

CS 105: DIC on Discrete Structures

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Lecture 21 – Counting and Combinatorics
Pigeon-Hole Principle (PHP) and its applications

Principle of Inclusion-Exclusion (PIE)

Theorem: Principle of Inclusion-Exclusion (PIE)

Let A_1, A_2, \dots, A_n be finite sets. Then,

$$\begin{aligned} |A_1 \cup \dots \cup A_n| &= \sum_{1 \leq i \leq n} |A_i| - \sum_{1 \leq i < j \leq n} |A_i \cap A_j| \\ &+ \sum_{1 \leq i < j < k \leq n} |A_i \cap A_j \cap A_k| - \dots + (-1)^{n+1} |A_1 \cap \dots \cap A_n| \end{aligned}$$

Proof: (H.W): Prove PIE by induction.

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- ▶ $|A_i| = (m-1)^n, |A_i \cap A_j| = (m-2)^n \dots$
- ▶ What about the summation? terms $1 \leq i < j \leq m = \binom{m}{2}$

Thus, we have # surjections from $[n]$ to $[m] =$

$$m^n - \binom{m}{1}(m-1)^n + \binom{m}{2}(m-2)^n - \dots + (-1)^{m-1} \binom{m}{m-1} \cdot 1^n.$$

Pop Quiz!

1. Does there exist an injective function from a set of $k + 1$ elements to a set with k elements? Why or why not?
2. How many cards **must** be selected from a pack of 52 cards so that at least three cards of the same suit are chosen?
3. Prove or disprove
 - 3.1 For every $n \in \mathbb{Z}^+$, there exists a multiple of n whose decimal expansion only has 0's and 1's.
 - 3.2 Every sequence of $n^2 + 1$ distinct real numbers contains a subsequence of length $n + 1$ which is either increasing or decreasing.
 - 3.3 If there are $n \geq 1 + r(\ell - 1)$ objects which are colored with r different colors, then there exist ℓ objects all with the same color.

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Pigeon-Hole Principle (PHP) and its applications

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A simple formulation

Let $k \in \mathbb{N}$. If $k + 1$ (or more) objects are to be placed in k boxes, then at least one box will have 2 (or more) objects.

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How do you prove it?

A simple corollary

- ▶ Can a function from a set of $k + 1$ or more elements to a set with k elements be injective?

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Another simple application

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- ▶ So among the $n + 1$ integers, by PHP, at least 2 must have the same remainder.

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- ▶ So among the $n + 1$ integers, by PHP, at least 2 must have the same remainder.
- ▶ That is, $\exists i, j, k_i = pn + d, k_j = qn + d$.
- ▶ But then $|k_i - k_j|$ is a multiple of n and its decimal expansion only has 0's and 1's. □

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- ▶ Thus, $N = 9$.

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Every sequence of $n^2 + 1$ distinct real numbers contains a subsequence of length $n + 1$ which is either increasing or decreasing.

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1. Let a_1, \dots, a_{n^2+1} be a sequence of distinct real numbers.
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 - i_k = length of longest increasing subsequence starting from a_k
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 i_k = length of longest increasing subsequence starting from a_k
 d_k = length of longest decreasing subsequence starting from a_k
3. Suppose, there are no increasing/decreasing subsequences of length $n + 1$. Then $\forall k, i_k \leq n$ and $d_k \leq n$.
4. \therefore by PHP, $\exists \ell, m, 1 \leq \ell < m \leq n^2 + 1$ s.t. $(i_\ell, d_\ell) = (i_m, d_m)$
5. We will show that this is not possible:
 - ▶ Case 1: $a_\ell < a_m$. Then $i_m \geq i_\ell + 1$, a contradiction.
 - ▶ Case 2: $a_\ell > a_m$. Then $d_\ell \geq d_m + 1$, a contradiction.
6. All a_i 's are distinct so this completes the proof. □