## CS 105: DIC on Discrete Structures

Instructor : S. Akshay

Sept 16, 2023 Lecture 16 – Counting and Combinatorics

# Counting and Combinatorics

### Topics to be covered

- Basics of counting
  - Product principle
  - Sum principle
  - Bijection principle
  - ► Double counting

# Counting and Combinatorics

### Topics to be covered

- Basics of counting
  - Product principle
  - Sum principle
  - Bijection principle
  - Double counting
- Subsets, partitions, Permutations and combinations
  - 1. Binomial coefficients and Binomial theorem
  - 2. Pascal's triangle
  - 3. Permutations and combinations with repetitions

# Counting and Combinatorics

### Topics to be covered

- Basics of counting
  - Product principle
  - Sum principle
  - Bijection principle
  - Double counting
- Subsets, partitions, Permutations and combinations
  - 1. Binomial coefficients and Binomial theorem
  - 2. Pascal's triangle
  - 3. Permutations and combinations with repetitions
- ▶ Recurrence relations and generating functions
- ▶ Pigeonhole Principle and its extensions

#### Handshake Lemma

At a meeting with n people, the number of people who shake hands an odd number of times is even.

What will you count here?

### Handshake Lemma

At a meeting with n people, the number of people who shake hands an odd number of times is even.

- 1. Define a relation R: iRj if i and j shook hands.
- 2. Is this relation symmetric (trans/refl.)? Draw its graph.

### Handshake Lemma

At a meeting with n people, the number of people who shake hands an odd number of times is even.

- 1. Define a relation R: iRj if i and j shook hands.
- 2. Is this relation symmetric (trans/refl.)? Draw its graph.
- 3. Let  $m_i$  be the number of times *i* shakes hands.

### Handshake Lemma

At a meeting with n people, the number of people who shake hands an odd number of times is even.

- 1. Define a relation R: iRj if i and j shook hands.
- 2. Is this relation symmetric (trans/refl.)? Draw its graph.
- 3. Let  $m_i$  be the number of times *i* shakes hands. i.e.,  $m_i$  is the number of directed edges going out from *i*.

### Handshake Lemma

At a meeting with n people, the number of people who shake hands an odd number of times is even.

- 1. Define a relation R: iRj if i and j shook hands.
- 2. Is this relation symmetric (trans/refl.)? Draw its graph.
- 3. Let  $m_i$  be the number of times *i* shakes hands. i.e.,  $m_i$  is the number of directed edges going out from *i*.
- 4. Therefore, Total no. of directed edges =  $\sum_{i} m_i$ .

### Handshake Lemma

At a meeting with n people, the number of people who shake hands an odd number of times is even.

- 1. Define a relation R: iRj if i and j shook hands.
- 2. Is this relation symmetric (trans/refl.)? Draw its graph.
- 3. Let  $m_i$  be the number of times *i* shakes hands. i.e.,  $m_i$  is the number of directed edges going out from *i*.
- 4. Therefore, Total no. of directed edges =  $\sum_{i} m_i$ .
- 5. But now, let X be the total number of handshakes. Clearly this is an integer.

### Handshake Lemma

At a meeting with n people, the number of people who shake hands an odd number of times is even.

- 1. Define a relation R: iRj if i and j shook hands.
- 2. Is this relation symmetric (trans/refl.)? Draw its graph.
- 3. Let  $m_i$  be the number of times *i* shakes hands. i.e.,  $m_i$  is the number of directed edges going out from *i*.
- 4. Therefore, Total no. of directed edges =  $\sum_{i} m_i$ .
- 5. But now, let X be the total number of handshakes. Clearly this is an integer. Total no. of directed edges  $= 2 \cdot X$ .

### Handshake Lemma

At a meeting with n people, the number of people who shake hands an odd number of times is even.

- 1. Define a relation R: iRj if i and j shook hands.
- 2. Is this relation symmetric (trans/refl.)? Draw its graph.
- 3. Let  $m_i$  be the number of times *i* shakes hands. i.e.,  $m_i$  is the number of directed edges going out from *i*.
- 4. Therefore, Total no. of directed edges =  $\sum_{i} m_i$ .
- 5. But now, let X be the total number of handshakes. Clearly this is an integer. Total no. of directed edges  $= 2 \cdot X$ .
- 6. This implies,  $\sum_{i} m_{i} = 2 \cdot X$ . Which means that number of *i* such that  $m_{i}$  is odd is even!

Recall: 
$$\sum_{k=0}^{n} \binom{n}{k} = 2^{n}$$
.  
We generalize this...

Recall: 
$$\sum_{k=0}^{n} \binom{n}{k} = 2^{n}$$
.  
We generalize this...

#### **Binomial Theorem**

Let x, y be variables and  $n \in \mathbb{Z}^{\geq 0}$ . Then,

$$(x+y)^n = \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j$$

$$\begin{aligned} (x + y)^{1} &= x + y \\ (x + y)^{2} &= (x + y)(x + y) = x^{2} + 2xy + y^{2} \\ (x + y)^{3} &= (x + y)(x + y)^{2} = x^{3} + 3x^{2}y + 3xy^{2} + y^{3} \\ (x + y)^{4} &= (x + y)(x + y)^{3} = x^{4} + 4x^{3}y + 6x^{2}y^{2} + 4xy^{3} + y^{4} \\ \cdots \end{aligned}$$

### **Binomial** Theorem

Let x, y be variables and  $n \in \mathbb{Z}^{\geq 0}$ . Then,

$$(x+y)^n = \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j$$

(H.W-1) Prove this by induction.

### **Binomial** Theorem

Let x, y be variables and  $n \in \mathbb{Z}^{\geq 0}$ . Then,

$$(x+y)^n = \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j$$

### Proof (combinatorial):

Consider any term x<sup>i</sup>y<sup>j</sup>, where i + j = n.
 To get x<sup>i</sup>y<sup>j</sup> term in

$$(x+y)(x+y)\cdots(x+y)$$
 (*n* times)

we need to pick j y's from n sums and remaining x's.

### **Binomial** Theorem

Let x, y be variables and  $n \in \mathbb{Z}^{\geq 0}$ . Then,

$$(x+y)^n = \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j$$

### Proof (combinatorial):

- 1. Consider any term  $x^i y^j$ , where i + j = n.
- 2. To get  $x^i y^j$  term in

$$(x+y)(x+y)\cdots(x+y)$$
 (*n* times)

we need to pick j y's from n sums and remaining x's.

3. Thus, the coefficient of this term = number of ways to get this term = number of ways to pick j y's from n elts =  $\binom{n}{j}$ .

### **Binomial** Theorem

Let x, y be variables and  $n \in \mathbb{Z}^{\geq 0}$ . Then,

$$(x+y)^n = \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j$$

### **Binomial** Theorem

Let x, y be variables and  $n \in \mathbb{Z}^{\geq 0}$ . Then,

$$(x+y)^n = \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j$$

#### Corollaries:

1. 
$$\binom{n}{j} = \binom{n}{n-j},$$
  
2.  $\sum_{j=0}^{n} \binom{n}{j} 2^j = 3^n$ 

 No. of subsets of n-element set having even cardinality = No. of subsets of n-element set having odd cardinality ? (H.W-2)

## Pascal's Triangle

A recursive way to compute binomial coefficients

$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$

## Pascal's Triangle

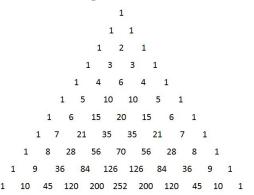
A recursive way to compute binomial coefficients

$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$

 $\begin{pmatrix} 0 \\ 0 \end{pmatrix}$   $\begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$   $\begin{pmatrix} 2 \\ 0 \end{pmatrix} \begin{pmatrix} 2 \\ 1 \end{pmatrix} \begin{pmatrix} 2 \\ 2 \end{pmatrix}$   $\begin{pmatrix} 3 \\ 0 \end{pmatrix} \begin{pmatrix} 3 \\ 1 \end{pmatrix} \begin{pmatrix} 4 \\ 1 \end{pmatrix} \begin{pmatrix} 4 \\ 2 \end{pmatrix} \begin{pmatrix} 4 \\ 3 \end{pmatrix} \begin{pmatrix} 4 \\ 3 \end{pmatrix}$   $\begin{pmatrix} 4 \\ 1 \end{pmatrix}$   $\begin{pmatrix} 5 \\ 0 \end{pmatrix} \begin{pmatrix} 5 \\ 1 \end{pmatrix} \begin{pmatrix} 5 \\ 2 \end{pmatrix} \begin{pmatrix} 5 \\ 3 \end{pmatrix} \begin{pmatrix} 5 \\ 2 \end{pmatrix} \begin{pmatrix} 5$ 

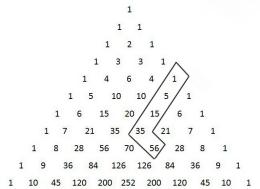
## Pascal's Triangle

A recursive way to compute binomial coefficients  $\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$  $\begin{pmatrix} 0 \\ 0 \end{pmatrix}$  $\begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$  $\begin{pmatrix} 2\\ 0 \end{pmatrix} \begin{pmatrix} 2\\ 1 \end{pmatrix} \begin{pmatrix} 2\\ 2 \end{pmatrix}$ 1 2 1 1 3 3 1  $\begin{pmatrix} 3\\0 \end{pmatrix} \begin{pmatrix} 3\\1 \end{pmatrix} \begin{pmatrix} 3\\2 \end{pmatrix} \begin{pmatrix} 3\\3 \end{pmatrix}$  $\begin{pmatrix} 4 \\ 0 \end{pmatrix} \begin{pmatrix} 4 \\ 1 \end{pmatrix} \begin{pmatrix} 4 \\ 2 \end{pmatrix} \begin{pmatrix} 4 \\ 3 \end{pmatrix} \begin{pmatrix} 4 \\ 4 \end{pmatrix}$  **1 4 6 4 1**  $\begin{pmatrix} 5\\0 \end{pmatrix} \begin{pmatrix} 5\\1 \end{pmatrix} \begin{pmatrix} 5\\2 \end{pmatrix} \begin{pmatrix} 5\\3 \end{pmatrix} \begin{pmatrix} 5\\4 \end{pmatrix} \begin{pmatrix} 5\\5 \end{pmatrix} \begin{pmatrix} 5\\5 \end{pmatrix} \mathbf{1} \mathbf{5} \mathbf{10} \mathbf{10} \mathbf{5} \mathbf{1}$ 



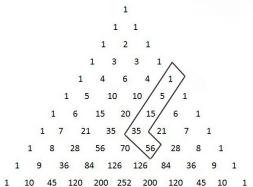
Some simple observations. Recall:  $\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$ 

- 1. Row i adds up to  $2^i$ , Row i + 1 adds up to twice of row i.
- 2. Sequence of numbers, squares, cubes?



Some simple observations. Recall:  $\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$ 

- 1. Row i adds up to  $2^i$ , Row i + 1 adds up to twice of row i.
- 2. Sequence of numbers, squares, cubes?
- 3. Hockey stick patterns

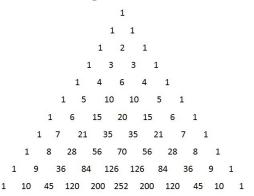


Some simple observations. Recall:  $\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$ 

- 1. Row i adds up to  $2^i$ , Row i + 1 adds up to twice of row i.
- 2. Sequence of numbers, squares, cubes?

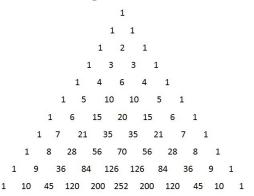
3. Hockey stick patterns: (H.W-3)  

$$\binom{n+1}{m} = \binom{n}{m} + \binom{n-1}{m-1} \dots + \binom{n-m}{0}$$



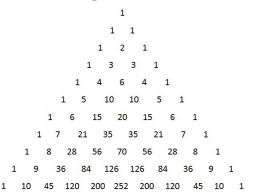
#### Some not so simple observations

▶ For some rows, all values in the row (except first and last) are divisible by the second!



#### Some not so simple observations

- ▶ For some rows, all values in the row (except first and last) are divisible by the second!
- ▶ In fact, for all prime rows? why should p divide  $\binom{p}{r}$ , r < p?



#### Some not so simple observations

- ▶ For some rows, all values in the row (except first and last) are divisible by the second!
- ▶ In fact, for all prime rows? why should p divide  $\binom{p}{r}$ , r < p?
- Corollary:  $2^p 2$  is a multiple of p, for any prime p.

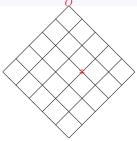
1 1 2 1 1 3 3 1 1 4 6 4 1 1 5 10 10 5 1 15 20 15 6 21 35 35 21 1 7 7 1 1 8 28 56 70 56 28 8 1 1 9 36 84 126 126 84 36 9 1 10 45 120 200 252 200 120 45 10 1

#### Some not so simple observations

- For some rows, all values in the row (except first and last) are divisible by the second!
- ▶ In fact, for all prime rows? why should p divide  $\binom{p}{r}$ , r < p?
- Corollary:  $2^p 2$  is a multiple of p, for any prime p.
- ▶ Interesting Ex.: Count no. of odd numbers in each row...

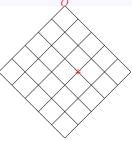
### Map problems

From the top corner, how many shortest routes lead to a particular junction?



### Map problems

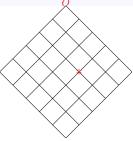
From the top corner, how many shortest routes lead to a particular junction?



▶ Denote path sequences of  $\{L, R\}$ , e.g., RRRLL reaches \*.

### Map problems

From the top corner, how many shortest routes lead to a particular junction?

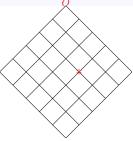


▶ Denote path sequences of  $\{L, R\}$ , e.g., RRRLL reaches \*.

• Our path has 5 segments of which there must be 3 R's.

### Map problems

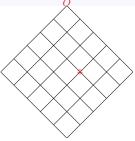
From the top corner, how many shortest routes lead to a particular junction?



- ▶ Denote path sequences of  $\{L, R\}$ , e.g., RRRLL reaches \*.
- Our path has 5 segments of which there must be 3 R's.
- ▶ Bijection from set of paths to subsets of fixed size.

### Map problems

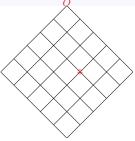
From the top corner, how many shortest routes lead to a particular junction?



- ▶ Denote path sequences of  $\{L, R\}$ , e.g., RRRLL reaches \*.
- Our path has 5 segments of which there must be 3 R's.
- ▶ Bijection from set of paths to subsets of fixed size.
- ▶ No. of paths = ways of choosing 3 R's out of 5 elts =  $\binom{5}{3}$ .

### Map problems

From the top corner, how many shortest routes lead to a particular junction?



- ▶ Denote path sequences of  $\{L, R\}$ , e.g., RRRLL reaches \*.
- Our path has 5 segments of which there must be 3 R's.
- ▶ Bijection from set of paths to subsets of fixed size.

▶ No. of paths = ways of choosing 3 R's out of 5 elts = <sup>(5)</sup><sub>3</sub>. H.W-4: Prove/verify this formally.

# Permutations and Combinations with repetitions

How many ways can you select k objects from a set of n elements?

- Depends on whether order is significant: If yes permutations, else combinations.
- ▶ What if repetitions are allowed?

	Order significant	Order not significant
Repetitions	$\frac{n!}{(n-k)!}$	$\binom{n}{k}$
not allowed		
Repetitions	$n^k$	??
are allowed		

#### Theorem

#### Theorem

The no. of ways k elements can be chosen from n-elements, when repetition is allowed is  $\binom{n+k-1}{k} = \binom{n+k-1}{n-1}$ .

1. Represent them as a list of n-1 separators of k objects.

#### Theorem

- 1. Represent them as a list of n-1 separators of k objects.
- 2. e.g., suppose we want to select 5 elts from a set of 4 with repetitions. Then, \*\* |\*|| \*\* represents: 2 of the first element, 1 of the second, none of third and 2 of fourth.

#### Theorem

- 1. Represent them as a list of n-1 separators of k objects.
- 2. e.g., suppose we want to select 5 elts from a set of 4 with repetitions. Then, \*\* |\*|| \*\* represents: 2 of the first element, 1 of the second, none of third and 2 of fourth.
- 3. There is a bijection between such lists and k-sets of *n*-elements with repetitions allowed (why?).

#### Theorem

- 1. Represent them as a list of n-1 separators of k objects.
- 2. e.g., suppose we want to select 5 elts from a set of 4 with repetitions. Then, \*\* |\*|| \*\* represents: 2 of the first element, 1 of the second, none of third and 2 of fourth.
- 3. There is a bijection between such lists and k-sets of *n*-elements with repetitions allowed (why?).
- 4. Thus, question reduces to no. of ways to choose k stars or n-1 bars from a set of n-k+1 positions  $= \binom{n+k-1}{k}$ .  $\Box$

#### Theorem

- 1. Represent them as a list of n-1 separators of k objects.
- 2. e.g., suppose we want to select 5 elts from a set of 4 with repetitions. Then, \*\* |\*|| \*\* represents: 2 of the first element, 1 of the second, none of third and 2 of fourth.
- 3. There is a bijection between such lists and k-sets of *n*-elements with repetitions allowed (why?).
- 4. Thus, question reduces to no. of ways to choose k stars or n-1 bars from a set of n-k+1 positions  $= \binom{n+k-1}{k}$ .  $\Box$
- ▶ H.W-5: How many solutions does the equation  $x_1 + x_2 + x_3 + x_4 = 17$  have such that  $x_1, x_2, x_3, x_4 \in \mathbb{Z}^{\geq 0}$  ?