



[Home Page](#)

[Title Page](#)

[Contents](#)



Page 1 of 14

[Go Back](#)

[Full Screen](#)

[Close](#)

[Quit](#)

CS206 Lecture 14

Substitutions, Matching, Unification

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Plan for Lecture 13

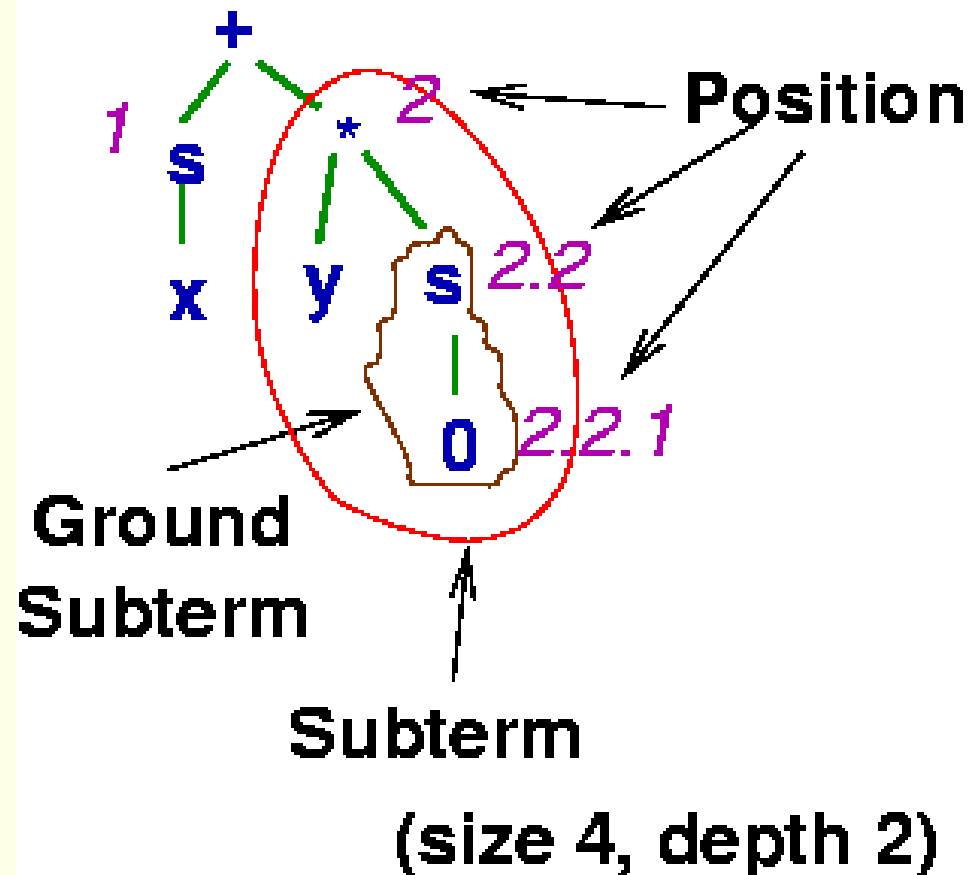
- Substitutions
- Unification

[Home Page](#)[Title Page](#)[Contents](#)[«](#) [»](#)[◀](#) [▶](#)[Page 2 of 14](#)[Go Back](#)[Full Screen](#)[Close](#)[Quit](#)

Terms

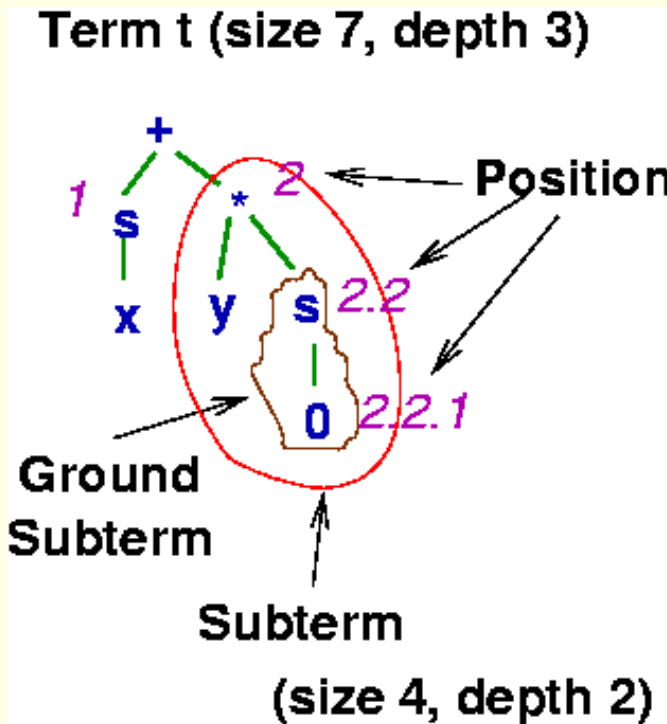
Consider $t = s(x) + (y * s(0))$

Term t (size 7, depth 3)



[Home Page](#)[Title Page](#)[Contents](#)[◀](#)[▶](#)[◀](#)[▶](#)[Page 3 of 14](#)[Go Back](#)[Full Screen](#)[Close](#)[Quit](#)

Positions and Subterms



$t/2.2 = s(0)$ is a ground subterm.

$Vars(t/1) = \{x\}$

HomeWork

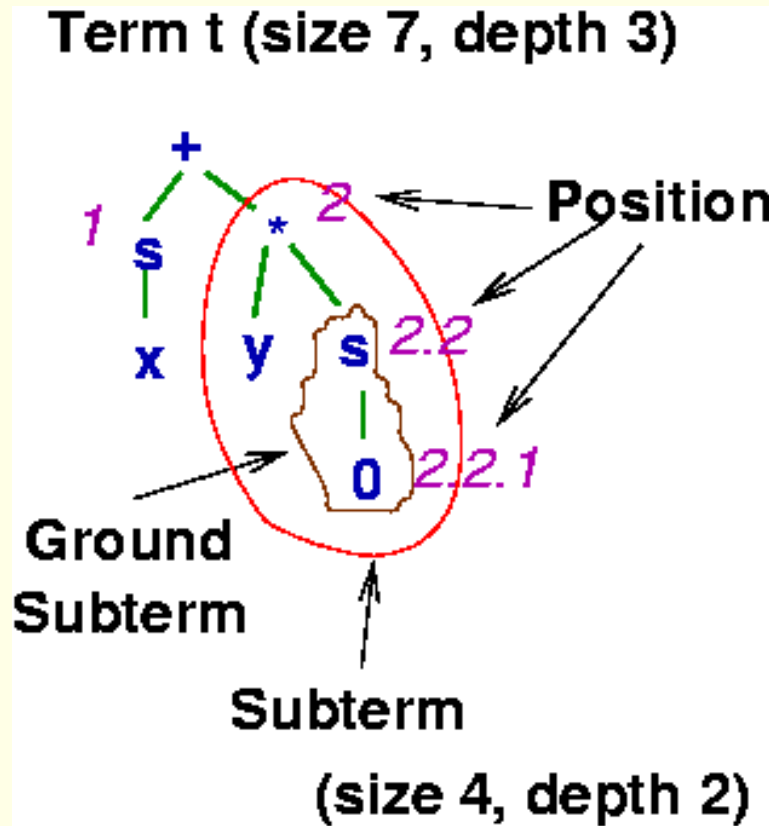
- Define **positions** in a term.
- Algorithm to extract subterm t/λ at position λ in term t

[Home Page](#)[Title Page](#)[Contents](#)[◀](#) [▶](#)[◀](#) [▶](#)[Page 4 of 14](#)[Go Back](#)[Full Screen](#)[Close](#)[Quit](#)

Replacement

Replacement of a subterm t/λ in a term t at position λ by some other term t_1 is denoted by

$$t[t_1]_\lambda$$



$$t[0]_1 = 0 + (y * s(0))$$

$$t[s(x)]_{2.1} = s(x) + (s(x) * s(0))$$

[Home Page](#)[Title Page](#)[Contents](#)[Page 5 of 14](#)[Go Back](#)[Full Screen](#)[Close](#)[Quit](#)

Substitution

A substitution σ is a **mapping** from *variables* to **terms**.

$$\{x \mapsto 0, y \mapsto s(0), z \mapsto v\}$$

Domain of σ is the set of variables $\{x, y, z\}$.

Replacements (Range) of σ is the set of terms $\{0, s(0), v\}$

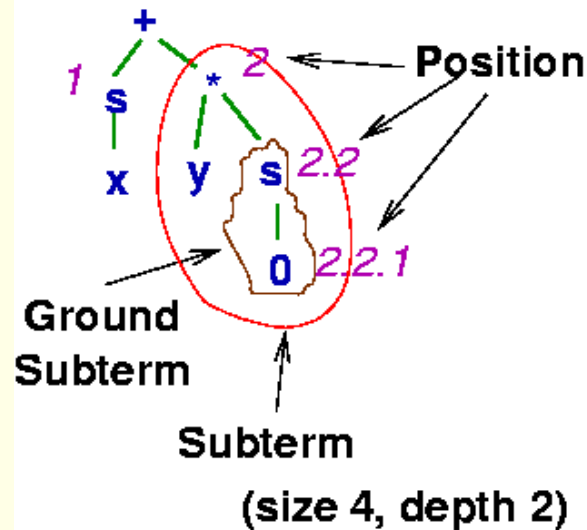
[Home Page](#)[Title Page](#)[Contents](#)[◀](#)[▶](#)[◀](#)[▶](#)[Page 6 of 14](#)[Go Back](#)[Full Screen](#)[Close](#)[Quit](#)

Applying a Substitution

Replace *variables* by their mapping.

Consider $t = s(x) + (y * s(0))$

Term t (size 7, depth 3)



and $\sigma = \{x \mapsto 0, y \mapsto s(0), z \mapsto v\}$

Then $t\sigma = s(0) + (s(0) * s(0))$

replace every occurrence of every variable in the substitution by the term with which it is associated.



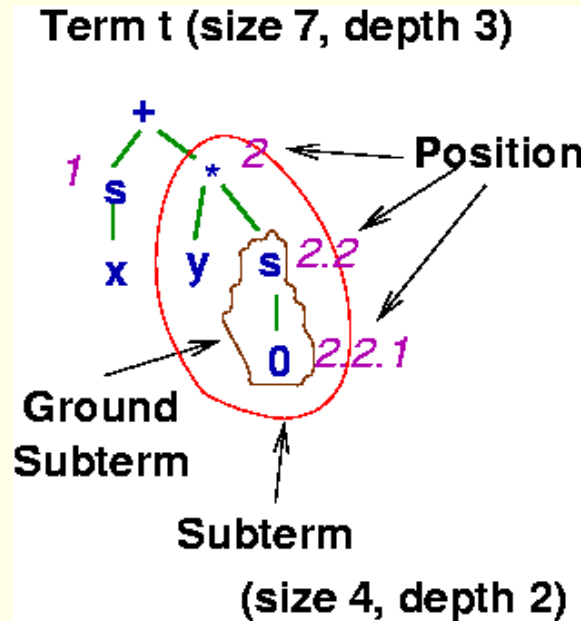
Parallel Replacement

Substitutions are applied in **parallel**.

Consider

$$\sigma = \{x \mapsto y, y \mapsto s(0), z \mapsto v\}$$

applied to



should give $s(y) + (s(0) * s(0))$

If we replace in **sequence** (replace x first and then replace y) we will get wrong answer $s(0) + (s(0) * s(0))$

Home Page

Title Page

Contents

◀

▶

◀

▶

Page 7 of 14

Go Back

Full Screen

Close

Quit

[Home Page](#)[Title Page](#)[Contents](#)[◀](#)[▶](#)[◀](#)[▶](#)[Page 8 of 14](#)[Go Back](#)[Full Screen](#)[Close](#)[Quit](#)

Identity and Idempotent Substitution

We do not allow mapping a variable to itself $\{x \mapsto x\}$ explicitly in σ . It is the **default** for variables not in the **domain** of σ .

So, the **identity** substitution σ_{id} is the **empty** substitution $\sigma_{id} = \{\}$.

For any term $t\sigma_{id} = t$.

A substitution is **idempotent** (also *pure*) if and only if all replacements are free of the variables in the domain of the substitution. Otherwise, the substitution is impure.

$$\sigma = \{x \mapsto y, y \mapsto s(0), z \mapsto v\}$$

is impure (non-idempotent).

For **idempotent** substitutions applying in sequence or parallel gives same result.

Also for idempotent σ , we have $(t\sigma)\sigma = t\sigma$ (applying more than once is same as applying once only).

[Home Page](#)[Title Page](#)[Contents](#)[◀](#)[▶](#)[◀](#)[▶](#)[Page 9 of 14](#)[Go Back](#)[Full Screen](#)[Close](#)[Quit](#)

Composing Substitutions

Let

$$\sigma_1 = \{x \mapsto 0, y \mapsto s(u), z \mapsto v\}$$

and

$$\sigma_2 = \{u \mapsto 0, v \mapsto s(0)\}$$

and

$$t = f(x, y, z)$$

Then

$$t\sigma_1 = f(0, s(u), v)$$

$$(t\sigma_1)\sigma_2 = f(0, s(0), s(0))$$

If we define

$$\tau = \sigma_1 \circ \sigma_2 = \{x \mapsto 0, y \mapsto s(0), z \mapsto s(0), u \mapsto 0, v \mapsto s(0)\}$$

then $t\tau = f(0, s(0), s(0))$.

[Home Page](#)[Title Page](#)[Contents](#)[◀](#)[▶](#)[◀](#)[▶](#)[Page 10 of 14](#)[Go Back](#)[Full Screen](#)[Close](#)[Quit](#)

Composition of Substitutions

The composition of substitution σ and τ is the substitution (written $\sigma \circ \tau$ or simply $\sigma\tau$) obtained by

- Applying τ to the replacements in σ
- Adjoining to σ the pairs from τ **with different variables**.
- Deleting any assignments of variable to itself.

$$\sigma = \{x \mapsto 0, y \mapsto s(u), z \mapsto v, x_1 \mapsto y_1\}$$

$$\tau = \{u \mapsto 0, v \mapsto s(0), z \mapsto 0, y_1 \mapsto x_1\}$$

$$\sigma\tau = \{x \mapsto 0, y \mapsto s(0), z \mapsto s(0), u \mapsto 0, v \mapsto s(0)\}$$

Does composition preserve idempotence?



Composability

Definition: σ and τ are **composable** if and only if the variables in the domain of σ are not in the replacements of τ .

The following are not composable.

$$\sigma = \{x \mapsto 0, y \mapsto s(0)\}$$

with

$$\tau = \{u \mapsto x, v \mapsto s(0)\}$$

Homework

- The composition of composable idempotent substitutions is idempotent.
- Composition is associative.
- Composition has left and right identities.
- Composition is not commutative.

[Home Page](#)

[Title Page](#)

[Contents](#)

◀◀

▶▶

◀

▶

Page 11 of 14

[Go Back](#)

[Full Screen](#)

[Close](#)

[Quit](#)

[Home Page](#)[Title Page](#)[Contents](#)[◀](#)[▶](#)[◀](#)[▶](#)[Page 12 of 14](#)[Go Back](#)[Full Screen](#)[Close](#)[Quit](#)

Matching

A (**pattern**) term t **matches** a (**target**) term s iff there is a substitution σ such that $t\sigma = s$.

Example

$$t = s(x) * y$$

matches

$$s = s(s(0)) * 0$$

using

$$\sigma = \{x \mapsto s(0), y \mapsto 0\}$$

Used for **applying rules** to compute **normal forms** in term rewriting systems.

Note: s can have variables. But, the **asymmetric** definition allows replacements only in the pattern term.



Unification

A term t **unifies** with another term s iff there is a substitution σ such that $t\sigma = s\sigma$.

Note: Definition is **symmetric**. Can change variables in both terms.

Examples

Term 1	Term 2	Unifier
0	X	$\{X \mapsto 0\}$
$p(a, X)$	$p(Y, b)$	$\{X \mapsto b, Y \mapsto a\}$
$p(f(X), g(Z))$	$p(f(a), Y)$	$\{X \mapsto a, Y \mapsto g(Z)\}$
$p(f(X), g(Z))$	$p(f(a), Y)$	$\{X \mapsto a, Y \mapsto g(b), Z \mapsto b\}$

Informal development today of algorithms for matching and unification.

[Home Page](#)

[Title Page](#)

[Contents](#)

◀

▶

◀

▶

Page 13 of 14

[Go Back](#)

[Full Screen](#)

[Close](#)

[Quit](#)