

# Parameter Estimation for IBM Model 1 CS626/CS460

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# Training Objective

- ▶ The probability of a sentence translation is modelled in IBM Model 1 as:

$$Pr(\mathbf{f}|\mathbf{e}) = \frac{\varepsilon}{(I+1)^m} \sum_{a_1=0}^I \dots \sum_{a_m=0}^I \prod_{j=1}^m t(f_j|e_{a_j}) \quad (1)$$

- ▶ Use **Maximum Likelihood Estimation** to find the model parameters  $t(f|e)$
- ▶ For a single sentence in the corpus, the objective is:

$$\begin{aligned} & \max Pr(\mathbf{f}|\mathbf{e}) \\ \text{s.t. } & \sum_{f_i \in F} t(f_i|e) = 1 \quad \forall e \in E \end{aligned} \quad (2)$$

- ▶ There will be a constraint corresponding to every word in Vocabulary(language E)

## Maximizing the objective

The Lagrangian function for this objective can be written as

$$\mathcal{L}(t(f|e), \lambda_e) = \frac{\varepsilon}{(l+1)^m} \sum_{a_1=0}^l \cdots \sum_{a_m=0}^l \prod_{j=1}^m t(f_j|e_{a_j}) \quad (3)$$
$$- \sum_{e \in E} \lambda_e \left( \sum_{f_i \in F} t(f_i|e) - 1 \right)$$

Differentiating the Lagrangian w.r.t each  $t(f|e)$  gives us

$$\frac{\varepsilon}{(l+1)^m} \sum_{a_1=0}^l \cdots \sum_{a_m=0}^l \left( \sum_{j=1}^m \delta(f, f_j) \delta(e, e_{a_j}) \right) t(f|e)^{-1} \prod_{k=1}^m t(f_k|e_{a_k}) - \lambda_e \quad (4)$$

where  $\delta$  is defined as,

$$\begin{aligned} \delta(a, b) &= 1 && \text{if } a = b \\ &= 0 && \text{if } a \neq b \end{aligned}$$

## Maximizing the objective - 2

At the point of optimality  $\frac{\partial \mathcal{L}}{\partial t(f|e)} = 0$  giving,

$$\lambda_e = t(f|e)^{-1} \sum_{a \in \mathcal{A}} Pr(f, a|e) \sum_{j=1}^m \delta(f, f_j) \delta(e, e_{a_j}) \quad (5)$$

$$t(f|e) = \lambda_e^{-1} \sum_{a \in \mathcal{A}} Pr(f, a|e) \sum_{j=1}^m \delta(f, f_j) \delta(e, e_{a_j}) \quad (6)$$

$t(f|e)$  in the form presented here is difficult to compute. As generally done in EM, we try to define  $t(f|e)$  as a function of an expected quantity computed in the E-step.

## Maximizing the objective - 3

- ▶ Define  $c(f, e; \mathbf{f}, \mathbf{e})$  as the expected number of times word  $f$  aligns with word  $e$  in the pair of sentences  $(\mathbf{f}, \mathbf{e})$ .

$$c(f, e; \mathbf{f}, \mathbf{e}) = \sum_{a \in \mathcal{A}} Pr(a | \mathbf{f}, \mathbf{e}) \sum_{j=1}^m \delta(f, f_j) \delta(e, e_{a_j}) \quad (7)$$

- ▶ Replacing the above in Equation 6,

$$t(f|e) = \lambda^{-1} Pr(f|e) c(f|e; \mathbf{f}, \mathbf{e}) \quad (8)$$

- ▶ Equation 8 gives us the formula for estimating  $t(f|e)$  in the M-step.
- ▶  $\lambda$  is only a normalizer as we shall see later
- ▶ You can read this equation as computing the translation probability using word alignment counts, the only difference being that these are expected counts.

## Computing in the E-step

- ▶  $c(f|e)$  needs to be computed in the E-step.
- ▶ Equation 7 requires iterating over all alignments - computationally intractable
- ▶ Solution exists for Model 1.  $c$  can be computed without enumeration of alignments
- ▶ Key idea is to rewrite objective function as **Product of Sum**

$$\sum_{a_1=0}^l \dots \sum_{a_m=0}^l \prod_{j=1}^m t(f_j|e_{a_j}) = \prod_{j=1}^m \sum_{i=1}^l t(f_j|e_i) \quad (9)$$

As an example,

$$t_{11}t_{21} + t_{11}t_{22} + t_{12}t_{21} + t_{12}t_{22} = (t_{11} + t_{12})(t_{21} + t_{22})$$

Notation:  $t_{ji} = t(f_j|e_i)$

## Computing in the E-step - 2

The Lagrangian function in terms of this revised objective function

$$\mathcal{L}(t, \lambda) = \frac{\epsilon}{(l+1)} \prod_{j=1}^m \sum_{i=1}^l t(f_j|e_i) - \sum_{e \in E} \lambda_e \left( \sum_{f_i \in F} t(f_i|e) - 1 \right) \quad (10)$$

On differentiating w.r.t  $t(f|e)$

$$\frac{\partial \mathcal{L}}{\partial t(f|e)} = \frac{\sum_j \delta(f_j, j) \sum_i \delta(e_j, e)}{\sum_k t(f|e_k)} Pr(\mathbf{f}|\mathbf{e}) - \lambda_e$$

How did we get this? See the next slide.

## Why? - 2 cases I

1.  $t_{11}$  and  $t_{21}$  may actually refer to the same word pair: Here two positions in the F language sentence may have the same word. If we differentiate,

$$(t_{11} + t_{12} + t_{13})(t_{21} + t_{22} + t_{23})(t_{31} + t_{32} + t_{33})$$

w.r.t  $t_{11}$ , the first term would go to 1. However, if  $t_{11} = t_{21}$  the differentiation gives the result,

$$((t_{11} + t_{12} + t_{13}) + (t_{21} + t_{22} + t_{23}))(t_{31} + t_{32} + t_{33})$$

Note that the two sum terms are identical, since the F-language words are the same. So, counting the number of words  $f$  we could write the above as,

$$\frac{2}{(t_{11} + t_{12} + t_{13})} ((t_{11} + t_{12} + t_{13})(t_{21} + t_{22} + t_{23})(t_{31} + t_{32} + t_{33}))$$



## Why? - 2 cases II

In general, we can write the above as,

$$\frac{\sum_j \delta(f_j, j)}{\sum_k t(f|e_k)} \prod_{j=1}^m \sum_{i=0}^l t(f_j|e_i) \quad (11)$$

2.  $t_{11}$  and  $t_{12}$  may actually refer to the same word pair: Hence two position in the E language sentence may have the same word. So if we differentiate,

$$(t_{11} + t_{12} + t_{13})(t_{21} + t_{22} + t_{23})(t_{31} + t_{32} + t_{33})$$

w.r.t  $t_{11}$ , we would get,

$$\frac{2}{(t_{11} + t_{12} + t_{13})} ((t_{11} + t_{12} + t_{13})(t_{21} + t_{22} + t_{23})(t_{31} + t_{32} + t_{33}))$$

Getting these two cases together and setting the derivative to 0 will give you:

$$\frac{\sum_j \delta(f_j, j) \sum_i \delta(e_j, e)}{\sum_k t(f|e_k)} Pr(\mathbf{f}|\mathbf{e}) - \lambda_e \quad (12)$$

$$\lambda_e = \frac{\sum_j \delta(f_j, j) \sum_i \delta(e_j, e)}{\sum_k t(f|e_k)} Pr(\mathbf{f}|\mathbf{e}) \quad (13)$$

Substituting for  $\lambda$  from Equation 13 into Equation 8 gives us,

$$c(f|e; \mathbf{f}, \mathbf{e}) = \frac{t(f|e)}{\sum_k t(f|e_k)} \sum_i \delta(e_i, e) \sum_j \delta(f_j, f) \quad (14)$$

$c(f_k|e; \mathbf{f}, \mathbf{e})$  can be interpreted as weighing the max number of possible alignments by the translation probability

$\lambda_e$  can be computed using the constraint  $\sum_k t(f_k|e) = 1$  giving,

$$\lambda_e = \sum_k Pr(\mathbf{f}|\mathbf{e}) \quad (15)$$

Q.E.D