.2

*An abstract domain is complete lattice iff best approximations exists.*

### Proof.

If abstract domain is complete lattice then  $\sqcap \{d \in D \mid c \subseteq \gamma(d)\}$  always exists.

For the other direction, consider  $S \subseteq D$ .

1. Since  $\sqcap \gamma(S)$  and best approximations exists,  $\alpha(\sqcap \gamma(S)) = \sqcap \{d \mid \sqcap \gamma(S) \subseteq \gamma(d)\}$
2. ( $\forall c \in S. c \in \{d \mid \sqcap \gamma(S) \subseteq \gamma(d)\}$ )  $\Rightarrow \alpha(\sqcap \gamma(S)) \in lb(S)$
3. Assume  $d \in lb(S)$ . Due to monotone  $\gamma$ ,  $\gamma(d) \in lb(\gamma(S))$ . Therefore,  $\gamma(d) \subseteq \sqcap \gamma(S)$
4. Due to monotone  $\alpha$ ,  $\alpha \circ \gamma(d) \sqsubseteq \alpha(\sqcap \gamma(S))$
5. Since  $\alpha \circ \gamma = 1_D$ ,  $d \sqsubseteq \alpha(\sqcap \gamma(S))$ . Therefore,  $\alpha(\sqcap \gamma(S)) = \sqcap S$  □

**Note:** If we do not have best approximation then we are breaking conditions of galois connection, namely monotone  $\alpha$ .

## Onto abstraction

Due to the principle of minimal abstraction,  $\alpha$  must be onto

$$\forall p \in D. \alpha(p) = p \quad (\text{assuming } D \subseteq C)$$

Therefore, one-to-one  $\gamma$

However, in practice we may **relax the onto condition** on  $\alpha$ . A set can be represented multiple ways on a computer.

Therefore, multiple abstract objects may have same concretization.

### Exercise 4.3

*Make an exercise*

## Topic 4.3

### Examples of abstraction



# Sign abstraction

## Sign abstraction

$$C = \mathfrak{p}(\mathbb{Q})$$

$$D = \{+, -, 0, \perp, \top\}$$

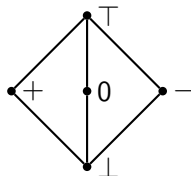
$$\alpha(p) = + \text{ if } \min(p) > 0$$

$$\alpha(p) = - \text{ if } \max(p) < 0$$

$$\alpha(0) = 0$$

$$\alpha(\emptyset) = \perp$$

$$\alpha(p) = \top, \text{ otherwise}$$



# Congruence abstraction

## Congruence abstraction

$$C = \mathbb{Z}$$

$$D = \{0, \dots, n - 1\}$$

$$\alpha(c) = c \bmod n$$

# Cartesian predicate abstraction

Cartesian predicate abstraction is defined by a set of predicates

$$P = \{p_1, \dots, p_n\}$$

$$C = \mathbf{p}(\mathbb{Q}^{|V|})$$

$$D = \perp \sqcup \mathbf{p}(P) \quad // \ \emptyset \text{ represents } \top$$

$$\perp \sqsubseteq S_1 \sqsubseteq S_2 \text{ if } S_2 \subseteq S_1$$

$$\alpha(c) = \{p \in P \mid c \Rightarrow p\}$$

$$\gamma(S) = \bigwedge S$$

Example:

$$V = \{x, y\}$$

$$P = \{x \leq 1, -x - y \leq -1, y \leq 5\}$$

$$\alpha(\{(0, 0)\}) = \{x \leq 1, y \leq 5\}$$

$$\alpha((x - 1)^2 + (y - 3)^2 = 1) = \{-x - y \leq -1, y \leq 5\}$$

## Boolean predicate abstraction

Boolean predicate abstraction is also defined by a set of predicates

$$P = \{p_1, \dots, p_n\}$$

$$C = \mathfrak{p}(\mathbb{Q}^{|V|})$$

$D$  = boolean formulas over predicates in  $P$

$$F_1 \sqsubseteq F_2 \text{ if } F_1 \Rightarrow F_2$$

$\alpha(c)$  = strongest boolean formula over  $P$  that contains  $c$

$$\gamma(F) = F$$

Example:

$$V = \{x, y\}$$

$$P = \{x \leq 1, -x - y \leq -1, y \leq 5\}$$

$$\alpha(-2x - y \leq -2) = -x - y \leq -1 \vee \neg(x \leq 1)$$

## Topic 4.4

### Abstract fixed point

# Abstract operations

For a concrete operation  $f : C^n \rightarrow C$ , we define an **abstract operation**  $f^\# : D^n \rightarrow D$  as follows

$$f^\#(x_1, \dots, x_n) = \alpha \circ f(\gamma(x_1), \dots, \gamma(x_n))$$

For example,

- ▶  $x \sqcup y = \alpha(\gamma(x) \cup \gamma(y))$
- ▶  $x \sqcap y = \alpha(\gamma(x) \cap \gamma(y))$
- ▶  $sp^\#(d, \rho) = \alpha \circ sp(\gamma(d), \rho)$

## Computing approximate least fixed point

Let  $f : C \rightarrow C$  be a monotonic operator.

Our goal is to compute  $lfp_a(f)$ , which is in general impossible.

Instead, we compute an approximation of  $lfp_a(f)$  using  $\alpha$ .

### Theorem 4.3

Let  $(C, \subseteq, \emptyset, \mathbb{Q}^V, \cup, \cap)$  and  $(D, \sqsubseteq, \perp, \top, \sqcup, \sqcap)$  are complete lattices,

$$(C, \subseteq) \xrightleftharpoons[\alpha]{\gamma} (D, \sqsubseteq),$$

and  $f : C \rightarrow C$  and  $f^\#$  are continuous operators then

$$lfp_a(f) \subseteq \gamma(lfp_{\alpha(a)}(f^\#))$$

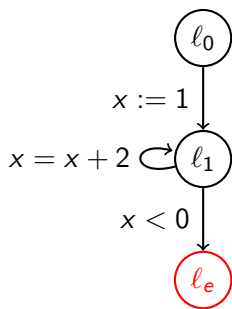
### Exercise 4.4

Prove the above theorem *Hint: First show iterates on both the sides are related*

# Example : abstract fixed point computation

## Example 4.2

Consider program:



Let us use *sign abstraction* to analyze the program

$$D = \{\top, +, -, 0, \perp\}$$

$$X_{l_0}^0 := \alpha(\top), X_{l_1}^0 := \alpha(\perp), X_{l_e}^0 := \alpha(\perp)$$

$$X_{l_0}^0 := \top, X_{l_1}^0 := \perp, X_{l_e}^0 := \perp$$

$$\begin{aligned}
 X_{l_1}^1 &= X_{l_1}^0 \sqcup sp^\#(x' = 1, X_{l_0}^0) \sqcup sp^\#(x' = x + 2, X_{l_1}^0) \\
 &= \perp \sqcup \alpha(sp(x' = 1, \gamma(X_{l_0}^0))) \sqcup \alpha(sp(x' = x + 2, \gamma(X_{l_1}^0))) \\
 &= \alpha(sp(x' = 1, \gamma(\top))) \sqcup \alpha(sp(x' = x + 2, \gamma(\perp))) \\
 &= \alpha(sp(x' = 1, \gamma(\top))) \sqcup \alpha(\perp) \\
 &= \alpha(x = 1) \sqcup \alpha(\perp) = + \sqcup \alpha(\perp) = +
 \end{aligned}$$

$$X_{l_0}^1 := \top, X_{l_1}^1 := +, X_{l_e}^1 := \perp$$

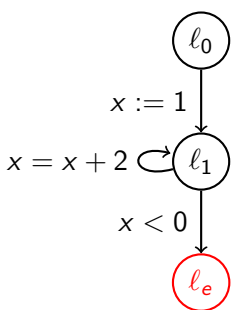
## Exercise 4.5

Calculate  $X_{l_e}^1$



## Example : abstract fixed point computation (contd.)

Consider program:



$$\begin{aligned}
 X_{l_1}^2 &= X_{l_1}^1 \sqcup sp^\#(x' = 1, X_{l_0}^1) \sqcup sp^\#(x' = x + 2, X_{l_1}^1) \\
 &= + \sqcup \alpha(sp(x' = 1, \gamma(X_{l_0}^1))) \sqcup \alpha(sp(x' = x + 2, \gamma(X_{l_1}^1))) \\
 &= + \sqcup \alpha(sp(x' = 1, \gamma(\top))) \sqcup \alpha(sp(x' = x + 2, \gamma(+))) \\
 &= + \sqcup \alpha(sp(x' = 1, \gamma(\top))) \sqcup \alpha(x > 2) \\
 &= + \sqcup \alpha(x = 1) \sqcup \alpha(x > 2) = + \sqcup + \sqcup + = + \\
 X_{l_0}^2 &:= \top, X_{l_1}^2 := +, X_{l_e}^2 := \perp
 \end{aligned}$$

Fixed point reached

Exercise 4.6

Calculate  $X_{l_e}^2$

## Demo - The Interproc Analyzer

<http://pop-art.inrialpes.fr/interproc/interprocweb.cgi>

### Exercise 4.7

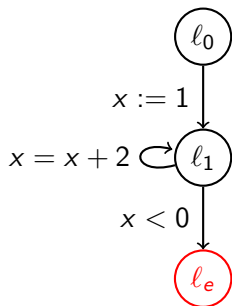
*Run Interproc on the following code*

```
var i:int;  
begin  
  i = 0;  
  while (i<=10) do  
    i = i+2;  
  done;  
end
```

# Example: interval abstraction

## Example 4.3

Consider program:



Let us use interval abstraction:

$$X_{l_0}^0 := \top, X_{l_1}^0 := \perp, X_{l_e}^0 := \perp$$

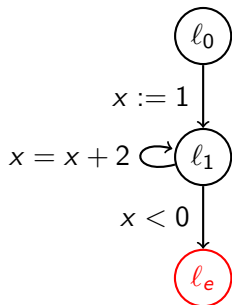
$$\begin{aligned} X_{l_1}^1 &:= X_{l_1}^0 \sqcup sp^\#(x' = 1, X_{l_0}^0) \sqcup sp^\#(x' = x + 2, X_{l_1}^0) \\ &= \perp \sqcup \alpha(sp(x' = 1, \gamma(X_{l_0}^0))) \sqcup \alpha(sp(x' = x + 2, \gamma(X_{l_1}^0))) \\ &= \alpha(sp(x' = 1, \gamma(\top))) \sqcup \perp \\ &= \alpha(sp(x' = 1, \gamma(\top))) = \alpha(x = 1) = [1, 1] \end{aligned}$$

$$X_{l_0}^1 := \top, X_{l_1}^1 := [1, 1], X_{l_e}^1 := \perp$$

# Example: interval abstraction(contd.)

## Example 4.4

Consider program:



$$\begin{aligned} X_{l_1}^2 &= X_{l_1}^1 \sqcup sp^\#(x' = 1, X_{l_0}^1) \sqcup sp^\#(x' = x + 2, X_{l_1}^1) \\ &= [1, 1] \sqcup \alpha(sp(x' = 1, \gamma(\top))) \sqcup \alpha(sp(x' = x + 2, \gamma([1, 1]))) \\ &= [1, 1] \sqcup [3, 3] = [1, 3] \end{aligned}$$

$$X_{l_0}^2 := \top, X_{l_1}^2 := [1, 3], X_{l_e}^2 := \perp$$

$$X_{l_0}^3 := \top, X_{l_1}^3 := [1, 5], X_{l_e}^3 := \perp$$

... the process will go on forever

# Acceleration

Many interesting abstract domains are of infinite size.

Abstraction may only provide **simple calculations**, but not **convergence**.

For convergence we need acceleration using a special operator call **widening**.

If we do too much widening then we may need **narrowing**

# Widening

## Definition 4.5

A **widening**  $\nabla : D \times D \rightarrow D$  on a poset  $(D, \sqsubseteq)$  satisfies

- ▶  $\forall x, y \in D. x \sqsubseteq x \nabla y \wedge y \sqsubseteq x \nabla y$
- ▶ for an increasing chain  $x_0 \sqsubseteq x_1 \dots$ , the increasing chain

$$y^0 \triangleq x^0 \quad y^n \triangleq y^{n-1} \nabla x^n$$

is not strictly increasing.

## Definition 4.6

**widening iterates**  $(I^k, k < n)$  for monotone function  $f$  from  $a \in \text{prefp}(f)$

- ▶  $I^0 \triangleq a$
- ▶  $I^{n+1} \triangleq I^n$  if  $f(I^n) \sqsubseteq I^n$
- ▶  $I^{n+1} \triangleq I^n \nabla f(I^n)$  if  $f(I^n) \not\sqsubseteq I^n$

## Theorem 4.4

There exists  $k \in \mathbb{N}$ ,  $f(I^k) \sqsubseteq I^k$  and  $\text{lfp}_a(f) \sqsubseteq I^k$ .

## Example : widening for interval domain

$$[a, b] \nabla \perp = [a, b]$$

$$\perp \nabla [a, b] = [a, b]$$

$$[a, b] \nabla [a', b'] = [((a' < a)? -\infty : a), ((b' > b)? \infty : b)]$$

$$[2, 3] \nabla [-3, 2] = [-\infty, 3]$$

$$[2, 3] \nabla [4, 6] = [2, \infty]$$

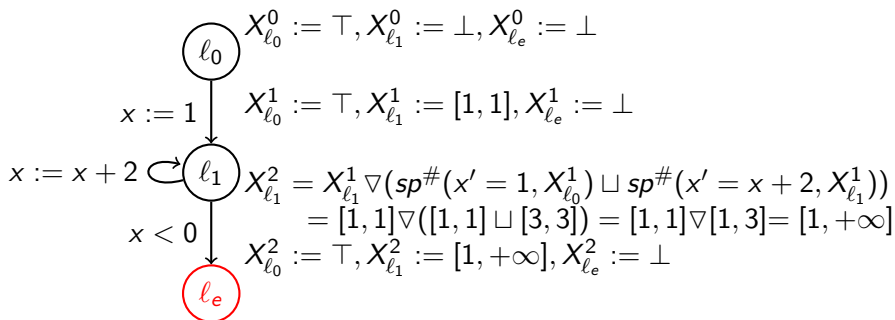
$$[2, 3] \nabla [1, 6] = [-\infty, \infty]$$

### Exercise 4.8

- Show  $\nabla$  for interval domain satisfies the definition of widening
- Show  $\nabla$  is not symmetric and monotone

## Example: widening in action

Consider program:



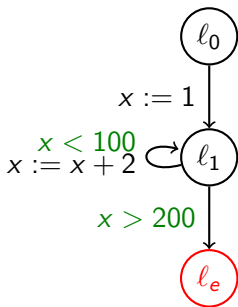


## Example: too much widening

$$X_{l_1}^0 := \perp, X_{l_e}^0 := \perp$$

Now consider:

$$X_{l_1}^1 := [1, 1], X_{l_e}^0 := \perp$$



$$X_{l_1}^2 = [1, 1] \nabla ([1, 1] \sqcup sp^\#(x < 100 \wedge x' = x + 2, X_{l_1}^1)) \\ = [1, 1] \nabla ([1, 1] \sqcup [3, 3]) = [1, +\infty]$$

$$X_{l_1}^2 := [1, +\infty], X_{l_e}^2 := \perp$$

$$X_{l_e}^3 = X_{l_e}^2 \nabla (sp^\#(x > 200 \wedge x' = x, X_{l_1}^2))$$

$$X_{l_e}^3 = \perp \nabla (sp^\#(x > 200 \wedge x' = x, [1, +\infty]))$$

$$X_{l_e}^3 = \perp \nabla [200, +\infty] = [200, +\infty]$$

$$X_{l_1}^2 := [1, +\infty], X_{l_e}^3 = [200, +\infty]$$

... reaching error location

## Narrowing

Unfortunate misnomer!!

Narrowing is not the dual of widening!

### Definition 4.7

A **narrowing**  $\Delta : D \times D \rightarrow D$  on a poset  $(D, \sqsubseteq)$  satisfies

- ▶  $\forall x, y \in D. y \sqsubseteq x \Rightarrow y \sqsubseteq x \Delta y \sqsubseteq x$
- ▶ for an decreasing chain  $\dots x_1 \sqsubseteq x_0$ , the decreasing chain

$$y^0 \triangleq x^0 \quad y^n \triangleq y^{n-1} \Delta x^n$$

is not strictly decreasing.

### Definition 4.8

**narrowing iterates**  $(I^k, k < n)$  for monotone function  $f$  from  $a \in \text{postfp}(f)$

- ▶  $I^0 \triangleq a$
- ▶  $I^{n+1} \triangleq I^n$  if  $f(I^n) = I^n$
- ▶  $I^{n+1} \triangleq I^n \Delta f(I^n)$  if  $I^n \sqsubseteq f(I^n)$

### Theorem 4.5

For all  $x \in X. x = f(x) \sqsubseteq a \Rightarrow \exists k. x \sqsubseteq I^k = I^{k+1} \sqsubseteq a$

## Example: narrowing for interval abstraction

$$\perp \triangle [a, b] = \perp$$

$$[a, b] \triangle [a', b'] = [((a = -\infty)?a' : a), ((b = \infty)?b' : b)] \quad \text{if } [a', b'] \sqsubseteq [a, b]$$

$$[1, 3] \triangle [1, 2] = [1, 3]$$

$$[2, 3] \triangle [4, 6] = (\text{undefined})$$

$$[-\infty, 6] \triangle [1, 3] = [1, 6]$$

### Theorem 4.6

*Show  $\triangle$  for interval abstraction is truly narrowing operator.*

## Using narrowing after widening

Let us suppose we have monotonic  $f : D \rightarrow D$ ,  $a \in \text{prefp}(f)$ , widening  $\nabla$ , and narrowing  $\triangleleft$ .

- ▶ Apply widening iterates to obtain  $b$  such that  $a \sqsubseteq b \in \text{postfp}(f)$
- ▶ Then, apply narrowing iterates to obtain  $c$  such that  $c = f(c) \sqsubseteq b$

### Exercise 4.9

Show  $a \sqsubseteq c$ .

## Example: narrowing interval domain

Result of widening iterates:

$$X_{l_1}^3 := [1, +\infty], X_{l_e}^3 := [200, +\infty]$$

$$X_{l_1}^4 := X_{l_1}^3 \Delta ([1, 1] \sqcup sp^\#(x < 100 \wedge x' = x + 2, X_{l_1}^3))$$

$$= [1, \infty] \Delta ([1, 1] \sqcup sp^\#(x < 100 \wedge x' = x + 2, [1, \infty]))$$

$$= [1, \infty] \Delta ([1, 1] \sqcup [3, 101])$$

$$= [1, \infty] \Delta ([1, 101]) = [1, 101]$$

$$X_{l_e}^4 := X_{l_e}^3 \Delta (sp^\#(x > 200 \wedge x' = x, X_{l_1}^3))$$

$$= [200, +\infty] \Delta (sp^\#(x > 200 \wedge x' = x, [1, +\infty]))$$

$$= [200, +\infty] \Delta [200, +\infty] = [200, +\infty]$$

$$X_{l_1}^4 := [1, 101], X_{l_e}^4 := [200, \infty]$$

$$X_{l_1}^5 := X_{l_1}^4 \Delta ([1, 1] \sqcup sp^\#(x < 100 \wedge x' = x + 2, X_{l_1}^4))$$

$$= [1, 101]$$

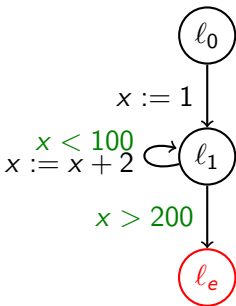
$$X_{l_e}^5 := X_{l_e}^4 \Delta (sp^\#(x > 200 \wedge x' = x, X_{l_1}^4))$$

$$= [200, +\infty] \Delta (sp^\#(x > 200 \wedge x' = x, [1, 101]))$$

$$= [200, +\infty] \Delta \perp = \perp$$

$$X_{l_1}^5 := [1, 101], X_{l_e}^5 := \perp$$

Now consider:



Fixed point reached

# Widening and narrowing policy

We need not apply narrowing/widening of at every iteration or for every variable.

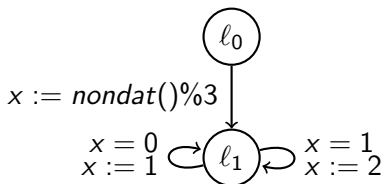
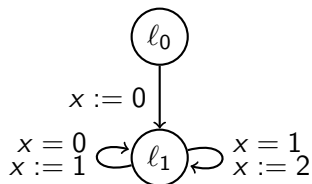
- ▶ use narrowing/widening operators only at cut points
- ▶ use narrowing/widening operators at every  $i$ th iteration

## Exercise : widening chaos

The proposed machinery may have unpredictable behaviors!!

### Exercise 4.10

*Apply widening iterates of interval domain on the following examples*



# Abstract domain

An abstract domain consists of

- ▶ a lattice  $(D, \sqsubseteq, \sqcup, \sqcap)$ ,
- ▶ a abstraction function  $\alpha : C \rightarrow D$  and a concretization function  $\gamma : D \rightarrow C$  such that

$$(D, \sqsubseteq) \xleftrightarrow[\alpha]{\gamma} (C, \subseteq),$$

- ▶ a widening operator  $\nabla : D \times D \rightarrow D$ , and
- ▶ a narrowing operator  $\triangle : D \times D \rightarrow D$ .



## Box domain

If the program has multiple variables then the product of interval domains for each variable is the box domain. All operators naturally extend.

However,  $sp^\#$  can be optimized. Instead of computing  $sp^\#$  in three steps, one may compute it directly using **interval arithmetic**.

### Example 4.5

$$\begin{aligned} sp^\#(x := y + x, ([2, 3]_x, [1, 4]_y)) &= (([2, 3] + [1, 4])_x, [1, 4]_y) \\ &= ([3, 7]_x, [1, 4]_y) \end{aligned}$$

# Polyhedral domain

Let us assume  $V = \{x_1, \dots, x_n\}$ .

$$D = \{AV \leq b \mid A \in \mathbb{Q}^{m \times n} \wedge b \in \mathbb{Q}^{m \times 1}\}$$

$D$  has natural complete lattice structure.

However, there is no canonical representation of polyhedra

We will first discuss the representation to use to implement various operators efficiently.

## Exercise 4.11

*Define a complete lattice over polyhedra*

## Dual representation

### Representation by constraints:

$(A, b)$  where  $A \in \mathbb{Q}^{m \times n}$  and  $b \in \mathbb{Q}^{m \times 1}$  representing

$$\gamma((A, b)) = \{v \mid Av \leq b\}$$

### Representation by generators:

$(Q, R)$  where  $Q = \{v_1, \dots, v_p\}$  is a set of vertices and  $R = \{r_1, \dots, r_m\}$  set of rays in  $\mathbb{Q}^n$ .

$$\gamma((Q, R)) = \left\{ \sum_{i=1}^p \lambda_i v_i + \sum_{j=1}^m \mu_j r_j \mid \forall i \in 1..r. \mu_i \geq 0 \wedge \right.$$

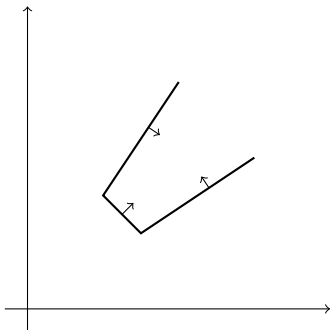
$$\left. \forall i \in 1..p. \lambda_i \geq 0 \wedge \sum_{i=1}^p \lambda_i = 1 \wedge \right\}$$

### Why dual representations?

Some operations are efficient if both the representations are available.

## Example : dual representation

$$2x - 3y \leq 0 \quad -3x + 2y \leq 0 \quad x + y \geq 25$$



$$(A, b) = \left( \begin{bmatrix} 2 & -3 \\ -3 & 2 \\ -1 & -1 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ -25 \end{bmatrix} \right)$$

$$(Q, R) = (\{(15, 10), (10, 15)\}, \{(3, 2), (2, 3)\})$$

## Converting constraints to generators

Chernikova algorithm iteratively computes generators for a polyhedron that is given as  $AV \leq b$ .

- ▶ The algorithm considers inequalities of  $AV \leq b$  sequentially.
- ▶ At  $k$ th iteration, it computes the generators  $(Q_k, R_k)$  for the inequalities seen so far.
- ▶ After considering all inequalities it has generators for  $AV \leq b$ .
- ▶ Initially,  $(Q_0, R_0) := (\{0\}, \{e_1, -e_1, \dots, e_n, -e_n\})$ , which spans whole vector space

## $k$ th iteration of Chernikova algorithm

Let us suppose  $aV \leq c$  is being considered at  $k$ th step. We construct  $(Q_k, R_k)$  as follows.

- ▶ if  $v \in Q_{k-1}$  and  $av \leq c$  then  $v \in Q_k$
- ▶ if  $r \in R_{k-1}$  and  $ra \leq 0$  then  $r \in R_k$
- ▶ if  $v_1, v_2 \in Q_{k-1}$ ,  $av_1 \leq c$ , and  $av_2 > c$  then  $\frac{c-av_1}{av_2-av_1}v_1 + \frac{av_2-c}{av_2-av_1}v_2 \in Q_k$
- ▶ if  $v \in Q_{k-1}$  and  $r \in R_{k-1}$  such that  $av < c$  and  $ar > 0$  or  $av > c$  and  $ar < 0$  then  $v + \frac{c-av}{ar}r \in Q_k$
- ▶ if  $r_1, r_2 \in R_{k-1}$ ,  $ar_1 > 0$ , and  $ar_2 < 0$  then  $(ar_2)r_1 - (ar_1)r_2 \in R_k$

The algorithm generates redundant vertices and rays.

Worst case blow up  $2^m$ , if the number of constraints is  $2m$ . Need to remove redundancies during the construction, e.g., Le. Verge algorithm

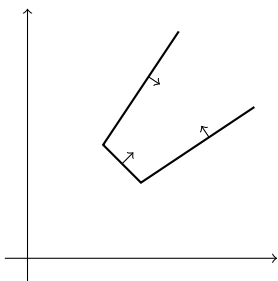
By duality, this algorithm can be used to convert generators into constraints.

### Exercise 4.12

*Give the duality construction*

## Example: chernikova algorithm

$$2x - 3y \leq 0 \quad -3x + 2y \leq 0 \quad x + y \geq 25$$



$$(Q_0, R_0) = (\{(0, 0)\}, \{(1, 0), (-1, 0), (0, 1), (0, -1)\})$$

Consider  $2x - 3y \leq 0$

$$(Q_1, R_1) = (\{(0, 0)\}, \{(3, 2), (-3, -2), (1, 0), (0, -1)\})$$

Consider  $-3x + 2y \leq 0$

$$(Q_2, R_2) = (\{(0, 0)\}, \{(3, 2), (2, 3)\})$$

Consider  $x + y \geq 25$

$$(Q_3, R_3) = (\{(10, 15), (15, 10)\}, \{(3, 2), (2, 3)\})$$

# Minimal representations

A constraint representation  $(A, b)$  is **minimal** if one can not drop a row from  $A$  and  $b$  without changing the corresponding polyhedron  $\gamma((A, b))$

A generator representation  $(Q, R)$  is **minimal** if one can not drop a vertices or ray from  $Q$  and  $R$  without changing the corresponding polyhedron  $\gamma((A, b))$

We assume that the representations are minimal. However it is not strictly needed in implementing various operations.



# Lattice operations in polyhedra

- ▶ is  $\perp = (Q, R)$ : if  $Q$  is empty
- ▶  $(Q, R) \sqsubseteq (A, b) \triangleq \forall v \in Q. Av \leq b \wedge \forall r \in R. Ar \leq 0$
- ▶  $(A_1, b_1) \sqcap (A_2, b_2) \triangleq \left( \begin{bmatrix} A_1 \\ A_2 \end{bmatrix}, \begin{bmatrix} b_1 \\ b_2 \end{bmatrix} \right)$
- ▶  $(Q_1, R_1) \sqcup (Q_2, R_2) \triangleq (Q_1 \cup Q_2, R_1 \cup R_2)$
- ▶  $sp^\#(V' = AV + b, (Q, R)) = (\{Av + b | v \in Q\}, \{Ar | r \in R\})$
- ▶  $sp^\#(A_2 V \leq b_2 \wedge V' = V, (A_1, b_1)) = \left( \begin{bmatrix} A_1 \\ A_2 \end{bmatrix}, \begin{bmatrix} b_1 \\ b_2 \end{bmatrix} \right)$

Both representations are useful for efficient implementations.

## Polyhedral widening

Consider polyhedron  $L = (A^1, b^1)$  and  $M = (A^2, b^2)$ .

If  $L$  is empty then,  $L \nabla M = M$ .

Otherwise,  $L \nabla M = \beta_1 \cup \beta_2$ , where

- ▶  $\beta_1 \triangleq \{aV \leq c \in L \mid aV \leq c \text{ contains } M\}$
- ▶  $\beta_2 \triangleq \{aV \leq c \in M \mid aV \leq c \text{ can replace some inequality in } L \text{ without changing } L \}$

## Example: Polyhedral widening

$$L = \{(x, y) \mid 0 \leq x \wedge x \leq y \wedge y \leq x\}$$

$$M = \{(x, y) \mid 0 \leq x \wedge x \leq y \wedge y \leq x + 1\}$$

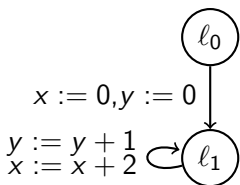
$$L \nabla M = \{(x, y) \mid 0 \leq x \wedge x \leq y\}$$

$$L = \{(x, y) \mid 0 \leq x \wedge x \geq 0 \wedge 0 \leq y \wedge y \geq 0\}$$

$$M = \{(x, y) \mid 0 \leq y \leq x \leq 1\}$$

$$L \nabla M = \{(x, y) \mid 0 \leq y \leq x\}$$

## Example: polyhedral domain



$$X_{l_1}^1 = \{0 \leq x \leq 0, 0 \leq y \leq 0\}$$

$$X_{l_1}^2 = X_{l_1}^1 \nabla (\{0 \leq x \leq 0, 0 \leq y \leq 0\} \sqcup \{1 \leq x \leq 1, 2 \leq y \leq 2\})$$

$$= X_{l_1}^1 \nabla \{0 \leq x \leq 2, x \leq 2y, x \geq 2y\}$$

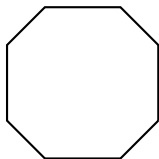
$$= \{0 \leq x, x \leq 2y, x \geq 2y\}$$

... fixed point reached

# Octagon domain

Let us assume  $V = \{x_1, \dots, x_n\}$ .

$O = \{\pm x \pm y \leq c \mid y, x \in V, c \in \mathbb{I}\}$   
where  $\mathbb{I} \in \{\mathbb{Q}, \mathbb{Z}, \mathbb{R}\}$



$D = \{o_1 \wedge \dots \wedge o_k \mid \forall i. o_i \in O\}$

## Domain representation

- ▶ Since a tight ODBM represents  $F \in D$  canonically, we say  $D$  is a set of tight ODBMs (recall lecture 5).
- ▶ Tight ODBMs do not represent unsat formulas therefore we need to add a special element  $\perp$  to represent the least element.

# Lattice operators in Octagonal domain

Let  $A^1$  and  $A^2$  be  $2n \times 2n$  tight ODBMs.

- ▶  $is_{\perp}$ ? due to canonical representation trivial
- ▶  $A^1 \sqsubseteq A^2 \triangleq A^1 \dot{\leq} A^{2*}$
- ▶  $A^1 \sqcap A^2 \triangleq (\min(A^1, A^2))^{\bullet}$
- ▶  $A^1 \sqcup A^2 \triangleq (\max(A^1, A^2))^{\bullet}$
- ▶  $A^1 \nabla A^2 = A^{\bullet}$ , where  $A_{ij} = (A_{ij}^2 > A_{ij}^1 ? \infty : A_{ij}^1)$
- ▶  $sp^{\#}(\rho, A^1) = \alpha((\exists V' F[A^1] \wedge \rho)[V'/V])$

$\rho$  is a polyhedron then the param to  $\alpha$  is also polyhedron.

# Octagonal abstraction of polyhedron

$\alpha((Q, R)) = A$  is defined as follows

- ▶  $A_{(2i)(2i-1)} = (\exists r \in R. r_i > 0 ? \infty : 2 \max\{v_i | v \in V\})$
- ▶  $A_{(2i-1)(2i)} = (\exists r \in R. r_i < 0 ? \infty : -2 \min\{v_i | v \in V\})$
- ▶  $A_{(2i-1)(2j-1)} = A_{(2i)(2j)} = (\exists r \in R. r_i > r_j ? \infty : \max\{v_i - v_j | v \in V\})$
- ▶  $A_{(2i-1)(2j)} = (\exists r \in R. r_i + r_j > 0 ? \infty : -\min\{v_i + v_j | v \in V\})$
- ▶  $A_{(2i)(2j-1)} = (\exists r \in R. 0 > r_i + r_j ? \infty : \max\{v_i + v_j | v \in V\})$
- ▶  $A_{(i)(i)} = 0$



# Topic 4.5

## Problems

# Apply Sign abstraction

## Exercise 4.13

*Apply sign abstraction on the following example?*

```
main (){
  x := 0;
  y := -1;
  while( x < 20 ) {
    if( x < 10 ) {
      y := y - 1;
    }else{
      y := y + 1;
    }
    x = x + 1;
  }
}
```

# Apply Chernikova algorithm

## Exercise 4.14

*Apply Chernikova algorithm on the following polyhedron*

$$\{x - y \leq 0 \wedge x + y \leq 4 \wedge 0 \leq x\}$$

*Show intermediate steps*