

# CS783: Theoretical Foundations of Cryptography

Lecture 10 (03/Sep/24)

Instructor: Chethan Kamath

# Recall from Last Lecture

- Task 4: Public-key encryption
  - Modelled setting and security (CPA secrecy)

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### ■ Modelled setting and security (CPA secrecy)

### ■ Saw two CPA-secret constructions, with proofs:

- ElGamal PKE  $\leftarrow$  DDH assumption  $\leftarrow (g^a, g^b, g^{ab}) \approx (g^a, g^b, g^r)$
- Goldwasser-Micali PKE  $\leftarrow$  QR assumption  $\leftarrow y \leftarrow \mathbb{Z}_N^* (+, +) \approx y \leftarrow \mathbb{Z}_N^* (-, -)$

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### ■ Conceptual takeaways:

1 Two-message key-exchange  $\leftrightarrow$  PKE

2 Structure vs. hardness

$\leftarrow$  Two ways to generate the same "OTP"

$$g^{ab} \leftarrow (g^a)^b \leftarrow (g^b)^a$$



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$$\begin{array}{ccc} & g^{ab} & \\ \swarrow & & \searrow \\ (g^a)^b & & (g^b)^a \end{array}$$

## ■ Some open questions:

- 1 CPA-PKE  $\stackrel{?}{\rightarrow}$  CCA-PKE

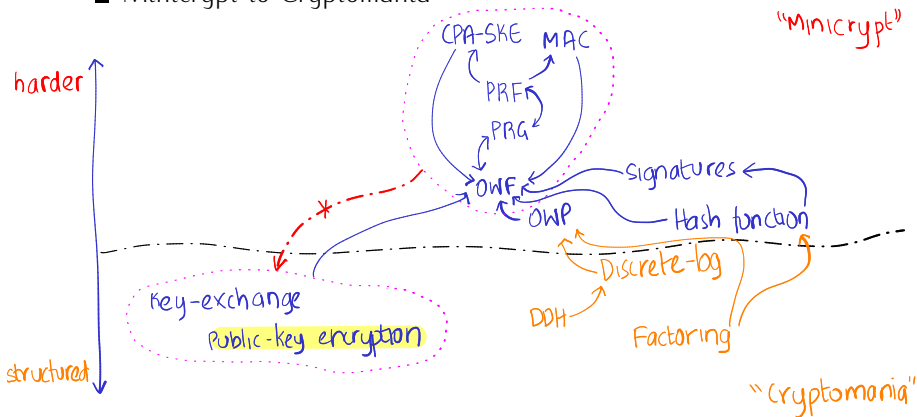
- Recall that CPA-SKE  $\rightarrow$  CCA-SKE!

- 2 DLog  $\stackrel{?}{\rightarrow}$  CPA-PKE

- We know CDH  $\rightarrow$  CPA-PKE in the "random-oracle model"

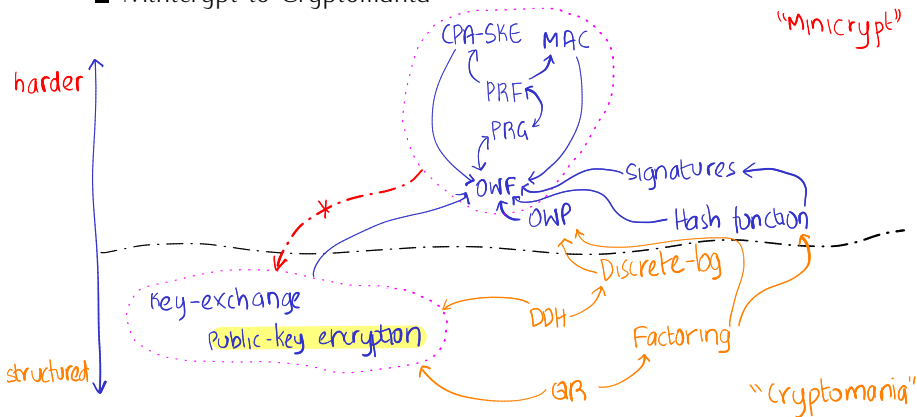
# Plan for This Lecture...

## ■ Minicrypt to Cryptomania



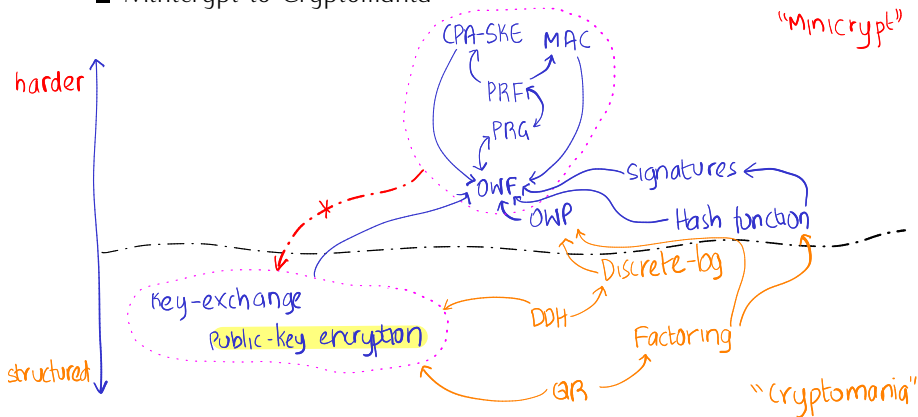
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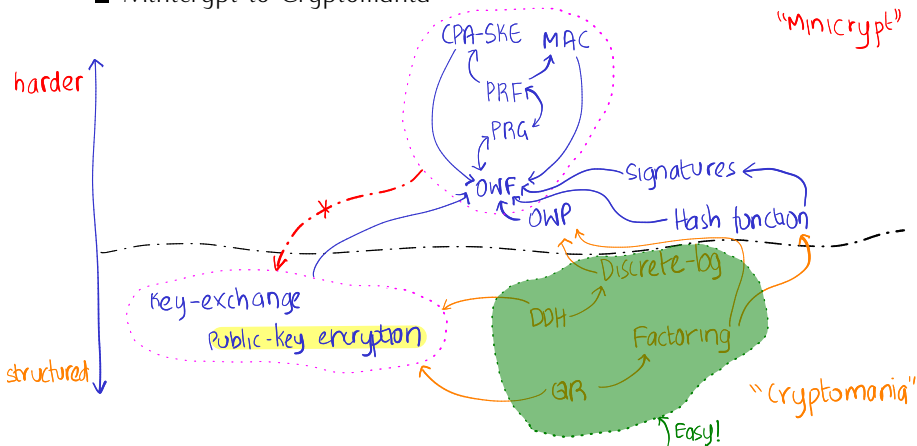


■ Today: Task 4 against stronger class of *quantum* Eves



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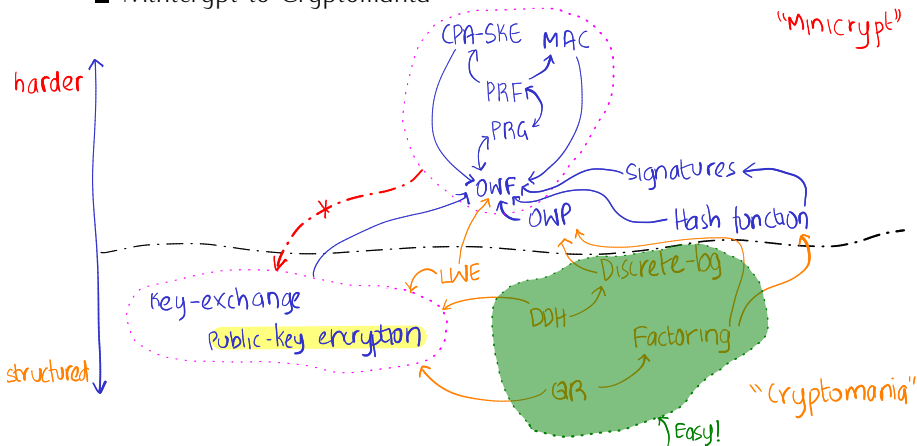


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General *template*:

- 1 Identify the task
- 2 Come up with precise **threat model**  $M$  (a.k.a security model)
  - **Adversary/Attack**: What are the **adversary's** capabilities?
  - **Security Goal**: What does it mean to be **secure**?
- 3 Construct a scheme  $\Pi$
- 4 Formally prove that  $\Pi$  is **secure** in **model**  $M$

computational secrecy  
Public-key encryption  
Eavesdroppers


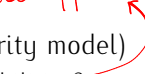
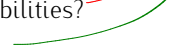


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quantum computational secrecy

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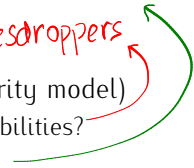




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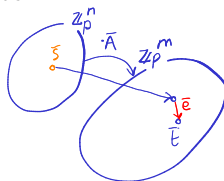
 Assuming quantum hardness of  
"learning with errors" (LWE)

# Plan for This Lecture...



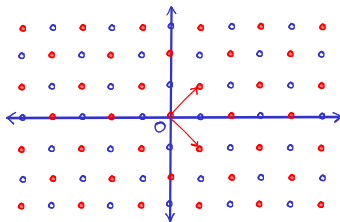
1 Motivation: Quantum Adversaries

2 Learning with Errors (LWE)



3 Cryptography from LWE

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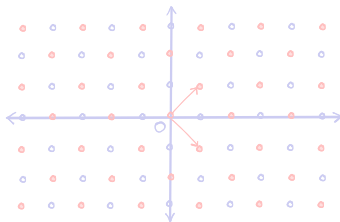
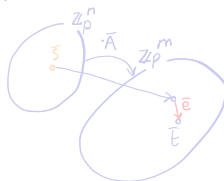


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$\mathbb{C}$

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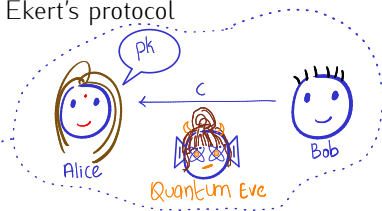
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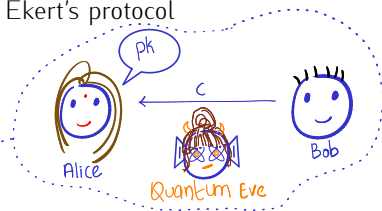
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  - Possible attack scenario: "Harvest now, decrypt later"
    - Potential adversaries: Five Eyes, state actors...

# Modelling the Setting for Quantum Adversaries...

NEWS

## NIST Releases First 3 Finalized Post-Quantum Encryption Standards

August 13, 2024

 Security Research

February 21, 2024

## iMessage with PQ3: The new state of the art in quantum-secure messaging at scale

Posted by Apple Security Engineering and Architecture (SEAR)



## Quantum Resistance and the Signal Protocol

ehrenkret on 19 Sep 2023



सत्यमेव जयते

विज्ञान एवं प्रौद्योगिकी विभाग  
DEPARTMENT OF  
**SCIENCE & TECHNOLOGY**

## National Quantum Mission (NQM)

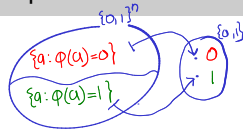
The Union Cabinet, approved the National Quantum Mission (NQM) on 19<sup>th</sup> April 2023 at a total cost of Rs.6003.65 crore from 2023-24 to 2030-31, aiming to seed, nurture and scale up scientific and industrial R&D and create a vibrant & innovative ecosystem in Quantum Technology (QT). This will accelerate QT

- Recent effort to research/deploy post-quantum cryptography

# What is Easier for Quantum Computers?

## ■ *Unstructured* search problem:

- Input:  $n$ -variable Boolean formula  $\varphi$
- Solution: a *satisfying* assignment  $a \in \{0, 1\}^n : \varphi(a) = 1$

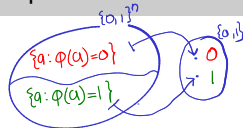




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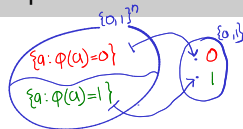
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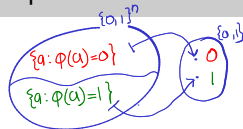
### Theorem 1 (Grover's algorithm)

*There is a quantum algorithm that given  $\varphi$  (represented as a classical circuit) finds a satisfying assignment in time  $2^{O(n/2)}$*

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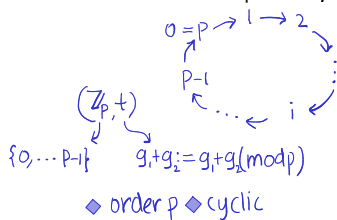
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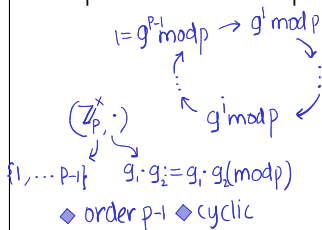
- Impact on cryptography: SKEs **broken** in *quantum* time  $2^{O(n/2)}$ 
  - **Solution**: **double** key-size (use 256-bit AES instead of 128-bit)

# What is Easier for Quantum Computers?...

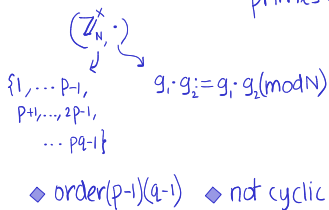
## Addition modulo prime $p$



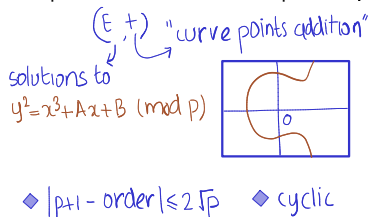
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## Multiplication modulo $N = pq$ primes $\rightarrow$



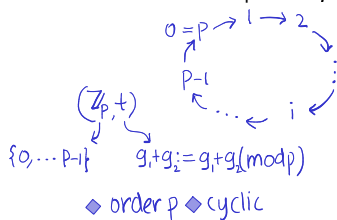
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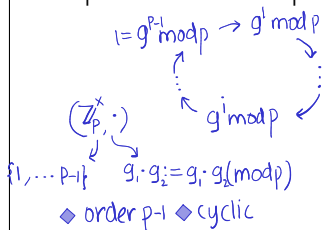
*Dlog easy*

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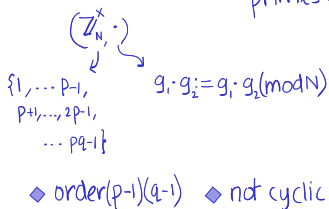


*Dlog hard, but DDH easy*

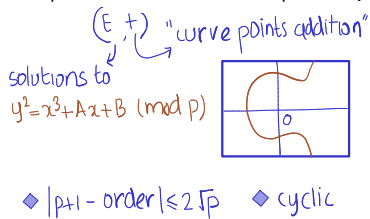
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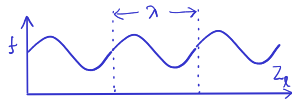


*DDH hard in "subgroup"*

*Dlog very hard, DDH hard*

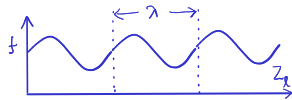
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- Structured *period-finding* problem for functions over  $(\mathbb{Z}_\ell, +)$ 
  - Input:  $f : (\mathbb{Z}_\ell, +) \rightarrow \mathbb{G}$  that is “periodic”
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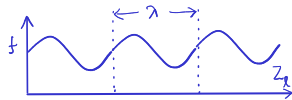
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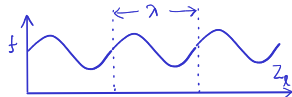
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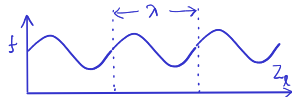
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- Ⓢ What is the period of  $f_{a,N}$ ?  $\lambda(N) := (p-1)(q-1)/2$  (w.h.p.)

- Finding  $\lambda(N)$  equivalent to factoring  $N$

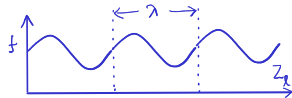
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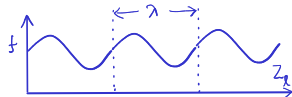
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$$f_{g,h}(x + \log_g h, y+1) = g^{x + \log_g h} \cdot h^{-y-1} = g^x \cdot g^{\log_g h} \cdot h^{-y-1} = g^x \cdot h \cdot h^{-y-1} = g^x h^{-y} = f_{g,h}(x, y)$$

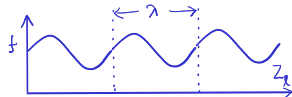
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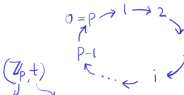
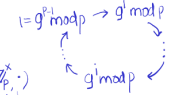
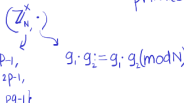

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⊗ Quantum setting:  $f_{g,h}(x + \log_g h, y+1) = g^{x + \log_g h} \cdot h^{-y-1} = g^x \cdot h \cdot h^{-y} \cdot h^{-1} = g^x h^{-y} = f_{g,h}(x, y)$

## Theorem 2 (Shor's algorithm)

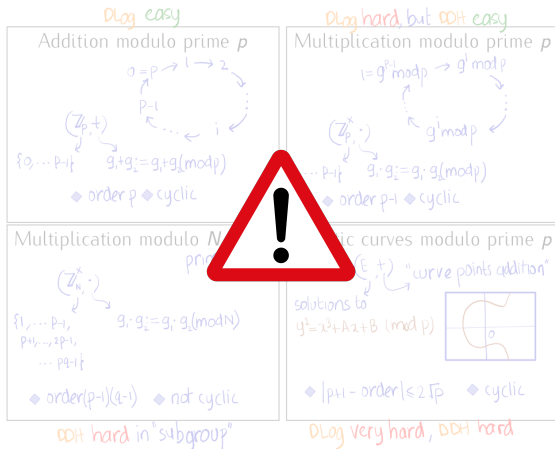
*There is a quantum algorithm that finds the period  $\lambda$  of a periodic function  $f$  as above (represented as a classical circuit) in time polynomial in  $|\mathbb{Z}_\ell| = \log(\ell)$ .*

# What is Easier for Quantum Computers?...

|  |  |
|--|--|
| <p style="text-align: center;"><i>DL easy</i></p> <p>Addition modulo prime <math>p</math></p>  <p><math>(\mathbb{Z}_p, +)</math><br/> <math>\{0, \dots, p-1\}</math><br/> <math>g + g_i = g + g_i \pmod{p}</math><br/>         ♦ order <math>p</math> ♦ cyclic</p>  | <p style="text-align: center;"><i>DL hard, but DDH easy</i></p> <p>Multiplication modulo prime <math>p</math></p>  <p><math>(\mathbb{Z}_p^*, \cdot)</math><br/> <math>\{1, \dots, p-1\}</math><br/> <math>g \cdot g_i = g \cdot g_i \pmod{p}</math><br/>         ♦ order <math>p-1</math> ♦ cyclic</p>                   |
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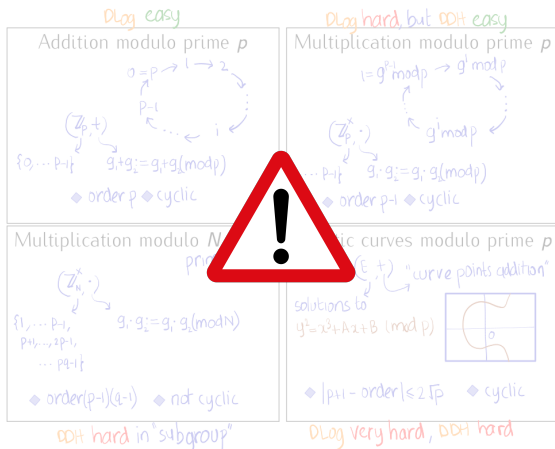
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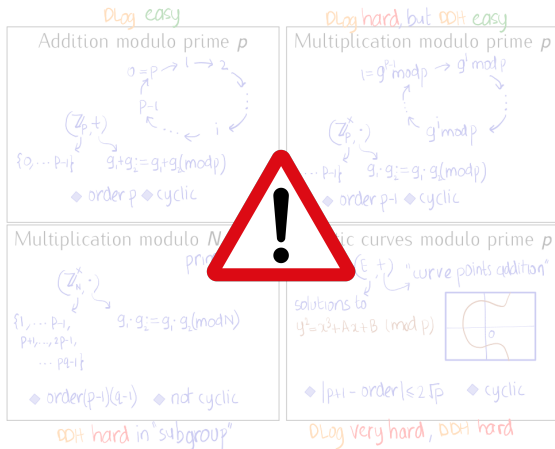


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⚠ Impact on cryptography: PKEs from previous lecture **insecure**!



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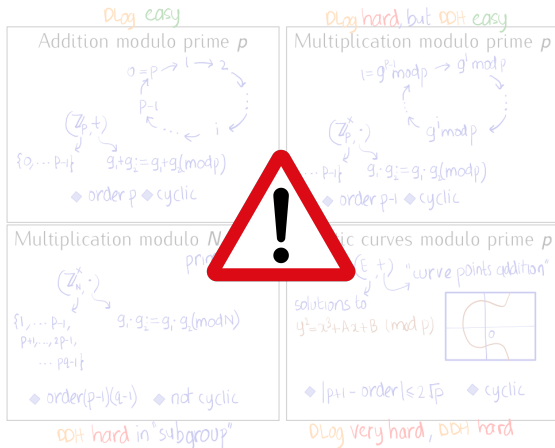


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■ Corollary: factoring and discrete log are quantum *easy*!

⚠ Impact on cryptography: PKEs from previous lecture *insecure*!

- **We need:** hardness assumption that holds against QPT...
- ...that has sufficient structure to allow PKE/key exchange

# Plan for this Lecture

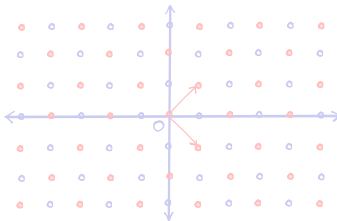
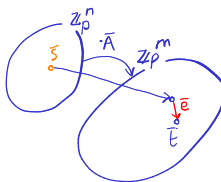


1 Motivation: Quantum Adversaries

2 Learning with Errors (LWE)

3 Cryptography from LWE

4 LWE and Lattices



# Solving Linear Equations Over $(\mathbb{Z}_p, +, \cdot)$

- Let's consider  $(\mathbb{Z}_p, +, \cdot)$ , i.e.,  $(\mathbb{Z}_p, +)$  with multiplication over  $\mathbb{Z}_p^\times$

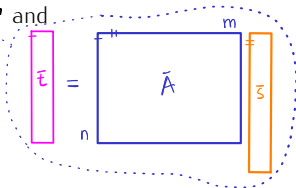
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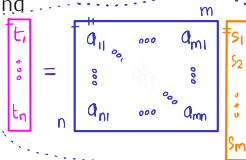
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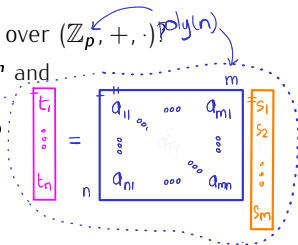
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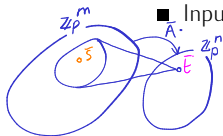
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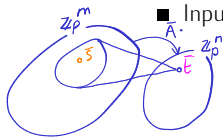
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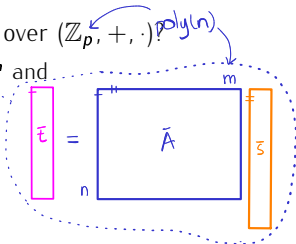
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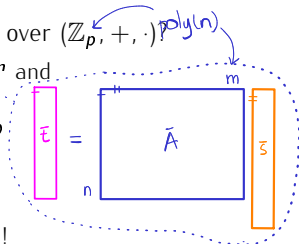
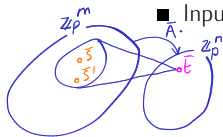
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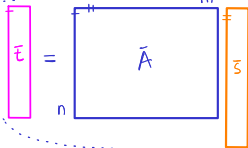
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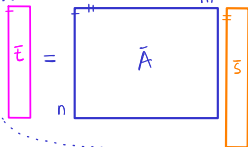
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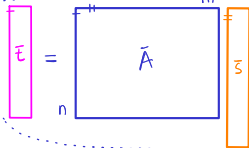
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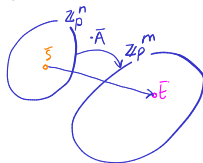
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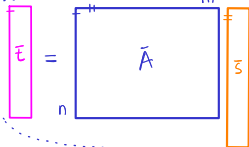
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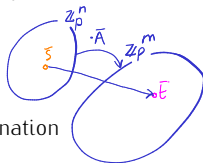
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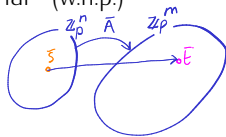
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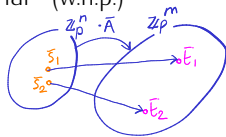
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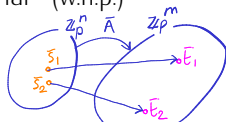
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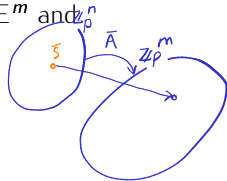


3 Potentially hard: solve “noisy” linear equations over  $(\mathbb{Z}_p, +, \cdot)$ ?

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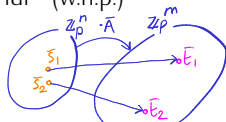
$$\bar{t}^\top := \bar{s}^\top \bar{A} + \bar{e}^\top \bmod p$$

- Solution:  $\bar{s}$



# Solving Linear Equations Over $(\mathbb{Z}_p, +, \cdot)$

- The map  $\bar{s} \mapsto (\bar{s}^\top \bar{A})^\top$  is a “random linear code”
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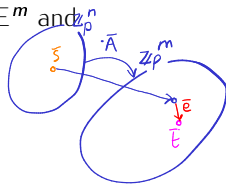


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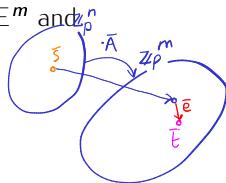
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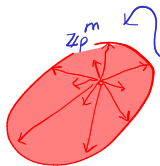
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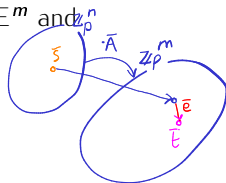
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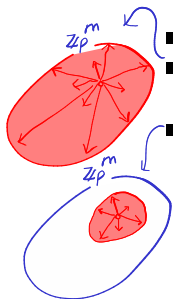
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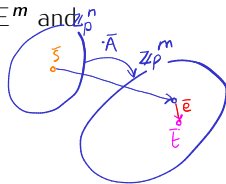
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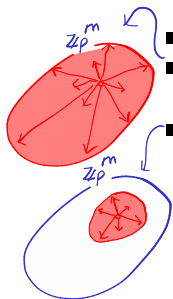


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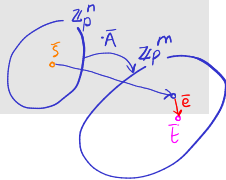


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## Assumption 1 (Search LWE (SLWE))

The  $(n, m, p, E)$ -SLWE assumption holds if for all quantum polynomial-time (QPT) inverters  $\text{Inv}$  the following is negligible

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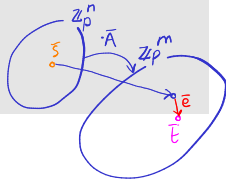


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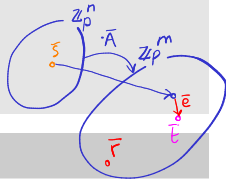


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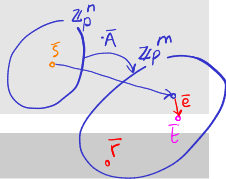


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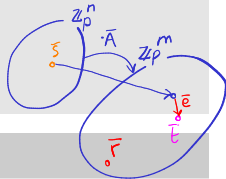
$\uparrow$  real world
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## Exercise 1

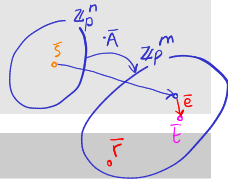
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SLWE Inverter  $\text{Inv}$



DLWE Dist.  $\text{D}$

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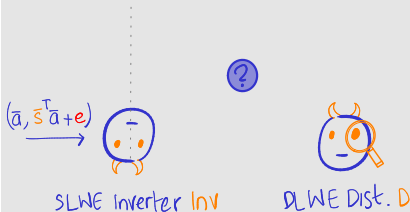
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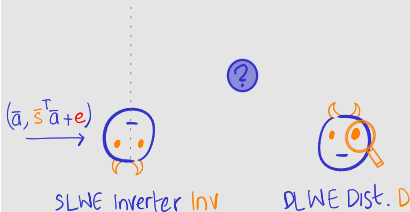
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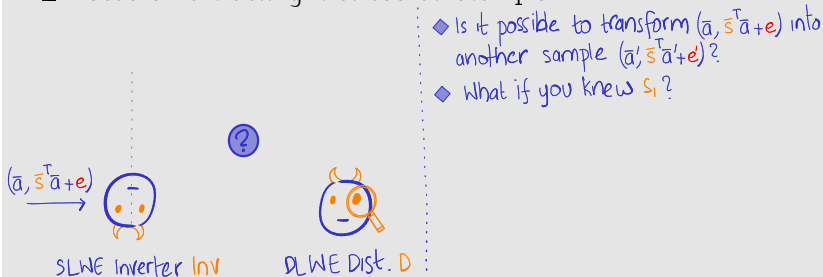
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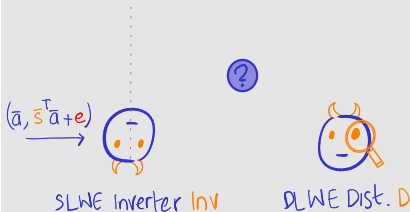
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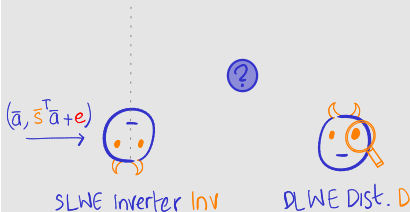
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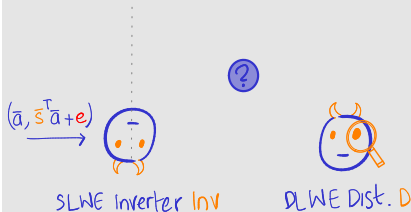
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 $(\bar{a}, \bar{s}^T \bar{a} + e) \mapsto (\bar{a}', \bar{s}^T \bar{a} + e + a_i s_1)$  *random!*

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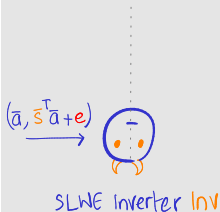
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 $= (\bar{a}', \bar{s}'^T \bar{a}' + e)$
- ◆ Why not guess  $s_1$ ? What if guess wrong?  
 $(\bar{a}, \bar{s}^T \bar{a} + e) \mapsto (\bar{a}', \bar{s}^T \bar{a} + e + a_i s_1)$  **random!**
- ◆ Iterate over all possible  $s_1$

# Decision and Search LWE are Equivalent!

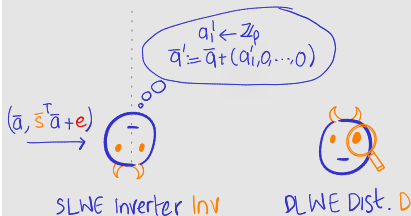
- Note: this is not true for, e.g, CDH and DDH!

Claim 1 (Search to decision reduction for LWE)

For any  $n \in \mathbb{N}$ ,  $m, p \in \text{poly}(n)$  and  $E$ , and sufficiently large  $m'$ ,  $(n, m', p, E)$ -SLWE problem reduces to  $(n, m, p, E)$ -DLWE problem.

Proof sketch.  $\exists \text{Inv}$  for SLWE  $\Leftarrow \exists \text{D}$  for DLWE.

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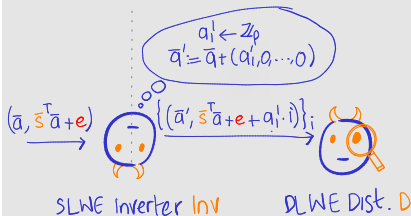
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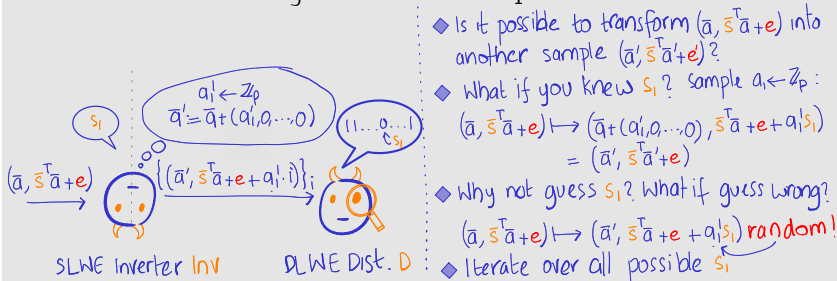
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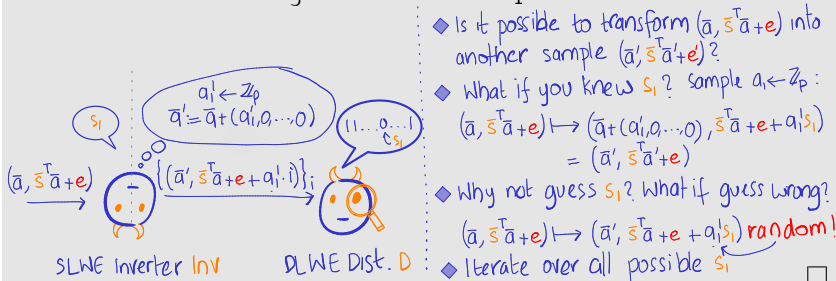
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# Plan for this Lecture

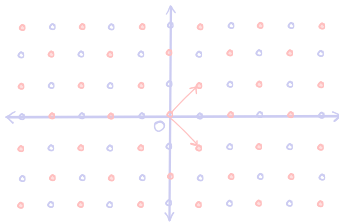
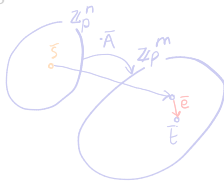


1 Motivation: Quantum Adversaries

2 Learning with Errors (LWE)

3 Cryptography from LWE

4 LWE and Lattices

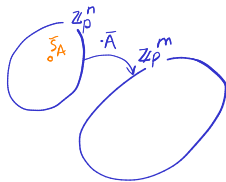
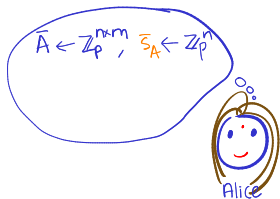


# 1-Bit Key-Exchange Protocol $\leftarrow$ DLWE...



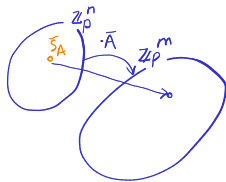
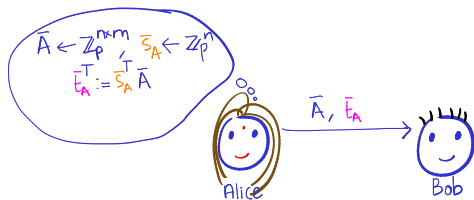
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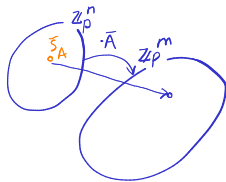
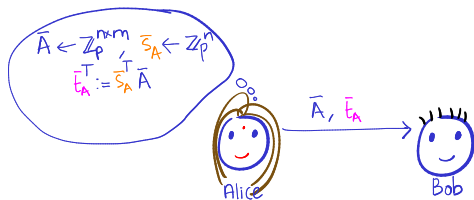
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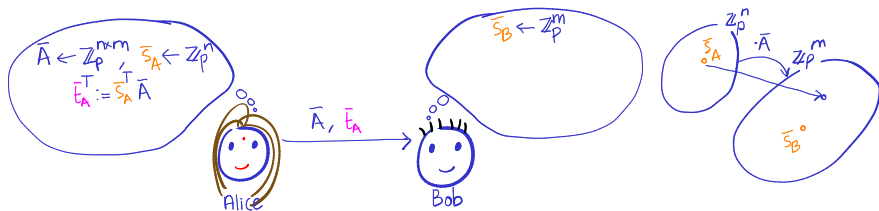


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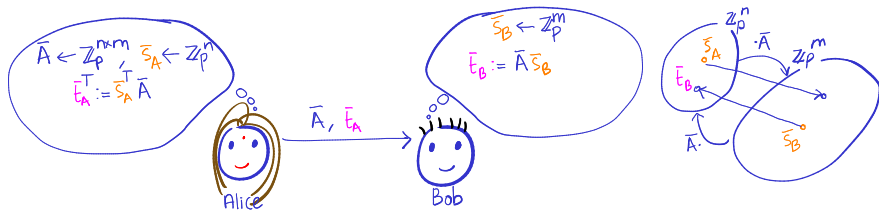
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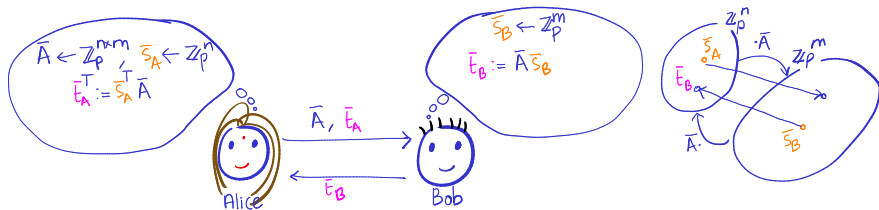


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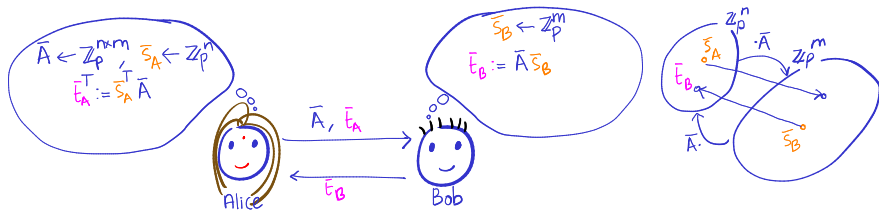


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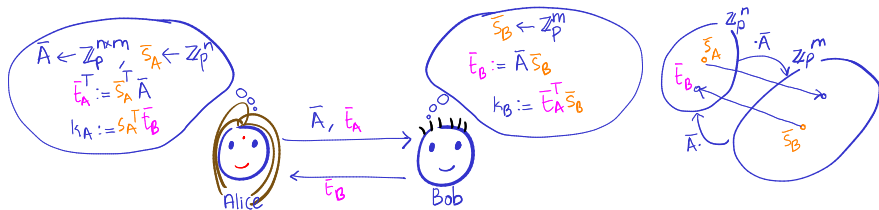
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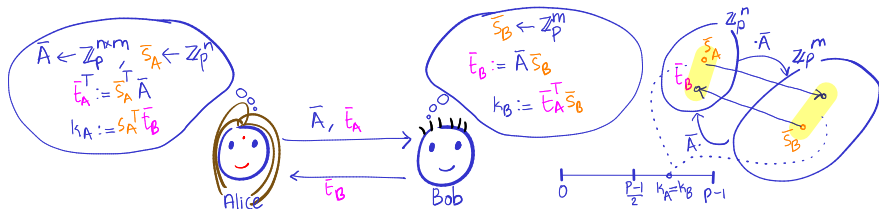
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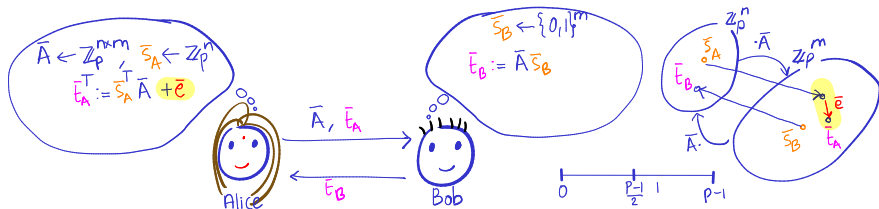
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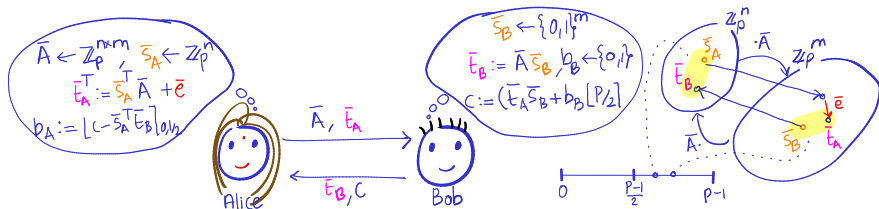
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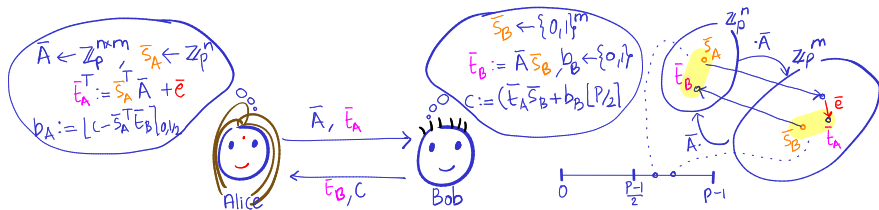
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- 2 Alice  $\leftarrow$  Bob: send  $(\bar{t}_B := \bar{A} \bar{s}_B, c := (\bar{t}_A^T \bar{s}_B + b_B \lfloor p/2 \rfloor))$ , where
  - $\bar{s}_B \leftarrow \{0,1\}^m$
  - $b_B \leftarrow \{0,1\}$
- 3 Alice outputs  $b_A := [c - \bar{s}_A^T \bar{t}_B]_{0,1/2}$  and Bob outputs  $b_B$

# 1-Bit Key-Exchange Protocol $\leftarrow$ DLWE



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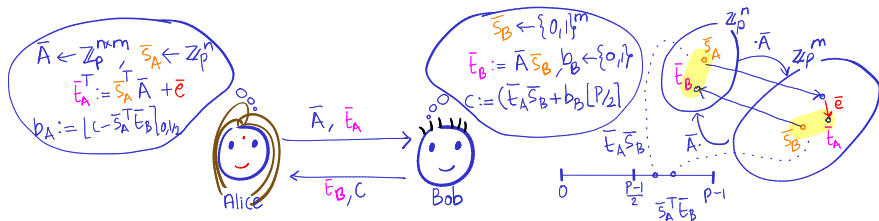
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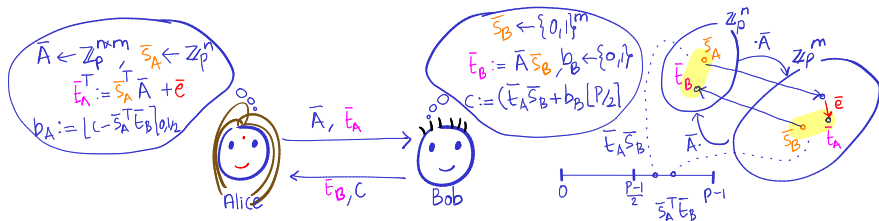
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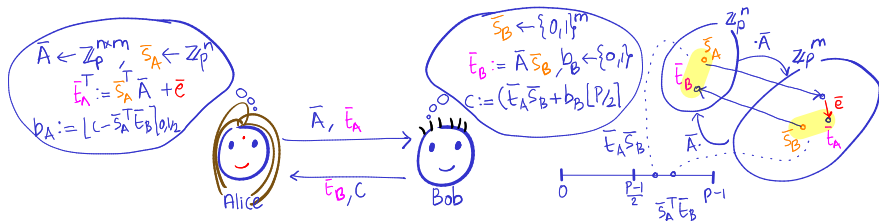
# 1-Bit Key-Exchange Protocol $\leftarrow$ DLWE...



■ Correctness of key generation:

■ Scheme has negligible *key-exchange error* if  $\alpha \leq 1/\tilde{O}(\sqrt{n})$

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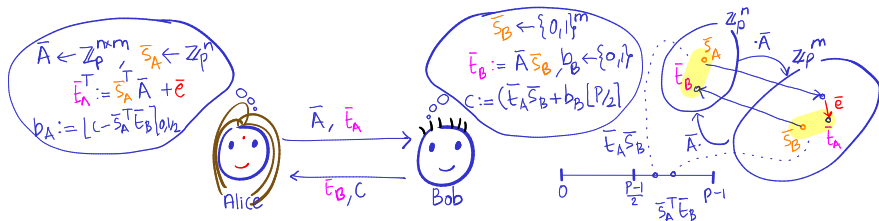


- Correctness of key generation:

Note that  $C - \bar{S}_A^T \bar{E}_B = \bar{E}_A^T \bar{S}_B - \bar{S}_A^T \bar{E}_B + b_A [P/2]$

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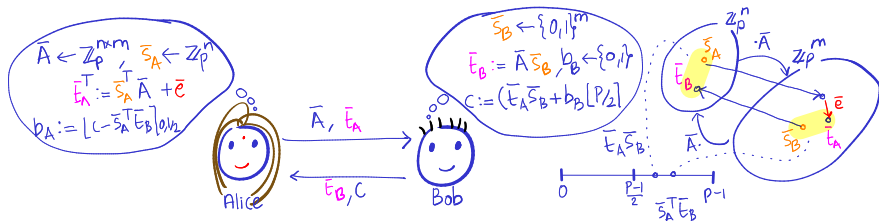
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$$\begin{aligned} \bar{C} - \bar{S}_A^T \bar{E}_B &= \bar{E}_A^T \bar{S}_B - \bar{S}_A^T \bar{E}_B + b_A \lfloor P/2 \rfloor \\ &= (\bar{S}_A^T \bar{A} + \bar{e}^T) \bar{S}_B - \bar{S}_A^T \bar{A} \bar{S}_B + b_A \lfloor P/2 \rfloor \end{aligned}$$

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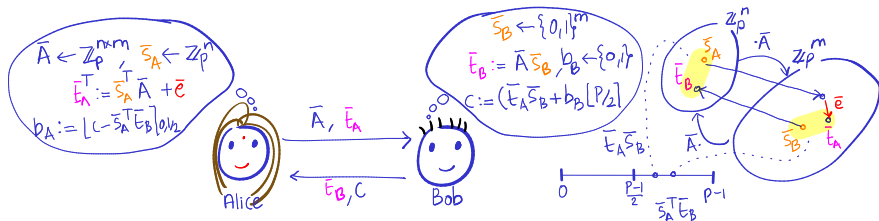
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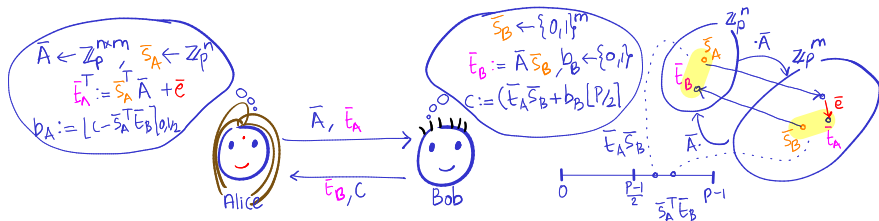
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$\uparrow$

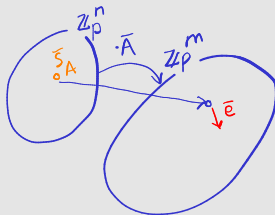
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# Regev's Encryption: 1-Bit PKE $\leftarrow$ DLWE

## Construction 1

### ■ Key generation $\text{Gen}(1^n)$ :

- 1 Sample matrix  $\bar{A} \leftarrow \mathbb{Z}_p^{n \times m}$  for  $m, p = \text{poly}(n)$
- 2 Sample secret key  $\bar{s}_A \leftarrow \mathbb{Z}_p^n$  and error  $\bar{e} \leftarrow E_\alpha^m$



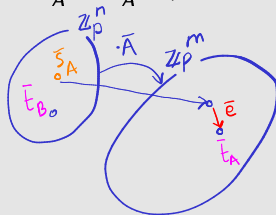


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# Regev's Encryption: 1-Bit PKE $\leftarrow$ DLWE

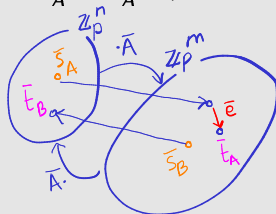
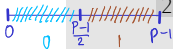
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### ■ Encryption $\text{Enc}(\text{pk}, b)$ :

- 1 Sample random coin  $\bar{s}_B \leftarrow \{0, 1\}^m$
- 2 Encode message  $\tilde{b} := b \cdot \lfloor p/2 \rfloor$



# Regev's Encryption: 1-Bit PKE $\leftarrow$ DLWE

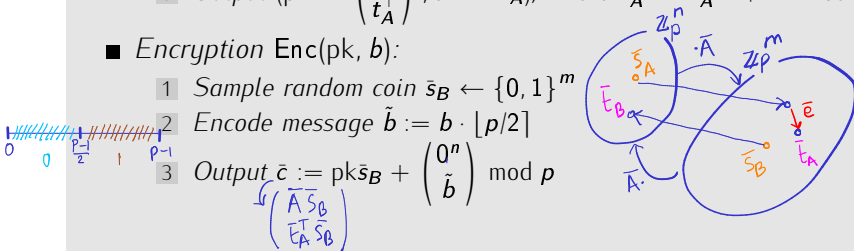
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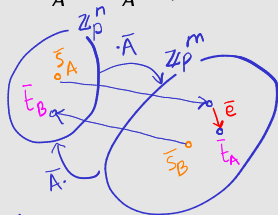
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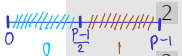
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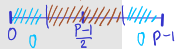
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$$\begin{pmatrix} \bar{A} \bar{s}_B \\ \bar{t}_A \bar{s}_B \end{pmatrix} = \begin{pmatrix} \bar{A} \bar{s}_B \\ -\bar{s}_A^\top \bar{A} \bar{s}_B + \bar{t}_A^\top \bar{s}_B \end{pmatrix}$$



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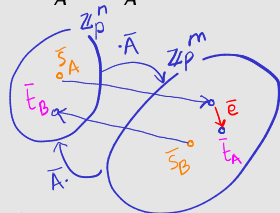
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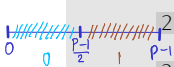
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### ■ Correctness of decryption: similar argument to key exchange



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# Regev's Encryption is Quantum Secret...

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*Regev PKE is quantum CPA-secret under DLWE assumption.*

Proof sketch. Hybrid argument with two steps.

Step 1:      Real world  $\#_0$   
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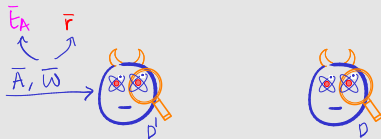
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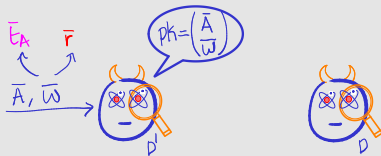
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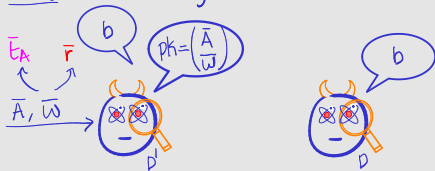
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Step 2

Claim 2: PKE in  $H_1$  is statistically secure.

Proof:

$$C = \begin{pmatrix} \bar{A} \\ \bar{r} \end{pmatrix} \bar{s}_B + \begin{pmatrix} b' \\ b'' \end{pmatrix}$$





1

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c)  $(\bar{r})^{n \times m} (b) \Rightarrow (\bar{r})^{n \times m} s_B$  loses information about  $s_B$

Matrix "leftover hash lemma": For  $\begin{pmatrix} \bar{A} \\ \bar{r} \end{pmatrix} \leftarrow \mathbb{Z}_p^{(n+1) \times m}$ ,  $\left( \begin{pmatrix} \bar{A} \\ \bar{r} \end{pmatrix}, \begin{pmatrix} \bar{A} \\ \bar{r} \end{pmatrix} \bar{S}_B \right) \approx_s \left( \begin{pmatrix} \bar{A} \\ \bar{r} \end{pmatrix}, \bar{r}' \leftarrow \mathbb{Z}_p^{n+1} \right)$

# Regev's Encryption is Quantum Secret...

## Exercise 2

*Show that the following variants of Regev's scheme are also quantum secret assuming DLWE:*

- 1 *Gaussian secret-keys: same as in Construction 1 except sample the secret key as  $\bar{s}_A \leftarrow E_\alpha^n$*
- 2 *Gaussian random coins: same as in Construction 1 except sample the random coin as  $\bar{s}_B \leftarrow E_\alpha^m$*

# Plan for This Lecture...

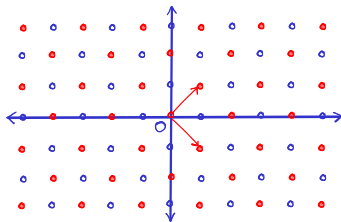
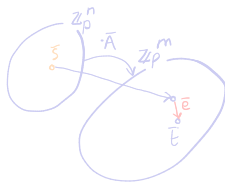


1 Motivation: Quantum Adversaries

2 Learning with Errors (LWE)

3 Cryptography from LWE

4 LWE and Lattices



# What has LWE to Do with Lattices?...

## Definition 1 (Lattice)

*A  $n$ -dimensional lattice  $\mathbb{L}$  is a discrete, additive subgroup of  $\mathbb{R}^n$ .*

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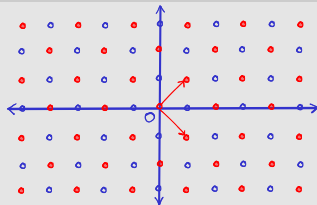
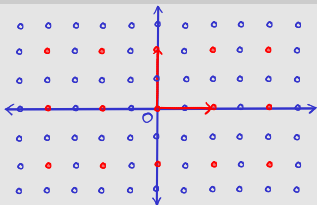
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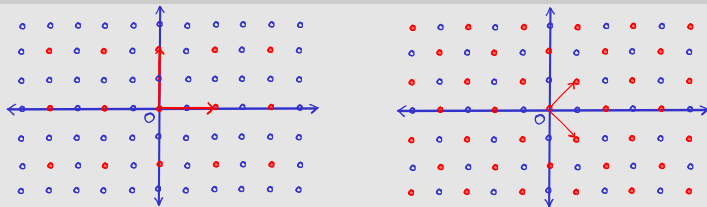
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- Represented using a basis  $\bar{B} = (\bar{b}_1, \dots, \bar{b}_n) \in \mathbb{R}^{n \times n}$  as its integer linear combination:

$$\mathbb{L}(\bar{B}) := \left\{ \bar{v} := \sum_{i \in [n]} a_i \bar{b}_i \text{ for } (a_1, \dots, a_n) \in \mathbb{Z}^n \right\}$$



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- Some *worst-case* hard problems on lattices:

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- Input: lattice  $\mathbb{L}$  via basis  $\tilde{B}$
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## ■ Compare with factoring

- Only weakly one-way and most instances are easy
- Worst-case to average case reduction not known

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  - 3 Incrementally-verifiable computation ...
- Related computational problem: learning *parity* with noise
  - “Modulus 2 version” of LWE
  - **Open**: PKE from LPN



# Next Lecture

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- So far in Module II: secrecy in the public-key setting
- Next lecture: integrity + authentication in *public-key* setting
- New cryptographic primitive: *digital signatures*
  - Two construction, both quantum secure
    - Lamport's one-time signature  $\leftarrow$  OWF
    - Theoretic construction of stateless signature
  - New proof technique: plug and pray!

# References

- 1 [KL14, §14.3] for details of this chapter
- 2 For a formal introduction to quantum computing, use [NC10]; a quick introduction can be found in [AB09, Chapter 10] (including Grover's and Shor's algorithms)
- 3 For a formal introduction to lattice-based cryptography, refer to Peikert's survey [Pei16] or lecture notes of Vaikuntanathan's CS294 course.
- 4 The LWE-based encryption in Construction 1 is from [Reg05], but the presentation is from [Pei16, §5.2.1]
- 5 The worst-case to average-case reduction for LWE in the form stated in Theorem 5 is due to a series of works: [Reg05, Pei09, LM09]



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