# CS772: Deep Learning for Natural Language Processing (DL-NLP)

#### **Word Embedding**

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### 1-slide recap, Lecture 2

- Weight change rule for single sigmoid, cross entropy loss
- Weight change rule for softmax layer (no hidden layer), cross entropy loss
- Weight change rule for Total Sum Square Loss
- Fine points of BP

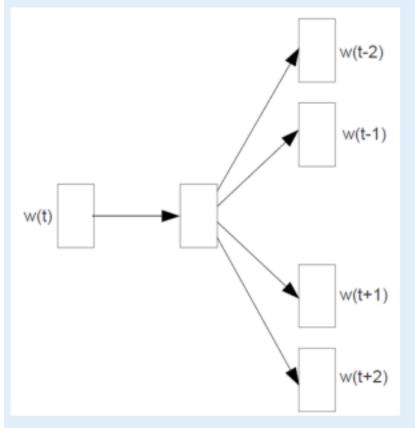
# Linguistic foundation of word representation by vectors

#### Harris Distributional Hypothesis

 Words with similar distributional properties have similar meanings. (Harris 1970)

 1950s: Firth- "A word is known by the company its keeps"

 Model differences in meaning rather than the proper meaning itself "Computation is the body": Skip gram- predict context from word



For CBOW: Just reverse the Input-Output

### Dog – Cat - Lamp



{bark, police, thief, vigilance, faithful, friend, animal, milk, carnivore)



{mew, comfort, mice, furry, guttural, purr, carnivore, milk}



{candle, light, flash, stand, shade, Halogen}

### Test of representation

#### Similarity

- 'Dog' more similar to 'Cat' than 'Lamp', because
- Input- vector('dog'), output- vectors of associated words
- More similar to output from vector('cat') than from vector('lamp')

# "Linguistics is the eye, Computation is the body"

The encode-decoder deep learning network is nothing but

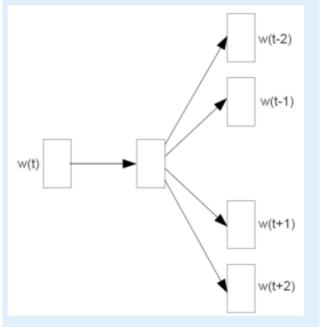
the *implementation* of

Harris's Distributional Hypothesis

# Fine point in Harris Distributional Hypothesis

- Words with similar distributional properties have similar meanings. (Harris 1970)
- Harris does mentions that distributional approaches can model differences in meaning rather than the proper meaning itself

### Learning objective (skip gram)



$$J(\theta) = -\frac{1}{T} \prod_{t=1}^{T} \prod_{\substack{-m \leq j \leq m \\ i \neq 0}} p(w_{t+j} \mid w_t; \theta)$$

Minimize 
$$L = -\sum_{t=1}^{T} \sum_{\substack{-m \leq j \leq m \\ i \neq 0}} \log[p(w_{t+j} \mid w_t; \theta)]$$

# Modelling *P(context word|input word)*(1/2)

- We want, say, P('bark'|'dog')
- Take the weight vector **FROM** 'dog' neuron **TO** projection layer (call this  $u_{dog}$ )
- Take the weight vector **TO** 'bark' neuron **FROM** projection layer (call this  $v_{bark}$ )
- When initialized  $u_{dog}$  and  $v_{bark}$  give the initial estimates of word vectors of 'dog' and 'bark'
- The weights and therefore the word vectors get fixed by back propagation

# Modelling *P(context word|input word)*(2/2)

- To model the probability, first compute dot product of  $u_{dog}$  and  $v_{bark}$
- Exponentiate the dot product
- Take softmax over all dot products over the whole vocabulary

$$P('bark'|'dog') = \frac{\exp(u_{dog}^T v_{bark})}{\sum_{v_k \in Vocabulary} \exp(u_{dog}^T v_k)}$$

#### Exercise

- Why cannot we model P('bark'|'dog')
  as the ratio of counts of <bark, dog>
  and <dog> in the corpus?
- Why this way of modelling probability through dot product of weight vectors of input and output words, exponentiation and soft-maxing works?

# Working out a simple case of word2vec

### Example (1/3)

- 4 words: heavy, light, rain, shower
  - Heavy: U<sub>0</sub> <0,0,0,1>
  - light: U₁ <0,0,1,0>
  - ∘ *rain: U<sub>2</sub> <0,1,0,0>*
  - shower: U<sub>3</sub> <1,0,0,0>
- We want to predict as follows:
  - Heavy → rain
  - Light → shower

#### Note

 Any bigram is theoretically possible, but actual probability differs

- E.g., heavy-heavy, heavy-light are possible, but unlikely to occur
- Language imposes constraints on what bigrams are possible
- Domain and corpus impose further restriction

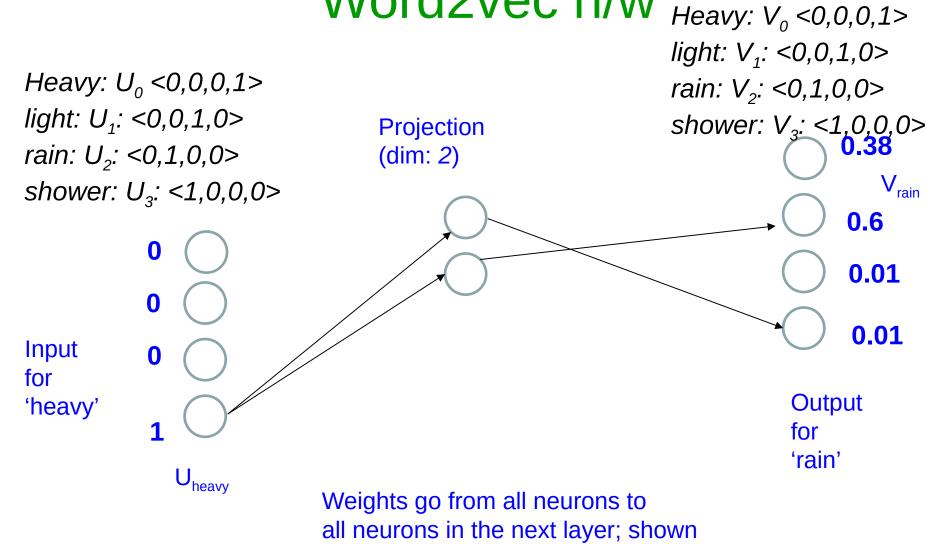
### Example (2/3)

- Input-Output
  - Heavy: U<sub>0</sub> <0,0,0,1>, light: U<sub>1</sub>: <0,0,1,0>,
     rain: U<sub>2</sub>: <0,1,0,0>, shower: U<sub>3</sub>: <1,0,0,0>
  - Heavy: V<sub>0</sub> <0,0,0,1>, light: V<sub>1</sub>: <0,0,1,0>,
     rain: V<sub>2</sub>: <0,1,0,0>, shower: V<sub>3</sub>: <1,0,0,0>

### Example (3/3)

- heavy -> rain
  - o heavy: U<sub>0</sub> <0,0,0,1>
    - **→**
  - rain: V₂: <0,1,0,0>
- light → shower
  - light: U₁: <0,0,1,0>, → shower: V₃:
     <1.0.0.0>

#### Word2vec n/w



For only one input and output

### Chain of thinking

P(rain|heavy) should be the highest

• So the output from  $V_2$  should be the highest because of softmax

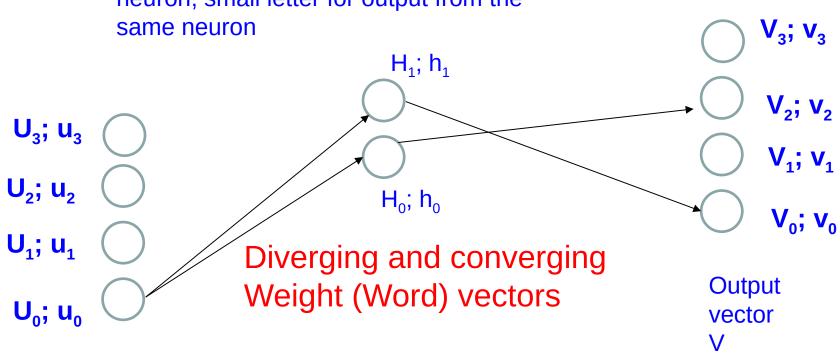
 This way of converting an English statement into probability in insightful

# Developing word2vec weight change rule

Illustrated with 4 words only

#### Word2vec n/w

Convention: Capital letter for NAME of neuron; small letter for output from the same neuron



Input vector U

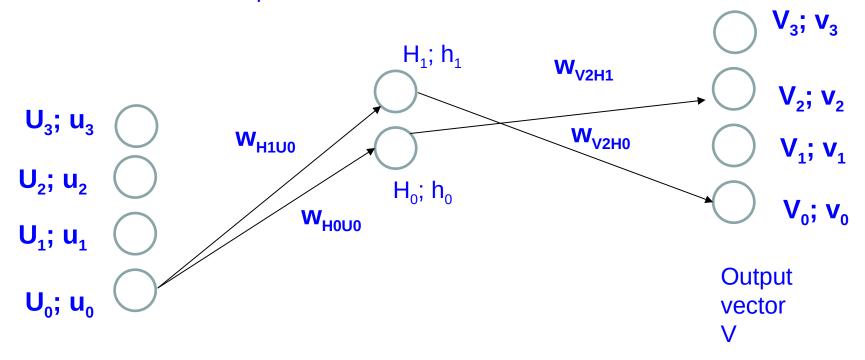
Weights go from all neurons to all neurons in the next layer; shown For only one input and output

#### **Notation Convention**

- Weights indicated by small 'w'
- Index close to 'w' is for the destination neuron
- The other index is for the source neuron

#### Word2vec n/w

Capital letter for NAME of neuron; small letter for output from the same neuron



Input vector U Weights go from all neurons to all neurons in the next layer; shown For only one input and output

#### More notation

 Net input to hidden and output layer neurons play an important role in BP

• Net input to hidden layer neurons:  $net_{H0}$  and  $net_{H1}$ 

• Net input to output layer neurons:  $net_{V0}$ ,  $net_{V2}$ ,  $net_{V2}$ ,  $net_{V3}$ 

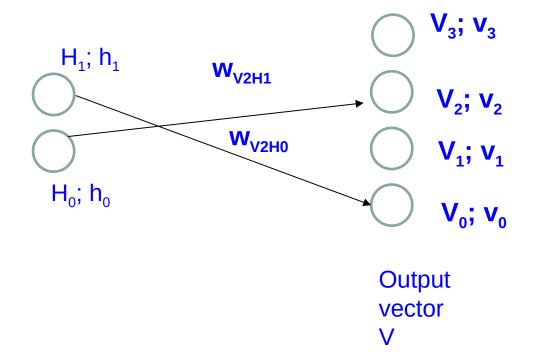
### Outputs at the outermost layer

$$v_{0} = \frac{e^{net_{V_{0}}}}{e^{net_{V_{0}}} + e^{net_{V_{1}}} + e^{net_{V_{2}}} + e^{net_{V_{3}}}}$$

$$v_{1} = \frac{e^{net_{V_{0}}}}{e^{net_{V_{0}}} + e^{net_{V_{1}}} + e^{net_{V_{2}}} + e^{net_{V_{3}}}}$$

$$v_{2} = \frac{e^{net_{V_{0}}}}{e^{net_{V_{0}}} + e^{net_{V_{1}}} + e^{net_{V_{2}}} + e^{net_{V_{3}}}}$$

$$v_{3} = \frac{e^{net_{V_{0}}}}{e^{net_{V_{0}}} + e^{net_{V_{1}}} + e^{net_{V_{2}}} + e^{net_{V_{3}}}}$$



#### Note

- No non-linearity in the hidden layer
- Why?
- Hidden layer should do ONLY dimensionality reduction
- Can be proved: hidden layer with linearity gives the principal components (will discuss of which Matrix)

### Why Dimensionality Reduction?

The vectors of words represent their distributional similarity

 Dimensionality reduction achieves capturing commonality of these distributional similarities across words

# Developing "net<sub>vi</sub>" (1/2)

$$net_{V_{0}} = w_{V_{0}H_{0}}h_{0} + w_{V_{0}H_{1}}h_{1}$$

$$h_{0} = w_{H_{0}U_{0}}u_{0} + w_{H_{0}U_{1}}u_{1} + w_{H_{0}U_{2}}u_{2} + w_{H_{0}U_{3}}u_{3}$$

$$h_{1} = w_{H_{1}U_{0}}u_{0} + w_{H_{1}U_{1}}u_{1} + w_{H_{1}U_{2}}u_{2} + w_{H_{1}U_{3}}u_{3}$$

$$V_{3}; v_{3}$$

$$V_{2}; v_{2}$$

$$V_{2}; v_{2}$$

$$V_{2}; v_{2}$$

$$V_{1}; v_{1}$$

$$V_{0}; v_{0}$$

$$V_{0}; v_{0}$$

# Developing "net<sub>vi</sub>" (2/2)

- For "heavy", only  $u_0$  is 1,  $u_1=u_2=u_3=0$

$$h_0 = w_{H_0 U_0}$$
 $h_1 = w_{H_1 U_0}$ 

$$h_1 = W_{H_1U_0}$$

$$net_{v_0} = w_{V_0H_0} w_{H_0U_0} + w_{V_0H_1} w_{H_1U_0}$$

$$= egin{bmatrix} w_{H_0U_0} & w_{H_1U_0} \end{bmatrix} egin{bmatrix} w_{V_0H_0} \ w_{V_0H_1} \end{bmatrix}$$

#### More Notation

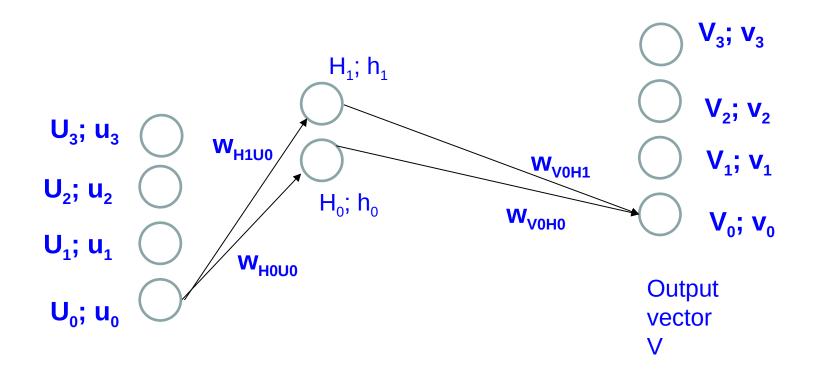
• Weight vector FROM  $U_0$  is called  $W_{uo}$  (capital 'W')

• Weight vector INTO  $V_0$  is called  $W_{vo}$ 

Slight liberty with notation, but has intuitive advantage

# For "heavy" (= $U_0$ ), the value of $net_{v0}$

$$net_{V_0} = W_{U_0}.W_{V_0}^T$$



# For "heavy" (= $U_o$ ), values of other $net_{vi}$ s

$$net_{V_0} = W_{U_0}.W_{V_0}^T$$

$$net_{V_1} = W_{U_0}.W_{V_1}^T$$

$$net_{V_2} = W_{U_0} . W_{V_2}^T$$

$$net_{V_3} = W_{U_0}.W_{V_3}^T$$

# We want to maximize $P(\text{'rain'}=V_2|\text{'heavy'}=U_0)$

This probability is in terms of softmax.

$$P('rain'=V_2 | 'heavy'=U_0)$$

$$= v_2 = \frac{e^{net_{V_2}}}{e^{net_{V_0}} + e^{net_{V_1}} + e^{net_{V_2}} + e^{net_{V_3}}}$$

### Equivalent to

minimize error function

$$E = -log[P('rain' = V_2|'heavy' = U_0)]$$

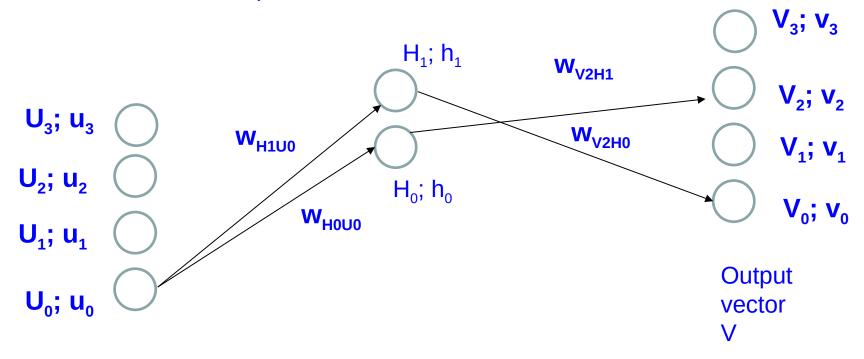
- 
$$log[P('rain'=V_2 | 'heavy'=U_0)]$$

$$=-net_{V_2} + \log(e^{net_{V_0}} + e^{net_{V_1}} + e^{net_{V_2}} + e^{net_{V_3}})$$

$$=-W_{U_0}W_{V_2}^T + \log(e^{net_{V_0}} + e^{net_{V_1}} + e^{net_{V_2}} + e^{net_{V_3}})$$

#### Word2vec n/w

Capital letter for NAME of neuron; small letter for output from the same neuron



Input vector U

Weights go from all neurons to all neurons in the next layer; shown For only one input and output

## Computing $\Delta W_{V2H0}$

$$\Delta w_{V_2H_0} = -\eta \frac{\delta E}{\delta w_{V_2H_0}}$$

$$E = -net_{V_2} + \log(e^{net_{V_0}} + e^{net_{V_1}} + e^{net_{V_2}} + e^{net_{V_3}})$$

$$= -W_{U_0}W_{V_2}^T + \log(e^{net_{V_0}} + e^{net_{V_1}} + e^{net_{V_2}} + e^{net_{V_3}})$$

$$W_{U_0}W_{V_2}^T = w_{V_2H_0}w_{H_0U_0} + w_{V_2H_1}w_{H_1U_0}$$

$$\frac{\delta E}{\delta w_{V_{2}H_{0}}} = -w_{H_{0}U_{0}} + \frac{e^{W_{V_{2}}.W_{U_{0}}}}{e^{W_{V_{0}}.W_{U_{0}}} + e^{W_{V_{1}}.W_{U_{0}}} + e^{W_{V_{2}}.W_{U_{0}}} + e^{W_{V_{3}}.W_{U_{0}}}}.w_{H_{0}U_{0}}$$

$$= -w_{H_{0}U_{0}} + v_{2}.w_{H_{0}U_{0}}$$

$$\Rightarrow \Delta w_{V_{2}H_{0}} = \eta(1 - v_{2}).w_{H_{0}U_{0}} = \eta(1 - v_{2})o_{H_{0}}$$

o/p of hidden neuron H<sub>o</sub>

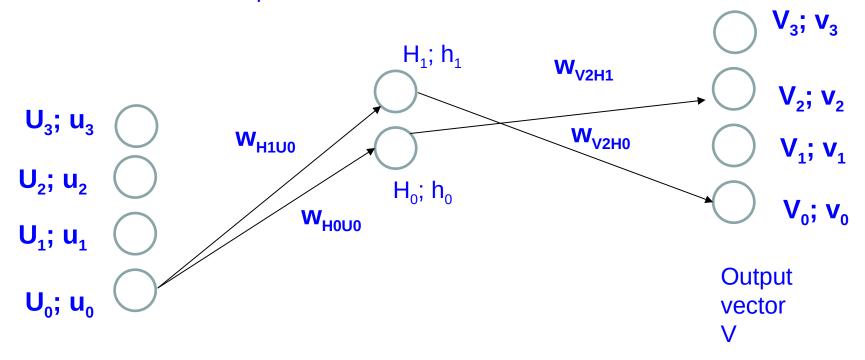
## Interpretation of weight change rule for $V_2$

• If  $v_2$  is close to 1, change in weight too is small

•  $w_{HOUO}$  is equal to the input to  $H_o$  (since  $u_o$ =1) and to its output too, since hidden neurons simply transmit the output.

#### Word2vec n/w

Capital letter for NAME of neuron; small letter for output from the same neuron



Input vector U Weights go from all neurons to all neurons in the next layer; shown For only one input and output

## Change in other weights to output layer, say, $V_1$ , due to input $U_0$

$$due to input U_{0}$$

$$\Delta w_{V_{1}H_{0}} = -\eta \frac{\delta E}{\delta w_{V_{1}H_{0}}}$$

$$E = -net_{V_{2}} + \log(e^{net_{V_{0}}} + e^{net_{V_{1}}} + e^{net_{V_{2}}} + e^{net_{V_{3}}})$$

$$= -W_{U_{0}}W_{V_{2}}^{T} + \log(e^{net_{V_{0}}} + e^{net_{V_{1}}} + e^{net_{V_{2}}} + e^{net_{V_{3}}})$$

$$W_{U_{0}}W_{V_{2}}^{T} = w_{V_{2}H_{0}}w_{H_{0}U_{0}} + w_{V_{2}H_{1}}w_{H_{1}U_{0}}$$

$$\frac{\delta E}{\delta w_{V_1 H_0}} = -0 + \frac{e^{W_{V_1} \cdot W_{U_0}}}{e^{W_{V_0} \cdot W_{U_0}} + e^{W_{V_1} \cdot W_{U_0}} + e^{W_{V_2} \cdot W_{U_0}} + e^{W_{V_3} \cdot W_{U_0}}} \cdot w_{H_0 U_0}$$

$$= v_1 \cdot w_{H_0 U_0}$$

$$\Rightarrow \Delta w_{V_1 H_0} = -\eta v_1 w_{H_0 U_0} = -\eta v_1 o_{H_0} = \eta (0 - v_1) o_{H_0}$$

# Same weight change rule for any neuron in the o/p layer

# learning rate X difference X output of source neuron

#### Interpretation of weight change rule for $V_1$

- Assume  $w_{HOUO}$  to be positive
- For training  $U0 \rightarrow V2$ , i.e., 'heavy'  $\rightarrow$  'rain', if  $V_2$  is not 1,  $\Delta W_{V2H0}$  is +ve
- For the same input,  $\Delta w_{V1H0}$  is negative
- So the two weight changes are of opposite sign.
- The effect is that while  $v_2$  increases,  $v_1$  decrease for the input  $U_0$ , as it should be since we want to increase P('rain'|'heavy') and depress all other probabilities

#### Weight change for input to hidden layer, say,

$$\Delta w_{H_0 U_0} = -\eta \frac{\delta E}{\delta w_{H_0 U_0}}$$

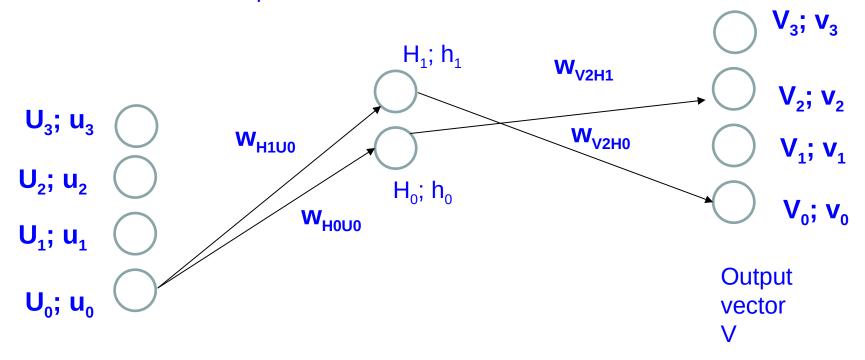
$$E = -net_{V_2} + \log(e^{net_{V_0}} + e^{net_{V_1}} + e^{net_{V_2}} + e^{net_{V_3}})$$

$$= -W_{U_0}W_{V_2}^T + \log(e^{net_{V_0}} + e^{net_{V_1}} + e^{net_{V_2}} + e^{net_{V_3}})$$

$$W_{U_0}W_{V_2}^T = W_{V_2H_0}W_{H_0U_0} + W_{V_2H_1}W_{H_1U_0}$$

#### Word2vec n/w

Capital letter for NAME of neuron; small letter for output from the same neuron



Input vector U Weights go from all neurons to all neurons in the next layer; shown For only one input and output

## Cntd: Weight change for input to hidden layer, $\delta E$ say, $W_{H0U0}$

 $\overline{\delta w_{H_0U_0}}$ 

$$=-w_{V_{2}H_{0}} + \frac{w_{V_{0}H_{0}}e^{W_{V_{0}}.W_{U_{0}}} + w_{V_{1}H_{0}}e^{W_{V_{1}}.W_{U_{0}}} + w_{V_{2}H_{0}}e^{W_{V_{2}}.W_{U_{0}}} + w_{V_{3}H_{0}}e^{W_{V_{3}}.W_{U_{0}}}}{e^{W_{V_{0}}.W_{U_{0}}} + e^{W_{V_{1}}.W_{U_{0}}} + e^{W_{V_{2}}.W_{U_{0}}} + e^{W_{V_{3}}.W_{U_{0}}}}$$

$$=-w_{V_{2}H_{0}} + w_{V_{0}H_{0}}v_{0} + w_{V_{1}H_{0}}v_{1} + w_{V_{2}H_{0}}v_{2} + w_{V_{3}H_{0}}v_{3}$$

$$\Rightarrow \Delta w_{H_{0}U_{0}} = \eta[(1-v_{2})w_{V_{2}H_{0}} - w_{V_{0}H_{0}}v_{0} - w_{V_{1}H_{0}}v_{1} - w_{V_{3}H_{0}}v_{3}]$$

$$= \eta[(1-v_{2})w_{V_{2}H_{0}} + (0-v_{0})w_{V_{0}H_{0}} + (0-v_{1})w_{V_{1}H_{0}} + (0-v_{3})w_{V_{3}H_{0}}]$$

$$= \eta[(1-v_{2})w_{V_{2}H_{0}} + (0-v_{0})w_{V_{0}H_{0}} + (0-v_{1})w_{V_{1}H_{0}} + (0-v_{3})w_{V_{3}H_{0}}].1$$

$$= \eta[(1-v_{2})w_{V_{2}H_{0}} + (0-v_{0})w_{V_{0}H_{0}} + (0-v_{1})w_{V_{1}H_{0}} + (0-v_{3})w_{V_{3}H_{0}}].u_{0}$$

# Weight change rule for hidden layer neurons learning rate X backpropagated delta X input from source neuron

## Representation Learning

#### **Basics**

- What is a good representation? At what granularity: words, n-grams, phrases, sentences
- Sentence is important- (a) I <u>bank</u> with SBI; (b) I took a stroll on the river <u>bank</u>; (c) this <u>bank</u> sanctions loans quickly
- Each 'bank' should have a different representation
- We have to LEARN these representations

### Principle behind representation

Proverb: "A man is known by the company he keeps"

 Similarly: "A word is known/represented by the company it keeps"

#### Starting point: 1-hot representation

- Arrange the words in lexicographic order
- Define a vector V of size |L|, where L is the lexicon
- For word  $w_i$  in the  $i^{th}$  position, set the ith bit to 1, all other bits being 0.
- Problem: cosine similarity of ANY pair is 0; wrong picture!!

#### Representation: to learn or not learn?

- 1-hot representation does not capture many nuances, e.g., semantic similarity
  - But is a good starting point

- Co-occurences also do not fully capture all the facets
  - But is a good starting point

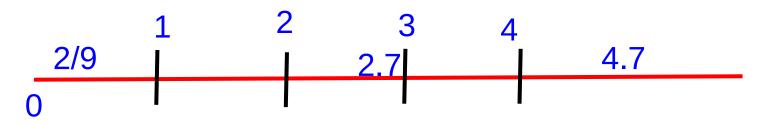
#### So learn the representation...

Learning Objective

 MAXIMIZE CONTEXT PROBABILITY

#### Foundations-1: Embedding

- Way of taking a discrete entity to a continuous space
- E.g., 1, 2, 3, 2.7, 2/9, 22<sup>1/2</sup>, ... are numerical symbols
- But they are points on the real line
- Natural embedding
- Words' embedding not so intuitive!



# Foundations-2: Purpose of Embedding

- Enter geometric space
- Take advantage of "distance measures"-Euclidean distance, Riemannian distance and so on
- "Distance" gives a way of computing similarity

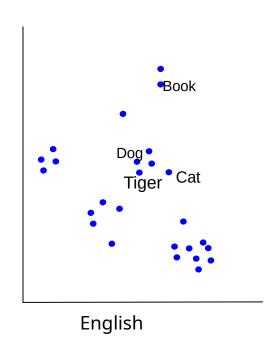
## Foundations-3: Similarity and difference

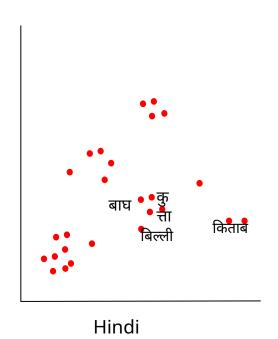
- difference
   Recognizing similarity and differencefoundation of intelligence
- Lot of Pattern Recognition is devoted to this task (Duda, Hart, Stork, 2<sup>nd</sup> Edition, 2000)
- Lot of NLP is based on Text Similarity
- Words, phrases, sentences, paras and so on (verticals)
- Lexical, Syntactic, Semantic, Pragmatic (Horizontal)

## Similarity study in MT



### **ISO-Metricity**

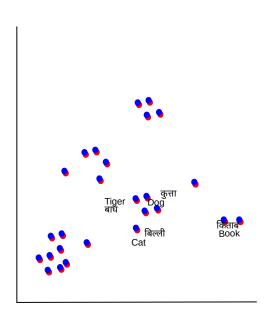




#### **Across Cross-lingual Mapping**

This involves strong assumption that embedding spaces across languages are isomorphic, which is not true specifically for distance languages (Søgaard et al. 2018). However, without this assumption unsupervised NMT is not possible.

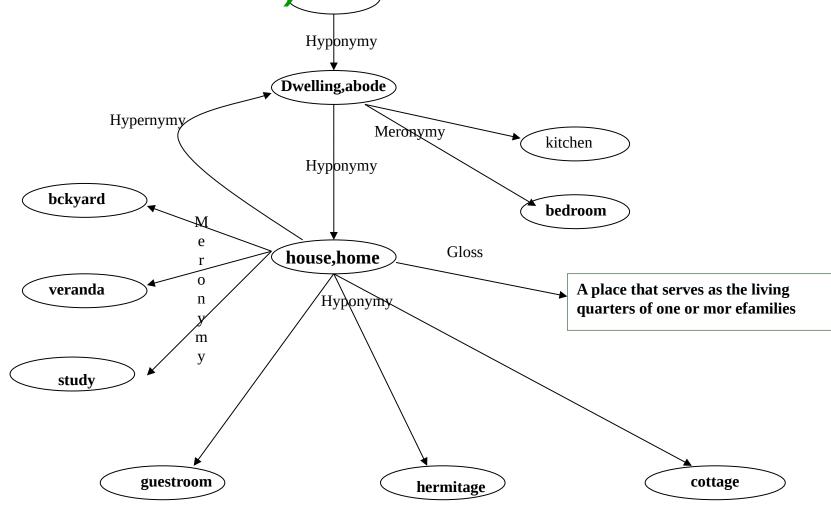
Søgaard, Anders, Sebastian Ruder, and Ivan Vulić. 2018. On the limitations of unsupervised bilingual dictionary induction. ACL



# Foundations-4: Syntagmatic and Paradigmatic Relations

- Syntagmatic and paradigmatic relations
  - Lexico-semantic relations: synonymy, antonymy, hypernymy, mernymy, troponymy etc. CAT is-a ANIMAL
  - Coccurence: CATS MEW
- Wordnet: primarily paradigmatic relations
- ConceptNet: primarily Syntagmatic Relations

"WordNet Sub-Graph with lexicosemantic relations (hyper/hypo, mero/holo etc.)



## Lexical and Semantic relations in wordnet

- 1. Synonymy (e.g., house, home)
- Hypernymy / Hyponymy (kind-of, e.g., cat ← → animal)
- 3. Antonymy (e.g., white and black)
- 4. Meronymy / Holonymy (part of, e.g., cat and tail)
- 5. Gradation (e.g., sleep  $\rightarrow$  doze  $\rightarrow$  wake up)
- 6. Entailment (e.g., *snoring* → *sleeping*)
- 7. Troponymy (manner of, e.g., whispering and talking)
- 1, 3 and 5 are lexical (word to word), rest are semantic (synset to synset).

## 'Paradigmatic Relations' and 'Substitutability'

- Words in paradigmatic relations can substitute each other in the sentential context
- E.g., 'The cat is drinking milk' → 'The animal is drinking milk'
- Substitutability is a foundational concept in linguistics and NLP

# Foundations-5: Learning and Learning Objective

 Probability of getting the context words given the target should be maximized (skip gram)

 Probability of getting the target given context words should be maximized (CBOW)