

CS 105: DIC on Discrete Structures

Instructor : S. Akshay

Sept 12, 2024

Lecture 20 – Counting and Combinatorics

Logistics: Midsem

CS105 Midsem Exam

- ▶ Date and Time: Sept 21st, Saturday, at 16:00 hrs, 4pm

Logistics: Midsem

CS105 Midsem Exam

- ▶ Date and Time: Sept 21st, Saturday, at 16:00 hrs, 4pm
- ▶ Venue: LA 002, LH 102, LT 103, LT 105, LT 003

Logistics: Midsem

CS105 Midsem Exam

- ▶ Date and Time: Sept 21st, Saturday, at 16:00 hrs, 4pm
- ▶ Venue: LA 002, LH 102, LT 103, LT 105, LT 003
- ▶ Duration: 2 hrs(+Compensatory Time for PwD students)

Logistics: Midsem

CS105 Midsem Exam

- ▶ Date and Time: Sept 21st, Saturday, at 16:00 hrs, 4pm
- ▶ Venue: LA 002, LH 102, LT 103, LT 105, LT 003
- ▶ Duration: 2 hrs(+Compensatory Time for PwD students)

1. Be in the hall 15min *before* start of the exam.
2. Bring pen, id card, water bottle (and nothing else).

Logistics: Midsem

CS105 Midsem Exam

- ▶ Date and Time: Sept 21st, Saturday, at 16:00 hrs, 4pm
- ▶ Venue: LA 002, LH 102, LT 103, LT 105, LT 003
- ▶ Duration: 2 hrs(+Compensatory Time for PwD students)

1. Be in the hall 15min *before* start of the exam.
2. Bring pen, id card, water bottle (and nothing else).

Syllabus

First 17 lectures of course, till & incl Thursday Sept 05.

Logistics: Midsem

CS105 Midsem Exam

- ▶ Date and Time: **Sept 21st, Saturday, at 16:00 hrs, 4pm**
- ▶ Venue: **LA 002, LH 102, LT 103, LT 105, LT 003**
- ▶ Duration: **2 hrs**(+Compensatory Time for PwD students)

1. Be in the hall 15min *before* start of the exam.
2. Bring pen, id card, water bottle (and nothing else).

Syllabus

First 17 lectures of course, till & incl Thursday Sept 05.

- ▶ Basic reasoning: Propositions, proofs, induction,
- ▶ Basic structures: sets, functions, (un)countability, relations, posets, chains, anti-chains, lattices.
- ▶ Basic counting: counting principles, double counting, permutations & combinations.

Logistics

- ▶ Extra help sessions on different BASIC topics being conducted by TAs.
- ▶ Only Basic material, NOT advanced.
- ▶ Please follow piazza and ask, if necessary.

Logistics

- ▶ Extra help sessions on different BASIC topics being conducted by TAs.
- ▶ Only Basic material, NOT advanced.
- ▶ Please follow piazza and ask, if necessary.

Also,

- ▶ Pattern of exam similar to quiz, some easy/basic, some hard.

Logistics

- ▶ Extra help sessions on different BASIC topics being conducted by TAs.
- ▶ Only Basic material, NOT advanced.
- ▶ Please follow piazza and ask, if necessary.

Also,

- ▶ Pattern of exam similar to quiz, some easy/basic, some hard.
- ▶ Solve more questions from Kenneth Rosen etc.

Logistics

- ▶ Extra help sessions on different BASIC topics being conducted by TAs.
- ▶ Only Basic material, NOT advanced.
- ▶ Please follow piazza and ask, if necessary.

Also,

- ▶ Pattern of exam similar to quiz, some easy/basic, some hard.
- ▶ Solve more questions from Kenneth Rosen etc.
- ▶ Few more extra/advanced questions may be released (no solutions, but can discuss on piazza).

Counting and Combinatorics

Topics to be covered

- ▶ Basics of counting
 - ▶ Product principle
 - ▶ Sum principle
 - ▶ Bijection principle
 - ▶ Double counting

Counting and Combinatorics

Topics to be covered

- ▶ Basics of counting
 - ▶ Product principle
 - ▶ Sum principle
 - ▶ Bijection principle
 - ▶ Double counting
- ▶ Subsets, partitions, Permutations and combinations
 1. Binomial coefficients and Binomial theorem
 2. Pascal's triangle
 3. Permutations and combinations with repetitions
 4. Estimating $n!$

Counting and Combinatorics

Topics to be covered

- ▶ Basics of counting
 - ▶ Product principle
 - ▶ Sum principle
 - ▶ Bijection principle
 - ▶ Double counting
- ▶ Subsets, partitions, Permutations and combinations
 1. Binomial coefficients and Binomial theorem
 2. Pascal's triangle
 3. Permutations and combinations with repetitions
 4. Estimating $n!$
- ▶ Recurrence relations and generating functions
- ▶ Pigeonhole Principle and its extensions

Estimating $n!$

How big is $n!$?

- ▶ It is clearly bigger than n and n^2 .

Estimating $n!$

How big is $n!$?

- ▶ It is clearly bigger than n and n^2 .
- ▶ Is it bigger than 2^n , n^n ?

Estimating $n!$

How big is $n!$?

- ▶ It is clearly bigger than n and n^2 .
- ▶ Is it bigger than 2^n , n^n ?
- ▶ Easy to see: for all $n \geq 4$,

$$2^n \leq n! \leq n^n$$

Estimating $n!$

How big is $n!$?

- ▶ It is clearly bigger than n and n^2 .
- ▶ Is it bigger than 2^n , n^n ?
- ▶ Easy to see: for all $n \geq 4$,

$$2^n \leq n! \leq n^n$$

- ▶ Can we do better?
Can we quantify how much more n^n is compared to $n!$?

Estimating $n!$

How big is $n!$?

- ▶ It is clearly bigger than n and n^2 .
- ▶ Is it bigger than 2^n , n^n ?
- ▶ Easy to see: for all $n \geq 4$,

$$2^n \leq n! \leq n^n$$

- ▶ Can we do better?
Can we quantify how much more n^n is compared to $n!$?

Theorem (Stirling's Approximation)

$$e \left(\frac{n}{e}\right)^n \leq n! \leq ne \left(\frac{n}{e}\right)^n$$

where e is the base of natural logarithms, $\log(e) = e^{\log(e)} = 1$.

Approximating the factorial

Theorem (Stirling's Approximation)

$$e \left(\frac{n}{e}\right)^n \leq n! \leq ne \left(\frac{n}{e}\right)^n$$

where e is the base of natural logarithms, $\log(e) = e^{\log(e)} = 1$.

Approximating the factorial

Theorem (Stirling's Approximation)

$$e \left(\frac{n}{e}\right)^n \leq n! \leq ne \left(\frac{n}{e}\right)^n$$

where e is the base of natural logarithms, $\log(e) = e^{\log(e)} = 1$.

Proof: Let $S = \log(n!) = \sum_{i=1}^n \log(i)$. Thus, $e^S = n!$

Approximating the factorial

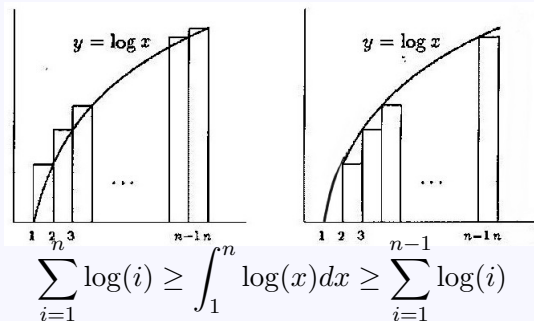
Theorem (Stirling's Approximation)

$$e \left(\frac{n}{e}\right)^n \leq n! \leq ne \left(\frac{n}{e}\right)^n$$

where e is the base of natural logarithms, $\log(e) = e^{\log(e)} = 1$.

Proof: Let $S = \log(n!) = \sum_{i=1}^n \log(i)$. Thus, $e^S = n!$

Now, we relate it to natural log function as shown in the figure.



Approximating the factorial

Theorem (Stirling's Approximation)

$$e \left(\frac{n}{e}\right)^n \leq n! \leq ne \left(\frac{n}{e}\right)^n$$

where e is the base of natural logarithms, $\log(e) = e^{\log(e)} = 1$.

Proof: Let $S = \log(n!) = \sum_{i=1}^n \log(i)$. Thus, $e^S = n!$

$$\sum_{i=1}^n \log(i) \geq \int_1^n \log(x) dx \geq \sum_{i=1}^{n-1} \log(i)$$

Approximating the factorial

Theorem (Stirling's Approximation)

$$e \left(\frac{n}{e}\right)^n \leq n! \leq ne \left(\frac{n}{e}\right)^n$$

where e is the base of natural logarithms, $\log(e) = e^{\log(e)} = 1$.

Proof: Let $S = \log(n!) = \sum_{i=1}^n \log(i)$. Thus, $e^S = n!$

$$\sum_{i=1}^n \log(i) \geq \int_1^n \log(x) dx \geq \sum_{i=1}^{n-1} \log(i)$$

$$S \geq x \log(x) - x \Big|_1^n \geq S - \log(n)$$

Approximating the factorial

Theorem (Stirling's Approximation)

$$e \left(\frac{n}{e}\right)^n \leq n! \leq ne \left(\frac{n}{e}\right)^n$$

where e is the base of natural logarithms, $\log(e) = e^{\log(e)} = 1$.

Proof: Let $S = \log(n!) = \sum_{i=1}^n \log(i)$. Thus, $e^S = n!$

$$\sum_{i=1}^n \log(i) \geq \int_1^n \log(x) dx \geq \sum_{i=1}^{n-1} \log(i)$$

$$S \geq x \log(x) - x \Big|_1^n \geq S - \log(n)$$

$$S \geq n \log(n) - n + 1 \geq S - \log(n).$$

Approximating the factorial

Theorem (Stirling's Approximation)

$$e \left(\frac{n}{e}\right)^n \leq n! \leq ne \left(\frac{n}{e}\right)^n$$

where e is the base of natural logarithms, $\log(e) = e^{\log(e)} = 1$.

Proof: Let $S = \log(n!) = \sum_{i=1}^n \log(i)$. Thus, $e^S = n!$

$$\sum_{i=1}^n \log(i) \geq \int_1^n \log(x) dx \geq \sum_{i=1}^{n-1} \log(i)$$

$$S \geq x \log(x) - x \Big|_1^n \geq S - \log(n)$$

$$S \geq n \log(n) - n + 1 \geq S - \log(n).$$

Raising both sides to power of e we get

► l.h.s. $n! \geq e^{n \log(n) - n + 1} = (n/e)^n e$ and

Approximating the factorial

Theorem (Stirling's Approximation)

$$e \left(\frac{n}{e}\right)^n \leq n! \leq ne \left(\frac{n}{e}\right)^n$$

where e is the base of natural logarithms, $\log(e) = e^{\log(e)} = 1$.

Proof: Let $S = \log(n!) = \sum_{i=1}^n \log(i)$. Thus, $e^S = n!$

$$\sum_{i=1}^n \log(i) \geq \int_1^n \log(x) dx \geq \sum_{i=1}^{n-1} \log(i)$$

$$S \geq x \log(x) - x \Big|_1^n \geq S - \log(n)$$

$$S \geq n \log(n) - n + 1 \geq S - \log(n).$$

Raising both sides to power of e we get

► l.h.s. $n! \geq e^{n \log(n) - n + 1} = (n/e)^n e$ and

► r.h.s. $n! \leq e^{(n+1) \log(n) - n + 1} = n^{n+1} / e^{n-1} = ne(n/e)^n$. □

Next: Recurrence relations and generating functions

Recall: No. of subsets of a set of n elements

How many subsets does a set A of n elements have?

- ▶ **Induction**
- ▶ **Product principle**: two choices for each element, hence $2 \cdot 2 \cdots 2 \cdot 2$ (n -times).
- ▶ **Bijection**: between $\mathcal{P}(X)$ and n -length $\{0, 1\}$ -sequences.
- ▶ **Sum principle**: Subsets of size 0 + subsets of size 1 + \dots + subsets of size n = Total number of subsets.

Next: Recurrence relations and generating functions

Recall: No. of subsets of a set of n elements

How many subsets does a set A of n elements have?

- ▶ **Induction**
- ▶ **Product principle**: two choices for each element, hence $2 \cdot 2 \cdots 2 \cdot 2$ (n -times).
- ▶ **Bijection**: between $\mathcal{P}(X)$ and n -length $\{0, 1\}$ -sequences.
- ▶ **Sum principle**: Subsets of size 0 + subsets of size 1 + \dots + subsets of size n = Total number of subsets.
- ▶ **Recurrence**: $F(n) = 2 \cdot F(n - 1)$, $F(0) = 1$.

Next: Recurrence relations and generating functions

Recall: No. of subsets of a set of n elements

How many subsets does a set A of n elements have?

- ▶ **Induction**
- ▶ **Product principle**: two choices for each element, hence $2 \cdot 2 \cdots 2 \cdot 2$ (n -times).
- ▶ **Bijection**: between $\mathcal{P}(X)$ and n -length $\{0, 1\}$ -sequences.
- ▶ **Sum principle**: Subsets of size 0 + subsets of size 1 + \dots + subsets of size n = Total number of subsets.
- ▶ **Recurrence**: $F(n) = 2 \cdot F(n - 1), F(0) = 1$.

Next: Recurrence relations and generating functions

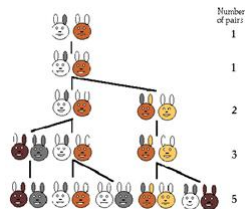
Recall: No. of subsets of a set of n elements

How many subsets does a set A of n elements have?

- ▶ **Induction**
- ▶ **Product principle**: two choices for each element, hence $2 \cdot 2 \cdots 2 \cdot 2$ (n -times).
- ▶ **Bijection**: between $\mathcal{P}(X)$ and n -length $\{0, 1\}$ -sequences.
- ▶ **Sum principle**: Subsets of size 0 + subsets of size 1 + \dots + subsets of size n = Total number of subsets.
- ▶ **Recurrence**: $F(n) = 2 \cdot F(n - 1), F(0) = 1$.

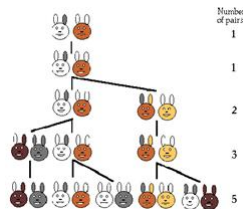
How do we form recurrences and how do we solve them?

Another example of recurrence: The Fibonacci Sequence



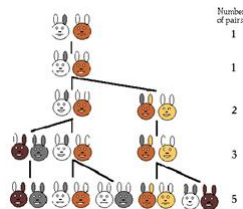
- Fibonacci sequence: $1, 1, 2, 3, 5, 8, 13, 21, \dots$

Another example of recurrence: The Fibonacci Sequence



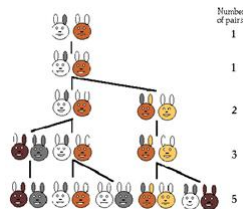
- ▶ Fibonacci sequence: $1, 1, 2, 3, 5, 8, 13, 21, \dots$
- ▶ Recurrence relation: $u_n = u_{n-1} + u_{n-2}$ where $u_1 = u_0 = 1$

Another example of recurrence: The Fibonacci Sequence



- ▶ Fibonacci sequence: $1, 1, 2, 3, 5, 8, 13, 21, \dots$
- ▶ Recurrence relation: $u_n = u_{n-1} + u_{n-2}$ where $u_1 = u_0 = 1$
- ▶ But rabbits die!

Another example of recurrence: The Fibonacci Sequence



- ▶ Fibonacci sequence: $1, 1, 2, 3, 5, 8, 13, 21, \dots$
- ▶ Recurrence relation: $u_n = u_{n-1} + u_{n-2}$ where $u_1 = u_0 = 1$
- ▶ But rabbits die!
- ▶ Consider $u_n = u_{n-1} + u_{n-2} - u_{n-3}$ where $u_2 = 2, u_1 = u_0 = 1$

Recurrence and linear recurrence relations

Definition

- ▶ A **recurrence relation** for a sequence is an equation that expresses its n^{th} term using one or more of the previous terms of the sequence.

Recurrence and linear recurrence relations

Definition

- ▶ A **recurrence relation** for a sequence is an equation that expresses its n^{th} term using one or more of the previous terms of the sequence.
- ▶ A **linear recurrence relation** is of the form

$$u_n = a_{k-1}u_{n-1} + \dots + a_1u_{n-k+1} + a_0u_{n-k}$$

where $a_0, \dots, a_{k-1} \in \mathbb{R}, k \in \mathbb{N}$ are constants.

Recurrence and linear recurrence relations

Definition

- ▶ A **recurrence relation** for a sequence is an equation that expresses its n^{th} term using one or more of the previous terms of the sequence.
- ▶ A **linear recurrence relation** is of the form

$$u_n = a_{k-1}u_{n-1} + \dots + a_1u_{n-k+1} + a_0u_{n-k}$$

where $a_0, \dots, a_{k-1} \in \mathbb{R}, k \in \mathbb{N}$ are constants.

- ▶ k is called the **degree/depth** of the sequence.

Recurrence and linear recurrence relations

Definition

- ▶ A **recurrence relation** for a sequence is an equation that expresses its n^{th} term using one or more of the previous terms of the sequence.
- ▶ A **linear recurrence relation** is of the form

$$u_n = a_{k-1}u_{n-1} + \dots + a_1u_{n-k+1} + a_0u_{n-k}$$

where $a_0, \dots, a_{k-1} \in \mathbb{R}, k \in \mathbb{N}$ are constants.

- ▶ k is called the **degree/depth** of the sequence.
- ▶ The first few (e.g., k elements u_0, \dots, u_{k-1}) are called **initial conditions**

Recurrence and linear recurrence relations

Definition

- ▶ A **recurrence relation** for a sequence is an equation that expresses its n^{th} term using one or more of the previous terms of the sequence.
- ▶ A **linear recurrence relation** is of the form

$$u_n = a_{k-1}u_{n-1} + \dots + a_1u_{n-k+1} + a_0u_{n-k}$$

where $a_0, \dots, a_{k-1} \in \mathbb{R}, k \in \mathbb{N}$ are constants.

- ▶ k is called the **degree/depth** of the sequence.
- ▶ The first few (e.g., k elements u_0, \dots, u_{k-1}) are called **initial conditions**
- ▶ Once the **initial conditions** and **recurrence relation** are given, the entire sequence is determined!

Applications of recurrences

Analysis of running time of algorithms

- ▶ How many comparisons is done by a binary search algorithm on a sorted list?

Applications of recurrences

Analysis of running time of algorithms

- ▶ How many comparisons is done by a binary search algorithm on a sorted list?

Mathematical Biology

- ▶ Population dynamics

Applications of recurrences

Analysis of running time of algorithms

- ▶ How many comparisons is done by a binary search algorithm on a sorted list?

Mathematical Biology

- ▶ Population dynamics

Digital Signal Processing

- ▶ Modeling feedback in a system; outputs at time i become inputs at time $i+1$

Applications of recurrences

Analysis of running time of algorithms

- ▶ How many comparisons is done by a binary search algorithm on a sorted list?

Mathematical Biology

- ▶ Population dynamics

Digital Signal Processing

- ▶ Modeling feedback in a system; outputs at time i become inputs at time $i+1$

Macroeconomics

- ▶ Time series data
- ▶ Mathematical models of economic systems

Exercise!

How many bit strings of length n are there that do not have two consecutive 0's?

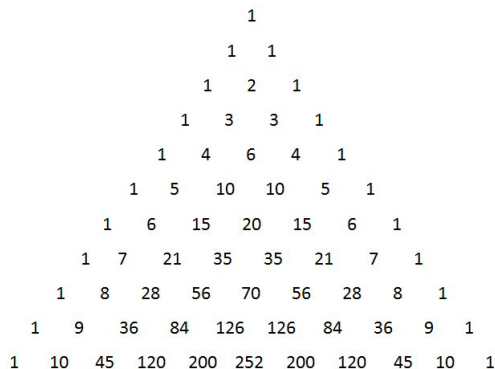
- ▶ Find a recurrence relation for this
- ▶ Give the initial conditions
- ▶ How many such bit strings are there of length 7?

Some more examples of recurrences

How many bit strings of length n are there that do not have two consecutive 0's?

- ▶ Find a recurrence relation for this
- ▶ Give the initial conditions
- ▶ How many such bit strings are there of length 7?

An aside: find the Fibonacci sequence!



- ▶ $F(n) = F(n-1) + F(n-2)$.
- ▶ $1, 1, 2, 3, 5, 8, 13, \dots$
- ▶ Can you observe the sum of which terms in the Pascal's triangle gives rise to the terms of the Fibonacci sequence?