

#### CS783: Theoretical Foundations of Cryptography

Lecture 14 (24/Sep/24)

Instructor: Chethan Kamath

## To Recap Previous Module

- We learnt: secure communication in the *public-key* setting
- Cryptographic primitives encountered: key-exchange, public-key encryption, signature, hash function, TDP
- Hardness assumptions: Factoring, DLog, QR, LWE, RSA



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■ Key conceptual takeaway: structure vs. hardness

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#### L17-18























■ What constitutes a proof?

■ Traditional "NP" proofs vs *interactive* proofs



- What constitutes a proof?
  - Traditional "NP" proofs vs *interactive* proofs



 Zero-knowledge proofs: capture "zero knowledge" via simulation paradigm

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Examples. ZKP for:

- Graph isomorphism (GI)
- Quadratic residuosity (QR)
- Graph non-isomorphism (GNI)
- Quadratic non-residuosity (QNR)

- What constitutes a proof?
  - Traditional "NP" proofs vs interactive proofs
- Zero-knowledge proofs: capture "zero knowledge" via simulation paradigm

Examples. ZKP for:

- $\begin{array}{l} (\iota_0=([\iota_1, n], f_{\mathbf{b}}) \cong c_1 = ([\iota_1, n], f_{\mathbf{b}}) & f \ni \text{ permutation} \\ \neg_{\mathsf{T}} \text{ on } (\iota_1, n] \in L & (\mathsf{U}, \mathsf{V}) \in \mathfrak{G} \Rightarrow (\mathsf{T}(\mathsf{U}), \mathsf{T}(\mathsf{U})) \in \mathsf{E}_1 \end{array}$ ■ Graph isomorphism (GI)
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VAL

- What constitutes a proof?
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- Examples. ZKP for:
- $\begin{array}{l} (\iota_0=([\iota_1, \eta], f_0) \cong C_1 = ((\iota_1, \eta], f_1) \quad f \ni \text{ permutation} \\ \cdot, \eta \text{ on } (\iota_1, \eta) \quad s \models (\Psi, \Psi) \in \mathcal{G} \Rightarrow (\pi(\Psi), \pi(\Psi)) \models E_1 \end{array}$ ■ Graph isomorphism (GI)
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JAL

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2 Zero Knowledge (Interactive) Proof (ZKP)

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Axioms derivation rules theorems=true statements
E.g.: Axioms of Euclidean geometry
Theorem: "Sum of angles of a triangle equals 180°"

A C C

Prover vs. verifier

- Prover does the heavy lifting: derives the proof
  - 1 Construct a line through *B* parallel to  $\overline{AC}$
  - 2  $\angle DBA = \angle a$  and  $\angle EBC = \angle c$  (alternate interior angles)
  - 3  $2 \Rightarrow \angle a + \angle b + \angle c = \angle DBA + \angle b + \angle EBC = 180^{\circ}$

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- Verifier checks the proof, step by step

Corresponds to class NP

A language  $\mathcal{L} \in \mathsf{NP}$  if there exists a polynomial-time deterministic machine V such that

 $\forall x \in \mathcal{L} \ \exists \pi \in \{0,1\}^{\mathsf{poly}(|x|)} : \mathsf{V}(x,\pi) = 1$ 

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- Prover P is *unbounded*: finds short proof  $\pi$  for x (if one exists)
- Verifier V is *efficient*: checks proof  $\pi$  against the statement x
- Completeness:  $x \in \mathcal{L} \Rightarrow \mathsf{P}$  finds  $\pi \Rightarrow \mathsf{V}(x, \pi) = 1$
- Soundness:  $x \notin \mathcal{L} \Rightarrow \nexists \pi \in \{0,1\}^{\mathsf{poly}(|x|)}$  s.t.  $\mathsf{V}(x,\pi) = 1$

## Which Languages have "NP" Proofs?




















Difference from NP proofs:
1 Verifier V is *randomised* 2 Prover P and V *interact* and V accepts/rejects in the end



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- Soundness: for every  $x \notin \mathcal{L}$  and malicious prover  $\mathsf{P}^*$ ,  $\mathsf{Pr}[1 \leftarrow \langle \mathsf{P}^*, \mathsf{V} \rangle(x)] \leq 1/3$

Difference from NP proofs:
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#### Exercise 1 (Robustness of Definiton 1)

Show that languages captured by Definiton 1 doesn't change when 1)  $\epsilon_c \leq 1/2^{|x|}$ ,  $\epsilon_s \leq 1/2^{|x|}$ ; 2)  $\epsilon_c \leq 1/2 - 1/|x|$ ,  $\epsilon_s \leq 1/2 - 1/|x|$ 





















Theorem 1

 $\Pi_{GNI}$  is an IP for  $\mathcal{L}_{GNI}$ 

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Proof.



•  $G_0 \not\cong G_1 \Rightarrow \mathsf{P}$  can recover  $b_i$  from  $H_i$  with certainty  $\bigcap_{i \neq j} \bigcap_{i \neq j} \mathsf{Pr}[1 \leftarrow \langle \mathsf{P}, \mathsf{V} \rangle (G_0, G_1)] = 1 \ge 2/3$ 



## Which Languages have IPs?



# Which Languages have IPs?



# Which Languages have IPs?



# Which Languages have IPs? PSPACE Languages



## Plan for Today's Lecture

#### 1 Interactive Proof (IP)

#### 2 Zero Knowledge (Interactive) Proof (ZKP)

#### 3 Honest Verifier ZKP for Graph (Non-) Isomorphism

### Any Issues with the NP Proofs We Saw?



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- Verifier gains "non-trivial knowledge" about witness w
  - Not desirable, e.g., when x = pk and w = sk (identification)

### What About the IP We Saw?


#### What About the IP We Saw?



■ Seems V gains no knowledge beyond validity of the statement

#### What About the IP We Saw?



■ Seems V gains no knowledge beyond validity of the statement
 ■ We will see that Π<sub>GNI</sub> is (honest-verifier) zero-knowledge!

Knowledge vs. information in the information-theoretic sense

Knowledge is computational







#### (ther than the validity of x)



■ Formalised via "simulation paradigm": View<sub>V</sub>((P,V)(x)) can be *efficiently* simulated given only the instance



> V's "view"= x+ transcript ~ + coins

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Formalised via "simulation paradigm": Viewv((P, V)(x)) can be efficiently simulated given only the instance
 Image: Simulated given only the instance
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Definition 2 (Honest-Verifier Perfect ZK)

An  $IP \sqcap$  is honest-verifier perfect ZK if there exists a PPT simulator Sim such that for all distinguishers D and all  $x \in \mathcal{L}$ , the following is zero

 $\Pr[\mathsf{D}(\mathsf{View}_{\mathsf{V}}(\langle \mathsf{P}, \mathsf{V} \rangle(x))) = 1] - \Pr[\mathsf{D}(\mathsf{Sim}(x)) = 1]$ 

Malicious-Verifier

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Computational Definition 2 (Honest-Verifier Perfect ZK)

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 $\blacksquare$  Malicious-Verifier ZK: honest verifier V  $\rightarrow$  all verifiers V\*

 $\blacksquare$  For every  $V^{\ast}$  there exists a PPT simulator Sim

■ Computational ZK: relax

- all distinguishers  $D \rightarrow PPT$  distinguishers
- zero  $\rightarrow$  negligible

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Theorem 2

 $\Pi_{\text{GNI}}$  is honest-verifier perfect zero-knowledge IP for  $\mathcal{L}_{\text{GNI}}$ 



Parallel/sequentially repeat to boost soundness

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#### Theorem 2

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Proof.

$$\forall_{i \in \mathcal{W}} \bigvee (\langle \mathcal{P}_{i} \mathcal{N} \rangle (\langle \mathcal{G}_{o_{i}} \mathcal{G}_{i} \rangle) := ((\mathcal{G}_{o_{i}} \mathcal{G}_{i}) (b_{i} \mathcal{H}_{i} \mathcal{H}_{i})_{i \in [1, p]})$$

Y Go≇Gi:

#### Theorem 2

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$$\forall \mathbf{G}_{0} \not\cong \mathbf{G}_{1}:$$

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Proof.

$$\forall G_{0} \notin G_{i} \notin G_{i} = \forall i \in [i, p] : \text{sample } b_{i} \leftarrow [i, p]$$

$$\forall G_{0} \notin G_{i} = \forall i \in [i, p] : \text{sample } b_{i} \leftarrow [i, p] \text{ and } H_{i} \leftarrow \widetilde{G}_{b_{i}}$$

$$o / p \left( (G_{0}, G_{i}) (b_{i}, H_{i}, b_{i})_{i \in [i, p]} \right)$$

 $\forall q_0 \notin G_1$ : View  $V(\langle P, V \rangle \langle q_0, G_1 \rangle)$  identically distributed to  $Sim(\langle q_0, G_1 \rangle)$ .

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 $\Pi_{\text{GNI}}$  is honest-verifier perfect zero-knowledge IP for  $\mathcal{L}_{\text{GNI}}$ 

Proof.

$$\begin{array}{c} \forall G_{0} \notin G_{1} (G_{0},G_{1}) := ((G_{0},G_{1})(b_{1},H_{1},b_{1})_{i\in[1,p]}) \\ \forall G_{0} \notin G_{0} \notin G_{1} := \forall i\in[1,p] : \text{ sample } b_{i} \in \{0,1\} \text{ and } H_{i} \in \widetilde{G}_{b_{1}} \\ & 0/P \left( (G_{0},G_{1})(b_{1},H_{1},b_{1})_{i\in[1,p]} \right) \\ \forall G_{0} \notin G_{0} \notin G_{1} := \forall i\in[0,0] \text{ identically distributed to } G_{i}(G_{0},G_{1}). \end{array}$$

#### Exercise 3

1 What happens if V is malicious and can deviate from protocol?

2 Using ideas from  $\Pi_{GNI}$ , build honest-verifier ZKP for  $\mathcal{L}_{QNR}$ 























Theorem 3

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- Completeness:  $G_0 \cong G_1 \Rightarrow \mathsf{P}$  can answer both challenges  $\Rightarrow \mathsf{V}$  always accepts
- Soundness:  $G_0 \not\cong G_1 \Rightarrow$  for any  $H P^*$  commits to,  $G_0 \cong H$  and  $G_1 \cong H$  cannot both hold  $\Rightarrow$  best  $P^*$  can do is guess b

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- Zero knowledge:

 $\forall \mathbf{G}_{0} \stackrel{\pi}{\cong} \mathbf{G}_{1}:$ 

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## Honest-Verifier ZKP for GI...

#### Exercise 4

What happens if V is malicious and can deviate from protocol?
Using ideas from Π<sub>GI</sub>, build honest-verifier ZKP for L<sub>QR</sub>

## Which Languages have ZKPs?



# Which Languages have ZKPs?



# Which Languages have ZKPs? PSPACE Languages



# Are Randomness and Interaction Necessary?

 $\subseteq$  Interaction is necessary

Exercise 5

If  $\mathcal{L}$  has a non-interactive (i.e, one-message) ZKP then  $\mathcal{L} \in \mathsf{BPP}$ 







## To Recap Today's Lecture

## ■ Traditional "NP" proofs vs *interactive* proofs

■ IP is more powerful: IP for GNI

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### ■ Traditional "NP" proofs vs *interactive* proofs

- IP is more powerful: IP for GNI
- Zero-knowledge proofs
  - Knowledge vs. information
  - Modelled "zero knowledge" via simulation paradigm

## To Recap Today's Lecture

- Traditional "NP" proofs vs *interactive* proofs
  - IP is more powerful: IP for GNI
- Zero-knowledge proofs
  - Knowledge vs. information
  - Modelled "zero knowledge" via simulation paradigm

■ Honest-verifier ZKP for GNI (HW: QNR) and GI (HW: QR)

## Next Lecture

#### ■ 27/Sep: Crib session for mid-term exam

- 01/Oct: More ZKP:
  - Malicious-verifier ZKP
  - Computational ZKP for all of NP!
    - New cryptographic primitive: commitment schemes

## References

- 1 [Gol01, Chapter 4] for details of today's lecture
- $\$  [GMR89] for definitional and philosophical discussion on ZK
- 3 The ZKPs for GI and GNI are taken from [GMR89, GMW91]
- IP for all of PSPACE is due to [LFKN92, Sha90]. Computational ZKP for all of PSPACE is due to [GMW91].



Shafi Goldwasser, Silvio Micali, and Charles Rackoff. The knowledge complexity of interactive proof systems. *SIAM J. Comput.*, 18(1):186–208, 1989.



Oded Goldreich, Silvio Micali, and Avi Wigderson.

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