

CS310 : Automata Theory 2019

Lecture 2: Deterministic finite automaton (DFA)

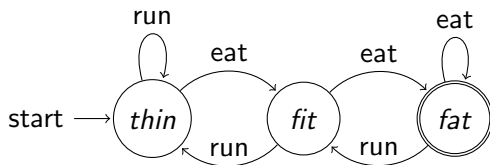
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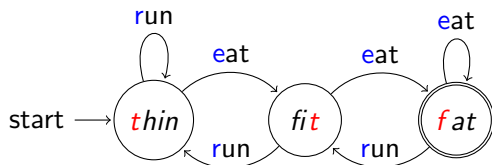
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What we know?

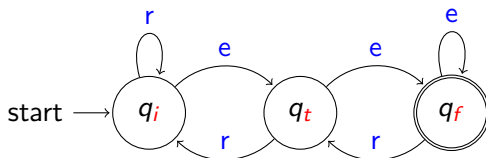
- ▶ Alphabet Σ
- ▶ Words from Σ^*
- ▶ States Q
- ▶ Transitions
- ▶ Accepting states



Better names for our running example



Let us use shorter symbols for our running example.



Topic 2.1

Deterministic finite automaton

At every step exactly
one move possible!

Deterministic finite automaton

Q is finite

Now let us see the formal definition and learn to read math!

Commentary: Mouthful name! It should have been simply called "basic automaton"!

Deterministic finite automaton

Definition 2.1

A deterministic finite automaton (DFA) A is a five-tuple

$$(Q, \Sigma, \delta, q_0, F)$$

where

- ▶ Q is a finite set of states,
- ▶ Σ is a finite set of input symbols,
- ▶ $\delta : Q \times \Sigma \rightarrow Q$ is a function that takes a state and an input symbol as input and returns the next state,
- ▶ $q_0 \in Q$ is the start/initial state, and
- ▶ $F \subseteq Q$ is a set of accepting states.

representing the transition graph

Exercise 2.1

- Can Q be empty?
- Can Σ be empty?
- Can F be empty?

Notation alert: declaring and representing functions

The following notation declares a function f that takes N inputs of various types and returns output of type *OutputType*.

$$f : \textit{Input_1_Type} \times \cdots \times \textit{Input_N_Type} \rightarrow \textit{OutputType}$$

If all types are finite, the functions may be called maps.

Notation alert: maps as tables

A map can be give to us as a table.

Example 2.1

$and : \mathcal{B} \times \mathcal{B} \rightarrow \mathcal{B}$ can be defined as follows.

	and	0	1
0		0	0
1		0	1

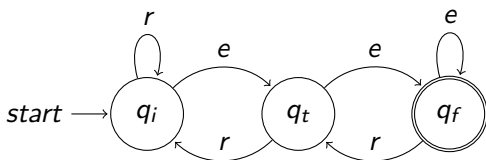
The table is annotated with callout boxes: 'Input 1' points to the top row headers (0, 1), 'Input 2' points to the left column headers (0, 1), and 'Output values' points to the right two columns (0, 1).

We can also write the function in the following notation

- ▶ $and(0, 0) = 0$
- ▶ $and(0, 1) = 0$
- ▶ $and(1, 0) = 0$
- ▶ $and(1, 1) = 1$

Example: deterministic finite automaton

Example 2.2



We write the above automaton according to the formal definition as follows.

$A = (\{q_i, q_t, q_f\}, \{r, e\}, \delta, q_i, \{q_f\})$, where δ is the following table

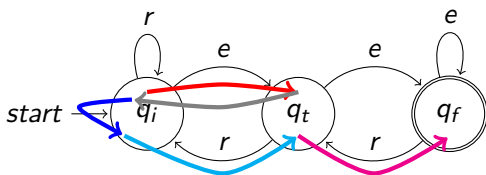
		States		
δ		q_i	q_t	q_f
Inputs	e	q_t	q_f	q_f
	r	q_i	q_i	q_t

Run of automaton

Definition 2.2

Let $A = (Q, \Sigma, \delta, q_0, F)$ be a DFA. A **run** of A on a word $a_1 \dots a_n$ is a sequence of states $q_0 \dots q_n$ such that $q_i = \delta(q_{i-1}, a_i)$ for each $1 \leq i \leq n$.

Example 2.3



Consider word $w = erree$

Run on the word $q_i q_t q_i q_i q_t q_f$

Extending the transition function to words

Definition 2.3

Let $A = (Q, \Sigma, \delta, q_0, F)$ be a DFA. Let $\hat{\delta} : Q \times \Sigma^* \rightarrow Q$ be defined as follows.

$$\hat{\delta}(q, \epsilon) \triangleq q$$
$$\hat{\delta}(q, wa) \triangleq \delta(\hat{\delta}(q, w), a)$$

More general notion than "run" (how?)

Example 2.4

Consider transition function

δ	q_i	q_t	q_f
e	q_t	q_f	q_f
r	q_i	q_i	q_t

$$\begin{aligned}\hat{\delta}(q_t, eer) &= \delta(\hat{\delta}(q_t, ee), r) = \delta(\delta(\hat{\delta}(q_t, e), e), r) = \delta(\delta(\delta(\hat{\delta}(q_t, \epsilon), e), e), r) \\ &= \delta(\delta(\delta(q_t, e), e), r) = \delta(\delta(q_f, e), r) = \delta(q_f, r) = q_t\end{aligned}$$

Exercise 2.2

Give value of the following function applications

▶ $\hat{\delta}(q_f, eer) =$

▶ $\hat{\delta}(q_f, rr) =$

▶ $\hat{\delta}(q_i, eer) =$

▶ $\hat{\delta}(q_i, rree) =$

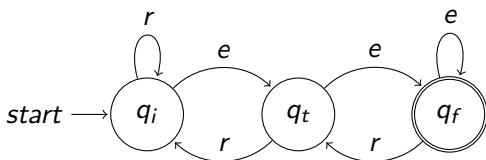
Accepted word

Definition 2.4

A word w is **accepted** by a DFA $A = (Q, \Sigma, \delta, q_0, F)$ if $\hat{\delta}(q_0, w) \in F$.

Example 2.5

Consider the following DFA



Since $\hat{\delta}(q_i, rree) = q_f$, $rree$ is accepted by the above DFA.

Language of a DFA

Definition 2.5

The *language of* a DFA $A = (Q, \Sigma, \delta, q_0, F)$ is the set of words that are accepted by A . We denote the language by $L(A)$. In set notation,

$$L(A) = \{w \mid \hat{\delta}(q_0, w) \in F\}.$$

We also say that A *recognizes language* $L(A)$.

Definition 2.6

A language L is a *regular language* if there is a DFA A such that $L = L(A)$.

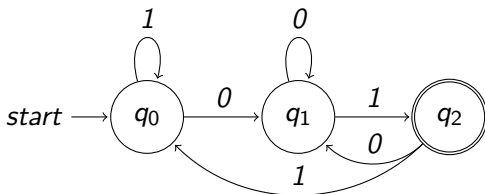
Example: DFA recognizing languages

Example 2.6

Let $L = \{w \mid w \text{ ends with } 01\}$

We choose three states

- ▶ q_0 interpretation “nothing matched yet”
- ▶ q_1 interpretation “recently seen 0”
- ▶ q_2 interpretation “recently seen 01”



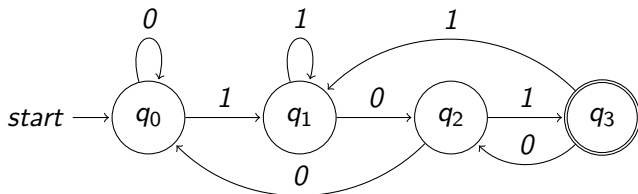
Example: DFA recognizing languages

Example 2.7

Let $L = \{w \mid w \text{ ends with } 101\}$

We choose three states

- ▶ q_0 interpretation “nothing matched yet”
- ▶ q_1 interpretation “recently seen 1”
- ▶ q_2 interpretation “recently seen 10”
- ▶ q_3 interpretation “recently seen 101”



First non-trivial question

Are there languages that are **not** regular?

If yes, how do we recognize they are **regular** or **not**?

To be continued...

End of Lecture 2