

# CS 105: Department Introductory Course on Discrete Structures

Instructor : S. Akshay

Aug 29, 2023

Lecture 10 – Basic Mathematical Structures  
Equivalence relations and partitions

# Recap: Proofs and Structures

## Chapter 1: Proofs

1. Propositions, predicates
2. Types of proofs, axioms
3. Mathematical Induction, Well-ordering principle
4. Strong Induction

# Recap: Proofs and Structures

## Chapter 1: Proofs

1. Propositions, predicates
2. Types of proofs, axioms
3. Mathematical Induction, Well-ordering principle
4. Strong Induction

## Chapter 2: Sets and Functions

1. Finite and infinite sets.
2. Using functions to compare sets: focus on bijections.
3. Countable, countably infinite and uncountable sets.
4. Cantor's diagonalization (New/powerful proof technique!).

# Recap: Proofs and Structures

## Chapter 1: Proofs

1. Propositions, predicates
2. Types of proofs, axioms
3. Mathematical Induction, Well-ordering principle
4. Strong Induction

## Chapter 2: Sets and Functions

1. Finite and infinite sets.
2. Using functions to compare sets: focus on bijections.
3. Countable, countably infinite and uncountable sets.
4. Cantor's diagonalization (New/powerful proof technique!).

## Chapter 3: Relations

# Relations

## Definition: Relation

- ▶ A **relation**  $R$  from  $A$  to  $B$  is a subset of  $A \times B$ . If  $(a, b) \in R$ , we also write this as  $a R b$ .

We write  $R(A, B)$  for a relation from  $A$  to  $B$  and just  $R(A)$  if  $A = B$ . Also if  $A$  is clear from context, we just write  $R$ .

# Relations

## Definition: Relation

- ▶ A **relation**  $R$  from  $A$  to  $B$  is a subset of  $A \times B$ . If  $(a, b) \in R$ , we also write this as  $a R b$ .

We write  $R(A, B)$  for a relation from  $A$  to  $B$  and just  $R(A)$  if  $A = B$ . Also if  $A$  is clear from context, we just write  $R$ .

## Examples of relations

- ▶ All functions are relations.
- ▶  $R_1(\mathbb{Z}) = \{(a, b) \mid a, b \in \mathbb{Z}, a - b \text{ is even}\}$ .
- ▶  $R_2(\mathbb{Z}) = \{(a, b) \mid a, b \in \mathbb{Z}, a \leq b\}$ .
- ▶ Let  $S$  be a set,  $R_3(\mathcal{P}(S)) = \{(A, B) \mid A, B \subseteq S, A \subseteq B\}$ .

# Relations

## Definition: Relation

- ▶ A **relation**  $R$  from  $A$  to  $B$  is a subset of  $A \times B$ . If  $(a, b) \in R$ , we also write this as  $a R b$ .

We write  $R(A, B)$  for a relation from  $A$  to  $B$  and just  $R(A)$  if  $A = B$ . Also if  $A$  is clear from context, we just write  $R$ .

## Examples of relations

- ▶ All functions are relations.
- ▶  $R_1(\mathbb{Z}) = \{(a, b) \mid a, b \in \mathbb{Z}, a - b \text{ is even}\}$ .
- ▶  $R_2(\mathbb{Z}) = \{(a, b) \mid a, b \in \mathbb{Z}, a \leq b\}$ .
- ▶ Let  $S$  be a set,  $R_3(\mathcal{P}(S)) = \{(A, B) \mid A, B \subseteq S, A \subseteq B\}$ .

## Representations of a relation from $A$ to $B$ .

As a set of **ordered pairs of elements**, i.e., subset of  $A \times B$ ; As a **directed graph**; As a **(database) table**.

## Partitions of a set – grouping “like” elements

### Definition

A partition of a set  $S$  is a



## Partitions of a set – grouping “like” elements

### Definition

A partition of a set  $S$  is a **set  $P$  of its subsets** such that

## Partitions of a set – grouping “like” elements

### Definition

A partition of a set  $S$  is a **set  $P$  of its subsets** such that

- ▶ if  $S' \in P$ , then  $S' \neq \emptyset$ .
- ▶  $\bigcup_{S' \in P} S' = S$  : its union covers entire set  $S$ .
- ▶ If  $S_1, S_2 \in P$ , then  $S_1 \cap S_2 = \emptyset$ : sets are disjoint.

### Examples

- ▶ Natural numbers are partitioned into even and odd.
- ▶ Class is partitioned into sets of students from **same** hostel.

## Interpreting partitions as relations

Thus, a partition divides  $S$  into subsets that each contain (only) elements that share some common property. e.g.,

## Interpreting partitions as relations

Thus, a partition divides  $S$  into subsets that each contain (only) elements that share some common property. e.g.,

- ▶ Evenness or oddness (formally, remainder modulo 2!).
- ▶ Same hostel...

## Interpreting partitions as relations

Thus, a partition divides  $S$  into subsets that each contain (only) elements that share some common property. e.g.,

- ▶ Evenness or oddness (formally, remainder modulo 2!).
- ▶ Same hostel...
- ▶ But, this sounds like relation, right? Which one?

## Interpreting partitions as relations

Thus, a partition divides  $S$  into subsets that each contain (only) elements that share some common property. e.g.,

- ▶ Evenness or oddness (formally, remainder modulo 2!).
- ▶ Same hostel...
- ▶ But, this sounds like relation, right? Which one?

### Every Partition gives rise to a Relation

- ▶ All elements in a set of the partition are related by the “sameness” or “likeness” property.
- ▶ We can define this as a relation!

## Interpreting partitions as relations

Thus, a partition divides  $S$  into subsets that each contain (only) elements that share some common property. e.g.,

- ▶ Evenness or oddness (formally, remainder modulo 2!).
- ▶ Same hostel...
- ▶ But, this sounds like relation, right? Which one?

### Every Partition gives rise to a Relation

- ▶ All elements in a set of the partition are related by the “sameness” or “likeness” property.
- ▶ We can define this as a relation!  $aRb$  if  $a$  is “like”  $b$ .

## Interpreting partitions as relations

Thus, a partition divides  $S$  into subsets that each contain (only) elements that share some common property. e.g.,

- ▶ Evenness or oddness (formally, remainder modulo 2!).
- ▶ Same hostel...
- ▶ But, this sounds like relation, right? Which one?

### Every Partition gives rise to a Relation

- ▶ All elements in a set of the partition are related by the “sameness” or “likeness” property.
- ▶ We can define this as a relation!  $aRb$  if  $a$  is “like”  $b$ .
- ▶ Formally, we define  $R(S)$  by  $aRb$  if  $a$  and  $b$  belong to the same set in the partition of  $S$ .



## Interpreting partitions as relations

Thus, a partition divides  $S$  into subsets that each contain (only) elements that share some common property. e.g.,

- ▶ Evenness or oddness (formally, remainder modulo 2!).
- ▶ Same hostel...
- ▶ But, this sounds like relation, right? Which one?

### Every Partition gives rise to a Relation

- ▶ All elements in a set of the partition are related by the “sameness” or “likeness” property.
- ▶ We can define this as a relation!  $aRb$  if  $a$  is “like”  $b$ .
- ▶ Formally, we define  $R(S)$  by  $aRb$  if  $a$  and  $b$  belong to the same set in the partition of  $S$ .

What properties does this relation have?

## Properties of a relation generated by a partition

1. All elements must be related to themselves

## Properties of a relation generated by a partition

1. All elements must be related to themselves
  - ▶ A relation  $R(S)$  is called reflexive if for all  $a \in S$ ,  $aRa$ .

## Properties of a relation generated by a partition

1. All elements must be related to themselves
  - ▶ A relation  $R(S)$  is called reflexive if for all  $a \in S$ ,  $aRa$ .
2. If  $a$  is “like”  $b$ , then  $b$  must be “like”  $a$ .

## Properties of a relation generated by a partition

1. All elements must be related to themselves
  - ▶ A relation  $R(S)$  is called **reflexive** if for all  $a \in S$ ,  $aRa$ .
2. If  $a$  is “like”  $b$ , then  $b$  must be “like”  $a$ .
  - ▶ A relation  $R(S)$  is called **symmetric** if for all  $a, b \in S$ , we have  $aRb$  implies  $bRa$ .

## Properties of a relation generated by a partition

1. All elements must be related to themselves
  - ▶ A relation  $R(S)$  is called **reflexive** if for all  $a \in S$ ,  $aRa$ .
2. If  $a$  is “like”  $b$ , then  $b$  must be “like”  $a$ .
  - ▶ A relation  $R(S)$  is called **symmetric** if for all  $a, b \in S$ , we have  $aRb$  implies  $bRa$ .
3. If  $a$  is “like”  $b$  and  $b$  is “like”  $c$ , then  $a$  must be “like”  $c$ .

## Properties of a relation generated by a partition

1. All elements must be related to themselves
  - ▶ A relation  $R(S)$  is called **reflexive** if for all  $a \in S$ ,  $aRa$ .
2. If  $a$  is “like”  $b$ , then  $b$  must be “like”  $a$ .
  - ▶ A relation  $R(S)$  is called **symmetric** if for all  $a, b \in S$ , we have  $aRb$  implies  $bRa$ .
3. If  $a$  is “like”  $b$  and  $b$  is “like”  $c$ , then  $a$  must be “like”  $c$ .
  - ▶ A relation  $R(S)$  called **transitive** if for all  $a, b, c \in S$ , we have  $aRb$  and  $bRc$  implies  $aRc$ .

## Properties of a relation generated by a partition

1. All elements must be related to themselves
  - ▶ A relation  $R(S)$  is called **reflexive** if for all  $a \in S$ ,  $aRa$ .
2. If  $a$  is “like”  $b$ , then  $b$  must be “like”  $a$ .
  - ▶ A relation  $R(S)$  is called **symmetric** if for all  $a, b \in S$ , we have  $aRb$  implies  $bRa$ .
3. If  $a$  is “like”  $b$  and  $b$  is “like”  $c$ , then  $a$  must be “like”  $c$ .
  - ▶ A relation  $R(S)$  called **transitive** if for all  $a, b, c \in S$ , we have  $aRb$  and  $bRc$  implies  $aRc$ .

Any other defining properties?



## Properties of a relation generated by a partition

1. All elements must be related to themselves
  - ▶ A relation  $R(S)$  is called **reflexive** if for all  $a \in S$ ,  $aRa$ .
2. If  $a$  is “like”  $b$ , then  $b$  must be “like”  $a$ .
  - ▶ A relation  $R(S)$  is called **symmetric** if for all  $a, b \in S$ , we have  $aRb$  implies  $bRa$ .
3. If  $a$  is “like”  $b$  and  $b$  is “like”  $c$ , then  $a$  must be “like”  $c$ .
  - ▶ A relation  $R(S)$  called **transitive** if for all  $a, b, c \in S$ , we have  $aRb$  and  $bRc$  implies  $aRc$ .

Any other defining properties?

### Definition

A relation which satisfies all these three properties is called an **equivalence relation**.

## Properties of a relation generated by a partition

1. All elements must be related to themselves
  - ▶ A relation  $R(S)$  is called **reflexive** if for all  $a \in S$ ,  $aRa$ .
2. If  $a$  is “like”  $b$ , then  $b$  must be “like”  $a$ .
  - ▶ A relation  $R(S)$  is called **symmetric** if for all  $a, b \in S$ , we have  $aRb$  implies  $bRa$ .
3. If  $a$  is “like”  $b$  and  $b$  is “like”  $c$ , then  $a$  must be “like”  $c$ .
  - ▶ A relation  $R(S)$  called **transitive** if for all  $a, b, c \in S$ , we have  $aRb$  and  $bRc$  implies  $aRc$ .

Any other defining properties?

### Definition

A relation which satisfies all these three properties is called an **equivalence relation**.

Thus, from any **partition**, we get an **equivalence relation**.

## Properties of a relation generated by a partition

1. All elements must be related to themselves
  - ▶ A relation  $R(S)$  is called **reflexive** if for all  $a \in S$ ,  $aRa$ .
2. If  $a$  is “like”  $b$ , then  $b$  must be “like”  $a$ .
  - ▶ A relation  $R(S)$  is called **symmetric** if for all  $a, b \in S$ , we have  $aRb$  implies  $bRa$ .
3. If  $a$  is “like”  $b$  and  $b$  is “like”  $c$ , then  $a$  must be “like”  $c$ .
  - ▶ A relation  $R(S)$  called **transitive** if for all  $a, b, c \in S$ , we have  $aRb$  and  $bRc$  implies  $aRc$ .

Any other defining properties?

### Definition

A relation which satisfies all these three properties is called an **equivalence relation**.

Thus, from any **partition**, we get an **equivalence relation**. Is the converse true?

# Examples

- ▶ **Reflexive:**  $\forall a \in S, aRa$ .
- ▶ **Symmetric:**  $\forall a, b \in S, aRb$  implies  $bRa$ .
- ▶ **Transitive:**  $\forall a, b, c \in S, aRb, bRc$  implies  $aRc$ .
- ▶ **Equivalence:** Reflexive, Symmetric and Transitive.

# Examples

- ▶ **Reflexive:**  $\forall a \in S, aRa$ .
- ▶ **Symmetric:**  $\forall a, b \in S, aRb$  implies  $bRa$ .
- ▶ **Transitive:**  $\forall a, b, c \in S, aRb, bRc$  implies  $aRc$ .
- ▶ **Equivalence:** Reflexive, Symmetric and Transitive.

Relation	Refl.	Sym.	Trans.	Equiv.
$aR_4b$ if students $a$ and $b$ take same set of courses	✓	✓	✓	✓
$aR_5b$ if student $a$ takes course $b$				
$\{(a, b) \mid a, b \in \mathbb{Z}, (a - b) \bmod 2 = 0\}$				
$\{(a, b) \mid a, b \in \mathbb{Z}, a \leq b\}$				
$\{(a, b) \mid a, b \in \mathbb{Z}, a < b\}$				
$\{(a, b) \mid a, b \in \mathbb{Z}, a \mid b\}$				
$\{(a, b) \mid a, b \in \mathbb{R},  a - b  < 1\}$				
$\{((a, b), (c, d)) \mid (a, b), (c, d) \in \mathbb{Z} \times (\mathbb{Z} \setminus \{0\}), (ad = bc)\}$				

## Partitions of a set – grouping “like” elements

### Definition

A partition of a set  $S$  is a set  $P$  of its subsets such that

- ▶ if  $S' \in P$ , then  $S' \neq \emptyset$ .
- ▶  $\bigcup_{S' \in P} S' = S$  : its union covers entire set  $S$ .
- ▶ If  $S_1, S_2 \in P$ , then  $S_1 \cap S_2 = \emptyset$ : sets are disjoint.

Example: natural numbers partitioned into even and odd...

### Theorem

Every partition of set  $S$  gives rise to a **canonical** equivalence relation  $R$  on  $S$ , namely,

- ▶  $aRb$  if  $a$  and  $b$  belong to the same set in the partition of  $S$ .

## Partitions of a set – grouping “like” elements

### Definition

A partition of a set  $S$  is a set  $P$  of its subsets such that

- ▶ if  $S' \in P$ , then  $S' \neq \emptyset$ .
- ▶  $\bigcup_{S' \in P} S' = S$  : its union covers entire set  $S$ .
- ▶ If  $S_1, S_2 \in P$ , then  $S_1 \cap S_2 = \emptyset$ : sets are disjoint.

Example: natural numbers partitioned into even and odd...

### Theorem

Every partition of set  $S$  gives rise to a **canonical** equivalence relation  $R$  on  $S$ , namely,

- ▶  $aRb$  if  $a$  and  $b$  belong to the same set in the partition of  $S$ .

Is the converse true? Can we generate a partition from every equivalence relation?

# Equivalence classes

## Definition

- ▶ Let  $R$  be an equivalence relation on set  $S$ , and let  $a \in S$ .
- ▶ Then the **equivalence class** of  $a$ , denoted  $[a]$ , is the set of all elements related to it, i.e.,  $[a] = \{b \in S \mid (a, b) \in R\}$ .



# Equivalence classes

## Definition

- ▶ Let  $R$  be an equivalence relation on set  $S$ , and let  $a \in S$ .
- ▶ Then the **equivalence class** of  $a$ , denoted  $[a]$ , is the set of all elements related to it, i.e.,  $[a] = \{b \in S \mid (a, b) \in R\}$ .

In  $R = \{(a, b) \in \mathbb{Z} \times \mathbb{Z} \mid (a - b) \bmod 5 = 0\}$ , what are  $[0]$ ,  $[1]$ ?

# Equivalence classes

## Definition

- ▶ Let  $R$  be an equivalence relation on set  $S$ , and let  $a \in S$ .
- ▶ Then the **equivalence class** of  $a$ , denoted  $[a]$ , is the set of all elements related to it, i.e.,  $[a] = \{b \in S \mid (a, b) \in R\}$ .

In  $R = \{(a, b) \in \mathbb{Z} \times \mathbb{Z} \mid (a - b) \bmod 5 = 0\}$ , what are  $[0]$ ,  $[1]$ ?

## Lemma

Let  $R$  be an equivalence relation on  $S$ . Let  $a, b \in S$ . Then, the following statements are equivalent:

1.  $aRb$
2.  $[a] = [b]$
3.  $[a] \cap [b] \neq \emptyset$ .

# Equivalence classes

## Definition

- ▶ Let  $R$  be an equivalence relation on set  $S$ , and let  $a \in S$ .
- ▶ Then the **equivalence class** of  $a$ , denoted  $[a]$ , is the set of all elements related to it, i.e.,  $[a] = \{b \in S \mid (a, b) \in R\}$ .

In  $R = \{(a, b) \in \mathbb{Z} \times \mathbb{Z} \mid (a - b) \bmod 5 = 0\}$ , what are  $[0]$ ,  $[1]$ ?

## Lemma

Let  $R$  be an equivalence relation on  $S$ . Let  $a, b \in S$ . Then, the following statements are equivalent:

1.  $aRb$
2.  $[a] = [b]$
3.  $[a] \cap [b] \neq \emptyset$ .

Proof Sketch: (1) to (2) symm and trans, (2) to (3) refl, (3) to (1) symm and trans. (H.W.: Redo the proof formally.)

## From equivalence relations to partitions

### Theorem

1. Let  $R$  be an equivalence relation on  $S$ . Then, the equivalence classes of  $R$  form a partition of  $S$ .

## From equivalence relations to partitions

### Theorem

1. Let  $R$  be an equivalence relation on  $S$ . Then, the equivalence classes of  $R$  form a partition of  $S$ .
2. Conversely, given a partition  $P$  of  $S$ , there is an equivalence relation  $R$  whose equivalence classes are exactly the sets of  $P$ .

## From equivalence relations to partitions

### Theorem

1. Let  $R$  be an equivalence relation on  $S$ . Then, the equivalence classes of  $R$  form a partition of  $S$ .
2. Conversely, given a partition  $P$  of  $S$ , there is an equivalence relation  $R$  whose equivalence classes are exactly the sets of  $P$ .

Proof sketch of (1): Union, non-emptiness follows from reflexivity. The rest (pairwise disjointness) follows from the previous lemma.

(H.W.): Write the formal proofs of (1) and (2).

## More “applications” of equivalence relations

### Defining new objects using equivalence relations

Consider

$$R = \{((a, b), (c, d)) \mid (a, b), (c, d) \in \mathbb{Z} \times (\mathbb{Z} \setminus \{0\}), (ad = bc)\}.$$

## More “applications” of equivalence relations

### Defining new objects using equivalence relations

Consider

$$R = \{((a, b), (c, d)) \mid (a, b), (c, d) \in \mathbb{Z} \times (\mathbb{Z} \setminus \{0\}), (ad = bc)\}.$$

- ▶ Then the equivalence classes of  $R$  define the rational numbers.
- ▶ e.g.,  $[\frac{1}{2}] = [\frac{2}{4}]$  are two names for the same rational number.
- ▶ Indeed, when we write  $\frac{p}{q}$  we implicitly mean  $[\frac{p}{q}]$ .



## More “applications” of equivalence relations

### Defining new objects using equivalence relations

Consider

$$R = \{((a, b), (c, d)) \mid (a, b), (c, d) \in \mathbb{Z} \times (\mathbb{Z} \setminus \{0\}), (ad = bc)\}.$$

- ▶ Then the equivalence classes of  $R$  define the rational numbers.
- ▶ e.g.,  $[\frac{1}{2}] = [\frac{2}{4}]$  are two names for the same rational number.
- ▶ Indeed, when we write  $\frac{p}{q}$  we implicitly mean  $[\frac{p}{q}]$ .
- ▶ With this definition, why are addition and multiplication “well-defined”?

## More “applications” of equivalence relations

### Defining new objects using equivalence relations

Consider

$$R = \{((a, b), (c, d)) \mid (a, b), (c, d) \in \mathbb{Z} \times (\mathbb{Z} \setminus \{0\}), (ad = bc)\}.$$

- ▶ Then the equivalence classes of  $R$  define the rational numbers.
- ▶ e.g.,  $[\frac{1}{2}] = [\frac{2}{4}]$  are two names for the same rational number.
- ▶ Indeed, when we write  $\frac{p}{q}$  we implicitly mean  $[\frac{p}{q}]$ .
- ▶ With this definition, why are addition and multiplication “well-defined”?

Can we define **integers** and **real numbers** starting from naturals by using equivalence classes?