

# CS615: Formal Specification and Verification of Programs 2019

## Lecture 6: Maps

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# Topic 6.1

## Maps

# Morphisms

## Definition 6.1

For sets  $X$  and  $Y$ ,  $f : X \rightarrow Y$  is a *morphism* relative to functions  $g : X \rightarrow X$  and  $g' : Y \rightarrow Y$  if

$$\forall x. f(g(x)) = g'(f(x))$$

A morphism may be defined relative to relations

## Definition 6.2

For sets  $X$  and  $Y$ ,  $f : X \rightarrow Y$  is a *morphism* relative to relations  $R : X \times X$  and  $R' : Y \times Y$  such that

$$\forall x, y. (x, y) \in R \Rightarrow (f(x), f(y)) \in R'$$

# Example: morphism

## Example 6.1

Consider sets  $X = \{x, y, z\}$  and  $Y = \{a, b\}$

Let  $R = \{(x, y), (x, z)\}$  and  $R' = \{(a, b)\}$

The following is a morphism relative to  $R$  and  $R'$ .

$$f = \{x \rightarrow a, y \rightarrow b, z \rightarrow b\}$$

## Exercise 6.1

Is the following a morphism relative to  $R^{-1}$  and  $R'$ ?

- ▶  $f = \{x \rightarrow a, y \rightarrow a, z \rightarrow b\}$
- ▶  $f = \{x \rightarrow a, y \rightarrow a, z \rightarrow a\}$
- ▶  $f = \{x \rightarrow b, y \rightarrow a, z \rightarrow a\}$

## Two posets

We will be handling two posets. We need two notations.

One of them will be written as usual.

$$(Y, \sqsubseteq, \sqcup, \sqcap)$$

And the other will be written as follows.

$$(X, \leq, \vee, \wedge)$$

# Monotone maps

## Definition 6.3

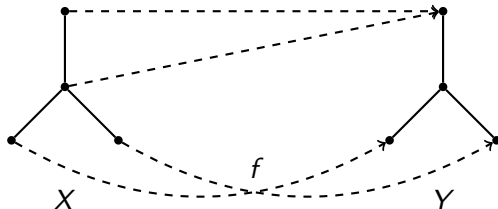
For posets  $(X, \leq)$  and  $(Y, \sqsubseteq)$ ,  $f : X \rightarrow Y$  is a **monotone map** if

$$\forall x, y \in X. x \leq y \Rightarrow f(x) \sqsubseteq f(y)$$

$f$  is a morphism relative to  $\leq$  and  $\sqsubseteq$ .

## Example 6.2

The following are posets and a monotonic map between them (dashed lines).



# Join preserving

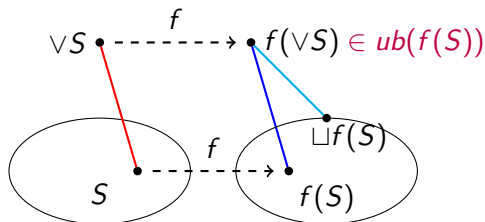
## Theorem 6.1

For posets  $(X, \leq)$  and  $(Y, \sqsubseteq)$ , let  $f : X \rightarrow Y$  be monotone map.  
For each  $S \subseteq X$ , if  $\vee S$  and  $\sqcup f(S)$  exist, then

$$\sqcup f(S) \sqsubseteq f(\vee S)$$

## Proof.

1. By def., all in  $S \leq \vee S$ .
2. Due to 1 and  $f$  is monotone map, all in  $f(S) \sqsubseteq f(\vee S)$ .
3. Therefore,  $f(\vee S) \in \text{ub}(f(S))$ .
4. Therefore,  $\sqcup f(S) \sqsubseteq f(\vee S)$ .



□

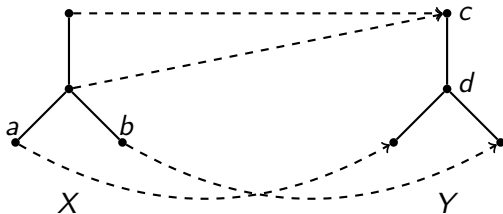
## Exercise 6.2

Give a counterexample to show that the reverse of above theorem is not true?

## Example: Join

### Example 6.3

*The following are posets and a monotonic map between them (dashed lines).*



Consider  $S = \{a, b\}$ .

$$f(\vee S) = c$$

$$\sqcup f(S) = d$$

Clearly,  $\sqcup f(S) \sqsubseteq f(\vee S)$



## Exercise: reverse

### Exercise 6.3

For join-semi lattices  $(X, \leq, \vee)$  and  $(Y, \sqsubseteq, \sqcup)$ , a function  $f : X \rightarrow Y$  is monotone map if the following holds.

For each  $S \subseteq X$ , if  $\vee S$  and  $\sqcup f(S)$  exist, then

$$\sqcup f(S) \sqsubseteq f(\vee S)$$

# Order embedding

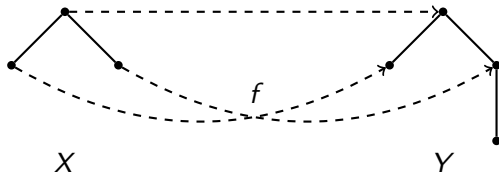
## Definition 6.4

For posets  $(X, \leq)$  and  $(Y, \sqsubseteq)$ ,  $f : X \rightarrow Y$  is an *order embedding* if

$$\forall x, y \in X. x \leq y \Leftrightarrow f(x) \sqsubseteq f(y)$$

## Example 6.4

$X$  and  $Y$  are posets and dash-lines are an order embedding.



## Theorem 6.2

For posets  $(X, \leq)$  and  $(Y, \sqsubseteq)$ , let  $f : X \rightarrow Y$  be an order embedding, then  $f$  is injective.

## Exercise 6.4

## Prove 6.2

# Order isomorphism

## Definition 6.5

For posets  $(X, \leq)$  and  $(Y, \sqsubseteq)$ ,  
 $f : X \rightarrow Y$  is an *order isomorphism* if  $f$  is order embedding and onto.

## Definition 6.6

$(X, \leq)$  and  $(Y, \sqsubseteq)$  are *order isomorphic* if there is an order isomorphism between them.

# Characterize order isomorphism

## Theorem 6.3

*Posets  $(X, \leq)$  and  $(Y, \sqsubseteq)$  are order-isomorphic iff there exists  $f : X \rightarrow Y$  and  $g : Y \rightarrow X$  such that*

- ▶  $f \circ g = \Delta_Y$ ,
- ▶  $g \circ f = \Delta_X$ , and
- ▶  $f$  and  $g$  are monotone.

## Exercise 6.5

*Prove theorem 6.3*

# Continuous maps

## Definition 6.7

For posets  $(X, \leq)$  and  $(Y, \sqsubseteq)$ ,  $f : X \rightarrow Y$  is *continuous (upper-continuous)* if for all *chains*  $C \subseteq X$  such that  $\vee C$  exists then  $\sqcup f(C)$  exists and

$$f(\vee C) = \sqcup f(C)$$

Symmetrically, lower-continuous is defined.

## Theorem 6.4

*Continuous maps are monotonic*

## Exercise 6.6

Show if  $x \sqsubseteq y$  and  $f$  is continuous then  $f(x) = f(x) \sqcup f(y)$

## Exercise 6.7

Give an example where a monotone map is not continuous.

## Exercise: monotone and ACC $\Rightarrow$ continuous

### Exercise 6.8

*Let poset  $(X, \leq)$  satisfies ascending chain condition(ACC). Let  $(Y, \sqsubseteq)$  be a post. Let  $f : X \rightarrow Y$  be a monotone function. Prove  $f$  is continuous.*

## Topic 6.2

### Closure operators

# Closure operators

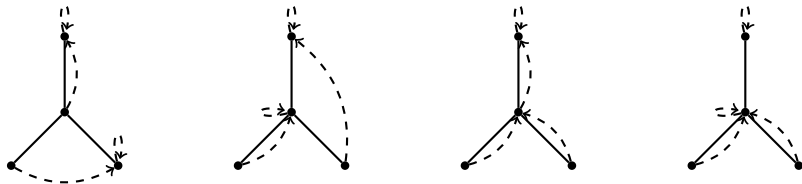
## Definition 6.8

On a poset  $(X, \leq)$ ,  $f$  is an **upper closure operator** if for all  $x, y \in X$

- ▶  $x \leq f(x)$  (extensive)
- ▶  $x \leq y \Rightarrow f(x) \leq f(y)$ , and (monotone)
- ▶  $f(f(x)) = f(x)$ . (idempotent)

## Example 6.5

Consider the following posets and functions (dashed edges).  
Are the functions upper closure operator?





# Characterize upper-closure operators

## Theorem 6.5

$f$  is upper closure operator iff  $x \leq f(y) \Leftrightarrow f(x) \leq f(y)$

### Proof.

Assume  $f$  is upper closure

**claim:**  $x \leq f(y) \Rightarrow f(x) \leq f(y)$

1. Consider  $x \leq f(y)$
2. Since  $f$  is monotone,  $f(x) \leq f(f(y))$
3. Since  $f$  is idempotent,  $f(x) \leq f(y)$

**claim:**  $f(x) \leq f(y) \Rightarrow x \leq f(y)$

1.  $f(x) \leq f(y)$
2.  $x \leq f(x) \leq f(y)$
3.  $x \leq f(y)$

Forward direction is proven.

...

# Characterize upper-closure operators II

## Proof (contd.)

Assume  $x \leq f(y) \Leftrightarrow f(x) \leq f(y)$

We need to prove that  $f$  is extensive, monotone, and idempotent.

**claim:**  $f$  is extensive

1.  $f(x) \leq f(x)$  always holds
2. Therefore,  $f \leq f(x)$

**claim:**  $f$  is monotone

1. consider  $x \leq y$
2. Use previous result,  $x \leq y \leq f(y)$
3. Therefore,  $x \leq f(y)$
4. Apply the assumption,  $f(x) \leq f(y)$

...

# Characterize upper-closure operators II

## Proof (contd.)

Recall assumption  $x \leq f(y) \Leftrightarrow f(x) \leq f(y)$

**claim:**  $f$  is idempotent

1. Clearly,  $f(x) \leq f(x)$
2. Apply forward of the assumption,  $f(f(x)) \leq f(x)$
3. Again clearly,  $f(f(x)) \leq f(f(x))$
4. Apply backward of the assumption,  $f(x) \leq f(f(x))$
5. Due to 2 and 4,  $f(x) = f(f(x))$



## Exercise 6.9

*Use Z3 to prove the above theorem.*

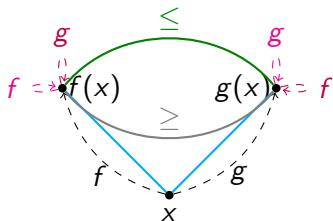
# Fixed points of closure operators

## Theorem 6.6

*An upper closure operator is uniquely defined by its fixed points*

### Proof.

Let  $f$  and  $g$  be two upper closure operators that have same fixed points. But, differ at point  $x$ , i.e.,  $f(x) \neq g(x)$ .



due to idempotence of  $f$  and  $g$

due to shared fixed points

due to extensive property

due to monotone  $g$

due to monotone  $f$

Contradiction:  $f(x) = g(x)$



## Exercise 6.10

a. Show  $f(x) \sqcup f(y)$  is a fixed point

b. Show image of complete lattice by closure operator is complete lattice

End of Lecture 6