# An Introduction to Machine Translation

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### Agenda

- What is Machine Translation & why is it interesting?
- Machine Translation Paradigms
- Word Alignment
- Phrase-based SMT
- Extensions to Phrase-based SMT
  - Addressing Word-order Divergence
  - Addressing Morphological Divergence
  - Handling Named Entities
- Syntax-based SMT
- Machine Translation Evaluation
- Summary

Statistical Machine Translation

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# What is Machine Translation?

Automatic conversion of text/speech from one natural language to another

Be the change you want to see in the world

वह परिवर्तन बनो जो संसार में देखना चाहते हो



# Machine Translation Usecases

#### Government

- Administrative requirements
- Education
- Security

#### Enterprise

- Product manuals
- Customer support

### Social

- Travel (signboards, food)
- Entertainment (books, movies, videos)

#### Translation under the hood

- Cross-lingual Search
- Cross-lingual Summarization
- Building multilingual dictionaries

Any multilingual NLP system will involve some kind of machine translation at some level

# Why should you study Machine Translation?

- One of the most challenging problems in Natural Language Processing
- Pushes the boundaries of NLP
- Involves analysis as well as synthesis
- Involves all layers of NLP: morphology, syntax, semantics, pragmatics, discourse
- Theory and techniques in MT are applicable to a wide range of other problems like transliteration, speech recognition and synthesis

# Why is Machine Translation interesting?

Language Divergence  $\rightarrow$  the great diversity among languages of the world

The central problem of MT is to bridge this language divergence



#### Free (Hindi) vs rigid (English) word order

पिछला विश्व कप जर्मनी ने जीता था (correct)

The last World Cup Germany won(grammatically incorrect)The last World Cup won Germany(meaning changes)

#### **Analytic vs Polysynthetic languages**

Analytic (Chinese)  $\rightarrow$  very few morphemes per word, no inflections Polysynthetic (Finnish) $\rightarrow$  many morphemes per word, no inflections

English: Even if it does not rain

Malayalam:  $\mathscr{D}\mathscr{S}$ 

(rain\_noun shower\_verb+not+even\_if+then\_also)

#### Inflectional systems [infixing (Arabic), fusional (Hindi), agglutinative (Marathi)]

<u>Arabic</u>

k-t-b: root word
katabtu: I wrote
kattabtu: I had (something) written
kitaab: book
kotub: books

#### <u>Hindi</u>

Jaaunga (1<sup>st</sup> per, singular, masculine) Jaaoge (2<sup>nd</sup> per) Jaayega (3<sup>rd</sup> per, singular, masculine) Jaayenge (3<sup>rd</sup> per, plural)

Marathi कपाटावरील: कपाट + वर + ईल (the one over the cupboard) दारावरीलः दार + वर + ईल (the one over the door) दारामागील: दार + मागे + ईल (the one behind the door)

#### **Different ways of expressing same concept**

water -> पानी, जल, नीर

#### Language registers

Formal: आप बैठिये Informal: तू बैठ Standard : मुझे डोसा चाहिए Dakhini: मेरे को डोसा होना

- Case marking systems
- Categorical divergence
- Null Subject Divergence
- Pleonastic Divergence

... and much more

# Why is Machine Translation difficult?

### • Ambiguity

- o Same word, multiple meanings: मंत्री (minister or chess piece)
- o Same meaning, multiple words: जल, पानी, नीर (water)

### • Word Order

- Underlying deeper syntactic structure
- Phrase structure grammar?
- Computationally intensive

#### • Morphological Richness

o Identifying basic units of words

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### Approaches to build MT systems



### Rule-based MT

- Rules are written by *linguistic experts* to analyze the source, generate an intermediate representation, and generate the target sentence
- Depending on the depth of analysis: interlingua or transfer-based MT

#### Interlingua based MT



language independent representation

Transfer based MT



Source language

Partial analysis, partial disambiguation and a bridge intermediate representation

### Vauquois Triangle

Translation approaches can be classified by the depth of linguistic analysis they perform



### Problems with rule-based MT

- Required linguistic expertise to develop systems
- Maintenance of system is difficult
- Difficult to handle ambiguity
- Scaling to a large number of language pairs is not easy

### Example-based MT

*Translation by analogy*  $\Rightarrow$  *match parts of sentences to known translations and then combine* 

**Input:** He buys a book on international politics



- 1. Phrase fragment matching: (data-driven) he buys a book international politics
- Translation of segments: (data-driven) वह खरीदता है एक किताब अंतर राष्ट्रीय राजनीति
- 3. Recombination: (human crafted rules/templates) वह अंतर राष्ट्रीय राजनीति पर एक किताब खरीदता है

- Partly rule-based, partly data-driven.
- Good methods for matching and large corpora did not exist when proposed

### Approaches to build MT systems



# Statistical Machine Translation

A Probabilistic Formalism

#### Let's formalize the translation process

We will model translation using a probabilistic model. Why?

- We would like to have a measure of confidence for the translations we learn
- We would like to model uncertainty in translation



*Model*: a simplified and idealized understanding of a physical process

We must first explain the process of translation

We explain translation using the Noisy Channel Model



#### Why use this counter-intuitive way of explaining translation?

- Makes it easier to mathematically represent translation and learn probabilities
- Fidelity and Fluency can be modelled separately

We have already seen how to learn n-gram language models

Let's see how to learn the translation model  $\rightarrow P(f|e)$ 

That is the task of word alignment

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### Given a parallel sentence pair, find word level correspondences



### But there are multiple possible alignments

#### **Sentence 1**



With one sentence pair, we cannot find the correct alignment

### Can we find alignments if we have multiple sentence pairs?

#### **Sentence 2**





Yes, let's see how to do that ...

Parallel Corpus	
A boy is sitting in the kitchen	एक लडका रसोई में बैठा है
A boy is playing tennis	एक लडका टेनिस खेल रहा है
A boy is sitting on a round table	एक लडका एक गोल मेज पर बैठा है
Some men are watching tennis	कुछ आदमी टेनिस देख रहे है
A girl is holding a black book	एक लडकी ने एक काली किताब पकडी है
Two men are watching a movie	दो आदमी चलचित्र देख रहे है
A woman is reading a book	एक औरत एक किताब पढ रही है
A woman is sitting in a red car	एक औरत एक काले कार मे बैठी है

Parallel Corpus	
A boy is <b>sitting</b> in the kitchen	एक लडका रसोई में <b>बैठा</b> है
A boy is playing <b>tennis</b>	एक लडका <b>टेनिस</b> खेल रहा है
A boy is <b>sitting</b> on a round table	एक लडका एक गोल मेज पर <mark>बैठा</mark> है
Some men are watching tennis	कुछ आदमी टेनिस देख रहे है
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A woman is <b>sitting</b> in a red car	एक औरत एक काले कार मे बैठा है

#### Key Idea

Co-occurrence of translated words

Words which occur together in the parallel sentence are likely to be translations (higher P(f|e))

### If we knew the alignments, we could compute P(f|e)



# But, we can find the best alignment only if we know the word translation probabilities

The best alignment is the one that maximizes the sentence translation probability

This is a chicken and egg problem! How do we solve this?

### We can solve this problem using a two-step, iterative process

Start with random values for word translation probabilities

Step 1: Estimate alignment probabilities using word translation probabilities

Step 2: Re-estimate word translation probabilities

- We don't know the best alignment
- So, we consider all alignments while estimating word translation probabilities

- Instead of taking only the best alignment, we consider all alignments and weigh the word alignments with the alignment probabilities

$$P(f|e) = \frac{expected \ \#(f,e)}{expected \ \#(*,e)}$$

Repeat Steps (1) and (2) till the parameters converge

### At the end of the process ....

#### **Sentence 2**



### Is the algorithm guaranteed to converge?

That's the nice part  $\rightarrow$  it is guaranteed to converge

This is an example of the well known Expectation-Maximization Algorithm

However, the problem is highly non-convex

Will lead to local minima

Good modelling assumptions necessary to ensure a good solution

### IBM Models

- IBM came up with a series of increasingly complex models
- Called Models 1 to 5
- Differed in assumptions about alignment probability distributions
- Simper models are used to initialize the more complex models
- This pipelined training helped ensure better solutions

### IBM Model 1

Assumption: All alignments are equally likely

*E-step* computes expected counts

$$c(f|e; \mathbf{f}, \mathbf{e}) = \frac{t(f|e)}{t(f|e_0) + \dots + t(f|e_l)} \underbrace{\sum_{j=1}^m \delta(f, f_j)}_{\text{count of } f \text{ in } \mathbf{f}} \underbrace{\sum_{i=0}^l \delta(e, e_i)}_{\text{count of } f \text{ in } \mathbf{f}}$$

count of e in e

*M-step* uses expected counts to compute translation probabilities

$$t(f|e) = \lambda_e^{-1} \sum_{s=1}^{S} c(f|e; \mathbf{f}^{(s)}, \mathbf{e}^{(s)}).$$
  
$$\lambda_e = \sum_{s=1}^{S} \sum_{f \in Vocab(F)} c(f|e; \mathbf{f}^{(s)}, \mathbf{e}^{(s)}) \quad (normalization factor)$$
## Summary

- EM provides a semi-supervised method for learning word alignments and word translation probabilities
- Word translation probabilities can be used to extract a bilingual dictionary
- Avoids the new for word-aligned corpora
- If a few word-aligned sentences are available, discriminative alignment methods can improve upon the EM-based solution
  - Arbitrary features can be incorporated
  - Morphological information
  - Character level edit distance

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#### What is PB-SMT?

Why stop at learning word correspondences?

KEY IDEA → Use "Phrase" (Sequence of Words) as the basic translation unit Note: the term 'phrase' is not used in a linguistic sense

The Prime Minister of India	भारत के प्रधान मंत्री bhArata ke pradhAna maMtrI India of Prime Minister
is running fast	तेज भाग रहा है teja bhAg rahA hai fast run -continuous is
honoured with	से सम्मानित किया se sammanita kiyA with honoured did
Rahul lost the match	राहुल मुकाबला हार गया rAhula mukAbalA hAra gayA Rahul match lost

#### Benefits of PB-SMT

Local Reordering  $\rightarrow$  Intra-phrase re-ordering can be memorized

The Prime Minister of India	भारत के प्रधान मंत्री
	bhaarat ke pradhaan maMtrl
	India of Prime Minister

Sense disambiguation based on local context  $\rightarrow$  Neighbouring words help make the choice

heads towards Pune	पुणे की ओर जा रहे है pune ki or jaa rahe hai Pune towards go –continuous is
heads the committee	समिति की अध्यक्षता करते है Samiti kii adhyakshata karte hai committee of leading - verbalizer is

## Benefits of PB-SMT (2)

Handling institutionalized expressions

• Institutionalized expressions, idioms can be learnt as a single unit

hung assembly	त्रिशंकु विधानसभा trishanku vidhaansabha
Home Minister	गृह मंत्री gruh mantrii
Exit poll	चुनाव बाद सर्वेक्षण chunav baad sarvekshana

- Improved Fluency
  - The phrases can be arbitrarily long (even entire sentences)

## Mathematical Model

Let's revisit the decision rule for SMT model

$$\mathbf{e}_{\text{best}} = \operatorname{argmax}_{\mathbf{e}} p(\mathbf{e}|\mathbf{f})$$
$$= \operatorname{argmax}_{\mathbf{e}} p(\mathbf{f}|\mathbf{e}) p_{\text{LM}}(\mathbf{e})$$

Let's revisit the translation model p(f|e)

- Source sentence can be segmented in I phrases
- Then, *p*(**f**|**e**) can be decomposed as:



#### Learning The Phrase Translation Model

Involves Structure + Parameter Learning:

• Learn the Phrase Table: the central data structure in PB-SMT

The Prime Minister of India	भारत के प्रधान मंत्री
is running fast	तेज भाग रहा है
the boy with the telescope	दूरबीन से लड़के को
Rahul lost the match	राहुल मुकाबला हार गया

• Learn the Phrase Translation Probabilities

Prime Minister of India	भारत के प्रधान मंत्री India of Prime Minister	0.75
Prime Minister of India	भारत के भूतपूर्व प्रधान मंत्री India of former Prime Minister	0.02
Prime Minister of India	प्रधान मंत्री Prime Minister	0.23

#### Learning Phrase Tables from Word Alignments

- Start with word alignments
- Word Alignment : reliable input for phrase table learning
  - high accuracy reported for many language pairs
- Central Idea: A consecutive sequence of aligned words constitutes a "phrase pair"

	Prof	C.N.R.	Rao	was	honoured	with	the	Bharat	Ratna
प्रोफेसर									
सी.एन.आर									
राव									
को									
भारतरत्न									
से									
सम्मानित									
किया									
गया									

#### Extracting Phrase Pairs

	Prof	C.N.R.	Rao	was	honoured	with	the	Bharat	Ratna
प्रोफेसर									
सी.एन.आर									
राव									
को									
भारतरत्न									
से									
सम्मानित									
किया									
गया									

## Phrase Pairs "consistent" with word alignment



Source: SMT, Phillip Koehn

#### Examples

	Prof	C.N.R.	Rao	was	honoured	with	the	Bharat	Ratna
प्रोफेसर									
सी.एन.आर									
राव									
को									
भारतरत्न						26 pł	nrase	pairs can	
स						ho o	vtrac	tod from	
सम्मानित						be e	xtrac	tea nom	$\sim$ $A$
ाकया							this t	able	
गया						<			
							5		
Professor CNR					प्रोफेसर स	ो.एन.3	भार		
Professor CNR R	ао				प्रोफेसर सी	ो.एन.3	भार र	राव	
Professor CNR R	ao was	;			प्रोफेसर स	ो.एन.3	भार र	राव	
Professor CNR R	ao was	5			प्रोफेसर स	ो.एन.3	भार ज	राव को	
honoured with t	he Bha	arat Ratna			भारतरत्न	से सम	-मानि	नेत	
honoured with the Bharat Ratna				भारतरत्न	भारतरत्न से सम्मानित किया				
honoured with t	he Bha	arat Ratna			भारतरत्न	से सम	-मानि	नेत किया	गया
honoured with t	he Bha	arat Ratna			को भारतर	.त्न से	सम	मानित वि	न्या गया

#### **Computing Phrase Translation Probabilities**

• Estimated from the relative frequency:

$$\phi(\bar{f}|\bar{e}) = \frac{\operatorname{count}(\bar{e},\bar{f})}{\sum_{\bar{f}_i} \operatorname{count}(\bar{e},\bar{f}_i)}$$

Prime Minister of India	भारत के प्रधान मंत्री India of Prime Minister	0.75
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Prime Minister of India	प्रधान मंत्री Prime Minister	0.23

### Discriminative Training of PB-SMT

- Directly model the posterior probability p(e|f)
- Use the Maximum Entropy framework

$$P(\mathbf{e}|\mathbf{f}) = \exp\left(\sum_{i} \lambda_{i} h_{i}(f_{1}^{I}, e_{1}^{J})\right)$$

$$e^* = \arg \max_{e_i} \sum_i \lambda_i h_i(f_1^I, e_1^J)$$

- $h_i$ (f,e) are feature functions ,  $\lambda_i$ 's are feature weights
- Benefits:
  - Can add arbitrary features to score the translations
  - Can assign different weight for each features
  - Assumptions of generative model may be incorrect

## More features for PB-SMT

- Inverse phrase translation probability ( $\phi(\bar{f}|\bar{e})$ )
- Lexical Weighting

$$\operatorname{lex}(\bar{e}|\bar{f},a) = \prod_{i=1}^{\operatorname{length}(\bar{e})} \frac{1}{|\{j|(i,j) \in a\}|} \sum_{\forall (i,j) \in a} w(e_i|f_j)$$

- *a:* alignment between words in phrase pair (ē, f)
- w(x/y): word translation probability
- Inverse Lexical Weighting
  - Same as above, in the other direction

## Tuning

- Learning feature weights from data  $\lambda_i$
- Minimum Error Rate Training (MERT)
- Search for weights which minimize the translation error on a held-out set (tuning set)
  - Translation error metric : (1 BLEU)



#### Typical SMT Pipeline



#### Decoding

Searching for the best translations in the space of all translations

$$e^* = \arg \max_{e_i} \sum_i \lambda_i h_i(f_1^I, e_1^J)$$

#### An Example of Translation



## Reality

- We picked the phrase translation that made sense to us
- The computer has less intuition
- Phrase table may give many options to translate the input sentence



## What is the challenge in decoding?

- The task of decoding in machine translation is to find the best scoring translation according to translation models
- Hard problem, since there is a exponential number of choices, given a specific input sentence
- Shown as an <u>NP complete</u> problem
- Need to come up with heuristic search methods
- No guarantee of finding the best translation



• Limit to the reordering window for efficiency

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#### We have looked at a basic phrase-based SMT system

This system can learn word and phrase translations from parallel corpora

But many important linguistic phenomena need to be handled

- Divergent Word Order
- Rich morphology
- Named Entities and Out-of-Vocabulary words

#### Getting word order right

Phrase based MT is not good at learning word ordering

Solution: Let's help PB-SMT with some preprocessing of the input

Change order of words in input sentence to match order of the words in the target language

Let's take an example

Bahubali earned more than 1500 crore rupee sat the boxoffice

Parse the sentence to understand its syntactic structure

Apply rules to transform the tree

 $VP \rightarrow VBD NP PP \Rightarrow VP \rightarrow PP NP VBD$ 

This rule captures Subject-Verb-Object to Subject-Object-Verb divergence



*Prepositions in English become postpositions in Hindi* 

 $PP \rightarrow IN NP \Rightarrow PP \rightarrow NP IN$ 



The new input to the machine translation system is

Bahubali the boxoffice at 1500 crore rupees earned

Now we can translate with little reordering बाहुबली ने बॉक्सओफिस पर 1500 करोड रुपए कमाए These rules can be written manually or learnt from parse trees Better methods exist for generating the correct word order

Incorporate learning of reordering is built into the SMT system

**Hierarchical PBSMT**  $\Rightarrow$  Provision in the phrase table for limited & simple reordering rules

**Syntax-based SMT** ⇒ Another SMT paradigm, where the system learns mappings of "treelets" instead of mappings of phrases

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#### Language is very productive, you can combine words to generate new words

#### Inflectional forms of the Marathi word घर

Hindi words with the suffix वाद

घर	house	
घरात	in the house	
घरावरती	on the house	
घराखाली	below the house	
घरामध्ये	in the house	
घरामागे	behind the house	
घराचा	of the house	
घरामागचा	that which is behind the house	
घरासमोर	in front of the house	
घरासमोरचा	that which is in front of the house	
घरांसमोर	in front of the houses	

साम्यवाद	communism
समाजवाद	socialism
पूंजीवाद	capitalism
जातीवाद	casteism
साम्राज्यवाद	imperialism

*The corpus should contains all variants to learn translations* 

This is infeasible!

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#### Inflectional forms of the Marathi word घर

Hindi words with the suffix वाद

घर	house
<u>घर ा त</u>	in the house
घर ा वरती	on the house
घर ा खाली	below the house
घर ा मध्ये	in the house
घर ा मागे	behind the house
घर ा चा	of the house
घर ा माग चा	that which is behind the house
घर ा समोर	in front of the house
घर ा समोर चा	that which is in front of the house
घर ा ं समोर	in front of the houses

साम्य वाद	communism
समाज वाद	socialism
पूंजी वाद	capitalism
जाती वाद	casteism
साम्राज्य वाद	imperialism

- Break the words into its component morphemes
- Learn translations for the morphemes
- Far more likely to find morphemes in the corpus

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- Rich morphology
- Named Entities and Out-of-Vocabulary words

Some words not seen during train will be seen at test time

These are out-of-vocabulary (OOV) words

Names are one of the most important category of OOVs ⇒ There will always be names not seen during training

How do we translate names like Sachin Tendulkar to Hindi? What we want to do is map the Roman characters to Devanagari to they sound the same when read → सचिन तेंदुलकर → We call this process **'transliteration'** 

#### How do we transliterate?

Convert a sequence of characters in one script to another script s a c h i n  $\rightarrow$  स च ि न

Isn't that a translation problem  $\rightarrow$  at the character level?

Albeit a simpler one,

- Smaller vocabulary
- No reordering
- Shorter segments

# Translation between Related Languages



Related languages may not belong to the same language family!

#### Key Similarities between related languages



**Syntactic:** share the same basic word order


These related languages are generally geographically contiguous



We want to be able to handle a large number of such languages e.g. 30+ languages with a speaker population of 1 million + in the Indian subcontinent

### Lexically Similar Languages (Many words having similar form and meaning)

#### Cognates

#### a common etymological origin

roTI (hi)	roTlA (pa)	bread
bhai (hi)	bhAU (mr)	brother

Loan Words

#### borrowed without translation

matsya (sa)	matsyalu (te)	fish
pazha.m (ta)	phala (hi)	fruit

#### Named Entities

#### do not change across languages

mu.mbal (hi)	mu.mbal (pa)	mu.mbal (pa)
keral (hi)	k.eraLA (ml)	keraL (mr)

#### Fixed Expressions/Idioms

#### MWE with non-compositional semantics

dAla me.n kuCha kAlA	(hi)	
honA		Something fishy
dALa mA kAlka kALu hovu	(gu)	

Translation at subword level which exploits lexical similarity

#### What is a good unit of representation?

Let's take the word **EDUCATION** as an example

#### <u>Character</u>: EDUCATION

ambiguity in character mappings



<u>Character n-gram</u>: ED UC AT IO N

*Vocabulary size explodes for n>2* 

#### Adapting SMT for subword-level translation

Tune at the word-level (Tiedemann, 2012)



### Agenda

- What is Machine Translation & why is it interesting?
- Machine Translation Paradigms
- Word Alignment
- Phrase-based SMT
- Extensions to Phrase-based SMT
  - Addressing Word-order Divergence
  - Addressing Morphological Divergence
  - Handling Named Entities
- <u>Syntax-based SMT</u>
- Machine Translation Evaluation
- Summary

# Problems with Phrase Based models

- Heavy reliance on lexicalization
  - Direct Translation method
  - No generalization
  - Lot of data is required

For similar sentences, sometimes reordering occurs, sometimes it does not

Correct reordering Oracle bought Sun Microsystems in 2010 ओरेकल 2010 में सन माइक्रोसिस्टम्स को खरीदा

Incorrect Reordering IBM approached Sun Microsystems in 2008 आईबीएम दरवाजा खटखटाया 2008 में सन माइक्रोसिस्टम्स का

#### Problems with Phrase Based models (2)

- Learning is very local in nature
  - Local reordering, sense disambiguation learnt
  - Phenomena like word order divergence, recursive structure are non-local

Word order divergence (SVO-SOV) is not learnt

[The USA] [is not engaging] [in war] [with Iran] [अमरीका] [संलग्न नहीं है] [युद्ध में] [ईरान के साथ]

#### Recursive structure: phrase boundaries are not maintained

[[It is necessary [that the person [who is travelling for the conference]] should get approval prior to his departure]] यह सम्मेलन के लिए यात्रा कर रहा है, जो व्यक्ति पहले अपने प्रस्थान से अनुमोदन प्राप्त करना चाहिए कि आवश्यक है

### Tree based models

- Source and/or Target sentences are represented as trees
- Translation as Tree-to-Tree Transduction
  - As opposed to string-to-string transduction in PB-SMT
- Parsing as Decoding
  - Parsing of the source language sentence produces the target language sentences

### Example



Source Tree

Target Tree

# Why tree based model?

- Natural language sentences have a tree-like structure
- Syntax based Reordering
- Source side tree: guides decoding by constraining the possible rules that can be applied
- Target side tree ensures grammatically correct output

### Different flavours of tree-based models



Slide from Amr Ahmed

# Synchronous Context Free Grammar

- Fundamental formal tool for Tree-based translation models
- An enhanced Context Free Grammar for generating two related strings instead of one
- Alternatively, SCFG defines a tree transducer

# Definition

S  $\rightarrow$  NP VP VP  $\rightarrow$  V VP  $\rightarrow$  V NP VP  $\rightarrow$  VP NP PP NP  $\rightarrow$  NN NN  $\rightarrow$  market S → < NP<sub>1</sub> VP<sub>2</sub>, NP<sub>1</sub> VP<sub>2</sub>> VP → < V<sub>1</sub>, V<sub>1</sub> > VP → < V<sub>1</sub> NP<sub>2</sub>, NP<sub>2</sub> V<sub>1</sub> > VP → < V<sub>1</sub> NP<sub>2</sub> PP<sub>3</sub>, PP<sub>3</sub> NP<sub>2</sub> V<sub>1</sub> > NP → < NN<sub>1</sub>, NN<sub>1</sub> > NN → < market, बाजार >

CFG

SCFG

#### • Differences of SCFG from CFG:

- 2 components on the RHS of production rule
- Same number of non-terminals
- Non-terminals have one-one correspondence (index-linked)

### Example



Source Tree

Target Tree

### Example SCFG for English-Hindi

- 1. S  $\rightarrow$  < NP<sub>1</sub> VP<sub>2</sub>, NP<sub>1</sub> VP<sub>2</sub> >
- 2.  $VP \rightarrow \langle V_1, V_1 \rangle$
- 3.  $VP \rightarrow \langle V_1 NP_2, NP_2 V_1 \rangle$
- 4.  $VP \rightarrow \langle V_1 NP_2 PP_3 , PP_3 NP_2 V_1 \rangle$
- 5.  $NP \rightarrow \langle NN_1, NN_1 \rangle$
- 6.  $NP \rightarrow < PRP_1, PRP_1 >$
- 7.  $PP \rightarrow \langle IN_1 NP_2, NP_2 IN_1 \rangle$

- 8. NN  $\rightarrow$  < market , बाजार >
- 9. NN → < pen , कलम >
- 10. PRP → < I , मैंने >
- 11. V → < bought, खरीदी >
- 12. IN → < from , से >
- 13. DT  $\rightarrow$  < the ,  $\epsilon$  >
- 14. DT  $\rightarrow$  < a , $\epsilon$  >

#### Derivation

#### Parsing as Decoding!

#### • S

- < NP<sub>1</sub> VP<sub>2</sub>, NP<sub>1</sub> VP<sub>2</sub>>
- < NP<sub>1</sub> VP<sub>2</sub>, NP<sub>1</sub> VP<sub>2</sub>>
- < PRP<sub>3</sub> VP<sub>2</sub>, PRP<sub>3</sub> VP<sub>2</sub>>
- < I VP<sub>2</sub> , मैंने VP<sub>2</sub> >
- < I V<sub>3</sub> NP<sub>4</sub> PP<sub>5</sub> , मैंने PP<sub>5</sub> NP<sub>4</sub> V<sub>3</sub>>
- < I bought NP4 PP5 , मैंने PP5 NP4 खरीदी >
- < I bought DT<sub>6</sub> NN<sub>7</sub> PP<sub>5</sub> , मैंने PP<sub>5</sub> DT<sub>6</sub> NN<sub>7</sub> खरीदी >
- < I bought a NN7 PP5 , मैंने PP5 NN7 खरीदी >
- < I bought a pen PP5 , मैंने PP5 कलम खरीदी>
- < I bought a pen IN<sub>8</sub> NP<sub>9</sub>, मैंने NP<sub>9</sub> IN<sub>8</sub> कलम खरीदी>
- < I bought a pen from NP9, मैंने NP9 से कलम खरीदी>
- < I bought a pen from DT<sub>10</sub> NN<sub>11</sub> , मैंने DT<sub>10</sub> NN<sub>11</sub> से कलम खरीदी >
- < I bought a pen from the NN<sub>11</sub> , मैंने NN<sub>11</sub> से कलम खरीदी >
- < I bought a pen from the market, मैंने बाजार से कलम खरीदी >

# Reordering and Relabeling among Child Nodes

- The only operations a SCFG allows is:
  - reordering among child nodes

 $VP \rightarrow < V_1 NP_2 PP_3$ ,  $PP_3 NP_2 V_1 >$ 

• Re-labelling of nodes

 $VP \rightarrow \langle V_1 NP_2 PP_3, PREPP_3 NP_2 V_1 \rangle$  $PP/PREPP \rightarrow \langle IN_1 NP_2, NP_2 IN_2 \rangle$ 

- The condition is overly restrictive, hardly any pair of languages would follow such a grammar
  - Useful for representing non-linguistic formalisms like hierarchical model, Inverse Transduction Grammar
- Other tree-based models like Tree Substitution Grammars are more powerful

# Hierarchical Phrase Based Models

- Learns a SCFG purely from data
  - no source, target side parsers used
- Learns an undifferentiated grammar
  - Grammar does not have notion of different types of non-terminals (eg. NP, VP, etc.)
  - Only one type of non-terminal, called X
- Production rules are of the form

 $X \rightarrow <\alpha X_{1} \beta X_{2} \gamma X_{3} , X_{2} \alpha' \beta' X_{3} X_{1} >$ 

• Useful in generalizing learning of reordering among phrases

### The SCFG for the Hierarchical Model

• A rule is of the form:

$$X \to \langle \gamma, \alpha, \sim \rangle$$

where, ~ is one-one correspondence between non-terminals

#### $X \rightarrow < with X_1, X_1 क साथ >$

• In addition, there are "glue" rules for the initial state

$S \rightarrow \langle S_1 X_2, S_1 X_2 \rangle$	$\mathbf{S} \rightarrow \langle \mathbf{S}_{1} \mathbf{X}_{2}, \mathbf{S}_{1} \mathbf{X}_{2} \rangle$
$S \rightarrow \langle X_{1}, X_{1} \rangle$	$S \rightarrow \langle X_{1}, X_{1} \rangle$

# Formal, Not Linguistic

- "Formal", but not linguistic
  - The SCFG grammar does not correspond to any natural language
  - "non-linguistic" phrases (not words) as basic units
- The HPBSMT model defines a formal SCFG model for reordering of these "phrases" in PBMST
- A custom designed engineering solution for a purpose

# Example of rule generation

	Prof	C.N.R.	Rao	was	honoured	with	the	Bharat	Ratna	
प्रोफेसर										
सी.एन.आर										
राव										
को										F
भारतरत्न										
से										
सम्मानित										
किया										
गया										

#### **Extracted Phrase alignments**

#### **Extracted Rules**

Phrase Pair	Extracted Rule
(was honoured, सम्मानित किया गया)	X → < was X <sub>1</sub> , X <sub>1</sub> किया गया >
(with the Bharat Ratna, भारतरत्न से)	$X \rightarrow < with X_1, X_1 + X_2$
(was honoured with the Bharat Ratna, भारतरत्न से सम्मानित किया गया)	$X \rightarrow$ < was X <sub>1</sub> with X <sub>2</sub> , X <sub>2</sub> से X <sub>1</sub> किया गया >

# Summary

- Tree based models can better handle syntactic phenomena like reordering, recursion
- Basic formalism: Synchronous Context Free Grammar
- Decoding: Parsing on the source side
  - CYK Parsing
  - Integration of the language model presents challenge
- Parsers required for learning syntax transfer
- Without parsers, some weak learning is possible with hierarchical PBSMT

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### Motivation

- How do we judge a good translation?
- Can a machine do this?
- Why should a machine do this?
  - Because human evaluation is time-consuming and expensive!
  - Not suitable for rapid iteration of feature improvements

# What is a good translation?

Evaluate the quality with respect to:

- Adequacy: How good the output is in terms of preserving content of the source text
- Fluency: How good the output is as a well-formed target language entity

#### For example, I am attending a lecture

में एक व्याख्यान बैठा हूँ Main ek vyaakhyan baitha hoon I a lecture sit (Present-first person) I sit a lecture : Adequate but not fluent मैं व्याख्यान हूँ Main vyakhyan hoon I lecture am I am lecture: Fluent but not adequate.

#### Human Evaluation

#### **Common techniques:**

- 1. Assigning fluency and adequacy scores (Direct Assessment)
- 2. Ranking translated sentences relative to each other (Relative Ranking)

### Direct Assessment

#### How do you rate your Olympic experience?

- Reference

#### How do you value the Olympic experience?

- Candidate translation

#### Adequacy:

is the meaning translated correctly?

5 = AII

- 4 = Most
- 3 = Much
- 2 = Little
- 1 = None

- Average score over the entire test set
- Gives a sense of the absolute translation quality
- Evaluators use their own perception to rate
- Often adequacy/fluency scores correlate: undesirable

#### Fluency:

Is the sentence grammatically valid?

- 5 = Flawless
- 4 = Good
- 3 = Non-native
- 2 = Disfluent
- 1 = Incomprehensible

# **Ranking Translations**





 $\begin{array}{l} A > B, A = F, A > H, A < J \\ B < F, B < H, B < J \\ F > H, F < J \\ H < J \end{array}$ 

Wins $(S_i, S_j)$  = number of times system  $S_i$  is ranked better than system  $S_j$ 

$$\operatorname{score}(S_i) = \frac{1}{|\{S\}|} \sum_{S_j \neq S_i} \frac{\operatorname{wins}(S_i, S_j)}{\operatorname{wins}(S_i, S_j) + \operatorname{wins}(S_j, S_i)}$$

- Can provide only relative quality judgments
- Faster to collect data than judgments than Direct Assessment
- Correlates well with direct assessment
- Another popular adaptive algorithm: Trueskill

# Automatic Evaluation

The closer a machine translation is to a professional human translation, the better it is.

- Given: A corpus of good quality human reference translations
- Output: A numerical "translation closeness" metric
- Given (ref,sys) pair, score = f(ref,sys)  $\rightarrow \mathbb{R}$  where,

sys (candidate Translation): Translation returned by an MT system ref (reference Translation): 'Perfect' translation by humans Multiple references are better

# Some popular automatic evaluation metrics

- BLEU (Bilingual Evaluation Understudy)
- TER (Translation Edit Rate)
- METEOR (Metric for Evaluation of Translation with Explicit Ordering)





# BLEU

- Most popular MT evaluation metric
- Requires only reference translations
  - No additional resources required
- Precision-oriented measure
- Difficult to interpret absolute values
- Useful to compare two systems

# Formulating BLEU (Step 1): Precision

I had lunch now.

Reference 1: मैने अभी खाना खाया maine abhi khana khaya I now food ate I ate food now. Reference 2 : मैने अभी भोजन किया maine abhi bhojan kiyaa I now meal did I did meal now

#### Candidate 1: मैने अब खाना खाया

maine ab khana khaya I now food ate I ate food now matching unigrams: 3, matching bigrams: 1

#### Candidate 2: मैने अभी लंच एट

maine abhi lunch ate. I now lunch ate I ate lunch(OOV) now(OOV) matching unigrams: 2,

matching bigrams: 1

```
Unigram precision: Candidate 1: 3/4 =0.75, Candidate 2: 2/4 = 0.5
Bigram precision: Candidate 1: 0.33, Candidate 2 = 0.33
```

# Precision: Not good enough

Reference: मुझ पर तेरा सुरूर छाया

mujh-par tera suroor chhaaya me-on your spell cast Your spell was cast on me

#### Candidate 1: मेरे तेरा सुरूर छाया

matching unigram: 3

mere tera suroor chhaaya my your spell cast Your spell cast my

Candidate 2: तेरा तेरा तेरा सुरूर

matching unigrams: 4

tera tera tera suroor your your your spell

Unigram precision: Candidate 1: 3/4 = 0.75, Candidate 2: 4/4 = 1

# Formulating BLEU (Step 2): Modified Precision

- Clip the total count of each candidate word with its maximum reference count
- Count<sub>clip</sub>(n-gram) = min (count, max\_ref\_count)

Reference: मुझ पर तेरा सुरूर छाया mujh-par tera suroor chhaaya me-on your spell cast Your spell was cast on me

Candidate 2: तेरा तेरा तेरा सुरूर tera tera tera suroor your your your spell

 matching unigrams: (तेरा : min(3, 1) = 1) (सुरूर : min (1, 1) = 1)
 Modified unigram precision: 2/4 = 0.5
# Recall for MT (1/2)

- Candidates shorter than references
- Reference: क्या ब्लू लंबे वाक्य की गुणवत्ता को समझ पाएगा?

kya blue lambe vaakya ki guNvatta ko samajh paaega?

```
Will blue long sentence-of quality (case-marker) understandable(III-person-male-singular)?
```

Will blue be able to understand quality of long sentence?

Candidate: लंबे वाक्य

lambe vaakya

long sentence

long sentence

modified unigram precision: 2/2 = 1modified bigram precision: 1/1 = 1

modified bigram precision:

# Recall for MT (2/2)

- Candidates longer than references
- Reference 1: मैने भोजन किया

maine bhojan kiyaa

I meal did

I had meal

Reference 2: मैने खाना खाया maine khaana khaaya I food ate I ate food

Candidate 1: मैने खाना भोजन किया maine khaana bhojan kiya I food meal did I had food meal Candidate 2: मैने खाना खाया maine khaana khaaya I food ate I ate food

Modified unigram precision: 1

Modified unigram precision: 1

# Formulating BLEU (Step 3): Incorporating recall

- Sentence length indicates 'best match'
- Brevity penalty (BP):
  - Multiplicative factor
  - Candidate translations that match reference translations in length must be ranked higher

Candidate 1: लंबे वाक्य

#### Formulating BLEU (Step 3): Brevity Penalty

$$BP = \begin{cases} 1 & \text{if } c > r \\ e^{(1-r/c)} & \text{if } c \le r \end{cases}$$



Graph drawn using www.fooplot.com

### BP leaves out longer translations

#### Why?

Translations longer than reference are already penalized by modified precision

$$p_n = \frac{\sum_{C \in \{Candidates\}} \sum_{n-gram \in C} Count_{clip}(n-gram)}{\sum_{C' \in \{Candidates\}} \sum_{n-gram' \in C'} Count(n-gram')}$$

Formula from [2]

#### **BLEU** score

Precision -> Modified n-Recall -> Brevity Penalty gram precision  $p_n = \frac{\sum_{C \in \{Candidates\}} \sum_{n-gram \in C} C}{\sum_{C \in \{Candidates\}} \sum_{n-gram \in C} C}$ *Count<sub>clip</sub>*(*n*-gram)  $BP = \begin{cases} 1 & \text{if } c > r \\ e^{(1-r/c)} & \text{if } c \le r \end{cases}$  $\Sigma$  Count(n-gram')  $C' \in \{Candidates\} n-gram' \in C'$ BLEU= BP  $\cdot \exp\left(\sum_{n=1}^{N} w_n \log p_n\right)$ 

Formula from [2]

### METEOR: Criticisms of BLEU

- Brevity penalty is not a good measure of recall
- Higher order n-grams may not indicate grammatical correctness of a sentence
- BLEU is often zero. Should a score be zero?



Aims to do better than BLEU

**Central idea**: Have a good unigram matching strategy





#### **METEOR: Process**

#### METEOR: The score

• Using unigram mappings, precision and recall are calculated. Then, harmonic mean:



## METEOR v/s BLEU

	METEOR	BLEU
Handling incorrect words	Alignment chunks. Matching can be done using different techniques: Adaptable	N-gram mismatch
Handling incorrect word order	Chunks may be ordered in any manner. METEOR does not capture this.	N-gram mismatch
Handling recall	Idea of alignment incorporates missing word handling	Precision cannot detect 'missing' words. Hence, brevity penalty!

Score = Fmean \* (1 - Penalty)



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- <u>Summary</u>

#### Summary

- Machine Translation is a challenging and exciting NLP problem
- Machine Translation is important to build multilingual NLP systems
- Rule-based systems provide principled linguistic approaches to build translation systems
- Statistical MT systems provide ways to handling uncertaintly
- Incorporating Neural Networks in SMT
  - Distributed Representations are a strength of neural network
  - Use NN-based LM and TM instead of discrete counterparts
- SMT and NMT
  - SMT is useful when corpora available is limited
  - SMT is useful for translation of rare words

# Thank you!

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The material in the presentation draws from an earlier tutorial I was part of. For a more comprehensive treatment of the material please refer to the tutorial on 'Machine learning for Machine Translation' at ICON 2013 conducted by Prof. Pushpak Bhattacharyya, Piyush Dungarwal, Shubham Gautam and me. You can find the tutorial slides here: <u>https://www.cse.iitb.ac.in/~anoopk/publications/presentations/icon 2013 smt tutorial slides.pdf</u>

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