# CS228 Logic for Computer Science 2022

### Lecture 13: First-order logic

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## Topic 13.1

## First-order logic (FOL) syntax



First-order logic(FOL)



Consider argument: Humans are mortal. Socrates is a human. Therefore, Socrates is mortal.

In symbolic form,  $\forall x.(H(x) \Rightarrow M(x)) \land H(s) \Rightarrow M(s)$ 

- H(x) = x is a human
- M(x) = x is mortal
- ▶ s = Socrates

The FOL syntax may appear non-intuitive and cumbersome.

FOL requires getting used to it like many other concepts such as complex numbers.



An FOL consists of three disjoint kinds of symbols

- variables
- logical connectives
- non-logical symbols : function and predicate symbols

### Variables

We assume that there is a set Vars of variables, which is countably infinite in size.

Since Vars is countable, we assume that variables are indexed.

Vars = 
$$\{x_1, x_2, ..., \}$$

The variables are just names/symbols without any inherent meaning

• We may also sometimes use x, y, z to denote the variables

Now forget all the definitions of the propositional logic. We will redefine everything and the new definitions will subsume the PL definitions.



## Logical connectives

The following are a finite set of symbols that are called logical connectives.

formal name	symbol	read as		
true	Т	top		
false	$\perp$	bot	f 0-ary	
negation	_	not	} unary	
conjunction	$\wedge$	and	Ĵ	
disjunction	$\vee$	or		
implication	$\Rightarrow$	implies	> binary	
exclusive or	$\oplus$	xor		
equivalence	$\Leftrightarrow$	iff	J	
equality	=	equals	<pre>binary predicate</pre>	
existential quantifier	Ξ	there is	)	
universal quantifier	$\forall$	for each	f quantiliers	
open parenthesis	(		Ĵ	
close parenthesis	)		<pre>&gt; punctuation</pre>	
comma	,		J	

## Non-logical symbols

FOL is a parameterized logic

The parameter is a signature  $\mathbf{S} = (\mathbf{F}, \mathbf{R})$ , where

- **F** is a set of function symbols and
- **R** is a set of predicate symbols (aka relational symbols).

Each symbol is associated with an arity  $\geq$  0.

We write  $f/n \in \mathbf{F}$  and  $P/k \in \mathbf{R}$  to explicitly state the arity

Example 13.2

We may have  $\mathbf{F}=\{c/0,f/1,g/2\}$  and  $\mathbf{R}=\{P/0,H/2,M/1\}.$ 

Example 13.3

We may have  $\textbf{F}=\{+/2,-/2\}$  and  $\textbf{R}=\{</2\}.$ 

Commentary: We are familiar with predicates, which are the things that are either true or false. However, the functions are the truly novel concept.

## Non-logical symbols (contd.)

 ${\bf F}$  and  ${\bf R}$  may either be finite or infinite.

Each **S** defines an FOL. We say, consider an FOL with signature  $\mathbf{S} = (\mathbf{F}, \mathbf{R}) \dots$ 

We may not mention  ${f S}$  if from the context the signature is clear. Example 13.4

In the propositional logic,  ${\bf F}=\emptyset$  and

$$\mathbf{R} = \{p_1/0, p_2/0, \dots\}.$$



### Constants and Propositional variable

There are special cases when the arity is zero.

 $f/0 \in \mathbf{F}$  is called a constant.

 $P/0 \in \mathbf{R}$  is called a propositional variable.



Let us use the ingredients to build the FOL formulas.

It will take a few steps to get there.

- terms
- atoms
- formulas

### Syntax : terms

Definition 13.1 For signature S = (F, R), S-terms  $T_S$  are given by the following grammar:

$$t ::= x \mid f(\underbrace{t, \ldots, t}_{n}),$$

where  $x \in Vars$  and  $f/n \in \mathbf{F}$ .

#### Example 13.5

Consider  $\mathbf{F} = \{c/0, f/1, g/2\}$ . Let  $x_i s$  be variables. The following are terms.

$$\blacktriangleright$$
  $f(x_1)$ 

• 
$$g(f(c), g(x_2, x_1))$$

x<sub>1</sub> {You may be noticing some similarities between variables and constants

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**Commentary:** Terms are defined using grammar notation. If unfamiliar with the notation Please look https://en.wikipedia.org/wiki/Formal\_grammar

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We may write some functions and predicates in infix notation.

#### Example 13.6

we may write +(a, b) as a + b and similarly < (a, b) as a < b.



### Syntax: atoms

#### Definition 13.2 **S**-atoms A<sub>S</sub> are given by the following grammar:

$$a ::= P(\underbrace{t,\ldots,t}_{n}) \mid t = t \mid \bot \mid \top,$$

where  $P/n \in \mathbf{R}$ .

Exercise 13.1

Consider  $\mathbf{F} = \{s/0\}$  and  $\mathbf{R} = \{H/1, M/1\}$ . Which of the following are atom?

$$H(x) \qquad M(s) \\ S \qquad H(M(s))$$

Commentary: Remember:	you ca	n nest terms	but not atoms.
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## Equality within logic vs. equality outside logic

- We have an equality = within logic and the other when we use to talk about logic.
- Both are distinct objects.
- Some notations use same symbols for both and the others do not to avoid confusion.
- Whatever is the case, we must be very clear about this.



## Syntax: formulas

 $\begin{array}{l} \text{Definition 13.3} \\ \textbf{S}\text{-formulas } \mathsf{P}_{\textbf{S}} \text{ are given by the following grammar:} \end{array}$ 

 $F ::= a | \neg F | (F \land F) | (F \lor F) | (F \Rightarrow F) | (F \Leftrightarrow F) | (F \oplus F) | \forall x.(F) | \exists x.(F)$ 

where  $x \in Vars$ .

Example 13.7

Consider  $\mathbf{F} = \{s/0\}$  and  $\mathbf{R} = \{H/1, M/1\}$ 

The following is a  $(\mathbf{F}, \mathbf{R})$ -formula:

$$\forall x.(H(x) \Rightarrow M(x)) \land H(s) \Rightarrow M(s)$$

Commentary: Notice we have dropped some parentheses. We will not discuss the minimal parentheses issue at length

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## Unique parsing

#### For FOL we will ignore the issue of unique parsing,

and assume

#### all the necessary precedence and associativity orders are defined

for ensuring human readability and unique parsing.



### Precedence order

We will use the following precedence order in writing the FOL formulas



#### Example 13.8

The following are the interpretation of the formulas after dropping parenthesis

$$\forall x.H(x) \Rightarrow M(x) = \forall x.(H(x)) \Rightarrow M(x)$$

 $\blacktriangleright \exists z \forall x. \exists y. G(x, y, z) = \exists z. (\forall x. (\exists y. G(x, y, z)))$ 

## Topic 13.2

### FOL - semantics



### Semantics : models

Definition 13.4 For signature S = (F, R), a S-model m is a

$$(D_m; \{f_m : D_m^n \to D_m | f/n \in \mathbf{F}\}, \{P_m \subseteq D_m^n | P/n \in \mathbf{R}\}),\$$

where  $D_m$  is a nonempty set. Let S-Mods denotes the set of all S-models.

Some terminology

- $\triangleright$   $D_m$  is called domain of m.
- $f_m$  assigns meaning to f under model m.
- Similarly,  $P_m$  assigns meaning to P under model m.

Commentary: Models are also known as interpretations/structures.



### Example: model

### Example 13.9

Consider  $\mathbf{S} = (\{c/0, f/1, g/2\}, \{H/1, M/2\}).$ 

Let us suppose our model m has domain  $D_m = \{\bullet, \bullet, \bullet\}$ .

We need to assign value to each function.



$$c_m = \bullet$$

$$f_m = \{\bullet \mapsto \bullet, \bullet \mapsto \bullet, \bullet \mapsto \bullet\}$$

$$g_m = \{(\bullet, \bullet) \mapsto \bullet, (\bullet, \bullet) \mapsto \bullet\}$$

We also need to assign values to each predicate.

$$H_m = \{\bullet, \bullet\} \qquad M_m = \{(\bullet, \bullet), (\bullet, \bullet)\}$$

#### Exercise 13.2

a. How many models are there for the signature with the above domain?

b. Suppose  $P/0 \in \mathbf{R}$ , give a value to  $P_m$ .

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**Commentary:** Ideally, we should write  $H_m = \{(\bullet), (\bullet)\}$  but we conventionally drop (..) for singleton tuples.

### Semantics: assignments

Recall, We also have variables. Who will assign to the variables?

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Definition 13.5
An assignment is a map \nu : Vars \rightarrow D_m
```

#### Example 13.10

In our running example the domain is  $\mathbb{N}$ . We may have the following assignment.

$$\nu = \{ x \mapsto 2, y \mapsto 3, .... \}$$

**Commentary:**  $\nu$  is a function. It needs to map each variable. However, we only care about the mappings for variables that are relevant to our context. Therefore, in our slides we write mapping for only those variables that are important. For others, we assume there is some mapping.

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### Semantics: term value

#### Definition 13.6

For a model m and assignment  $\nu$ , we define  $m^{\nu}$  :  $T_{S} \rightarrow D_{m}$  as follows.

$$m^{
u}(x) \triangleq 
u(x)$$
  $x \in Vars$   
 $m^{
u}(f(t_1, \dots, t_n)) \triangleq f_m(m^{
u}(t_1), \dots, m^{
u}(t_n))$ 

#### Example 13.11

Consider 
$$\boldsymbol{\mathsf{S}}=(\{s/1,+/2\},\{\})$$
 and term  $s(x)+y$ 

Consider model  $m = (\mathbb{N}; succ, +^{\mathbb{N}})$  and assignment  $\nu = \{x \mapsto 3, y \mapsto 2\}$ 

$$m^{\nu}(s(x) + y) = m^{\nu}(s(x)) + {}^{\mathbb{N}} m^{\nu}(y) = succ(m^{\nu}(x)) + {}^{\mathbb{N}} 2 = succ(3) + {}^{\mathbb{N}} 2 = 6$$



### Semantics: satisfaction relation

#### Definition 13.7

We define the satisfaction relation  $\models$  among models, assignments, and formulas as follows

if  $m^{\nu}(t_1) = m^{\nu}(t_2)$ 

if  $m, \nu \not\models F$ 

- ▶  $m, \nu \models \top$
- $\blacktriangleright m,\nu \models P(t_1,\ldots,t_n) \quad if \quad (m^{\nu}(t_1),\ldots,m^{\nu}(t_n)) \in P_m$
- $\blacktriangleright m, \nu \models t_1 = t_2$
- $m, \nu \models \neg F$
- $\blacktriangleright m, \nu \models F_1 \lor F_2$
- ▶  $m, \nu \models \exists x.(F)$
- $m, \nu \models \forall x.(F)$

- if  $m, \nu \models F_1$  or  $m, \nu \models F_2$ skipping other propositional connectives
- $\textit{if} \quad \textit{there is } u \in D_m: m, \nu[x \mapsto u] \models F$
- $\textit{if} \quad \textit{for each } u \in D_m: m, \nu[x \mapsto u] \models F$

## Example: satisfiability

#### Example 13.12

Consider  $S = (\{s/1, +/2\}, \{\})$  and formula  $\exists z.s(x) + y = s(z)$ 

Consider model  $m = (\mathbb{N}; succ, +^{\mathbb{N}})$  and assignment  $\nu = \{x \mapsto 3, y \mapsto 2\}$ 

We have seen  $m^{\nu}(s(x) + y) = 6$ .

$$m^{
u[z\mapsto 5]}(s(x)+y)=m^
u(s(x)+y)=6.$$
 //Since z does not occur in the term
 $m^{
u[z\mapsto 5]}(s(z))=6$ 

Therefore,  $m, \nu[z \mapsto 5] \models s(x) + y = s(z)$ .

 $m, \nu \models \exists z.s(x) + y = s(z).$ 

## Satisfiable, true, valid, and unsatisfiable

We say

- F is satisfiable if there are m and  $\nu$  such that  $m, \nu \models F$
- Otherwise, F is called unsatisfiable (written  $\not\models$  F)
- F is true in  $m (m \models F)$  if for all  $\nu$  we have  $m, \nu \models F$
- *F* is *valid* ( $\models$  *F*) if for all  $\nu$  and *m* we have *m*,  $\nu \models$  *F*

### Exercise: model

Consider  $\mathbf{S} = (\{c/0, f/1\}, \{H/1, M/2\})$ . Let us suppose model *m* has  $D_m = \{\bullet, \bullet, \bullet\}$  and the values of the symbols in *m* are

Exercise 13.3 Which of the following hold?

## Extended satisfiability (repeat from propositional logic)

We extend the usage of  $\models$ . Let  $\Sigma$  be a (possibly infinite) set of formulas.

Definition 13.8  $m, \nu \models \Sigma$  if  $m, \nu \models F$  for each  $F \in \Sigma$ .

Definition 13.9

 $\Sigma \models F$  if for each model m and assignment  $\nu$  if  $m, \nu \models \Sigma$  then  $m, \nu \models F$ .  $\Sigma \models F$  is read  $\Sigma$  implies F. If  $\{G\} \models F$  then we may write  $G \models F$ .

Definition 13.10 Let  $F \equiv G$  if  $G \models F$  and  $F \models G$ .

Definition 13.11

Formulas F and G are equisatisfiable if

F is sat iff G is sat.

**Commentary:** These definitions are identical to the propositional case.



## Topic 13.3

Problems



### FOL to PL

#### Exercise 13.4

Give the restrictions on FOL such that it becomes the propositional logic. Give an example of FOL model of a non-trivial propositional formula.



### Valid formulas

### Exercise 13.5

Prove/Disprove the following formulas are valid.

- $\blacktriangleright \forall x.P(x) \Rightarrow P(c)$
- $\blacktriangleright \forall x.(P(x) \Rightarrow P(c))$
- $\blacktriangleright \exists x.(P(x) \Rightarrow \forall x.P(x))$
- $\blacktriangleright \exists y \forall x. R(x, y) \Rightarrow \forall x \exists y. R(x, y)$
- $\blacktriangleright \forall x \exists y. R(x, y) \Rightarrow \exists y \forall x. R(x, y)$

### Properties of FOL

#### Exercise 13.6

Show the validity of the following formulas.

- 1.  $\neg \forall x. P(x) \Leftrightarrow \exists x. \neg P(x)$
- 2.  $\neg \exists x. P(x) \Leftrightarrow \forall x. \neg P(x)$
- 3.  $(\forall x. (P(x) \land Q(x))) \Leftrightarrow \forall x. P(x) \land \forall x. Q(x)$
- 4.  $(\exists x. (P(x) \lor Q(x))) \Leftrightarrow \exists x. P(x) \lor \exists x. Q(x)$

#### Exercise 13.7

Show  $\forall$  does not distribute over  $\lor$ . Show  $\exists$  does not distribute over  $\land$ .



### Example: non-standard models

Consider **S** = ( $\{0/0, s/1, +/2\}, \{\}$ ) and formula  $\exists z.s(x) + y = s(z)$ 

**Unexpected model:** Let  $m = (\{a, b\}^*; \epsilon, append_a, concat)$ .

- The domain of m is the set of all strings over alphabet  $\{a, b\}$ .
- append\_a: appends a in the input and
- concat: joins two strings.

Let  $\nu = \{x \mapsto ab, y \mapsto ba\}$ . Since  $m, \nu[z \mapsto abab] \models s(x) + y = s(z)$ , we have  $m, \nu \models \exists z.s(x) + y = s(z)$ .

#### Exercise 13.8

Show 
$$m, \nu[y \mapsto bb] \not\models \exists z.s(x) + y = s(z)$$

► Give an assignment  $\nu$  s.t.  $m, \nu \models x \neq 0 \Rightarrow \exists y. x = s(y)$ . Show  $m \not\models \forall x. (x \neq 0 \Rightarrow \exists y. x = s(y))$ .

### Find models

#### Exercise 13.9

For each of the following formula give a model that satisfies the formula. If there is no model that satisfies a formula, then report that the formula is unsatisfiable.

- 1.  $\forall x. \exists y R(x, y) \land \neg \exists x. \forall y R(x, y)$
- 2.  $\neg \forall x. \exists y R(x, y) \land \exists x. \forall y R(x, y)$
- 3.  $\neg \forall x. \exists y R(x, y) \land \neg \exists x. \forall y R(x, y)$
- 4.  $\forall x. \exists y R(x, y) \land \exists x. \forall y R(x, y)$

## Similar quantifiers

#### Exercise 13.10

Show using FOL fol semantics.

- ►  $\exists x. \exists x. F \equiv \exists x. F$
- ►  $\exists x. \exists y. F \equiv \exists y. \exists x. F$
- $\blacktriangleright \quad \forall x. \forall x. \ F \equiv \forall x. \ F$
- $\blacktriangleright \quad \forall x. \forall y. \ F \equiv \forall y. \forall x. \ F$

### Exercise : compact notation for terms

Since we know arity of each symbol, we need not write "," "(", and ")" to write a term unambiguously.

Example 13.13

f(g(a, b), h(x), c) can be written as fgabhxc.

Exercise 13.11 Consider  $\mathbf{F} = \{f/3, g/2, h/1, c/0\}$  and  $x, y \in Vars$ . Insert parentheses at appropriate places in the following if they are valid term.

$$hc = fhxhyhc = fx = fx = fx = fx$$

#### Exercise 13.12

Give an algorithm to insert the parentheses

Commentary: We will not use the compact notation in the course. It makes the formulas very difficult to read

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## Exercise: DeBruijn index of quantified variables

DeBruijn index is a method for representing formulas without naming the quantified variables.

### Definition 13.12

Each DeBruijn index is a natural number that represents an occurrence of a variable in a formula, and denotes the number of quantifiers that are in scope between that occurrence and its corresponding quantifier.

### Example 13.14

We can write  $\forall x.H(x)$  as  $\forall .H(1)$ . 1 is indicating the occurrence of a quantified variable that is bounded by the closest quantifier. More examples.

$$\blacktriangleright \exists y \forall x. M(x, y) = \exists \forall. M(1, 2)$$

$$\blacksquare \exists y \forall x. M(y, x) = \exists \forall. M(2, 1)$$

$$\blacktriangleright \forall x.(H(x) \Rightarrow \exists y.M(x,y)) = \forall.(H(1) \Rightarrow \exists.M(2,1))$$

#### Exercise 13.13

Give an algorithm that translates FOL formulas into DeBurjin indexed formulas.

### Drinker paradox

#### Exercise 13.14 Prove

#### There is someone x such that if x drinks, then everyone drinks.

Let  $D(x) \triangleq x$  drinks. Formally

 $\exists x.(D(x) \Rightarrow \forall x. D(x))$ 

https://en.wikipedia.org/wiki/Drinker\_paradox



### Exercise: satisfaction relation

Exercise 13.15 Consider  $\mathbf{S} = (\{\cup/2\}, \{\in/2\})$  and formula  $F = \exists x. \forall y. \neg y \in x$  (what does it say to you!)

Consider **S**-model  $m = (\mathbb{N}; \cup_m = max, \in_m = \{(i, j) | i < j\})$  and  $\nu = \{x \mapsto 2, y \mapsto 3\}$ .

 $m, \nu \models F$ ?



### Exercise: implication

#### Exercise 13.16

Let us suppose the following formula is valid and  $\Sigma$  does not refer to c.

 $\Sigma \Rightarrow H(f(c)) \land \neg H(f(a))$ 

Prove that  $\Sigma$  is unsatisfiable.



## Topic 13.4

## Extra slides: some properties of models



### Homomorphisms of models

Definition 13.13 Consider  $\mathbf{S} = (\mathbf{F}, \mathbf{R})$ . Let m and m' be  $\mathbf{S}$ -models. A function  $h: D_m \to D_{m'}$  is a homomorphism of m into m' if the following holds. ▶ for each  $f/n \in \mathbf{F}$ , for each  $(d_1, ..., d_n) \in D_m^n$  $h(f_m(d_1, ..., d_n)) = f_{m'}(h(d_1), ..., h(d_n))$ ▶ for each  $P/n \in \mathbf{R}$ , for each  $(d_1, ..., d_n) \in D_m^n$  $(d_1, ..., d_n) \in P_m$  iff  $(h(d_1), ..., h(d_n)) \in P_{m'}$ 

#### Definition 13.14

A homomorphism h of m into m' is called isomorphism if h is one-to-one. m and m' are called isomorphic if an h exists that is also onto.



### Example : homomorphism

- Example 13.15
- Consider  $S = (\{+/2\}, \{\}).$
- Consider  $m = (\mathbb{N}, +^{\mathbb{N}})$  and  $m = (\mathcal{B}, \oplus^{\mathcal{B}})$ ,
- $h(n) = n \mod 2$  is a homomorphism of m into m'.



## Homomorphism theorem for terms and quantifier-free formulas without =

#### Theorem 13.1

Let h be a homomorphism of m into m'. Let  $\nu$  be an assignment.

1. For each term t, 
$$h(m^{\nu}(t)) = m'^{(\nu \circ h)}(t)$$

2. If formula F is quantifier-free and has no symbol "="

$$m^{
u}\models F$$
 iff  $m'^{(
u\circ h)}\models F$ 

#### Proof.

Simple structural induction.

Exercise 13.17 For a quantifier-free formula F that may have symbol "=", show

if 
$$m^{\nu} \models F$$
 then  $m'^{(\nu \circ h)} \models F$ 

Why the reverse direction does not work?

## Homomorphism theorem with =

### Theorem 13.2

Let h be a homomorphism of m into m'. Let  $\nu$  be an assignment. If h is isomorphism then the reverse implication also holds for formulas with "=".

### Proof.

Let us suppose  $m'^{(\nu \circ h)} \models s = t$ . Therefore,  $m'^{(\nu \circ h)}(s) = m'^{(\nu \circ h)}(t)$ . Therefore,  $h(m^{\nu}(s)) = h(m^{\nu}(t))$ . Due to the one-to-one condition of h,  $m^{\nu}(s) = m^{\nu}(t)$ . Therefore,  $m^{\nu} \models s = t$ .

### Exercise 13.18 For a formula F (with quantifiers) without symbol "=", show

if 
$$m'^{(\nu \circ h)} \models F$$
 then  $m^{\nu} \models F$ .

Commentary: Note that that implication direction is switched from the previous exercise.



## Homomorphism theorem with quantifiers

#### Theorem 13.3

Let h be a isomorphism of m into m' and  $\nu$  be an assignment. If h is also onto, the reverse direction also holds for the quantified formulas.

#### Proof.

```
Let us assume, m^{\nu} \models \forall x.F.

Choose d' \in D_{m'}.

Since h is onto, there is a d such that d = h(d').

Therefore, m^{\nu[x \mapsto d']} \models F.

Therefore, m'^{(\nu \circ h)} \models \forall x. F.
```

#### Theorem 13.4

If m and m' are isomorphic then for all sentences F,  $m \models F$  iff  $m' \models F$ .

Commentary: The reverse direction of the above theorem is not true.

## $\mathsf{Expressive}/\mathsf{distinguishing} \ \mathsf{power} \ \mathsf{of} \ \mathsf{FOL}$

If two models are isomorphic, then no two formulas can separate them.

This is not a limitation of FOL.

It is not the case that if we add more features in the logic, we can distinguish the models.

Therefore, one may view isomorphic models as same models.



# End of Lecture 13

