

# CS310 : Automata Theory 2019

## Lecture 18: Deterministic PDA

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# Topic 18.1

## PDA to CFG

# PDA to CFG

## Theorem 18.1

Let  $A = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, \{\})$  be a PDA. Then, there is a CFG grammar  $G = (N, \Sigma, P, S)$  such that  $L^\epsilon(A) = L(G)$ .

### Proof.

We construct the grammar  $G = (N, \Sigma, P, S)$  as follows.

Let  $N = \{[qBq'] \mid B \in \Gamma \text{ and } q, q' \in Q\} \cup \{S\}$ .

For each  $(p, Y_1 \dots Y_k) \in \delta(q, a, X)$  and  $r_1, \dots, r_k \in Q$ , we add the following rule

$$[qXr_k] \rightarrow a[pY_1r_1] \dots [r_{k-1}Y_kr_k] \in P$$

We also need initialization rules, for each  $q \in Q$

$$S \rightarrow [q_0Z_0q] \in P.$$

## Exercise 18.1

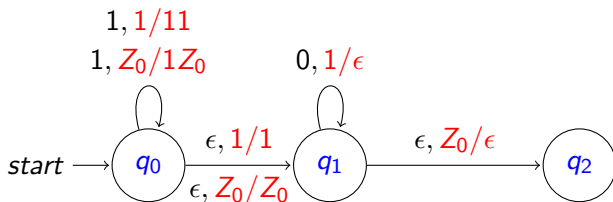
a. How many nonterminals?    b. How many transitions?

...

## Example: PDA to CFG

### Example 18.1

Consider PDA  $A = (\{q_0, q_1, q_2\}, \{0, 1\}, \{Z_0, 1\}, \delta, q_0, Z_0, \{\})$



We construct CFG,  $(N, \Sigma, P, S)$  as follows

$$N = \{S, [q_0 Z_0 q_0], [q_0 Z_0 q_1], [q_0 Z_0 q_2], \dots, [q_2 1 q_0], [q_2 1 q_1], [q_2 1 q_2]\}$$

### Exercise 18.2

What is the size of  $N$ ?

## Example : PDA to CFG (contd.)

Due to transition  $(q_0, 1Z_0) \in \delta(q_0, 1, Z_0)$ ,  $P$  contains

- ▶  $[q_0Z_0q_1] \rightarrow 1[q_01q_2][q_2Z_0q_1]$
- ▶  $[q_0Z_0q_0] \rightarrow 1[q_01q_0][q_0Z_0q_0]$
- ▶ ... How many more?

Due to transition  $(q_1, \epsilon) \in \delta(q_1, 0, 1)$ ,  $P$  contains

- ▶  $[q_11q_1] \rightarrow 0$
- ▶ ... How many more?

$P$  also contains the following start transitions

- ▶  $S \rightarrow [q_0Z_0q_2]$
- ▶ ... How many more?

## Exercise 18.3

Give rules in  $P$  due to transition  $(q_1, 1) \in \delta(q_0, \epsilon, 1)$

## PDA to CFG II

Proof(contd.).

We only<sub>(why?)</sub> need to prove

$$[qXp] \xRightarrow{*} w \text{ iff } (q, w, X) \vdash^*(p, \epsilon, \epsilon).$$

( $\Leftarrow$ )

Let us suppose  $(q, w, X) \vdash^*(p, \epsilon, \epsilon)$ .

We show  $[qXp] \xRightarrow{*} w$  by induction on the number of moves of  $A$ .

**base case:**

Single step. Then  $(p, \epsilon) \in \delta(q, w, X)$ , where  $w \in \Sigma \cup \{\epsilon\}$ .

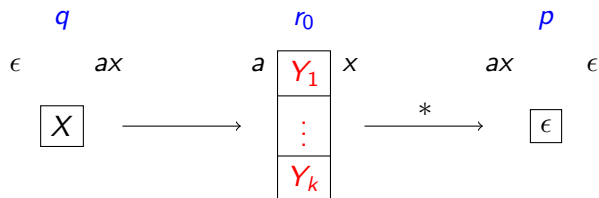
By the construction of  $G$ ,  $[qXp] \rightarrow w \in P$ . Therefore,  $[qXp] \xRightarrow{*} w$ . ...

# PDA to CFG III

Proof(contd.).

**induction step:**

Let  $w = ax$ , where  $a \in \Sigma \cup \{\epsilon\}$ . Suppose,



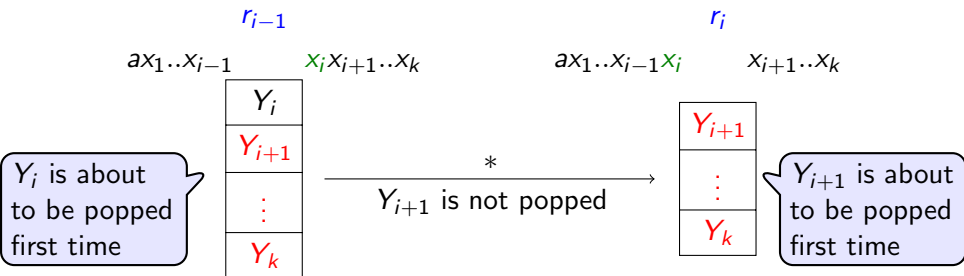
$Y_i$  has to be **eventually** popped. (why?)

...

# PDA to CFG IV

Proof(contd.).

Let  $x = ax_1x_2\dots x_k$  and  $r_k = p$  such that



Therefore, we have  $(r_{i-1}, x_i, Y_i) \xrightarrow{*} (r_i, \epsilon, \epsilon)$  in less than  $n$  steps. (why?)

Due to induction hypothesis,  $[r_{i-1} Y_i r_i] \xrightarrow{*} x_i$ . ...



## PDA to CFG V

Proof(contd.).

Due to the properties of derivations

$$ax_1..x_{j-1}[r_{j-1}Y_jr_j][r_jY_{j+1}r_{j+1}]..[r_{k-1}Y_kr_k] \xRightarrow{*} ax_1..x_j[r_jY_{j+1}r_{j+1}]..[r_{k-1}Y_kr_k]$$

Due to the construction of the grammar, we must have

$$[qXp] \Rightarrow a[r_0Y_1r_1]..[r_{k-1}Y_kr_k].$$

By stitching the above derivations, we obtain

$$[qXp] \xRightarrow{*} w$$

( $\Rightarrow$ )

Since the above proof is fully reversible, we only need to play it backwards. □

## Topic 18.2

### Deterministic PDA

# Deterministic PDA (DPDA)

## Definition 18.1

A PDA  $A = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$  is *deterministic* if for each  $q \in Q$  and  $X \in \Gamma$

- ▶  $|\delta(q, a, X)| \leq 1$  for each  $a \in \Sigma \cup \{\epsilon\}$
- ▶ if  $|\delta(q, a, X)| = 1$  for some  $a \in \Sigma$ , then  $|\delta(q, \epsilon, X)| = 0$

*If there is spontaneous move,  
there is no other move*

# Power of DPDA

DPDA are distinctly less powerful than PDAs.

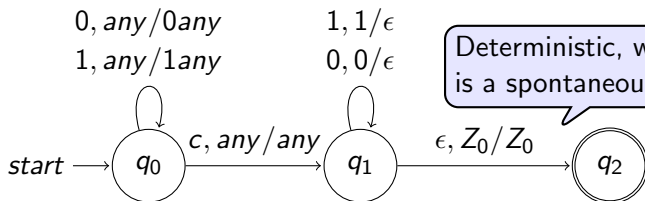
Formal proof is beyond the scope of this course!

## Example 18.2

The following language can not be recognized by DPDA.

$$L_{wwr} = \{ww^R \mid w \in \{0,1\}^*\}$$

However  $\{wcw^R \mid w \in \{0,1\}^*\}$  can be recognized by the following DPDA.



Deterministic, while there is a spontaneous transition

## DPDA and regular languages

DPDA recognized some languages that are not regular (the previous example).

### Exercise 18.4

*Show that if  $L$  is a regular language, then  $L = L(P)$  for some DPDA  $P$ .*

### Exercise 18.5

*Give a (regular) language  $L$  such that no DPDA recognizes it by empty stack?*

## Prefix property

### Definition 18.2

A language  $L$  has *prefix property* if there are no two  $x, y \in L$  such that  $x$  is a prefix of  $y$ .

### Example 18.3

- ▶  $\{wcw^R \mid w \in \{0, 1\}^*\}$  has prefix property
- ▶  $0^*$  does not have prefix property

### Exercise 18.6

Do the following languages have prefix property?

- ▶  $\{101, 1001\}$
- ▶  $\{101, 1011\}$
- ▶  $\{ww^R \mid w \in \{0, 1\}^*\}$
- ▶  $\epsilon \in L$  and  $|L| > 1$

## Prefix property and empty stack recognition

### Theorem 18.2

A language  $L$  is  $L^\epsilon(P)$  for some DPDA  $P$  iff  $L$  has the prefix property and  $L = L(P')$  for some DPDA  $P'$ .

Proof.

( $\Rightarrow$ )

Let distinct  $x, y \in L$  such that  $x$  is prefix of  $y$ .

$P$  gets stuck after  $x$ . no way to accept  $y$ . **Contradiction.**

The usual construction for “by final state” automaton preserves determinism.

( $\Leftarrow$ )

There must be no **useful** outgoing transitions from accepting states.

Therefore, we can delete them if there are any.

Now we can use **the usual construction** to obtain “by empty stack” DPDA  $P$  from  $P'$ . □

# Unambiguous grammar and DPDA

We can prove that the languages recognized by DPDA are not inherently ambiguous.

However, the reverse does not hold.

## Example 18.4

*The following is an unambiguous grammar for  $L_{wwr}$ , which cannot be recognized by a DPDA.*

$$S \rightarrow 0S0 \mid 1S1 \mid \epsilon$$



# Unambiguous grammars for DPDA

## Theorem 18.3

If language  $L = L^\epsilon(P)$  for some DPDA  $P$ , then  $L$  has an unambiguous grammar.

## Proof.

Due to theorem 18.1, we construct a grammar that  $G$  such that  $L(G) = L$ .

**claim:**  $G$  is unambiguous

Consider  $w \in L$ .

There is exactly one run of  $P$  on  $w$ .

Therefore, at each step at least one of the production rules can be applied on the leftmost symbol.<sup>(why?)</sup>

At some step, let it be a rule due to the transition  $\delta(q, a, X) = \{(r, Y_1 \dots Y_k)\}$ .

There may be many production rules generated due the above transition.

Since there is a unique run, therefore  $Y_i$  must be popped at unique stater <sub>$i$</sub> .

Therefore, exactly one of the production rule will lead to acceptance.  $\square$

# Unambiguous grammars for DPDA

## Theorem 18.4

*If language  $L = L(P)$  for some DPDA  $P$ , then  $L$  has an unambiguous grammar.*

### Proof.

Let  $\$$  be a fresh symbol with respect to  $L$ .

Consider language  $L\$$ .

$L\$$  has **prefix property**.<sup>(why?)</sup>

$L\$ = L(P')$  for some DPDA  $P'$ .<sup>(why?)</sup>

Due to theorem 18.2, there exists DPFA  $P''$  such that  $L\$ = L^\epsilon(P'')$ .

Due to theorem 18.3, there is an unambiguous grammar  $G'$  such that  $L(G') = L\$$ .

We obtain  $G$  by turning  $\$$  into nonterminal and adding a production rule  $\$ \rightarrow \epsilon$  in  $G'$ . Clearly,  $L(G) = L$ .

...

# Unambiguous grammars for DPDA

## Proof.

Any leftmost derivation in  $G$ ,  $\$ \rightarrow \epsilon$  would be applied at the end.

All other derivations in the run are same as  $G'$ .

Therefore, there can be no choices in the middle of the derivation of  $G$ .

There is exactly one derivation for  $\$$ .

$G$  is unambiguous. □

End of Lecture 18