

# Aggregate Skyline Join Queries: Skylines with Aggregate Operations over Multiple Relations

Arnab Bhattacharya

Dept. of Computer Science and Engineering,  
Indian Institute of Technology, Kanpur, India  
arnabb@iitk.ac.in

B. Palvali Teja

Amazon Development Centre,  
Hyderabad, India  
tpalvali@amazon.com

## Abstract

The multi-criteria decision making, which is possible with the advent of skyline queries, has been applied in many areas. Though most of the existing research is concerned with only a single relation, several real world applications require finding the skyline set of records over multiple relations. Consequently, the join operation over skylines where the preferences are local to each relation, has been proposed. In many of those cases, however, the join often involves performing aggregate operations among some of the attributes from the different relations. In this paper, we introduce such queries as “aggregate skyline join queries”. Since the naïve algorithm is impractical, we propose three algorithms to efficiently process such queries. The algorithms utilize certain properties of skyline sets, and processes the skylines as much as possible locally before computing the join. Experiments with real and synthetic datasets exhibit the practicality and scalability of the algorithms with respect to the cardinality and dimensionality of the relations.

## 1 Introduction

The skyline operator, introduced by Börzsönyi et al. [2], addresses the problem of multi-criteria decision making where there is no clear preference function over the attributes, and the user wants an overall big picture of which objects dominate (equivalently, are better than) other objects in terms of preferences set by her. The classic example involves choosing hotels that are good in terms of both price and distance to beach. The *skyline* set of hotels discard other hotels that are both dearer and farther than a skyline hotel.

For every attribute, there is a preference function that states which objects dominate over other objects. For example, the preference function for both price and distance to beach is  $<$ , i.e., a hotel with a lower price *and* at a closer distance to the beach than another hotel will dominate the

second one. Consequently, the second hotel is never going to be preferred, and does not require any further consideration. The skyline query returns all such objects that are *not* dominated by any other object. The importance and usefulness of skyline queries has provoked the commercial database management systems to incorporate these queries into existing systems [3].

In real applications, however, there often exists a scenario when a single relation is not sufficient for the application, and the skyline needs to be computed over multiple relations [16]. For example, consider a flight database. A person traveling from city A to city B may use stopovers, but may still be interested in flights that are cheaper, have a less overall journey time, better ratings and more amenities. In this case, a single relation specifying all direct flights from A to B may not suffice or may not even exist. The join of multiple relations consisting of flights starting from A and those ending at B needs to be processed before computing the preferences.

The above problem becomes even more complex if the person is interested in the travel plan that optimizes both on the *total* cost as well as the *total* journey time for the two flights (other than the ratings and amenities of each airline). In essence, the skyline now needs to be computed on attributes that have been *aggregated* from multiple relations in addition to attributes whose preferences are *local* within each relation. The aggregate operations that are commonly used are sum, average, minimum, maximum, etc.

Table 1 shows an example. The first table lists all flights from city A and the second one lists all flights to city B. A join of the two tables with the destination of the first table equal to the source of the second table and departure time more than arrival time will yield all flights from A to B with one stopover. As shown in Table 1(c), it also contains the total cost, total journey time, ratings and amenities of the two flights. The user wants a skyline on this joined relation using these attributes. While the total cost and total journey time are aggregated attributes, the ratings and amenities are local to each table. In this example, flight (13, 23) is dominated by flight (11, 21) in all the attributes, and hence, will not be preferred. On the other hand, flight (11, 21) is not dominated by any other flight and, therefore, is part of

the skyline set that the user wants to examine it more thoroughly. We name the above queries that retrieve skylines over aggregates of attributes joined using multiple relations as AGGREGATE SKYLINE JOIN QUERIES (ASJQ).

The above query can be specified in SQL as:

```
SELECT f1.fno, f2.fno,
       f1.dst, f2.src, f1.arr, f2.dep,
       f1.rtg, f2.rtg, f1.amn, f2.amn,
       cost as f1.cost + f2.cost,
       duration as f1.duration + f2.duration
FROM FlightsA as f1, FlightsB as f2
WHERE f1.dst = f2.src AND
       f1.arr < f2.dep AND
SKYLINE of cost min, duration min,
       f1.rtg max, f2.rtg max,
       f1.amn max, f2.amn max
```

Thus, database systems that have the *skyline* operator built into them [5] can easily allow the users to run such queries.

The preferences in the general skyline join problems are local to each relation, and hence, the skyline operations can be performed before the join [16]. For ASJQ queries, however, the skyline is computed over the aggregate values of attributes from multiple relations. This leads to performance degradation, since, the cardinality of joined relations is in general large, and the skylines cannot be processed unless the aggregate values have been computed. The aggregation function must be *monotonic*, i.e., if values  $s$  and  $u$  are preferred over values  $t$  and  $v$  respectively, the aggregated value of  $s$  and  $u$  must be preferred over the aggregated value of  $t$  and  $v$ . The aggregation operation is reminiscent of the problem of finding top- $k$  objects using multiple sources [6]. However, the ASJQ queries differ significantly by retrieving the skylines in which the aggregate values are only part of the attribute set. ASJQ queries, thus, involve three separate problems—skyline queries, join and aggregation from multiple sources—together, and highlights the connections among them.

The ASJQ queries are pertinent in many application domains. For example, the situation with flights described above is quite a routine task for tour planners and traveling salespersons. Another interesting application is in the cricket leagues. Clubs want to buy both good batsmen and good bowlers. Batsmen have attributes such as average, cost and rating. Similarly, bowlers have strike rate, cost and rating. The clubs optimize their chances of winning by considering options from the skyline set of batsman-bowler combinations with preferences for high average, high strike rate, low total cost and high total rating. In the same way, to choose an optimal combination of digital camera and a compatible memory card from a products database, it is necessary to join the individual tuples containing the attributes of a camera and those of a memory card on an attribute such as compatible memory card type (e.g., SD, XD, CF etc.), and optimize an aggregate attribute such as total cost, in addition to local attributes such as optical zoom (for camera) and storage capacity (for memory card). ASJQ queries can also be applied in the context of

multimedia data retrieval [6], geographic information systems [8], dynamic resource allocation on the grid [12], e-commerce [15], etc.

The naïve method of implementing ASJQ involves three steps: (i) performing the join operation over the relations, (ii) performing the aggregate operations on the attributes of multiple relations, and (iii) performing the skyline query on the joined relation. For large relations, this demands impractical computational costs. By intuition, one can observe that non-skyline points in each relation cannot appear in the final result set. Hence, performing a skyline operation on each relation before joining reduces the size of the relations to be joined and, thus, reduces the processing cost.

To reduce the costs further, we designed three algorithms. The first approach, *Multiple Skyline Computations (MSC)* algorithm, utilizes the fact that certain joins of non-skyline sets from the individual relations need not be tested for skyline criteria, and can be pruned. The *Dominator-based* algorithm and the *Iterative* algorithm improve on the MSC approach by pruning records even from the skyline sets of individual relations before they are joined, and are thus more efficient.

Our contributions in this paper are:

1. We define a novel query “Aggregate Skyline Join Query”.
2. We propose three algorithms that efficiently solves them.
3. We thoroughly investigate the effects of different parameters on the algorithms in terms of computational costs both analytically and through experiments.

The rest of the paper is organized as follows. The Aggregate Skyline Join Query (ASJQ) is formally defined in Section 2. A brief literature review is presented in Section 3. Section 4 proposes and analyzes three algorithms that efficiently solves the ASJQ queries. Section 5 describes the experimental results before Section 6 concludes.

## 2 Problem Statement

We begin by recapitulating the definition of skyline queries for a relation. Certain attributes of the relation participate in the skyline and are called the skyline attributes. For each skyline attribute, *preference functions* are specified as part of the skyline query. In a relation  $R$ , a tuple  $r_i = (r_{i_1}, r_{i_2}, \dots, r_{i_k})$  *dominates* another tuple  $r_j = (r_{j_1}, r_{j_2}, \dots, r_{j_k})$ , denoted by  $r_i \succ r_j$ , if for all skyline attributes  $c = \{s_1, \dots, s_{k'}\} \subseteq \{1, \dots, k\}$ ,  $r_{i_c}$  is preferred over or equal to  $r_{j_c}$ , and there is at least one attribute  $d$  where  $r_{i_d}$  is strictly preferred over  $r_{j_d}$ . A tuple  $r$  is in the *skyline* set of  $R$  if there does not exist any tuple  $s \in R$  that dominates  $r$ .

For our problem, i.e., ASJQ, the attributes of a relation are categorized into three types: (i) *local (L)*: attributes on which skyline preferences are applied locally to each relation, (ii) *aggregate (G)*: attributes on which skyline pref-

fno	dep	Join (H)		Aggregate (G)		Local (L)		fno	Join (H)		arr	Aggregate (G)		Local (L)	
		arr	dst	duration	cost	amn	rtg		src	dep		duration	cost	amn	rtg
11	06:30	08:40	C	2h 10m	162	5	4	21	C	09:50	12:00	2h 10m	162	5	4
12	07:00	09:00	E	2h 00m	166	4	5	26	C	16:00	18:49	2h 49m	160	2	3
14	08:05	10:00	E	1h 55m	140	3	4	23	C	16:00	18:45	2h 45m	160	4	4
15	09:50	10:40	C	1h 40m	270	3	2	25	D	16:00	17:49	1h 49m	220	3	4
13	12:00	13:50	C	1h 50m	173	4	3	22	D	17:00	19:00	2h 00m	166	4	5
16	16:00	17:30	D	1h 30m	230	3	3	27	E	20:00	21:46	1h 46m	200	3	3
17	17:00	20:20	C	3h 20m	183	4	3	24	E	20:00	21:30	1h 30m	160	4	3

(a) Flights from city A (FlightsA)

(b) Flights to city B (FlightsB)

f1.fno	f2.fno	f1.dst	f2.src	f1.arr	f2.dep	f1.amn	f2.amn	f1.rtg	f2.rtg	cost	duration	Skyline
11	21	C	C	08:40	09:50	5	5	4	4	324	4h 20m	Yes
11	23	C	C	08:40	16:00	5	4	4	4	322	4h 55m	Yes
13	23	C	C	13:50	16:00	4	4	3	4	333	4h 35m	No
15	23	C	C	10:40	16:00	3	4	2	4	430	4h 25m	No
12	24	E	E	09:00	20:00	4	4	5	3	326	3h 30m	Yes
14	24	E	E	10:00	20:00	3	4	4	3	300	3h 25m	Yes

(c) Part of the joined relation (FlightsA  $\bowtie$  FlightsB)

Table 1: Example of an Aggregate Skyline Join Query (ASJQ).

ences are applied *after* the aggregate operations are performed during join, (iii) *join (H)*: attributes on which no skyline preferences are specified, but are instead used for joining the two relations.

**Definition 1** (Local attributes). *The attributes of a relation on which preferences are applied for the purposes of skyline computation, but no aggregate operation with an attribute from the other relation is performed, are denoted as local attributes.*

**Definition 2** (Aggregate attributes). *The attributes of a relation, on which an aggregate operation is performed with another attribute from the other relation, and then preferences are applied on the aggregated value for skyline computation, are denoted as aggregate attributes.*

**Definition 3** (Join attributes). *The attributes of a relation, on which no skyline preferences are specified, but are used to specify the join conditions between the two relations, are denoted as join attributes.*

Denoting the local attributes by  $l$ , the aggregate attributes by  $g$ , and the join attributes by  $h$ , the two relations can be represented as:

$$R_1 = \{h_{1_1}, \dots, h_{1_j}, l_{1_1}, \dots, l_{1_{m_1}}, g_{1_1}, \dots, g_{1_n}\}$$

$$R_2 = \{h_{2_1}, \dots, h_{2_j}, l_{2_1}, \dots, l_{2_{m_2}}, g_{2_1}, \dots, g_{2_n}\}$$

where  $R_1$  and  $R_2$  has  $m_1$  and  $m_2$  local attributes respectively, and  $n$  aggregate attributes. The join condition is a conjunction of  $j$  comparisons between the corresponding  $j$  attributes ( $h_{ij}$ ) of  $A$  and  $B$ . In this paper, we assume that join attributes are separate from local and aggregate attributes. The final joined relation  $R = R_1 \bowtie R_2$  is

$$R = \{h_{1_1}, \dots, h_{1_j}, h_{2_1}, \dots, h_{2_j}, l_{1_1}, \dots, l_{1_{m_1}}, l_{2_1}, \dots, l_{2_{m_2}}, g_{1_1} \oplus_1 g_{2_1}, \dots, g_{1_n} \oplus_n g_{2_n}\}$$

where  $\oplus_i$ , etc. denote the join condition.

For the example in Table 1, the local attributes are  $amn$  and  $rtg$ , the aggregate attributes are  $cost$  and  $duration$ , and the join attributes are  $dst$  and  $arr$  for FlightsA, and  $src$  and  $dep$  for FlightsB.

The AGGREGATE SKYLINE JOIN QUERY (ASJQ) is defined as:

**Definition 4** (Aggregate Skyline Join Queries (ASJQ)). *The ASJQ queries retrieve the skyline set from the joined relation according to the preference functions of its  $m_1 + m_2$  local and  $n$  aggregate attributes.*

Dominance relationships between records can be defined based on the *attributes* on which a record dominates other records. A tuple  $r$  in relation  $R_i$  *fully dominates* another tuple  $s \in R_i$  if  $r$  dominates  $s$  in both the local and the aggregate attributes of  $R_i$ . If  $r$  dominates  $s$  only in the local attributes, it is said to *locally dominate*  $s$ .

The above definitions assume that whenever a tuple  $t' = u \bowtie v'$  exists in the final relation, the tuple  $t = u \bowtie v$ , where  $v' \succ v$ , also exists. However, the join attributes of  $v'$  and  $v$  may be such that only  $v'$  satisfies the join condition with  $u$ , but  $v$  does not. Consider flight 15 in Table 1. It is dominated by flight 16 in the local attributes. However, since they have different destinations, 15 can join with other flights originating from  $C$  (e.g., 23) which flight 16 cannot. Hence, it must not be considered to be dominated by flight 16. In such cases,  $t'$  may also exist as a skyline in the final result as there is no  $t$  to dominate it. The problem is that the local dominance did not take into account the join attributes.

In order to handle this, the join attributes must be taken into account when full and local dominance relationships are defined. Suppose, the join condition that two join attributes  $a$  from  $A$  and  $b$  from  $B$  participate in is  $A.a \odot B.b$

Join condition	$u \in A \succ u' \in A$ if	$v \in B \succ v' \in B$ if
$A.a = B.b$	$u.a = u'.a$	$v.b = v'.b$
$A.a < B.b$	$u.a \leq u'.a$	$v.b \geq v'.b$
$A.a \leq B.b$	$u.a \leq u'.a$	$v.b \geq v'.b$
$A.a > B.b$	$u.a \geq u'.a$	$v.b \leq v'.b$
$A.a \geq B.b$	$u.a \geq u'.a$	$v.b \leq v'.b$

Table 2: Converting join conditions to skyline preferences.

where  $\odot$  may be any of the following five comparison operators:  $=, <, \leq, >, \geq$  (we do not consider other operations in this paper).

Now, consider the tuple  $u' \in A$ . If it is dominated by tuple  $u \in A$ , then it must be ensured that whenever  $u'$  joins with  $v \in B$ ,  $u$  must also satisfy the joining condition, i.e., if  $u' \bowtie v$  is true, then  $u \bowtie v$  must be true as well. For example, if  $\odot$  denotes  $=$ , then this translates to  $u.a = u'.a$  (both being equal to  $v.b$ ); if  $\odot$  denotes  $<$ , this translates to  $u.a < u'.a$ , and similarly for the rest. (The comparison conditions are reversed for relation  $B$ .) This condition can be incorporated in the skyline finding routines as follows.

The join attribute is *also* considered as a skyline attribute with the preference function set appropriately as summarized in Table 2. This automatically ensures that whenever a tuple  $u'$  is dominated by  $u$ ,  $u'$  can be discarded as the join of  $u$  with  $v$  can always be formed which will ultimately dominate the tuple formed by joining  $u'$  with  $v$ .

Based on the above discussion, the definitions of dominance relationships are modified as follows.

**Definition 5** (Full dominance). *A tuple  $r$  in relation  $R$  fully dominates a tuple  $s$  if  $r$  dominates  $s$  in local, aggregate and join attributes of  $R$ .*

**Definition 6** (Local dominance). *A tuple  $r$  in relation  $R$  locally dominates a tuple  $s$  if  $r$  dominates  $s$  in local and join attributes of  $R$ .*

henceforth, whenever we mention local or aggregate attributes in the context of dominance, we assume that the join attributes are incorporated within them.

Note that full dominance implies local dominance, but not vice versa. The corresponding definitions of *full dominator* and *local dominator* are also specified. Using these definitions, two kinds of skyline sets are also defined. A tuple  $r$  in relation  $R$  is in the *full skyline* set if no tuple in  $R$  fully dominates  $r$ , and it is in the *local skyline* set if no tuple in  $R$  locally dominates it. A tuple that is in the local skyline set is also in the full skyline set, but not vice versa.

### 3 Related Work

The maximum vector problem or Pareto curve [11] in the field of computational geometry has been imported to databases forming the skyline query [2]. After the first skyline algorithm proposed by Kung et al. [11], there were many algorithms devised by exploring the properties of skylines. Some representative non-indexed algorithms are SFS [4], LESS [7]. Using index structures, algorithms such as NN [10] and BBS [13] have been proposed.

### Algorithm 1 Naïve Algorithm

**Input:** Relations  $A, B$ , preferences  $p$ , aggregate operations  $a$

**Output:** Aggregate skyline join relation  $S$

- 1:  $J \leftarrow \text{computeJoin}(A, B)$
- 2:  $R \leftarrow \text{Aggregate}(J, a)$
- 3:  $S \leftarrow \text{computeFullSkyline}(R, p)$

In [9], Jin et al. proposed the multi relational skyline operator. They also designed algorithms to find such skylines over multiple relations. In [16], Sun et al. coined the term “skyline join” in the context of distributed environments. They extended SaLSa [1] and also proposed an iterative algorithm that prunes the search space in each step. ASJQ queries differ in that it extends the skyline join proposed in [9] with aggregate operations performed during the join. This renders the use of the existing techniques inapplicable as they work only on the local attributes.

There are various algorithms for joining such as nested-loop join, indexed nested-loop join, merge-join and hash-join [14]. Nested-loop joins can be used regardless of the join condition. The other join techniques are more efficient, but can handle only simple join conditions, such as natural joins or equi-joins. Any of these join algorithms that is applicable for the given query can be used with ASJQ algorithms.

## 4 Algorithms

In this section, we describe the various algorithms that have been designed to process the ASJQ queries. We start with the naïve one before moving on to the more sophisticated algorithm that uses the *multiple skyline computations (MSC)* approach. The last two algorithms—*dominator-based* and *iterative*—improves upon the MSC approach. For each algorithm, we also provide an analysis of its computation cost.

The pseudocode of the algorithms assume the procedures for *computeFullSkyline*, *computeLocalSkyline*, *computeJoin*, and *aggregate* methods. The algorithms for these methods are not shown, since any efficient skyline or join algorithm can be plugged into these methods. The aggregate method simply computes the aggregate operations on the specified attributes. Even though the efficiency of the entire method depends on the complexities of these algorithms, we have not experimented with them as the focus of this paper is on processing the ASJQ part.

### 4.1 Naïve Algorithm

The naïve method of processing ASJQ queries is shown in Algorithm 1. It computes the join of the two input relations and applies the aggregate operations, before computing the skyline on the joined and aggregated relation using the preferences. There are two costs involved in this algorithm, *joining* cost and cost for the *skyline* computation. The cost of *aggregation* is not included, because it can be done when two tuples are joined, without any extra cost.

Set		Flight numbers	
A <sub>0</sub>	A <sub>1</sub>	11, 12	
	A' <sub>1</sub>	A <sub>2</sub>	13, 14
	A' <sub>2</sub>	15, 16	
A' <sub>0</sub>		17	

Table 3: Categorization of relation FlightsA from Table 1.

#### 4.1.1 Analysis

We denote the cost of a skyline operation on a relation of  $N$  tuples having  $a$  attributes by  $S(N, a)$ . The cost of a join operation on two relations of size  $N_1$  and  $N_2$  is denoted by  $J(N_1, N_2)$ . Since the aggregate operations are done as part of the join, the cost of those operations are not taken into account separately. Rather, if  $g$  attributes are aggregated, the cost of the join is denoted by  $J(N_1, N_2, g)$ , by incorporating the parameter within it.

Assuming the relations  $A$  and  $B$  contain  $N_A$  and  $N_B$  tuples respectively with  $n$  aggregate attributes, the cost of joining and aggregation is  $J(N_A, N_B, n)$ . The joined relation contains *at most*  $N_A N_B$  tuples, each having  $m_1 + m_2 + n$  attributes, and therefore, the cost of skyline operation is  $S(N_A N_B, m_1 + m_2 + n)$ .

When operating on large relations, the above costs are impractical. However, an advantage of the algorithm, apart from being the simplest to implement, is the fact that it is independent of the distribution of the data.

## 4.2 Performing Skylines before Join

Processing ASJQ queries can be made more efficient by pushing the join operation after the full skylines have been evaluated in each relation, thereby discarding tuples that are *fully dominated* by other tuples. These records are guaranteed not to exist in the ASJQ result set.

Denoting the full skyline sets in each relation by  $A_0$  and  $B_0$  respectively, and the non-skyline sets by  $A'_0$  and  $B'_0$  respectively, i.e.,  $A'_0 = A - A_0$  and  $B'_0 = B - B_0$ , the following theorem shows that any tuple formed by joining a tuple from either  $A'_0$  or  $B'_0$  or both cannot be part of the final skyline set.

**Theorem 1.** *A tuple formed by joining a tuple that is not a full skyline in the individual relation never exists in the final skyline set.*

*Proof.* Consider a tuple  $t' \in A_0 \bowtie B'_0$  formed by joining a tuple  $u \in A_0$  with a tuple  $v' \in B'_0$ . Since  $v'$  is not a full skyline, there exists a tuple  $v \in B_0$  that fully dominates  $v'$ . Consider the tuple  $t = u \bowtie v$ . The attributes in  $l_1$  of  $t$  are equal to those of  $t'$ , but dominate in  $l_2$ . Consider an aggregate attribute  $g'_i = g_{1_i} \oplus_i g_{2_i}$  of  $t'$ . The corresponding attribute value for  $t$  is  $g_i = g_{1_i} \oplus_i g_{2_i}$ . Since  $g_{2_i}$  dominates  $g'_{2_i}$  and  $\oplus_i$  is a monotone aggregate function,  $g_i$  dominates  $g'_i$ . Hence, overall, the tuple  $t$  dominates  $t'$ . Thus,  $t'$  cannot be part of the skyline.

Similarly, any tuple in  $A'_0 \bowtie B_0$  or  $A'_0 \bowtie B'_0$  is dominated by the tuple formed by joining the corresponding

Set		Flight numbers	
B <sub>0</sub>	B <sub>1</sub>	21, 22	
	B' <sub>1</sub>	B <sub>2</sub>	23
	B' <sub>2</sub>	24, 25	
B' <sub>0</sub>		26, 27	

Table 4: Categorization of relation FlightsB from Table 1.

dominators, and will never exist in the final skyline set.  $\square$

As an example, consider flights 11 and 17. Flight 11 fully dominates flight 17 and satisfies the conditions for the join attributes as well. This ensures that any other flight joined with 17 (e.g., 21) can be joined with 11 as well, and the resulting joined tuple (11, 21) will surely dominate (17, 21). Hence, flight 17 need not be considered any further. On the other hand, even though flight 24 dominates flight 26 in the local and aggregate attributes, the join attributes are not compatible as the sources of the flights are different. Hence, a tuple joined with 26 will not be dominated by that joined by 24 as the latter tuple is invalid according to the join criteria.

Thus, following the above theorem, the tuples from the sets  $A'_0$  and  $B'_0$  can be discarded. The remaining tuples may or may not exist in the final result set. For example, consider flight 23 in the second relation. It joins with three tuples from the first relation as shown in Table 1(c). Of these, (11, 23) exists in the final skyline set while (13, 23) and (15, 23) do not as they are dominated by (11, 23).

However, not all possible joined tuples from  $A_0$  and  $B_0$  need to be examined. Each full skyline set can be further divided by extracting the *local* skylines from them. Suppose, the local skyline sets for  $A_0$  and  $B_0$  be  $A_1$  and  $B_1$  respectively. Correspondingly, let  $A'_1$  and  $B'_1$  be the set of non-skyline points within  $A_0$  and  $B_0$  respectively, i.e., they are full skylines but not local skylines. Mathematically,  $A'_1 = A_0 - A_1$  and  $B'_1 = B_0 - B_1$ . The following theorem shows that any tuple formed by joining a tuple from either  $A_1$  or  $B_1$  or both *must* be part of the final skyline set.

**Theorem 2.** *The tuples formed by joining either or both of the tuples which are local skylines in the individual relations must exist in the final skyline set.*

*Proof.* Consider a tuple  $t \in A_1 \bowtie B'_1$  formed by joining a tuple  $u \in A_1$  with a tuple  $v' \in B'_1$ . Since  $u$  is a local skyline, there exists no tuple  $u' \in A$  that locally (and therefore, fully) dominates  $u$ . Thus, for any other joined tuple  $t' \in A \bowtie B$ ,  $t'$  cannot have local attributes of  $A$  that dominate over  $t$ . Thus,  $t$  must be part of the skyline.

Similarly, any tuple in  $A'_1 \bowtie B_1$  or  $A_1 \bowtie B_1$  is not dominated by any other tuple in all the attributes, and will therefore, always exist in the final skyline set.  $\square$

Consider flight 11 in the first relation and 21 in the second relation. Both are local skylines in the corresponding full skyline sets, i.e., they are part of  $A_0$  and  $B_0$  respectively. Any tuple joined with 11 (e.g., 23) must be part of

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**Algorithm 2** MSC Algorithm

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**Input:** Relations  $A, B$ , preferences  $p$ , aggregate operations  $a$

**Output:** Aggregate skyline join relation  $S$

- 1:  $A_0 \leftarrow \text{computeFullSkyline}(A)$
  - 2:  $B_0 \leftarrow \text{computeFullSkyline}(B)$
  - 3:  $(A_1, A'_1) \leftarrow \text{computeLocalSkyline}(A_0)$
  - 4:  $(B_1, B'_1) \leftarrow \text{computeLocalSkyline}(B_0)$
  - 5:  $J \leftarrow \text{computeJoin}(A_1, B_1) \cup \text{computeJoin}(A_1, B'_1) \cup \text{computeJoin}(A'_1, B_1)$
  - 6:  $R \leftarrow \text{Aggregate}(J, a)$
  - 7:  $J' \leftarrow \text{computeJoin}(A'_1, B'_1)$
  - 8:  $R' \leftarrow \text{Aggregate}(J', a)$
  - 9:  $S \leftarrow R \cup \text{computeFullSkyline}(R', R)$  /\* finds skyline points in  $R'$  by treating the current skyline as  $R$  \*/
- 

the final skyline as no other tuple can dominate (11, 23) in the local attributes of the first relation, i.e., `fl.amn` and `fl.rtg`.

However, nothing can be concluded directly about the tuples formed by joining  $A'_1$  with  $B'_1$ —they may or may not exist in the ASJQ result set. Though their local attributes will be dominated, their aggregate attributes may be better, and therefore, they may be part of the skyline. Consider the joined tuple (13, 23). It is dominated by (11, 21) even in the aggregate attributes, and is, hence, not a skyline. On the other hand, the tuple (14, 24) is a skyline, even though 14 is locally dominated by 11 and 24 by 21; however, the aggregate attributes of (14, 24) are more preferable. Hence, the tuples in  $A'_1 \bowtie B'_1$  needs to be processed to determine the ASJQ records in it.

The ASJQ algorithms utilize Theorem 1 and Theorem 2 to reduce the processing by first determining the skyline sets before joining.

In addition to the high processing costs, the naïve algorithm suffers from the problem of non-progressive result generation, i.e., it presents the results only after complete processing of the algorithms. In real applications with large datasets, query processing may take a lot of time, and this large response time, even for the first result, may be undesirable for many users. This can be handled by devising *online* algorithms that generate a subset of the full results quickly and progressively generates the tuples thereafter. Though the full results are still output only after complete processing, these can be used in real-time applications.

MSC and the next set of algorithms achieve this by generating tuples that are sure to be in the final skyline set *without* processing all the tuples in the joined relation.

### 4.3 Multiple Skyline Computations Algorithm

The Multiple Skyline Computations (MSC) algorithm uses the results of the above two theorems, and immediately outputs the tuples in  $A_1 \bowtie B_1$ ,  $A_1 \bowtie B'_1$ , and  $A'_1 \bowtie B_1$ . It then examines the tuples from  $A'_1 \bowtie B'_1$  to determine whether they are part of the final skyline set. Algorithm 2 shows the complete algorithm.

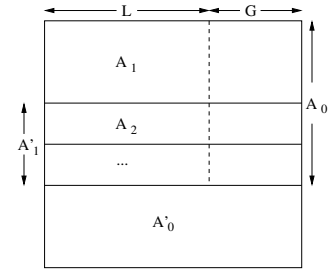


Figure 1: Break-up of skyline sets for iterative algorithm.

Moreover, processing the joined relation, which is generally large, constitutes most of the processing cost. Hence, algorithms that reduce the number of comparisons in the joined relation without processing the whole relation improves the efficiency of ASJQ processing.

Table 3 and Table 4 respectively show the division of the sets  $A$  and  $B$  from Table 1 into the different categories. The naïve algorithm finds the skyline by examining 11 joined tuples. Theorem 1 reduces the number of joined tuples to 6 (as shown in Table 1(c)). By applying Theorem 2, the MSC algorithm reduces it further by computing the sets  $A'_1$  and  $B'_1$ . The total number of tuples in  $A'_1 \bowtie B'_1$  on which the final skyline needs to be computed is only 3.

#### 4.3.1 Analysis

We next analyze the costs of the MSC algorithm. Using the same notation as in the analysis of the naïve algorithm, the first two full skyline computations has a cost of  $S(N_A, j + m_1 + n) + S(N_B, j + m_2 + n)$ , where  $n_C$  denotes the cardinality of the set  $C$ . The cost of computing the local skylines next are  $S(N_{A_0}, m_1) + S(N_{B_0}, m_2)$ .

The total cost of computing the three joins,  $A_1 \bowtie B_1$ ,  $A_1 \bowtie B'_1$ , and  $A'_1 \bowtie B_1$ , is  $J(A_1, B_1, n) + J(A_1, B'_1, n) + J(A'_1, B_1, n)$ . The full skyline operator is applied on the tuples from  $A'_1 \bowtie B'_1$ , thereby incurring a cost of at most  $S(N_{A'_1} \cdot N_{B'_1}, m_1 + m_2 + n)$ .

The MSC algorithm performs significantly better than the naïve one when the cardinality of the full skyline set is low but that of the local skyline sets is high. A number of skyline tuples can be generated quickly and only a few ones (those in  $A'_1 \bowtie B'_1$ ) require a complete investigation. Since the skylines are computed locally, the number of local attributes plays a big role. With more number of local attributes, the size of  $A_1$  ( $B_1$ ) grows. However, in that case, the cardinality of  $A_0$  ( $B_0$ ), and hence, that of  $A'_1$  ( $B'_1$ ) will be large as well, thereby reducing some of the benefits of the MSC algorithm. Section 5 analyzes the effect of these parameters.

### 4.4 Dominator-Based Approach

In order to further reduce the processing cost of tuples from  $A'_1 \bowtie B'_1$ , the following two algorithms are designed. The first algorithm makes use of *dominator* properties among the tuples and prunes away unnecessary comparisons while determining the ASJQ records within the set  $A'_1 \bowtie B'_1$ .

---

**Algorithm 3** Dominator-Based Algorithm

---

**Input:** Relations  $A, B$ , local preferences  $l$ , preferences  $p$ , aggregate operations  $a$

**Output:** Aggregate skyline join relation  $S$

```
1:  $A_0 \leftarrow \text{computeFullSkyline}(A)$ 
2:  $B_0 \leftarrow \text{computeFullSkyline}(B)$ 
3:  $(A_1, A'_1) \leftarrow \text{computeLocalSkyline}(A_0)$ 
4:  $(B_1, B'_1) \leftarrow \text{computeLocalSkyline}(B_0)$ 
5:  $(A_1, A'_1, D_A) \leftarrow \text{findLocalDominators}(A_0, l)$  /* using Algorithm 4 */
6:  $(B_1, B'_1, D_B) \leftarrow \text{findLocalDominators}(B_0, l)$  /* using Algorithm 4 */
7:  $J \leftarrow \text{computeJoin}(A_1, B_1) \cup \text{computeJoin}(A_1, B'_1) \cup \text{computeJoin}(A'_1, B_1)$ 
8:  $R \leftarrow \text{Aggregate}(J, a)$ 
9:  $J' \leftarrow \text{computeJoin}(A'_1, B'_1)$ 
10:  $R' \leftarrow \text{Aggregate}(J', a)$ 
11:  $S \leftarrow R \cup \text{computeSkylineUsingDominators}(R', D_A, D_B)$ 
    /* finds skyline points in  $R'$  by using dominator sets  $D_A, D_B$  (Algorithm 5) */
```

---

Consider a tuple  $t' \in A'_1 \bowtie B'_1$  formed by joining tuples  $u' \in A'_1$  and  $v' \in B'_1$ , i.e.,  $t' = u' \bowtie v'$ . The tuple  $t'$  can be dominated by only certain records of the skyline set  $(A_1 \bowtie B_1) \cup (A'_1 \bowtie B_1) \cup (A_1 \bowtie B'_1)$ . Identifying these records avoids comparing with the whole sets. Suppose, the local dominators of  $u'$  ( $v'$ ) are represented by  $ld(u')$  ( $ld(v')$ ). The following lemma proves that  $t'$  can be dominated by only those tuples  $t$  that are in  $ld(u') \bowtie ld(v')$ , and nothing else.

**Lemma 1.** *A tuple  $t' = u' \bowtie v'$  in  $A'_1 \bowtie B'_1$  can be dominated by only those tuples that are formed by joining tuples in the local dominator sets of  $u'$  and  $v'$ , i.e., in  $ld(u') \bowtie ld(v')$ .*

*Proof.* Consider a tuple  $t' = u' \bowtie v' \in A'_1 \bowtie B'_1$ . Also, consider  $u$  which is *not* a local dominator of  $u'$ , i.e.,  $u \notin ld(u')$ , and a tuple  $t$  formed by joining  $u$  with any  $v \in B$ . The local attributes  $l_1$  of  $t'$  are not dominated by those in  $t$  as then  $u'$  would have been dominated by  $u$ . Thus,  $t$  cannot dominate  $t'$ . Similarly, any  $t$  formed by joining any  $u$  with  $v \notin ld(v')$  cannot dominate  $t'$  as the local attributes of the second relation will not be dominated. Hence, if  $t'$  can only be dominated by  $t \in ld(u') \bowtie ld(v')$ .  $\square$

The records in  $ld(u') \bowtie ld(v')$  are not guaranteed to dominate  $t'$  though. This is due to the fact that  $u'$  contains aggregate attributes that are not dominated by those of  $ld(u')$  (the reason being  $u'$  belonging to the set  $A_0$ , i.e., it is a full skyline). Hence, the tuple  $t'$  may need to be compared with all the tuples in  $ld(u') \bowtie ld(v')$ . This reduces the computation cost of the last step of the MSC algorithm significantly as it is not compared with all tuples of  $(A_1 \bowtie B_1) \cup (A'_1 \bowtie B_1) \cup (A_1 \bowtie B'_1)$ .

However, the previous steps perform more work by finding the dominator sets for each tuple not in the local skyline set. In other words, by increasing the cost of the MSC step

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**Algorithm 4** Skyline Computation and Finding Dominators

---

**Input:** Relation  $A_0$ , local preferences  $p$

**Output:** Skyline set  $A_1$ , Non-skyline set  $A'_1$ , Dominator set  $D_1$

```
1: while  $r' \leftarrow \text{readRecord}(A_0)$  do
2:    $flag \leftarrow 0$ 
3:   while  $r \leftarrow \text{readRecord}(A_0)$  do
4:     if  $r$  locally dominates  $r'$  using preferences  $p$  then
5:        $D(r') \leftarrow D(r') \cup r$ 
6:        $flag \leftarrow 1$ 
7:     end if
8:   end while
9:   if  $flag = 0$  then
10:     $A_1 \leftarrow A_1 \cup r'$ 
11:   else
12:     $A'_1 \leftarrow A'_1 \cup r'$ 
13:     $D_1 \leftarrow D_1 \cup D(r')$ 
14:   end if
15: end while
16:  $S \leftarrow (A_1, A'_1, D_1)$ 
```

---

to draw some conclusions among the records, the overall computational cost is reduced by utilizing those properties in the latter stages of the algorithm.

Algorithm 3 summarizes the approach. It uses Algorithm 4 to find the local dominator sets for each record that is in  $A'_1$  (and  $B'_1$ ). Algorithm 5 shows the subroutine that utilizes these local dominator sets to determine whether a tuple is in the final skyline set.

In the example in Table 1, flight 13 is locally dominated by flights 11 and 12 while flight 23 is locally dominated by flights 21 and 22. Therefore, to determine whether tuple (13, 23) is a skyline in the ASJQ set, it needs to be checked only against (11, 21). (The other combinations do not generate valid joined tuples.) This is a large improvement as opposed to the MSC algorithm that checks (13, 23) against 5 joined tuples from  $(A_1 \bowtie B_1) \cup (A'_1 \bowtie B_1) \cup (A_1 \bowtie B'_1)$ .

#### 4.4.1 Analysis

We now analyze the costs of the dominator-based algorithm with respect to the MSC algorithm. The first two full skyline computations has the same cost of  $S(N_A, m_1 + n) + S(N_B, m_2 + n)$ . The local skylines are computed next having a total cost of  $S(N_{A_0}, m_1) + S(N_{B_0}, m_2)$ .

In addition to the skyline computations, the dominator sets are computed. Denoting the cost of dominator computation by  $D$ , the cost is  $D(N_{A_0}) + D(N_{B_0})$ . Note that even though the dominators for only  $A'_1$  and  $B'_1$  tuples are maintained, all the tuples of  $A_0$  and  $B_0$  need to be processed. Suppose, the size of the dominator sets are  $d_{A'_1}$  and  $d_{B'_1}$  respectively.

The skyline operator is next applied on the tuples from  $A'_1 \bowtie B'_1$  using the dominators found in the previous step. This cost is at most  $SD(N_{A'_1} \cdot N_{B'_1}, d_{A'_1} \times d_{B'_1}, n)$ . Note that the dimensionality of the skyline operation using dominators here is only  $n$ , i.e., only the aggregate attributes need

---

**Algorithm 5** Skyline Computation using Dominators

---

**Input:** Non-skyline set  $R'$ , Dominator sets  $D_A, D_B$ , preferences  $p$ , aggregate operations  $a$

**Output:** Skyline set  $R$

```
1: while  $r' \leftarrow \text{readRecord}(R')$  do
2:    $r' \leftarrow u \bowtie v$ 
3:    $\text{flag} \leftarrow 0$ 
4:   while  $d_A \leftarrow \text{readDominator}(r', D_A)$  do
5:     /* read record from  $D_A$  that locally dominates  $u$  */
6:     while  $d_B \leftarrow \text{readDominator}(r', D_B)$  do
7:       /* read record from  $D_B$  that locally dominates  $v$  */
8:        $r \leftarrow \text{Aggregate}(d_A \bowtie d_B, a)$  /* read full record from  $R$  that has  $d_A$  and  $d_B$  */
9:       if  $r$  fully dominates  $r'$  according to preferences  $p$  then
10:        discard  $r'$ 
11:         $\text{flag} \leftarrow 1$ 
12:        break
13:       end if
14:     end while
15:     if  $\text{flag} = 1$  then
16:       break
17:     end if
18:   end while
19:   if  $\text{flag} = 0$  then
20:      $R \leftarrow R \cup r'$ 
21:   end if
22: end while
```

---

to be checked for dominance, as the local attributes are, by definition, dominated by the local dominators.

Finally, the total cost of computing the three other joins,  $A_1 \bowtie B_1$ ,  $A_1 \bowtie B'_1$ , and  $A'_1 \bowtie B_1$ , is the same as that of the MSC algorithm, and can be denoted by  $J(A_1, B_1, n) + J(A_1, B'_1, n) + J(A'_1, B_1, n)$ .

The dominator-based algorithm thus performs well when the dominator sets are small. Otherwise, the overhead of dominator computation may be too large to gain any speedup over the MSC algorithm. Section 5 compares these algorithms experimentally.

#### 4.5 Iterative Algorithm

The dominator-based algorithm involves computation of local dominator sets which can be costly. By eliminating the costly dominator computations, we devise another algorithm which is iterative in nature and is an attractive online algorithm.

The main cost of the MSC algorithm is the skyline computation on the join of the two sets  $A'_1$  and  $B'_1$ . This algorithm reduces the complexity of this cost by further dividing the set  $A'_1$  ( $B'_1$ ) into local skylines  $A_2$  ( $B_2$ ) and non-skylines  $A'_2$  ( $B'_2$ ). Iteratively, this is proceeded until the cardinality of the non-skyline set is less than a preset threshold  $\delta$ . The relation  $A_0$  (similarly,  $B_0$ ) is thus subdivided into  $A_1, A_2, \dots, A_k, A'_k$ , as shown in Figure 1.

---

**Algorithm 6** Iterative Algorithm

---

**Input:** Relations  $A, B$ , local preferences  $l$ , preferences  $p$ , aggregate operations  $a$

**Output:** Aggregate skyline join relation  $S$

```
1:  $A_0 \leftarrow \text{computeFullSkyline}(A)$ 
2:  $B_0 \leftarrow \text{computeFullSkyline}(B)$ 
3:  $i \leftarrow 1$ 
4: while  $|A'_i| \leq \delta$  do
5:    $A_{i+1} \leftarrow \text{computeLocalSkyline}(A_i)$ 
6:    $i \leftarrow i + 1$ 
7: end while
8:  $L_A \leftarrow i$  /* Number of levels of skyline sets in  $A$  */
9:  $j \leftarrow 1$ 
10: while  $|B'_j| \leq \delta$  do
11:    $B_{j+1} \leftarrow \text{computeLocalSkyline}(B_j)$ 
12:    $j \leftarrow j + 1$ 
13: end while
14:  $L_B \leftarrow j$  /* Number of levels of skyline sets in  $B$  */
15:  $J \leftarrow \text{computeJoin}(A_1, B_1) \cup \text{computeJoin}(A_1, B'_1) \cup \text{computeJoin}(A'_1, B_1)$ 
16:  $G \leftarrow \text{Aggregate}(J, a)$ 
17:  $S \leftarrow G$ 
18:  $i \leftarrow 1, j \leftarrow 1$ 
19: while  $i \leq L_A$  do
20:   while  $j \leq L_B$  do
21:      $J'_{ij} \leftarrow \text{computeJoin}(A'_i, B'_j)$ 
22:      $G'_{ij} \leftarrow \text{Aggregate}(J'_{ij}, a)$ 
23:      $S \leftarrow S \cup \text{computeSkylineUsingTargetSets}(G'_{ij})$ 
24:      $j \leftarrow j + 1$ 
25:   end while
26:    $i \leftarrow i + 1$ 
27: end while
```

---

By observing certain relationships among these sets, we can determine that the dominators of the records of a set exist only in a few of the other sets, and it needs to be compared only with those sets. For example, a tuple in  $A_2 \bowtie B_2$  needs to be compared with tuples in  $A_1 \bowtie B_1$  only, thereby eliminating unnecessary comparisons with tuples in  $(A_1 \bowtie B'_1) \cup (A'_1 \bowtie B_1) \cup (A'_1 \bowtie B'_1)$ .

**Lemma 2.** *A tuple in  $A_2 \bowtie B_2$  can be dominated only by a tuple in  $A_1 \bowtie B_1$  and not by any tuple in  $(A_1 \bowtie B'_1) \cup (A'_1 \bowtie B_1) \cup (A'_1 \bowtie B'_1)$ .*

*Proof.* Consider a tuple  $t' = u' \bowtie v' \in A_2 \bowtie B_2$ . Consider any other tuple  $t = u \bowtie v \in A'_1 \bowtie B_1$ . If  $t$  dominates  $t'$ , then the  $l_1$  local attributes of  $t$  pertaining to  $u$  must dominate that of  $u'$ . However, since  $A_2$  is in the local skyline set of  $A'_1$ , this contradicts the fact that no tuple in  $A'_1$  locally dominates a tuple in  $A_2$ . Similarly, no tuple in  $A_1 \bowtie B'_1$  or  $A'_1 \bowtie B'_1$  can dominate  $t'$ .  $\square$

For each such set  $A_i \bowtie B_j$ , there exists *target sets*, within which it has to search for its dominators and test for the ASJQ requisites. We show the target sets up to two iterations in Table 5.

The iterative algorithm is summarized in Algorithm 6. In each relation, the skyline sets are computed till the



Set	Target Sets
$A_2 \bowtie B_2$	$A_1 \bowtie B_1$
$A_2 \bowtie B'_2$	$A_1 \bowtie B_1, A_1 \bowtie B'_1$
$A'_2 \bowtie B_2$	$A_1 \bowtie B_1, A'_1 \bowtie B_1$
$A'_2 \bowtie B'_2$	$A_1 \bowtie B_1, A_1 \bowtie B'_1, A'_1 \bowtie B_1$

Table 5: Target sets for iterative algorithm.

threshold  $\delta$  is reached. All combinations of such non-skyline sets are then joined, and the dominators for aggregates are checked only against the corresponding target sets.

The `computeSkylineUsingTargetSets` method mentioned in the algorithm determines the skyline records in the set  $S_{ij}$  by comparing only with the target sets corresponding to it as shown in Table 5. The first iteration of the iterative algorithm remains the same as in the MSC algorithm. In the second iteration, the sets  $A_2$  and  $B_2$  are joined and these are compared with only the target sets shown in Table 5. Similarly, in the next iteration, local skyline is further computed in  $A'_2$  and  $B'_2$ , and so on until the cardinality falls below the threshold  $\delta$ .

For the running example given in Table 1, the break-up of the relations into the different sets  $A_1, A_2$ , etc. are shown in Table 3 and Table 4. Here,  $A'_2$  and  $B'_2$  are not further categorized, as they have only two tuples, and no tuple dominates the other. In other words,  $A_3 = A'_2$  and  $B_3 = B'_2$ , and the sets  $A'_3$  and  $B'_3$  are empty. Hence, this is considered as the last iteration.

#### 4.5.1 Analysis

The cost analysis of the iterative algorithm depends heavily on the cardinality of the non-skyline sets produced progressively. The number of tuples that are joined remains the same as in the MSC approach. However, the ASJQ computation cost for the tuples in  $A'_i \bowtie B'_j$  reduces significantly, since the search space for each tuple is iteratively pruned, and is thus, optimized.

As a result, it performs significantly better in comparison to the other algorithms for datasets with large non-(full)skyline sets. This is due to the fact that the non-skyline sets are not blindly joined with each other, but rather only the relevant records are joined and compared in a progressive manner. This cuts down many unnecessary skyline tests, thereby improving the efficiency.

#### 4.6 ASJQ with Single Aggregate Attribute

A special case of the *Aggregate Skyline Join Query* is when it involves only a single aggregate attribute. The processing then becomes substantially easier. As shown in Section 4.2, the records which do not exist in the *full skyline* set of each relation (i.e., those in  $A'_0$  and  $B'_0$ ) are discarded. However, when the number of aggregate attributes is one, even the tuples formed by joining  $A_0$  with  $B_0$  do not need to be examined. An interesting observation, summarized in the following lemma, leads to the expeditious generation of the

Parameter	Symbol	Value
Number of local attributes	$L$	2
Number of aggregate attributes	$G$	2
Cardinality of datasets	$N$	40000
Number of categories	$C$	10
Distribution of datasets	$D$	Correlated

Table 6: Default parameters for synthetic data.

skyline points. The tuples in  $A_0 \bowtie B_0$  are guaranteed to be part of the final skyline set.

**Lemma 3.** *When there is only one aggregate attribute, the tuples formed by joining the full skyline points of each relation always exist in the ASJQ result set.*

*Proof.* Consider the set  $A_0 (B_0)$  to be divided it into local skyline set  $A_1 (B_1)$  and non-skyline records  $A'_1 (B'_1)$ . Using Theorem 2, the tuples in  $A_1 \bowtie B_1$  exist in the final skyline set.

Consider a tuple  $t' = u' \bowtie v' \in A'_1 \bowtie B'_1$ . We claim that there does not exist any tuple  $t = u \bowtie v$  that dominates  $t'$  fully. To counter the claim, assume that such a tuple  $t$  exists. Since  $t$  dominates  $t'$ , the local attributes of  $t$  must dominate those in  $t'$ . Thus,  $u \succ u'$  and  $v \succ v'$ . Next, consider the aggregate attribute of  $t'$ , expressed as  $g_{t'} = g_{u'} \oplus g_{v'}$ . Note that since  $u'$  is a full skyline record, no tuple and in particular  $u$ , can dominate  $u'$  in all the attributes. That is to say,  $u'$  must dominate  $u$  in the aggregate attribute, since it is being dominated in all the other (local) attributes, i.e.,  $g_{u'}$  dominates  $g_u$ . Similarly,  $g_{v'}$  dominates  $g_v$ . Since the aggregate function  $\oplus$  is a *monotone* function,  $g_{t'} = g_{u'} \oplus g_{v'}$  dominates  $g_t = g_u \oplus g_v$ . Therefore, the claim that  $t$  dominates  $t'$  fully is false. Consequently, the tuple  $t'$  must be in the final skyline set.

Similarly, any tuple in  $(A'_1 \bowtie B_1) \cup (A_1 \bowtie B'_1)$  must also be a skyline record. Together, all the tuples in  $A_0 \bowtie B_0$  exist in the ASJQ result set.  $\square$

Therefore, when there is only one aggregate attribute, an algorithm that divides the full skyline sets into local skylines and non-skylines, and returns the join of the two local skyline sets as the final ASJQ result, is the *optimal* algorithm.

## 5 Experimental Evaluation

In this section, we evaluate the ASJQ algorithms experimentally. We implemented them in Java on an Intel Core2Duo 2GHz machine with 2GB RAM in Linux environment. We used the synthetic dataset generator given in <http://www.pgfoundry.org/projects/randdataset/> and used in [2]. We also used a real dataset of statistics of basketball players obtained from <http://www.databasebasketball.com/>. For the skyline algorithm, we employed the SFS method [4]<sup>1</sup>, and used hash-join [14] for implementing the join.

<sup>1</sup>The choice of SFS versus other algorithms such as LESS [7] does not matter as the focus is on the join and not the skyline computation.

	$N$	$D$	$L$	$G$	$C$
Setting 1	10000	Correlated	2	3	10
Setting 2	10000	Correlated	3	2	10
Setting 3	3162	Independent	2	2	10
Setting 4	316	Anti-Correlated	1	2	10
Setting 5	316	Independent	2	1	10

Table 7: Experimental settings.

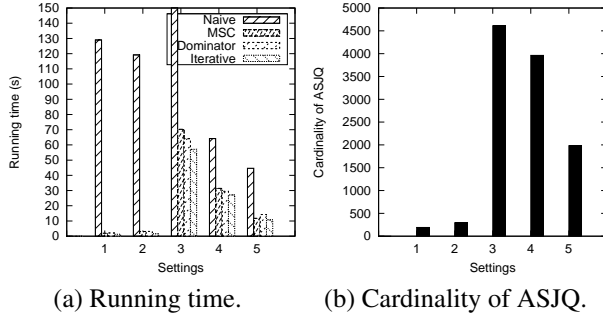


Figure 2: Comparison with naïve algorithm.

We analyze the execution times of the four algorithms: (1) Naïve, (2) MSC, (3) Dominator-based, and (4) Iterative, based on the following parameters: (i) number of local attributes ( $L$ ), (ii) number of aggregate attributes ( $G$ ), (iii) cardinality of datasets ( $N$ ), (iv) number of categories in each relation for joining attribute assuming equi-join ( $C$ ), and (v) distribution of datasets ( $D$ ). Unless mentioned otherwise, the default settings of the five parameters for experiments with the synthetic data are given in Table 6.

### 5.1 Performance of the naïve algorithm

The first experiment examines the difference in performance of the naïve with the other ASJQ algorithms. We use five random settings of synthetic datasets as shown in Table 7. The plots in Figure 2 compare the execution times of the different algorithms. The join condition is an equi-join on a single attribute.

For all the five settings, the naïve algorithm requires much higher running times. Further, while the performance of the other algorithms depends on the final cardinality of the ASJQ result set and is proportional to it, the naïve algorithm is more or less independent of the final cardinality. This is due to the fact that it spends most of the time in computing the join of the relations and then applies the skyline operator on the large joined relation.

Due to the large gap in the running times, we conclude that the naïve algorithm is not practical in comparison to the other algorithms. Consequently, we do not report the results of the naïve algorithm any further.

### 5.2 Effect of dimensionality

The first experiment measures the effect of the number of local attributes ( $L$ ) on the algorithms. Figure 3(a) shows that the running time increases sharply when  $L$  increases.

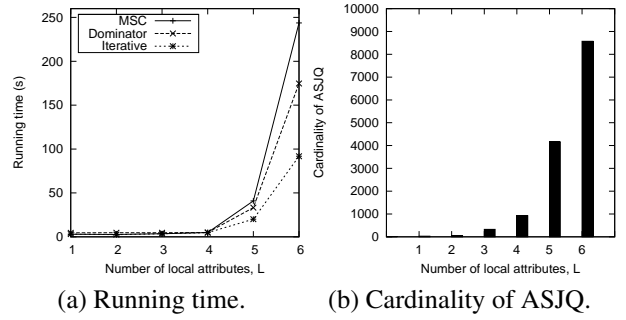


Figure 3: Effect of number of local attributes.

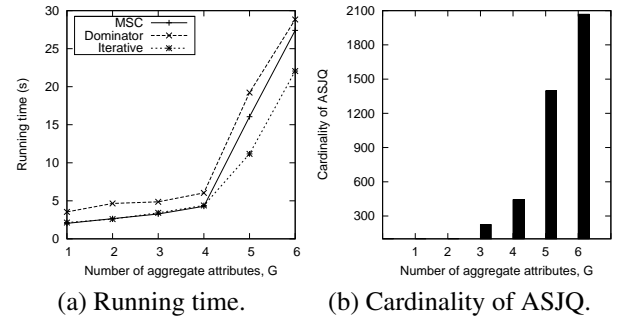


Figure 4: Effect of number of aggregate attributes.

This can be attributed to the fact that the cardinality of the ASJQ result set increases almost exponentially (Figure 3(b)). As the dimensionality of the datasets (i.e., the number of attributes) increases, the probability of a tuple being dominated in all the attributes decreases, thereby sharply increasing the number of skyline records.

The iterative algorithm shows the best scalability since it processes the skyline sets progressively. At lower dimensions, the time to find the full skyline sets in the individual relations is the dominating factor of the overall time, and hence, there is little difference between the algorithms.

Figure 4(a) and Figure 4(b) show similar trends. Interestingly, the absolute times are much lower than the corresponding number of local attributes. Incrementing the number of local attributes increases the dimensionality in the joined relation by two, whereas it only increases by one for aggregate attributes. Thus, the effect of dimensionality is less pronounced. Consequently, the cardinality of the final ASJQ set is less.

The MSC algorithm performs better than the dominator-based algorithm since the number of local attributes is small and the local dominator sets are larger. Consequently, the overhead of dominator computation and comparison offsets the advantages.

### 5.3 Effect of dataset cardinality

The next experiment measures the effect of the cardinality of the individual relations on ASJQ processing. The cardinality of the joined relation increases *quadratically* with

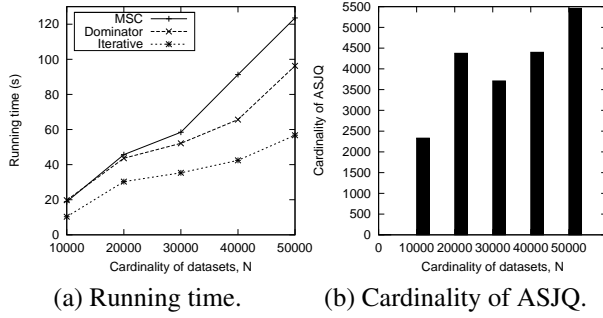


Figure 5: Effect of dataset cardinality.

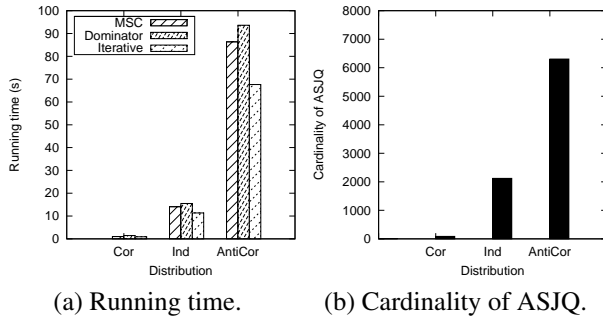


Figure 6: Effect of dataset distribution.

the individual cardinality, assuming that the data distribution remains the same. For example, assume two datasets with  $N = 10^4$  tuples each. If an equi-join condition is used where the number of categories of the joining attributes is assumed to be 10, each category has on an average  $10^3$  tuples. Hence, the total cardinality of the joined relation becomes  $10 \times (10^3)^2 = 10^7$ .

Figure 5, however, shows that the cardinality of the ASJQ result set does not increase quadratically. (The figure reports results for 4 local and 4 aggregate attributes. The cardinality and the running time for  $L = 2$  and  $G = 2$  were too low.) The number of skyline records depends more on other parameters of the dataset, such as dimensionality and distribution. Consequently, the scalability of the ASJQ algorithms with  $N$  is better.

#### 5.4 Effect of dataset distribution

We measured the effect of three standard data distributions—correlated, independent, and anti-correlated—on the ASJQ algorithms. The results are shown in Figure 6. The cardinality for the correlated dataset is very small, while that for the anti-correlated dataset is quite large. In a perfectly correlated dataset, there is only one skyline record, which dominates all other records. In a perfectly anti-correlated dataset, every record is in the skyline set. The independent dataset is mid-way, and the cardinality depends on the dimensionality. This behavior is reflected in the results.

For the correlated and the independent datasets, the run-

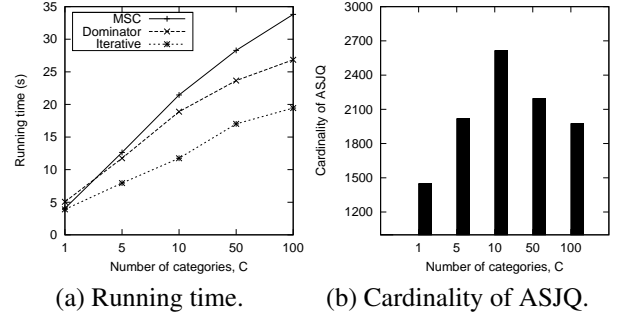


Figure 7: Effect of number of categories of join attribute.

ning times of the three algorithms are similar, while for the anti-correlated dataset, the iterative algorithm shows an advantage, as it processes the large dominator sets progressively by only comparing it with certain target sets.

#### 5.5 Effect of number of categories of join attribute

The final experiment on synthetic data measures the effect of the number of categories of the join attribute. We assume that only one attribute used for joining the two relations, and the join condition is an equi-join. The number of categories signifies the possible values of the join attribute.

For datasets with cardinality  $N$  and number of categories  $C$ , assuming an uniform distribution of the join attribute, the total cardinality of the joined relation is  $C \times (N/C)^2 = N^2/C$ . Hence, as  $C$  increases, the cardinality decreases. When  $C = 1$ , the join degenerates to a Cartesian product of the two relations with  $N^2$  tuples.

The cardinality of the ASJQ, however, does not decrease with  $C$ . As shown in Figure 7(b), it attains a maximum in the middle. When  $C$  is low, even though the number of tuples is high, the chance of a tuple dominating others is higher as the join attribute is same for more number of tuples. At higher values of  $C$ , the number of joined tuples becomes small, leading to lower ASJQ cardinality.

Figure 7(a) shows that regardless of the cardinality, the running time increases with increasing  $C$ . When  $C$  is more, the initial full skyline sets ( $A_0$  and  $B_0$ ) are larger as there is less probability of a tuple matching another tuple in the join attribute, and therefore, dominating it. Consequently, the latter stages of the algorithm are affected and the running time increases.

#### 5.6 Real Datasets

In this section, we evaluate the performance of the ASJQ algorithms for a real dataset. The real dataset consists of the statistics of basketball players obtained from <http://www.databasebasketball.com/>. The cardinality of the dataset was  $N = 10^4$  with 3 local attributes ( $L = 3$ ) and 2 aggregate attributes ( $G = 2$ ). We performed a self-join of the dataset with the join condition as equality.

Four settings were created by varying the number of join attributes. In setting 1, *year* was used as the join attribute, while in setting 2, the dataset was joined on the *team*. For

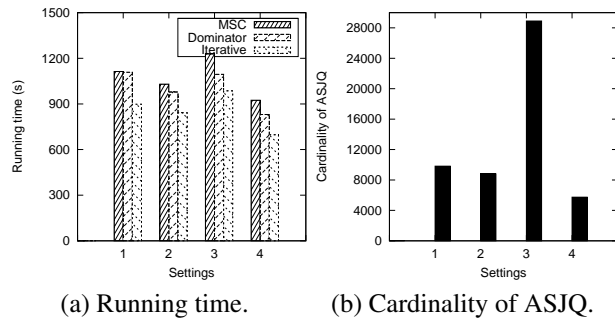


Figure 8: Real datasets.

setting 3, no join attribute was used, which corresponds to the Cartesian product of the relations. Setting 4 used both the attributes for joining.

The results are summarized in Figure 8. The cardinality of the final ASJQ result set was the highest when no join attribute was used (setting 3) and was lowest when both the attributes were used (setting 4). The running times reflected the trends of the cardinalities. The iterative algorithm performed the best, followed by the dominator-based approach. The MSC algorithm was the slowest. The strategy of the iterative algorithm to prune progressively proved to be the best.

## 6 Conclusions

In this paper, we have proposed a novel query, the AGGREGATE SKYLINE JOIN QUERY (ASJQ). This extends the general skyline operator to multiple relations involving joins using aggregate operations over attributes from different relations. The ASJQ processing is explained with the MSC approach, dominator-based approach and the iterative approach, in addition to the naïve algorithm. Extensive experiments confirm that our algorithms perform well with real datasets, and also scale nicely with dimensionality and cardinality of the relations. In future, we would like to extend ASJQ to distributed environments and devise parallel algorithms to process the queries more efficiently.

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