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# A compact execution history for dynamic slicing

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## 1. Introduction

A *slice* of a program P with respect to a slicing criterion  $C \equiv (\{var\}, c\_stmt)$  is a subset of the program which includes all statements that directly or indirectly affect the value of variable *var* in *c\\_stmt* [1,10–12]. A *static slice* includes all statements which *might* affect the value of *var*. It is constructed using program analysis techniques. A *dynamic slice* consists of only those statements that actually influence the value of *var* in an execution of the program. It is built using run-time information. A dynamic slice is more precise than a static slice because it contains only those statements which have actually influenced *var* in an execution.

A dynamic slice of a program is constructed by analyzing an *execution history* of the program to discover data and control dependences.<sup>1</sup> A complete execution history records all actions performed during an execution of a program. A lot of this information is

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redundant for dynamic slicing. We develop a compact execution history for dynamic slicing of programs using the notion of *critical statements* in a program. Only critical statements need to appear more than once in an execution history; all other statements appear at most once. Performance studies show that our execution histories are at least an order of magnitude smaller than complete execution histories in most cases; for some programs they are smaller by several orders of magnitude.

## 2. Dynamic slicing

Slicing was first discussed by Weiser [12]. Korel and Laski introduced dynamic slicing [10]. Other relevant papers are [1,7,9,11]. This section presents dynamic slicing along the lines described in [10].

#### 2.1. Dynamic slicing algorithm

An execution history is a sequence  $\dots J^{q-1}I^q$  $K^{q+1}\dots$ , where *I*, *J* and *K* are statements in the program and q-1, *q* and q+1 are their positions in the sequence.  $I^q$  is called a statement occurrence of *I*. Many occurrences of a statement may exist in an execution history. A dynamic slicing criterion is

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<sup>&</sup>lt;sup>1</sup> An alternative approach uses program dependence graphs [1, 11].

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defined as  $C \equiv (input, V, I^q)$  where *input* is the set of values of input variables for which the program is executed,  $I^q$  is a specific execution of I, and V is the set of variables of interest. To obtain a dynamic slice for this criterion, we use an execution history of the program constructed when it is executed with *input* as the set of input values. Hence the shorter specification  $(V, I^q)$  suffices for dynamic slicing using a specific execution history.

Notions of data dependence and control dependence analogous to those defined in [8] are used to identify statements which are relevant to the statement in the slicing criterion. Let  $s_i$  be the criterion statement. s<sub>i</sub> is dynamically data dependent on a statement  $s_i$  if  $s_i$  uses a variable var defined by  $s_i$  and execution of the program traverses a path from  $s_i$  to  $s_j$  along which *var* is not redefined. If  $var \in V$ ,  $s_i$  affects the value of var at  $s_i$ , hence  $s_i$  is included in the slice.  $s_i$ is dynamically control dependent on a statement  $s_i$  if  $s_i$ contains a predicate which decides whether  $s_i$  would be executed. Hence  $s_i$  is included in the slice. Control dependence is caused by statements like looping statements, if statements and switch (or case) statements. Static and dynamic control dependences are different in programs which contain if ... then goto ... statements.

Fig. 1 shows the dynamic slicing algorithm. It uses three functions:  $Previous\_def(var, I^q)$  returns the definition of *var* on which  $I^q$  is dynamically data dependent [7].  $In\_control\_of(I)$  returns the set of statements in the program on which I is statically control dependent. Use(I) returns the variables referenced in I.

Procedure Get dynamic slice is called with arguments V, I and q, where  $V \subseteq Use(I)$ . Set stmt\_occ contains statement occurrences which must be processed to discover all data and control dependences. It is initialized to  $I^q$  and statement occurrences are added to it on the basis of data and control dependences. All occurrences of statements in  $In\_control\_of(I)$  which precede  $I^q$  in the execution history are included in set C. Set D is then constructed to contain definitions of variables in V on which some occurrence of I before  $I^q$  or  $I^q$  itself (i.e., occurrence  $I^r$ ,  $r \leq q$ ) is data dependent. The procedure now calls itself recursively to discover data and control dependences of all occurrences of statements in C and D which precede  $I^q$ . At end. *slice* is constructed as a set of statements whose occurrences exist in stmt occ.

program Dynamic\_slicing  $stmt\_occ := \{I^q\};$ **call** *Get\_dynamic\_slice*(*V*, *I*, *q*); *slice* := { $s_i \mid \exists s_i^r \in stmt\_occ$  for some r }; end; **procedure** *Get\_dynamic\_slice*(V, I, q)  $C := \{J^p \mid J \in In\_control\_of(I) \text{ and } p \leq q\};$  $D := \{\};$  $\forall$  var  $\in V$  $\forall I^r \ni r \leqslant q$  $D := D \cup \{Previous\_def(var, I^r)\};$  $temp := C \cup D - stmt_occ;$  $stmt\_occ := stmt\_occ \cup temp;$  $\forall J^p \in temp$  $W := Use(J^p);$ **call** *Get\_dynamic\_slice(W, J, q)*; end Get\_dynamic\_slice; function  $Previous\_def(var, I^q)$ return  $J^p$ , the last definition of var in execution history such that p < q. end Previous\_def;



#### 3. Some execution trajectories

We use the term *execution trajectory* (ET) for an execution history. Let  $G = (N, E, n_0)$  be a program flow graph (PFG) [2]. For simplicity of exposition, we assume each node  $N_i$  to contain a single statement  $s_i$ , however our scheme is applicable even if this requirement is not met. (A node is broken up if it contains > 1 statement. If parts of a statement—e.g., a **for** statement—belong to different nodes, each part is analysed as a separate statement.)

We follow the approach used in [7] to construct an ET. A variable *time<sub>i</sub>*, initialized to 0, is associated with each node  $N_i$  of PFG. A *time-stamp* is the value in an integer counter which is incremented by 1 whenever execution of a node begins. Code instrumentation [5] is used for this purpose. Every time  $N_i$  is executed, the time-stamp is copied into *time<sub>i</sub>*. A *block* is a pair  $(N_i, t_j)$ , where  $t_j$  is the time-stamp when  $N_i$  was executed. Thus block  $(N_i, t_j)$  represents an execution of node  $N_i$ . If statement *I* is located in node  $N_i$ , block  $(N_i, t_j)$  represents same information as  $I^{t_j}$ .

A complete execution trajectory (CET) contains blocks representing every execution of every node during the program's execution. The memory requirement of CET is unbounded because a node can figure in any number of blocks. A *minimal execution trajectory* (MET) [6] is constructed as follows: At the end of program execution, a block  $(N_i, time_i)$  is formed for each node  $N_i$  in G such that  $time_i \neq 0$ . The blocks are sorted in ascending order by time-stamp to form MET. Thus each  $(N_i, t_j)$  in MET represents the last execution, if any, of  $N_i$ . The memory requirement of MET is O(|N|). Fig. 3 shows CET and MET for an execution of the program of Fig. 2 in which branches  $(N_3, N_5)$ ,  $(N_3, N_4)$  and  $(N_3, N_5)$  are taken in the first three iterations before execution reaches the start of node  $N_6$ .

It is not possible to use MET for dynamic slicing. Consider construction of dynamic slice of the program of Fig. 2 for the slicing criterion ( $\{v\}, s_6^{15}$ ). The slice consists of statements  $s_1, s_2, s_3, s_5, s_6, s_7$ . However if the MET of Fig. 3 is used, procedure *Get\_dynamic\_slice* fails to find dynamic data dependence of  $s_2$ , i.e., v := a, on  $s_5$ , i.e., a := 5 because the only occurrence of  $s_5$  in MET follows the occurrence of  $s_2$ . CET of Fig. 3 is adequate, however many blocks in it are redundant for the purpose of constructing



Fig. 2. A program flow graph.

- CET:  $(N_1, 1), (N_2, 2), (N_3, 3), (N_5, 4), (N_6, 5), (N_7, 6), (N_2, 7), (N_3, 8), (N_4, 9), (N_6, 10), (N_7, 11), (N_2, 12), (N_3, 13), (N_5, 14), (N_6, 15)$
- MET:  $(N_1, 1), (N_4, 9), (N_7, 11), (N_2, 12), (N_3, 13), (N_5, 14), (N_6, 15)$



this slice, for example blocks  $(N_6, 5)$ ,  $(N_7, 6)$ ,  $(N_2, 7)$ ,  $(N_3, 8)$ ,  $(N_4, 9)$ ,  $(N_6, 10)$ ,  $(N_3, 13)$  and  $(N_5, 14)$ .

A selective execution trajectory (SET) is a via media between a CET and a MET. It contains fewer redundancies than CET, but it is adequate for dynamic slicing.

#### 4. A SET for dynamic slicing

 $c\_stmt$  is the statement mentioned in the slicing criterion.  $DC_{s_i}$  is a set containing  $s_i$  and statements on which  $s_i$  is data or control dependent.  $DC_{s_i}^*$  is the closure of  $DC_{s_i}$ . It contains a statement  $s_j$  iff a sequence  $s_j \equiv s^0, s^1, \ldots, s^n \equiv s_i$  such that for k = $1, \ldots, n \ s^{k-1} \in DC_{s^k}$  can be constructed for some  $n. \ node(s_i)$  denotes the node of G which contains statement  $s_i$ . Predicate  $Same\_loop(s_i, s_j)$  is true only if some loop encloses both  $s_i$  and  $s_j$ .  $VI_{s_i}$  is the set of variables of interest while processing statement  $s_i$ . If  $s_i \equiv c\_stmt$ ,  $VI_{s_i} = V$ , else  $VI_{s_i} = Use(s_i)$ .  $R_{s_i,var}$  is the set of definitions of  $var \in VI_{s_i}$  which reach  $s_i$ .

#### 4.1. Critical nodes

The slicing algorithm of Fig. 1 uses an ET to discover data and control dependences. MET contains the last execution of a node. It is adequate for slicing if a statement  $s_i$  is data or control dependent only on one statement, say,  $s_j$ , and  $s_j$  always occurs earlier in MET. When these conditions do not hold, an ET must contain some previous occurrences of  $s_i$  or  $s_j$ . Such statements are called *critical statements* (CSs), and nodes containing them are called *critical nodes* (CNs).

We determine criticality of a node under the assumption that the slicing criterion is of the form  $(V, c\_stmt^f)$  where  $c\_stmt^f$  is the last statement occurrence for  $c\_stmt$  in ET and  $V \subseteq Use(c\_stmt)$ .

**Definition 1** (*Critical statement*). Statement  $s_i$  is a critical statement if it satisfies one of the following conditions:

- (a)  $s_i \in DC^*_{c\_stmt}$ ,  $|R_{s_i,var} \{s_i\}| > 1$  and  $Same\_loop(s_i, s_j)$  for some  $s_j \in R_{s_i,var} - \{s_i\}$ .
- (b) For some  $s_j \in DC^*_{c\_stmt}$ ,  $s_i \in DC_{s_j}$ , Same\_loop( $s_i$ ,  $s_j$ ) and  $s_j$  is not included in some path  $s_i \dots c\_stmt$ .

- (c)  $s_l$  is a critical statement by part (b),  $s_i \in DC_{s_l}^*$  and  $Same\_loop(s_i, s_l)$ .
- (d) Some path s<sub>i</sub>...s<sub>k</sub>...s<sub>m</sub> exists in G such that s<sub>m</sub> is a critical statement by part (a), s<sub>i</sub>, s<sub>k</sub> ∈ R<sub>s<sub>m</sub>,var</sub> {s<sub>m</sub>}, Same\_loop(s<sub>i</sub>, s<sub>m</sub>) and Same\_loop(s<sub>k</sub>, s<sub>m</sub>).

The slicing algorithm of Fig. 1 adds statements in  $DC_{c\_stmt}^*$  to the slice. Part (a) of Definition 1 is obvious. Since  $|R_{s_i,var} - \{s_i\}| > 1$  and  $Same\_loop(s_i, s_j)$ , more than one definition of *var* may dynamically reach  $s_i$ . These dynamic dependences can be discovered only if  $s_i$  appears more than once in the ET.  $s_i \in R_{s_i,var}$  is ignored because  $s_i \in DC_{c\_stmt}^*$  ensures that it would be added to the slice.

Let  $s_j$  be control or data dependent on  $s_i$ . Part (b) identifies conditions when  $s_i$  may occur later in ET than  $s_j$ . A previous execution of  $s_i$  must exist in ET if  $s_j$ 's data and control dependences are to be discovered correctly. Hence  $s_i$  is a critical statement.

Let  $s_l$  be a critical statement by part (b) of Definition 1. The slicing algorithm of Fig. 1 may include some occurrence  $s_l^r$ , which is not its last occurrence, in *stmt\_occ*. Control and data dependences of  $s_l^r$  are discovered through a backward search in ET. Hence if statements on which  $s_l$  is data or control dependent are located in the same loop as  $s_l$ , they too must appear multiple times in ET. This effect is incorporated by part (c) of Definition 1. Part (d) is motivated by the fact that if a CET contains a subsequence  $s_i \dots s_m \dots s_i \dots s_k \dots s_m$ , data dependence of  $s_m$  on  $s_i$ would be discovered only if  $s_i$  is a CS.

Consider the criterion  $(\{v\}, s_6^{15})$  in the program of Fig. 2. Node  $N_2$  is a CN according to part (a) of Definition 1 because  $s_2$  uses *a* and two definitions of *a* reach it. Node  $N_5$  is a CN because  $s_5, s_2$  satisfy part (b) of Definition 1 due to path  $N_5-N_6$ . Nodes  $N_3, N_7$ are CNs because  $s_3, s_5$  and  $s_7, s_5$  satisfy part (c) of Definition 1. These pairs of statements also satisfy part (b) of Definition 1.

#### 4.2. Design of SET

We construct the selective execution trajectory (SET) for dynamic slicing as follows: Program instrumentation is used to build two kinds of trajectories

• MET is built using time-stamping information as described in [7].

• A critical nodes execution trajectory (CNET) is built by inserting a block  $(N_i, t_j)$  in CNET every time a critical node  $N_i$  is visited during an execution. Note that the size of CNET is unbounded, however CNET is smaller in size than CET for most program executions.

SET is formed by merging these trajectories, where merging is performed by arranging all blocks in MET  $\cup$  CNET in ascending order by time-stamps and deleting duplicates.

Nodes  $N_2$ ,  $N_3$ ,  $N_5$  and  $N_7$  are critical nodes in the program of Fig. 2, hence CNET consists of blocks  $(N_2, 2)$ ,  $(N_3, 3)$ ,  $(N_5, 4)$ ,  $(N_7, 6)$ ,  $(N_2, 7)$ ,  $(N_3, 8)$ ,  $(N_7, 11)$ ,  $(N_2, 12)$ ,  $(N_3, 13)$ ,  $(N_5, 14)$ . SET is therefore  $(N_1, 1)$ ,  $(N_2, 2)$ ,  $(N_3, 3)$ ,  $(N_5, 4)$ ,  $(N_7, 6)$ ,  $(N_2, 7)$ ,  $(N_3, 8)$ ,  $(N_4, 9)$ ,  $(N_7, 11)$ ,  $(N_2, 12)$ ,  $(N_3, 13)$ ,  $(N_5, 14)$ ,  $(N_6, 15)$ . Some of the redundancies of CET, viz. blocks  $(N_6, 5)$  and  $(N_6, 10)$  do not appear in SET.

Slice construction for criterion  $(\{v\}, s_6^{15})$  by the algorithm of Fig. 1 using SET would proceed as follows:  $s_6^{15}$  would be added to *stmt\_occ*. Sets *C*, *D* would be  $\{s_7^{11}, s_7^{6}\}$  and  $\{s_2^2, s_7^2, s_2^{12}\}$ . Recursive call for the criterion  $(\{v\}, s_2^{12})$  leads to  $C = \{s_7^{11}, s_7^{6}\}$  and  $D = \{s_5^4, s_1^1\}$ . Recursive call for the criterion  $(\{a\}, s_5^4)$  leads to  $C = \{s_3^3, s_3^8, s_3^{13}\}$  and  $D = \{\}$ . At end *stmt\_occ* =  $\{s_1^1, s_3^2, s_5^4, s_7^6, s_3^8, s_1^{11}, s_2^{12}, s_3^{13}, s_6^{15}\}$  and *slice* =  $\{s_1, s_2, s_3, s_5, s_6, s_7\}$ .

#### 4.3. Instrumentation to build SET

We instrument the program to be sliced as follows: As described in Section 3, a variable *time<sub>i</sub>* is associated with every node  $N_i$ . Whenever a critical node  $N_i$  is executed, the instrumented code constructs a block  $(N_i, time_i)$  and enters it into CNET. At the end of execution, time-stamps are used to build MET. This is done only for non-critical nodes.

Instrumentation analysis is performed by procedure *Instrumentation* (see Fig. 4) which constructs the set of critical nodes *SCN*. Parts (a), (b) of Definition 1 are implemented by this procedure. The basic approach is as follows: Critical nodes are identified for the criterion  $(V, s_i)$ . Set  $DC_{s_i}$  contains statements on which  $s_i$  is control or data dependent. Analysis is now performed recursively for the criteria  $(Use(s_k), s_k)$  for each  $s_k \in DC_{s_i}$ . Procedure *Include\_in\_CN* imple-

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procedure Instrumentation(criterion, flag)
Let <i>criterion</i> $\equiv$ ( <i>V</i> , <i>s</i> <sub><i>i</i></sub> ).
<b>if</b> $flag =$ "any" <b>then call</b> $Include_in_CN(s_i)$ ;
if some $s_k \in DC_{s_i}$ and $s_i$ satisfy part (b) of Definition 1 $\wedge$
$s_k$ is not i-marked <b>then call</b> Include_in_CN( $s_k$ );
<b>if</b> $s_i$ satisfies part (a) of Definition 1 <b>then</b>
$SCN = SCN \cup \{node(s_i)\};$
$\forall s_k \in DC_{s_i}$
if $s_k$ is not r-marked and not i-marked then
r-mark $s_k$ ;
<b>call</b> <i>Instrumentation</i> (( <i>Use</i> ( <i>s<sub>k</sub></i> ), <i>s<sub>k</sub></i> ), " <i>last</i> ");
call Prune_CN;
end Instrumentation;
<b>Procedure</b> $Include_in_CN(s_l)$
i-mark <i>s</i> <sub>l</sub> ;
$SCN = SCN \cup \{node(s_l)\};$
$\forall s_k \in DC_{s_l} \ni s_k$ is not i-marked $\land Same\_loop(s_k, s_l)$
<b>call</b> <i>Include_in_CN</i> ( $s_k$ );
end Include_in_CN;
Procedure Prune_CN
$\forall i, j \in SCN \ni i$ is a unique predecessor of j
and <i>j</i> is a unique successor of <i>i</i>
Delete node <i>i</i> from <i>SCN</i> ;
end Prune_CN;

Fig. 4. Instrumentation for dynamic slicing.

ments part (c) of Definition 1 by invoking itself recursively for all statements in  $DC_{s_l}$ . Since  $s_k$  may be included in set DC for many statements, we prevent repeated recursive calls by r-marking  $s_k$  and i-marking *s*<sub>l</sub> in procedures *Instrumentation* and *Include\_in\_CN*, respectively. An i-marked statement is not processed by Instrumentation, but an r-marked statement is processed by Include\_in\_CN because processing performed by Include\_in\_CN subsumes that performed by Instrumentation.

Definition 1 identifies critical nodes if slicing is performed for the last execution of *c\_stmt*. If slicing is desired for any execution of *c\_stmt*, all occurrences of *c\_stmt*, and all occurrences of statements in  $DC_{c \text{ stmt}}^{*}$  which are in same loop should also appear in ET. This requirement is incorporated by invoking Include\_in\_CN for  $s_i$  when flag = "any".

Procedure Prune CN implements an obvious optimization by deleting a node from SCN if it always precedes another node in SCN. Occurrences of this node can be simply inserted after CNET is constructed. The only exception is if node *j* involves a function/procedure call, since statements of the function/procedure body may occur between i and j (see Section 4.5).

Two other simple optimizations are possible. A statement  $s_i$  which satisfies part (a) of Definition 1 need not be a CS if it is data dependent on two definitions one of which is itself data dependent on the other definition. For example, if  $s_i$  which uses *i* is data dependent on i := i+1 in same loop and i := ... outside the loop,  $s_i$  need not be a CS because if i := i+1is added to slice, i:=0 will also be added. A for statement causes both data and control dependence. Control dependence ensures that it is included in a slice if some statement in its body is included. Hence a for (i=0; i<...; i++) need not be made a CS even if use of *i* in its body and i++ of the **for** statement satisfy part (b) of Definition 1.

For the program of Fig. 2 and slicing criterion  $(\{v\}, s_6^{15})$ , call *Instrumentation*( $\{v\}, s_6$ , "last") leads to a recursive call for the criterion  $(\{a\}, s_2)$ . This call identifies  $N_2$  as a CN by part (a) of Definition 1, and  $N_5$  as a CN by part (b) of Definition 1 because of path  $N_5 \dots N_6$ . It makes a call *Include\_in\_CN(s\_5)* which marks  $N_3$  and  $N_7$  as CN. Other recursive calls do not identify any CNs.

## 4.4. Proof of adequacy of SET

Let  $s_i$  be a definition of v. Let  $s_i^r < s_j^p$  indicate that occurrence  $s_i^r$  precedes occurrence  $s_j^p$  in SET, and let  $s_i^r \rightarrow s_j^p$  indicate that  $s_i^r$  reaches  $s_j^p$  in SET (i.e.,  $s_i^r < s_i^p$  and no other definition of v occurs between  $s_i^r$ and  $s_i^p$ ).  $s_j$  may be added to a slice to satisfy control or data dependences (see sets C and D in the slicing algorithm). We show that all dynamic control and data dependences of  $s_i$  can be discovered using SET.

**Lemma 1.**  $\forall s_i^q \in stmt\_occ,$ 

- (a) If  $s_i$  is dynamically data dependent on  $s_i : v :=$
- $\dots, s_i^r \to s_j^p \text{ for some } r \text{ and some } p \leqslant q.$ (b) If  $s_j$  is dynamically control dependent on  $s_i, s_i^r \ll$  $s_i^p$  for some r and some  $p \leq q$ .

**Proof.** Proof is by contradiction.

Part (a): Let CET contain a subsequence  $s_i^r \dots s_i^p$ ,  $p \leq q$  but  $\neg (s_i^r \rightarrow s_i^p)$ . Three possibilities exist.

*Case* 1: CET contains the sequence  $s_i^r \dots s_j^t \dots s_m^l$  $\dots s_j^q$ , where  $s_m$  is a definition of v and  $s_j$  is not a CS. This implies that  $s_j$  is executed both before and after  $s_m$ , *Same\_loop*( $s_j, s_m$ ), and two definitions of  $v \dots s_i$  and  $s_m$ —reach  $s_j$ . Since  $s_j^q$  is added to a slice,  $s_j \in DC_{c\_stmt}^*$  (see Fig. 1). Hence  $s_j$  is a CS by part (a) of Definition 1. This is a contradiction.

*Case* 2:  $s_i$  is executed again such that CET contains a subsequence  $s_i^r ldots s_j^q ldots s_i^h$  but  $s_i$  is not a CS. Subsequence  $s_i^r ldots s_j^q ldots s_i^h$  implies that *Same\_loop*( $s_i, s_j$ ), and a path exists from  $s_i$  to  $c\_stmt$  which does not contain  $s_j$ . Hence  $s_i$  is a critical node by part (b) of Definition 1. This is a contradiction.

*Case* 3: CET contains a subsequence  $s_i^r \dots s_j^f \dots$  $s_k^g \dots s_i^h \dots s_m^l \dots s_j^r$ , where  $s_m$  is a definition of  $v, s_j^q$  is either  $s_j^f$  or  $s_j^t$ , and  $s_i$  is not a CS. Since  $s_j$  is executed before and after both  $s_i$  and  $s_m$ , *Same\_loop*( $s_i, s_j$ )  $\land$ *Same\_loop*( $s_m, s_j$ ). Hence  $s_j$  is a CS by part (a) of Definition 1.  $s_j^f$  is not the last occurrence of  $s_j$  in SET. If  $s_j^f$  is added to *stmt\_occ* by the algorithm in Fig. 1 because  $s_k \in DC_{c\_stmt}^*$  and  $s_k$  is data dependent on  $s_j, s_j$  is a CS also by part (b) of Definition 1. If  $s_j^t$ is added to *stmt\_occ*,  $s_j \in DC_{c\_stmt}^*$  hence  $s_i$  is a CS from part (d) of Definition 1. In both cases we have a contradiction.

Part (b): Let SET be  $\ldots s_j^q \ldots s_i^t$ . From the definition of dynamic control dependence,  $s_i$  must execute before  $s_j$ , hence CET must be  $\ldots s_i^r \ldots s_j^q \ldots s_i^t$ . Similar to Case 2 of part (a), this is a contradiction.  $\Box$ 

#### 4.5. Handling functions and arrays

Functions require special handling during criticality analysis because of interprocedural data dependences. Instrumentation analysis is simple if each function call is expanded in-line. However, such expansion may be infeasible or impossible if calls are deeply nested or recursive. In such cases we use summary information GMOD and GREF which represent the effect of a function call in terms of modification and use of actual parameters and global variables, respectively [3,4], to prepare a program for instrumentation analysis. Every call on a function f is replaced by a *function expression* (FE). FE consists of a single occurrence of a variable arity fictitious operator '@', whose operands are the globals and parameters of f whose values are used in computing the expression in the return statement of f. FE's operands are given by  $GP \cap \bigcup_{s_i \in DC_{return}^*} Use(s_i)$ , where GP is the set of globals and parameters of f. The modified call statement is followed by a *deemed definition* for each variable in GMOD of f. A deemed definition  $s_k : v := @(...)$ 'corresponds to' all definitions of v in the function body which reach its exit.  $CO(s_k)$  is the set of such definitions. The rhs expression of a deemed definition  $s_k$ contains variables given by  $GP \cap \bigcup_{s_i \in DC_{CO(s_k)}^*} Use(s_i)$ .

Instrumentation analysis of the calling program is performed after these modifications. If more than one definition in the function body corresponds to a deemed definition, this fact is noted for use while applying part (a) of Definition 1. If a call statement or a deemed definition is a CS, some statements in the function body also become CSs as follows: If a statement containing a call on f is a CS, the return statement of f becomes a CS. If a deemed definition  $s_k$  is a CS, all definitions in  $CO(s_k)$ , and all statements in  $\bigcup_{s_j \in CO(s_k)} DC_{s_j}^*$  become CSs. A recursive call site is always considered to be a CS.

Special provisions are needed when > 1 call site exists for a function. Consider a statement  $s_i$ , already included in a slice, which is data dependent on a deemed definition  $s_l$ . In effect,  $s_i$  is data dependent on an  $s_i \in CO(s_l)$ . This data dependence can be discovered during slice construction only if an occurrence of  $s_i$  precedes that of  $s_i$  in ET. However, the function may have been called a few times after this data dependence was created, hence  $s_i$  may occur after  $s_i$  in MET. Since  $s_i$  should precede  $s_i$  in SET,  $s_i$  should be included in SCN and must appear in CNET. This effect is incorporated by considering a deemed definition to be a critical statement if it is in  $DC_c^*$  statements executed during different invocations of a function may be data dependent on one another. This effect is incorporated by assuming a loop to enclose the body of a function during instrumentation analysis of the function. Criticality analysis is now performed for statements containing references/definitions of nonlocal variables and parameters which are identical for two or more call sites.

Instrumentation analysis of the body of function f is performed as follows:  $IAS_f$  is the set of statements for which the instrumentation analysis of f should be performed. We begin by putting the return statement in  $IAS_f$ . If a deemed definition  $s_i$  is in  $DC_c^*$  state.

then  $CO(s_i)$  is added to  $IAS_f$ .  $\forall s_j \in IAS_f$  a call on procedure *Instrumentation* is made with the criterion  $(Use(s_j), s_j)$ .

During program execution, a function call statement is entered in CNET when execution returns from that call. Additionally, some annotations regarding actual parameters are also entered in CNET at this time. Following changes are made in the dynamic slicing algorithm of Fig. 1 to handle function calls and function bodies: When a definition of a variable in GP located in a function body is added to *stmt\_occ*, the function call statement is also added to *stmt\_occ*. When a statement involving a function call is added to *stmt\_occ*, the return statement of the function in also added to *stmt\_occ*. When a recursive call on *Get\_dynamic\_slice* is made for a return statement  $J^p$ , Use(J) contains all variables occurring in FE.

#### 4.5.1. Handling subscripted variables

The scheme for recovering values of subscripted variables described in [7] can be extended for slicing programs using subscripted variables. Consider an assignment  $a[i] := \dots x \dots b[j] \dots$  situated in node  $N_i$ . To identify statements on which this statement is data dependent, we proceed as if the assignment is of the form  $a := f_{a[i]}(i, \dots, x, \dots, j, b[j])$ . This approach ensures that assignments of i, x, j and b[j]will be included in the slice. Assignments of the form  $b[k] := \dots$  for some k which reach node  $N_i$ should also be considered for inclusion unless it can be inferred during instrumentation time (using static analysis) that k cannot have the same value as the value of *j* when the assignment to a[i] is executed. Criticality analysis should proceed along similar lines. Thus apart from nodes containing assignments to b[i], nodes containing assignments to i, x, j and b[k] may also become critical nodes.

## 5. Concluding remarks

Table 1 summarizes performance for some benchmark programs using the criterion  $(V, s_i)$  where V is the set of all variables used in the main program and  $s_i$ is a fictitious statement which immediately precedes the end statement in it. ET size is in terms of number of source statements executed; a **for** statement is counted as 1 even if its parts are executed separately. It

Table 1	
Performance	summary

Pro	gram		CET	#	SET
Name	Size	# Proc	size	CN	size
Towers	83	3	637E6	4	146
of Hanoi <sup>+</sup>					
Heapsort	254	3	592E6	24	326E6
Dhrystone	400	13	108E6	4	3.99E6
Matrix Mult <sup>@</sup>	537	14	870E5	5	542
SIM	1285	15	105E6	85	10.4E6

+: For no of disks from 15 to 25.

<sup>@</sup>: array size 350×350.

637E6:  $637 \times 10^6$ .

is observed that SET is at least an order of magnitude smaller than CET in most cases. For a Korel–Laski style slicing approach, e.g., the algorithm of Fig. 1, part (c) of Definition 1 can be omitted since the slicing algorithm considers data and control dependences of all execution occurrences of a statement in  $DC^*_{c\_stmt}$ . This would reduce SET sizes further.

For some programs SET is several orders of magnitude smaller than CET. This behaviour is observed when none of the critical statements executes a large number of times. It provides an obvious hint to effectiveness of SET in practice: SET will be much smaller than CET if results of criticality analysis superimposed on an execution profile of a program show that none of its hotspots (i.e., most frequently executed statements) are critical statements.

Procedure *Instrumentation* requires data flow analysis to determine reaching definitions, and loop identification to implement *Same\_loop*, both of which require quadratic effort in terms of program size. Procedure *Instrumentation* is itself quadratic in program size, because it checks criticality of each node once, if at all, and part (b) of Definition 1 involves path tracing whose effort is linear in program size. Hence complexity of program instrumentation is  $O(|N|^2)$ .

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