

# CS626: Speech, NLP and the Web

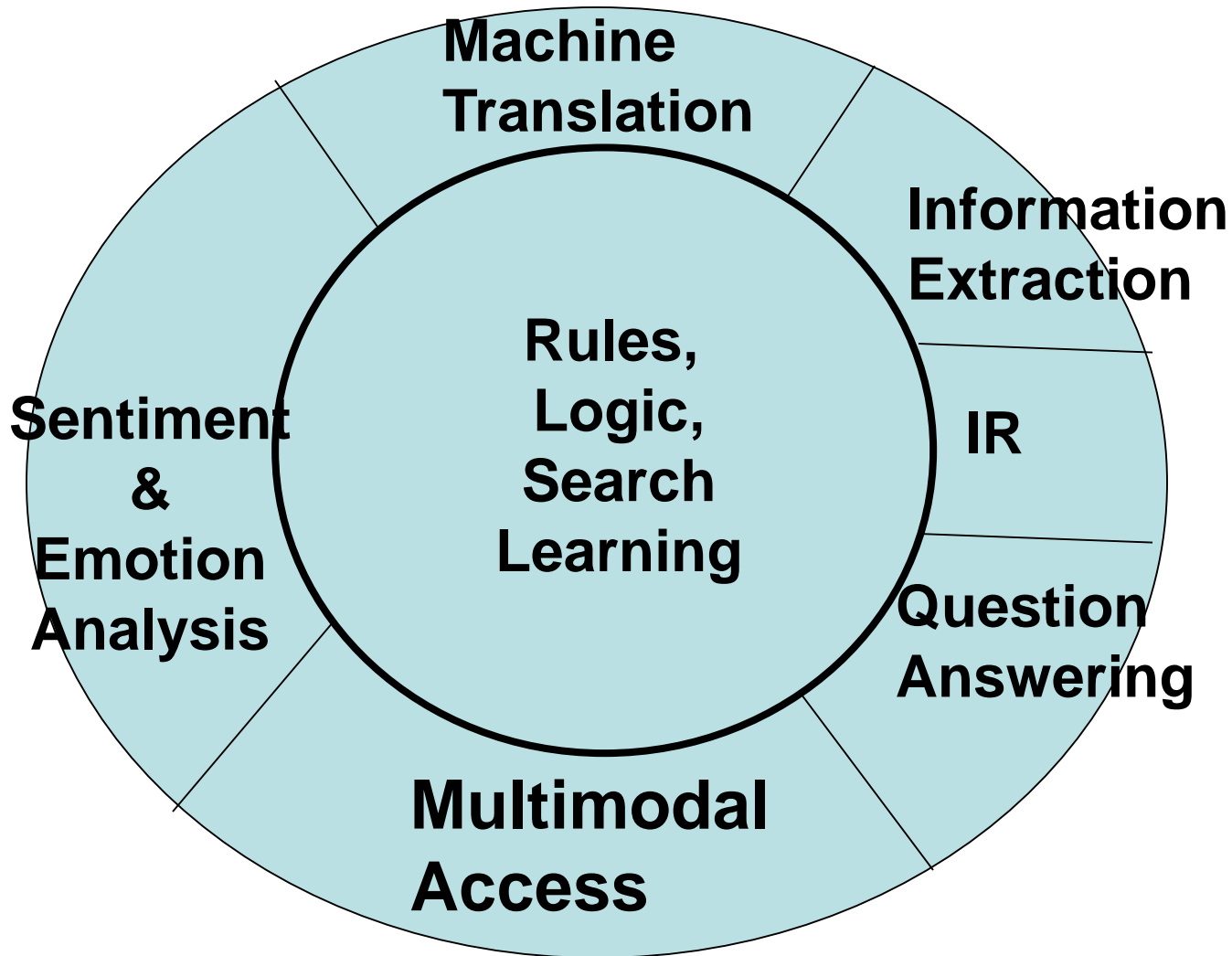
*Delving into Feedforward Network and Backpropagation; Applications of FFNN in NLP*

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# NLP Areas





# Agenda for the week

- Delving deeper into Feedforward N/W and Backpropagation
- Applications of FFNN-BP
- Start of Sequence Processing
- Recurrent N/W

# Application of FFNN-BP

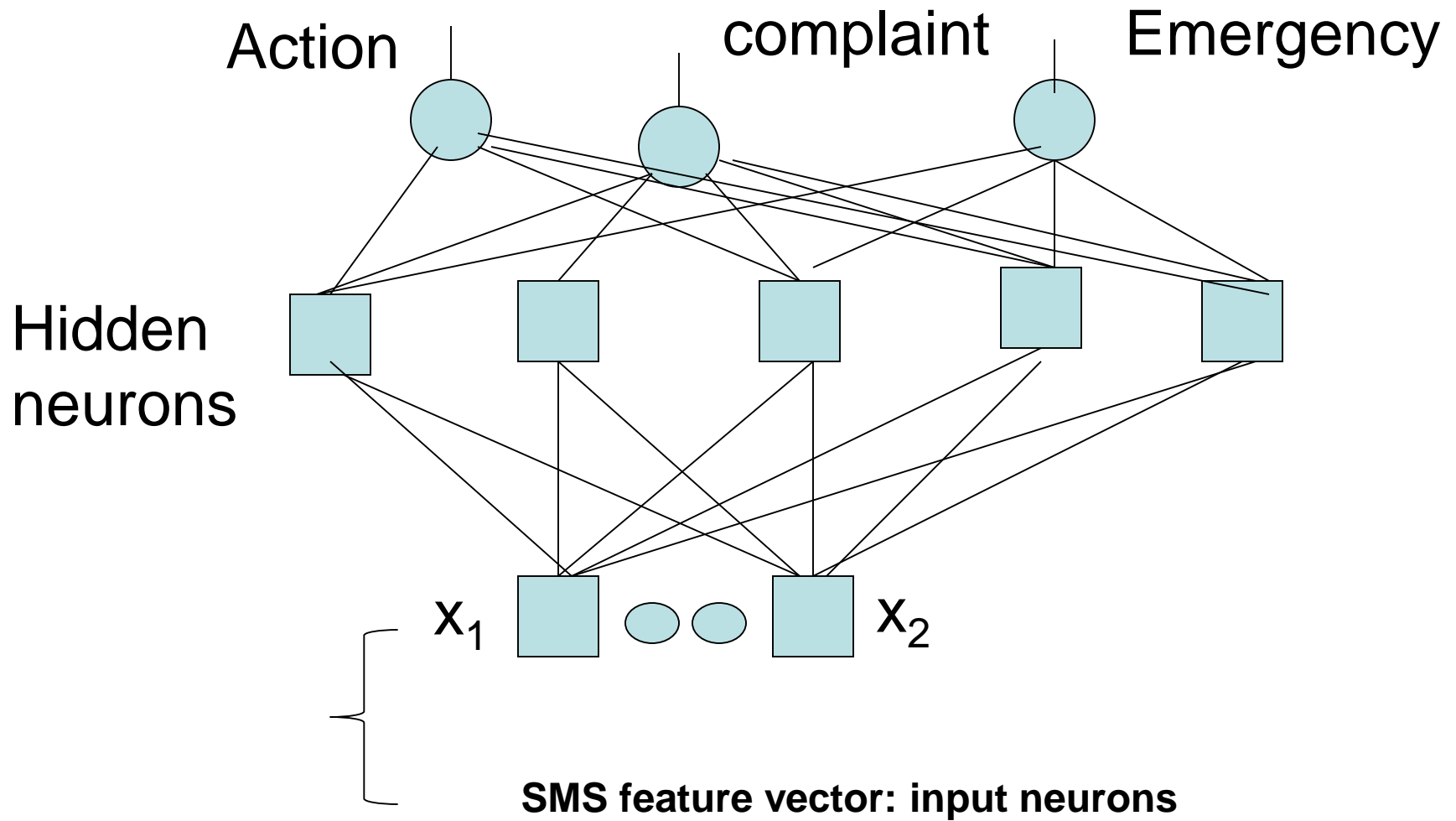
## An example SMS complaint

- I have purchased a 80 litre Videocon fridge about 4 months ago when the freeze go to sleep that time compressor give a sound (khat khat khat khat ....) what is possible fault over it is normal I can't understand please help me give me a suitable answer.

# Significant words (in red): after stop word removal

- I have purchased a 80 litre Videocon fridge about 4 months ago when the freeze go to sleep that time compressor give a sound (khat khat khat khat ....) what is possible fault over it is normal I can't understand please help me give me a suitable answer.

# SMS classification





# Sequence Processing v/s Whole-Text processing

- Preemptive actions: possible in sequence processing
  - E.g., Surveillance
- Micro-information: better handled in seq processing
- Global information: better handled in whole text processing
- Chat-bot: inherently seq processing

An application in Medical Domain

# Expert System for Skin Diseases Diagnosis

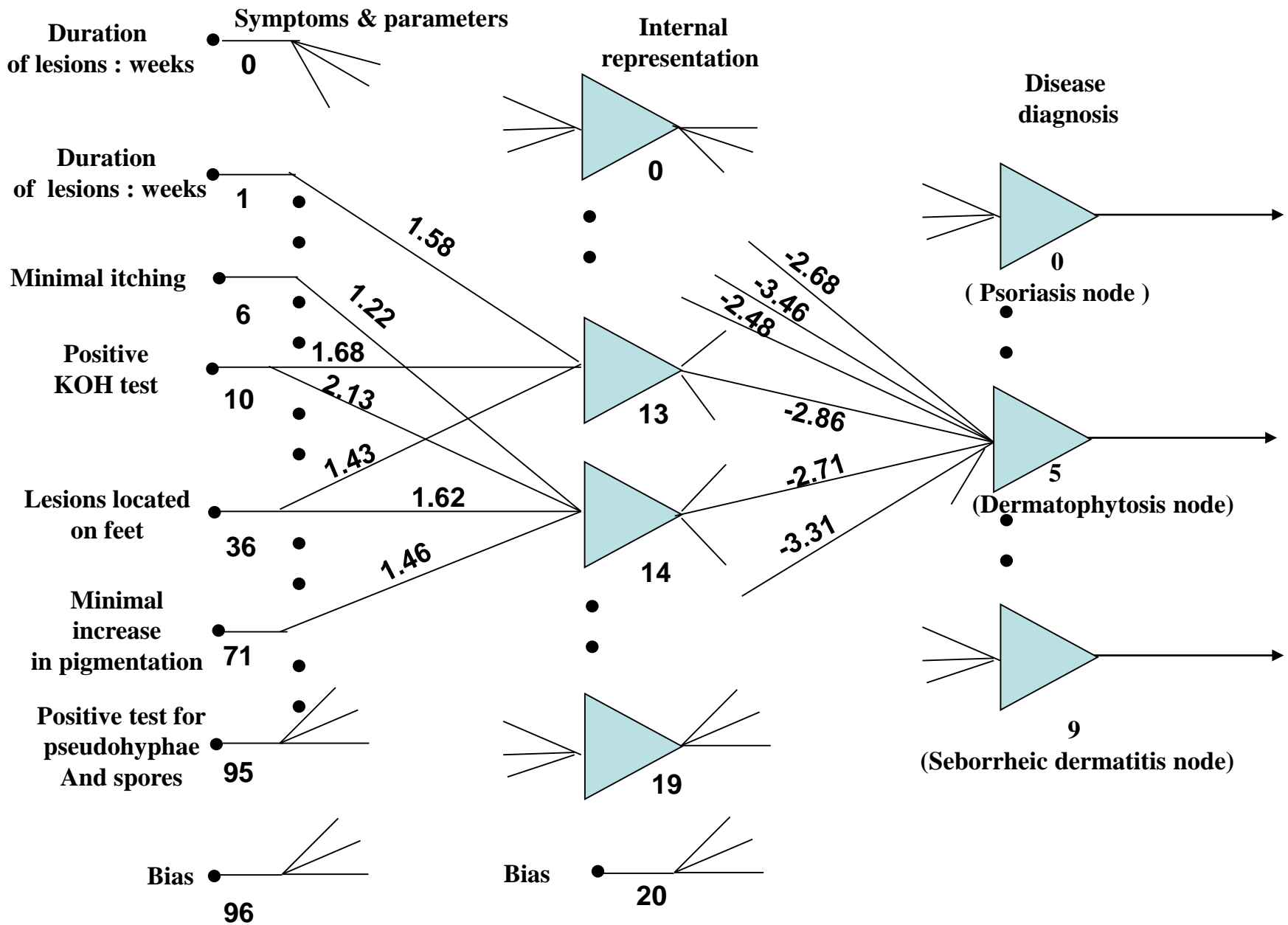
- Bumpiness and scaliness of skin
- Mostly for symptom gathering and for developing diagnosis skills
- Not replacing doctor's diagnosis

# Architecture of the FF NN

- 96-20-10
- 96 input neurons, 20 hidden layer neurons, 10 output neurons
- Inputs: skin disease symptoms and their parameters
  - *Location, distribution, shape, arrangement, pattern, number of lesions, presence of an active norder, amount of scale, elevation of papuls, color, altered pigmentation, itching, pustules, lymphadenopathy, palmer thickening, results of microscopic examination, presence of herald pathc, result of dermatology test called KOH*

# Output

- 10 neurons indicative of the diseases:
  - *psoriasis, pityriasis rubra pilaris, lichen planus, pityriasis rosea, tinea versicolor, dermatophytosis, cutaneous T-cell lymphoma, secondary syphilis, chronic contact dermatitis, seborrheic dermatitis*



**Figure : Explanation of dermatophytosis diagnosis using the DESKNET expert system.**

# Training data

- Input specs of 10 model diseases from 250 patients
- 0.5 is some specific symptom value is not known
- Trained using standard error backpropagation algorithm

# Testing

- Previously unused symptom and disease data of 99 patients
- Result:
- Correct diagnosis achieved for 70% of papulosquamous group skin diseases
- Success rate above 80% for the remaining diseases except for psoriasis
- psoriasis diagnosed correctly only in 30% of the cases
- Psoriasis resembles other diseases within the papulosquamous group of diseases, and is somewhat difficult even for specialists to recognise.



# Explanation capability

- Rule based systems reveal the explicit path of reasoning through the textual statements
- Connectionist expert systems reach conclusions through complex, non linear and simultaneous interaction of many units
- Analysing the effect of a single input or a single group of inputs would be difficult and would yield incorrect results

# Explanation contd.

- The hidden layer re-represents the data
- Outputs of hidden neurons are neither symptoms nor decisions

# Discussion

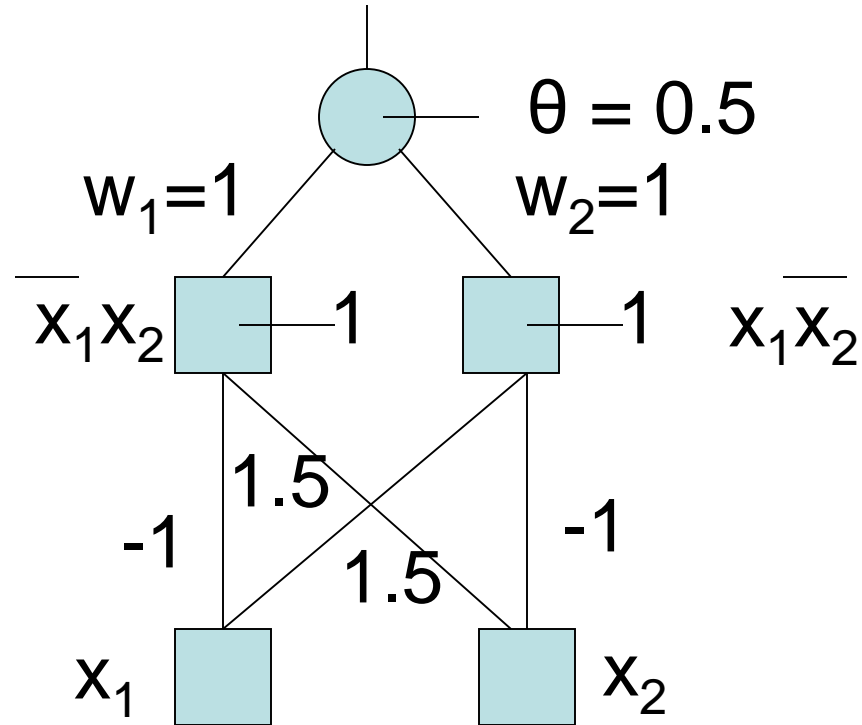
- Symptoms and parameters contributing to the diagnosis found from the n/w
- Standard deviation, mean and other tests of significance used to arrive at the importance of contributing parameters
- The n/w acts as apprentice to the expert

# Hardmax v/s Softmax

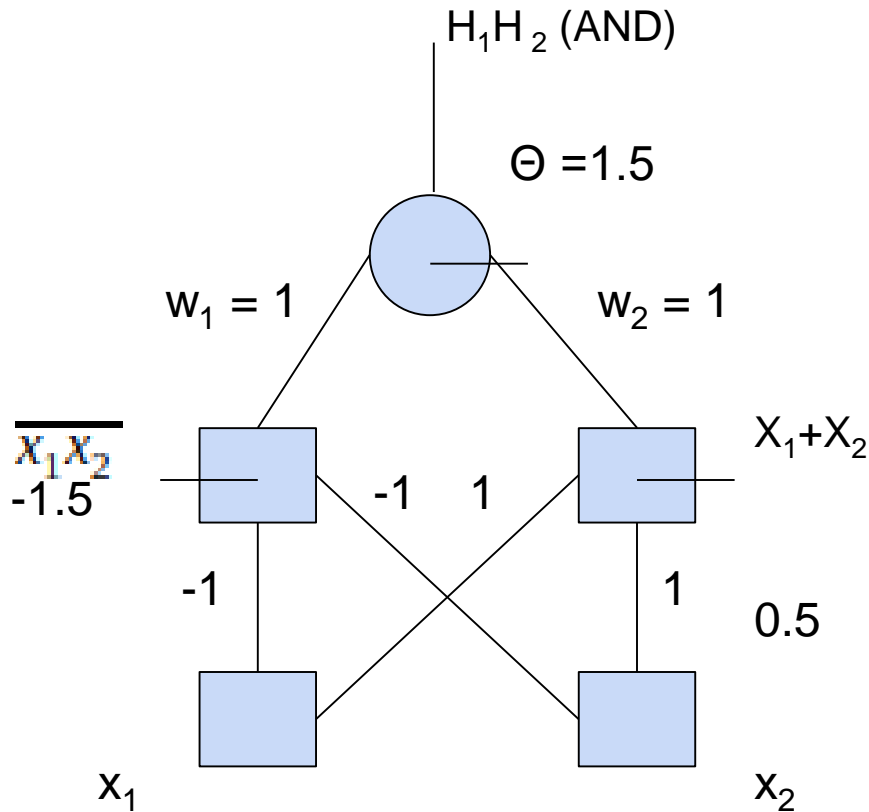
- V-verb, N-noun, J-adjective, R-adverb, O-others
- Hardmax
  - Given an input, if the output is 0 or 1.
  - $\langle V, N, J, R, O \rangle \rightarrow \langle 0, \mathbf{1}, 0, 0, 0 \rangle$
- Softmax
  - Given an input, if it belongs to multiple label/class, then the output is maximum of all labels/classes.
  - $\langle V, N, J, R, O \rangle \rightarrow \langle 0.1, \mathbf{0.8}, 0.05, 0.02, 0.03 \rangle$

# Feedforward Network and Backpropagation

# Example - XOR



# Alternative network for XOR



- XOR: not possible using a single perceptron
- Hidden layer gives more computational capability
- Deep neural network: With multiple hidden layers
- Kolmogorov's theorem of equivalence proves equivalence of multiple layer neural network to a single layer neural network, and each neuron have to correspond to an appropriate functions.

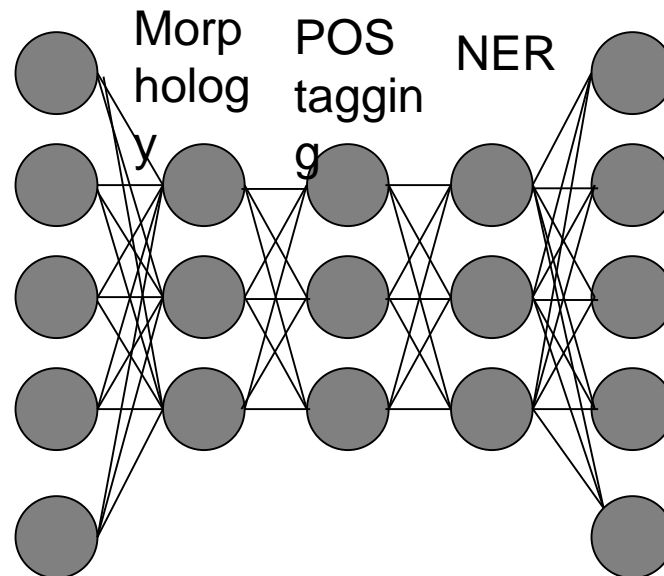
# Exercise: Back-propagation

- Implement back-propagation for XOR network
- Observe
  - Check if it converges (error falls below a limit)
  - What is being done at the hidden layer



# What a neural network can represent in NLP: Indicative diagram

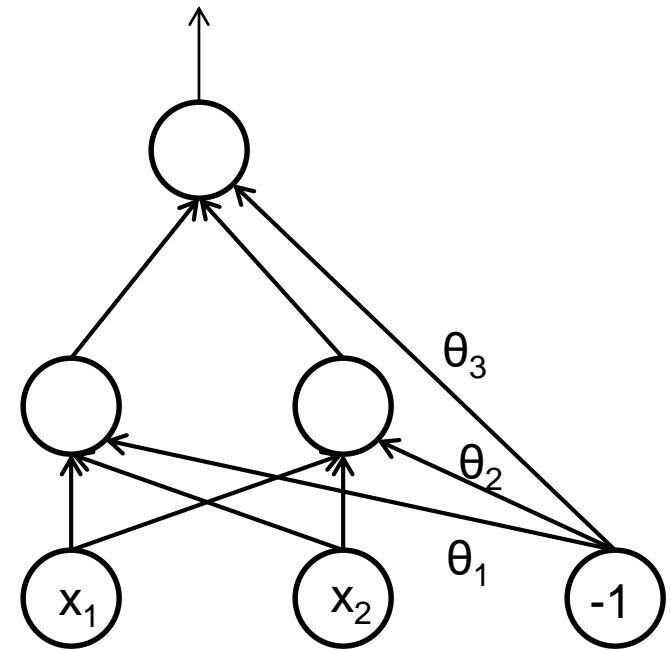
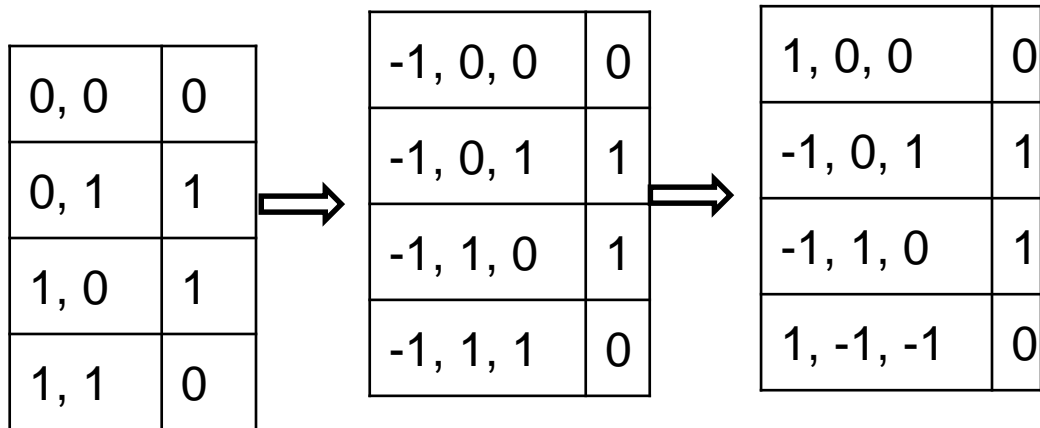
- Each layer of the neural network possibly represents different NLP stages!!



# Batch learning versus Incremental learning

- **Batch learning** is updating the parameters after ONE PASS over the whole dataset
- **Incremental learning** updates parameters after seeing each PATTERN
- An **epoch** is ONE PASS over the entire dataset
  - Take XOR: data set is  $V_1=(\langle 0,0 \rangle, 0)$ ,  $V_2=(\langle 0,1 \rangle, 1)$ ,  $V_3=(\langle 1,0 \rangle, 1)$ ,  $V_4=(\langle 1,1 \rangle, 0)$
  - If the weight values are changed after each of  $V_i$ , then this is incremental learning
  - If the weight values are changed after one pass over all  $V_i$ s, then it is batch learning

# Can we use PTA for training FFN?



No, else the individual neurons are solving XOR, which is impossible. Also, for the hidden layer neurons we do not have the i/o behaviour.

# Gradient Descent Technique

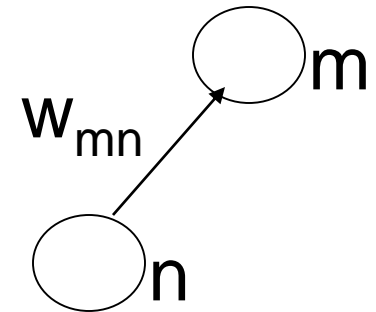
- Let  $E$  be the error at the output layer

$$E = \frac{1}{2} \sum_{j=1}^p \sum_{i=1}^n (t_i - o_i)_j^2$$

- $t_i$  = target output;  $o_i$  = observed output
- $i$  is the index going over  $n$  neurons in the outermost layer
- $j$  is the index going over the  $p$  patterns (1 to  $p$ )
- Ex: XOR:–  $p=4$  and  $n=1$

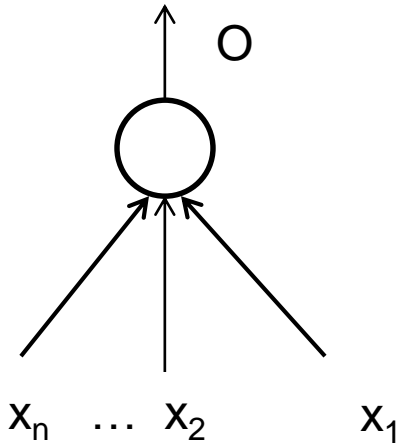
# Weights in a FF NN

- $w_{mn}$  is the weight of the connection from the  $n^{\text{th}}$  neuron to the  $m^{\text{th}}$  neuron
- $E$  vs  $\overline{W}$  surface is a complex surface in the space defined by the weights  $w_{ij}$
- $-\frac{\delta E}{\delta w_{mn}}$  gives the direction in which a movement of the operating point in the  $w_{mn}$  co-ordinate space will result in maximum decrease in error



$$\Delta w_{mn} \propto -\frac{\delta E}{\delta w_{mn}}$$

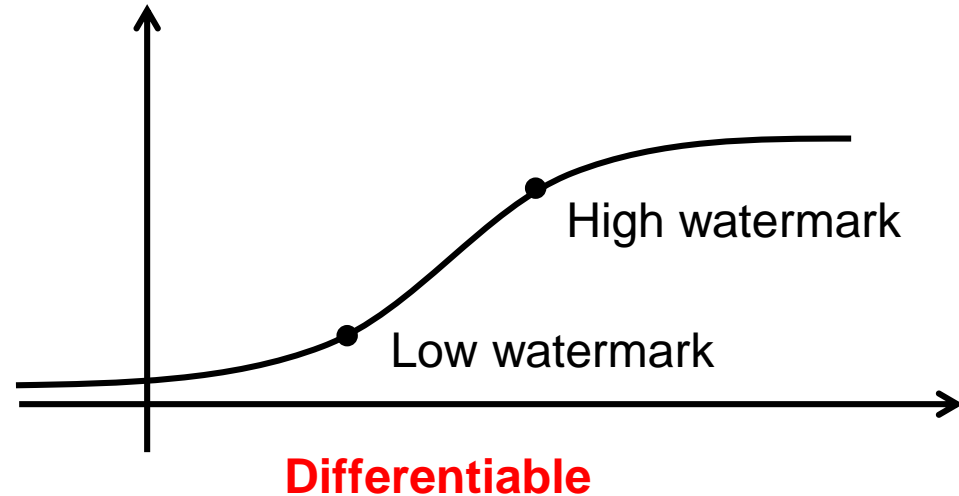
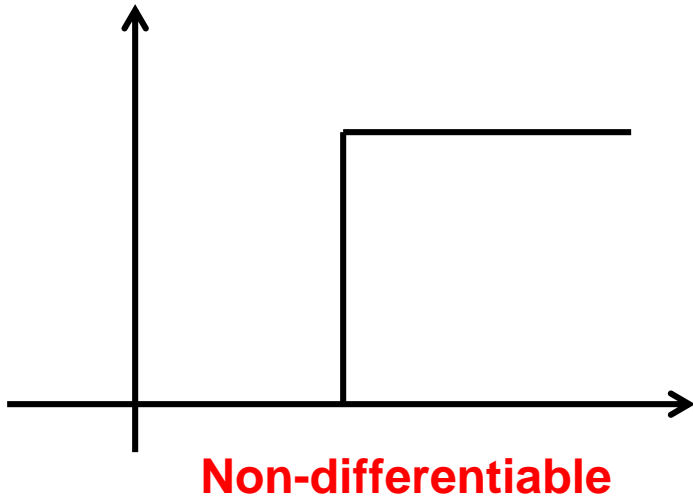
# Step function v/s Sigmoid function



$$O = f(\sum w_i x_i)$$
$$= f(\text{net})$$

So partial derivative of  $O$  w.r.t.  $\text{net}$  is

$$\frac{\delta O}{\delta \text{net}}$$



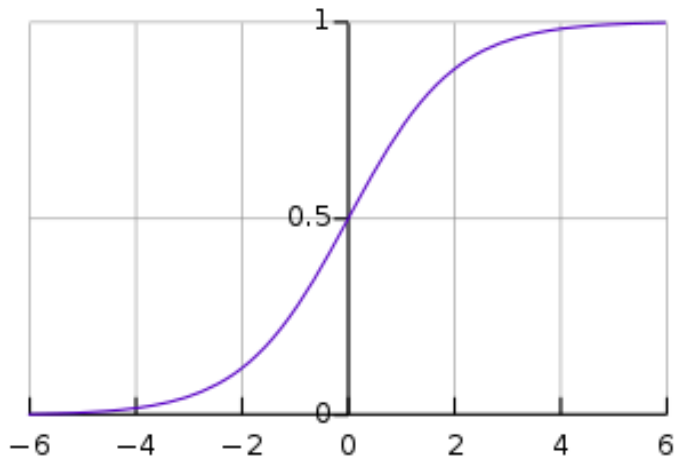
# Sigmoid function

$$y = \frac{1}{1 + e^{-x}}$$

$y = \frac{1}{1+e}$

$$\frac{dy}{dx} = y(1 - y)$$

# Sigmoid function



$$f(x) = \frac{1}{1+e^{-x}}$$

$$\begin{aligned} f(x) &= \frac{1}{1+e^{-x}} \\ \frac{df(x)}{dx} &= \frac{d}{dx} \left( \frac{1}{1+e^{-x}} \right) \\ &= \frac{e^{-x}}{(1+e^{-x})^2} \\ &= \frac{1}{1+e^{-x}} \left( 1 - \frac{1}{1+e^{-x}} \right) \\ &= f(x) \cdot (1 - f(x)) \end{aligned}$$



# Loss function

Total sum squared error

$$E = \frac{1}{2} \sum_{j=1}^p \sum_{i=1}^n (t_i - o_i)_j^2$$

- $T_i$  is the target output
- $O_i$  is the observed output
- $i$  and  $j$  are indices over  $n$  neurons and  $p$  patterns respectively

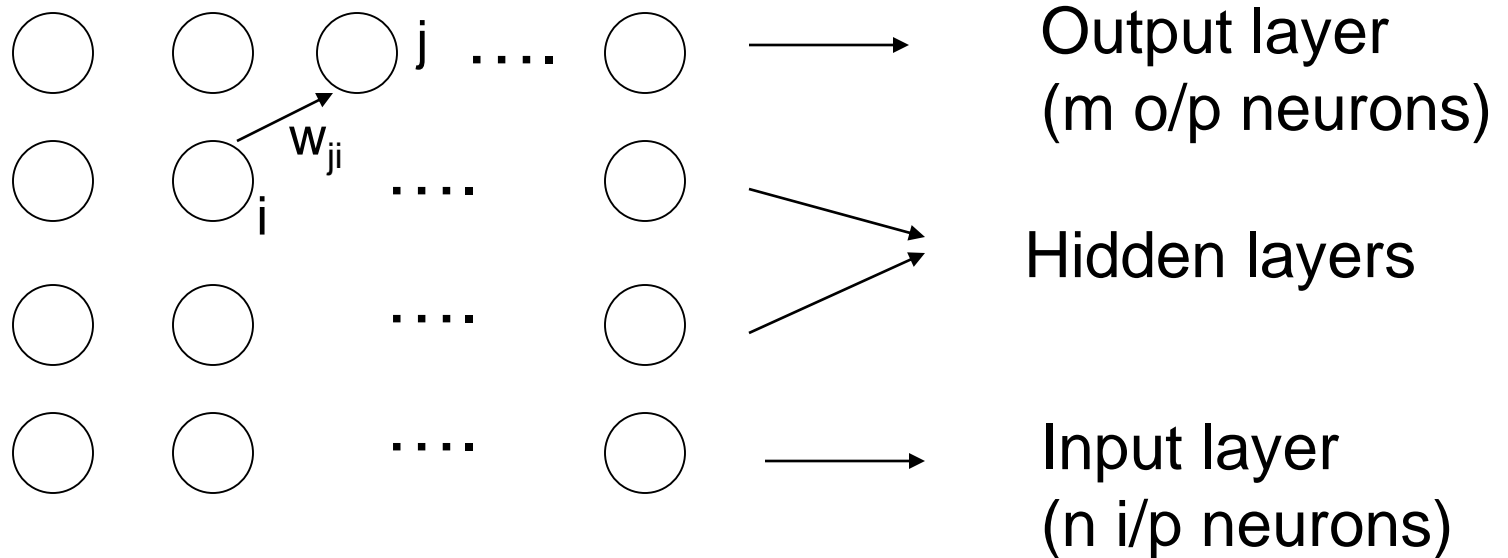
Cross-entropy

$$E = - (1/N) * \sum_{i=1}^N y_i \log(\bar{y}_i)$$

- $y_i$  is the target output
- $\bar{y}_i$  is the observed output
- $N$  is number of training samples

Cross-entropy is used more in NLP than total sum squared error

# Backpropagation algorithm



- Fully connected feed forward network
- Pure FF network (no jumping of connections over layers)

# Gradient Descent Equations

$$\Delta w_{ji} = -\eta \frac{\delta E}{\delta w_{ji}} \quad (\eta = \text{learning rate}, 0 \leq \eta \leq 1)$$

$$\frac{\delta E}{\delta w_{ji}} = \frac{\delta E}{\delta net_j} \times \frac{\delta net_j}{\delta w_{ji}} \quad (net_j = \text{input at the } j^{\text{th}} \text{ neuron})$$

$$\frac{\delta E}{\delta net_j} = -\delta_j$$

$$\Delta w_{ji} = \eta \delta_j \frac{\delta net_j}{\delta w_{ji}} = \eta \delta_j o_i$$

# Backpropagation – for outermost layer

$$\delta_j = -\frac{\delta E}{\delta net_j} = -\frac{\delta E}{\delta o_j} \times \frac{\delta o_j}{\delta net_j} \quad (net_j = \text{input at the } j^{th} \text{ layer})$$

$$E = \frac{1}{2} \sum_{p=1}^m (t_p - o_p)^2$$

$$\text{Hence, } \delta_j = -(-(t_j - o_j)o_j(1 - o_j))$$

$$\Delta w_{ji} = \eta(t_j - o_j)o_j(1 - o_j)o_i$$

# Observations from $\Delta w_{ji}$

$$\Delta w_{ji} = \eta(t_j - o_j)o_j(1 - o_j)o_i$$

$\Delta w_{ji} \rightarrow 0$  if,

1.  $O_j \rightarrow t_j$  and/or

2.  $O_j \rightarrow 1$  and/or

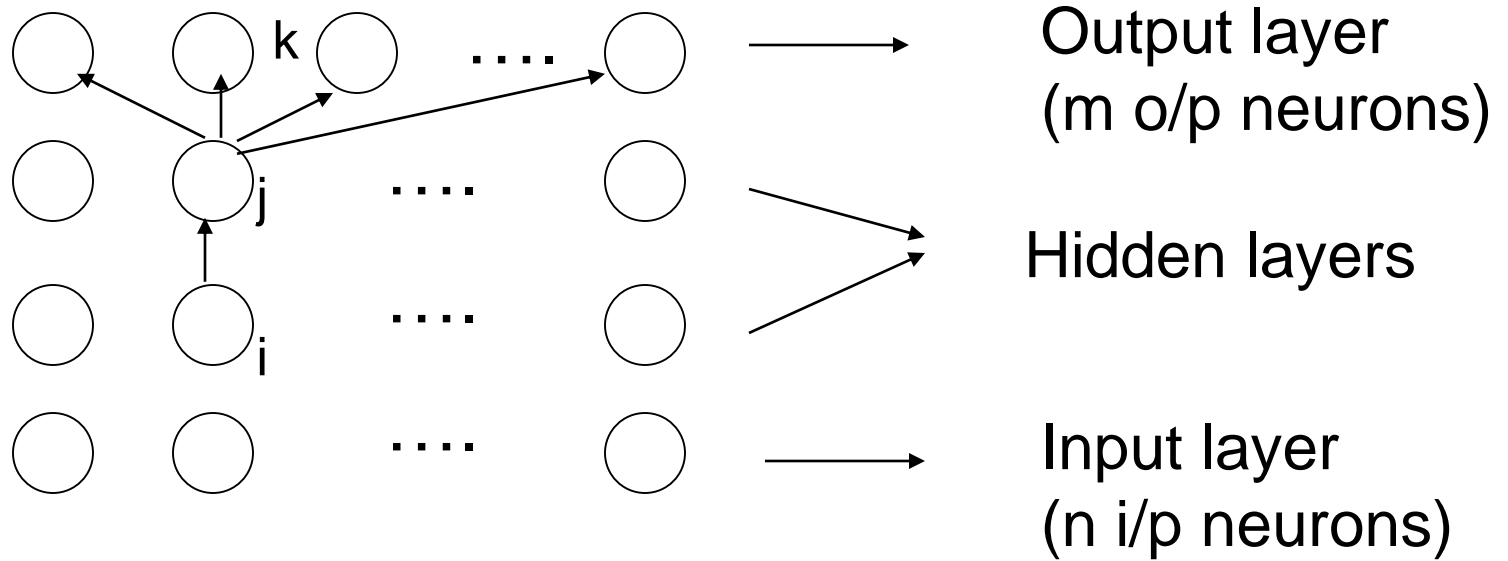
3.  $O_j \rightarrow 0$  and/or

4.  $O_i \rightarrow 0$

} Saturation behaviour

} Credit/Blame assignment

# Backpropagation for hidden layers



$\delta_k$  is propagated backwards to find value of  $\delta_j$

# Backpropagation – for hidden layers

$$\Delta w_{ji} = \eta \delta_j o_i$$

$$\delta_j = -\frac{\delta E}{\delta net_j} = -\frac{\delta E}{\delta o_j} \times \frac{\delta o_j}{\delta net_j}$$

$$= -\frac{\delta E}{\delta o_j} \times o_j(1 - o_j)$$

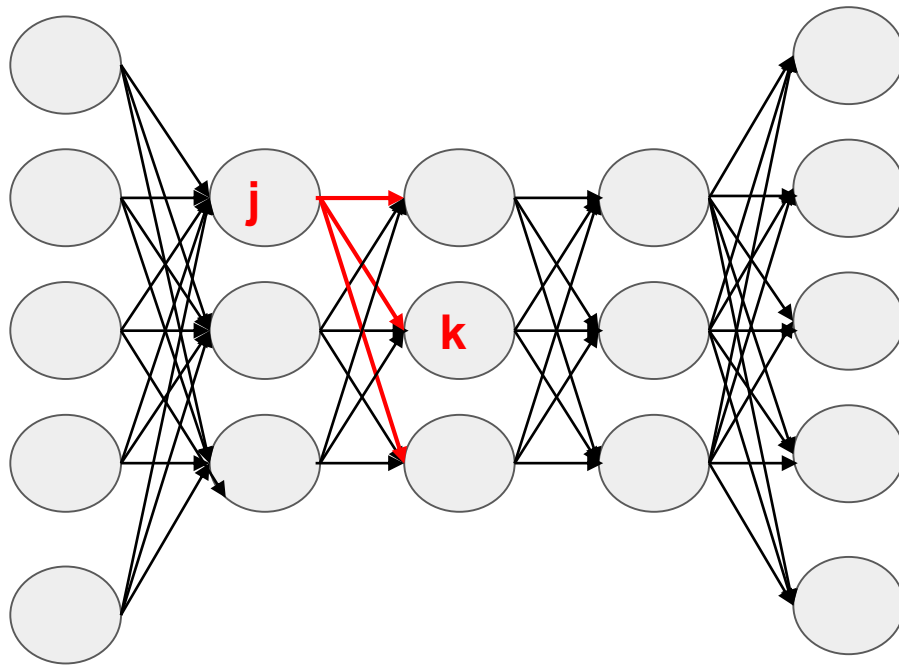
This recursion can give rise to vanishing and exploding Gradient problem

$$= -\sum_{k \in \text{next layer}} \left( \frac{\delta E}{\delta net_k} \times \frac{\delta net_k}{\delta o_j} \right) \times o_j(1 - o_j)$$

$$\text{Hence, } \delta_j = -\sum_{k \in \text{next layer}} (-\delta_k \times w_{kj}) \times o_j(1 - o_j)$$

$$= \sum_{k \in \text{next layer}} (w_{kj} \delta_k) o_j(1 - o_j)$$

# Back-propagation- for hidden layers: Impact on net input on a neuron



- $O_j$  affects the net input coming to all the neurons in next layer



# General Backpropagation Rule

- General weight updating rule:

$$\Delta w_{ji} = \eta \delta_j o_i$$

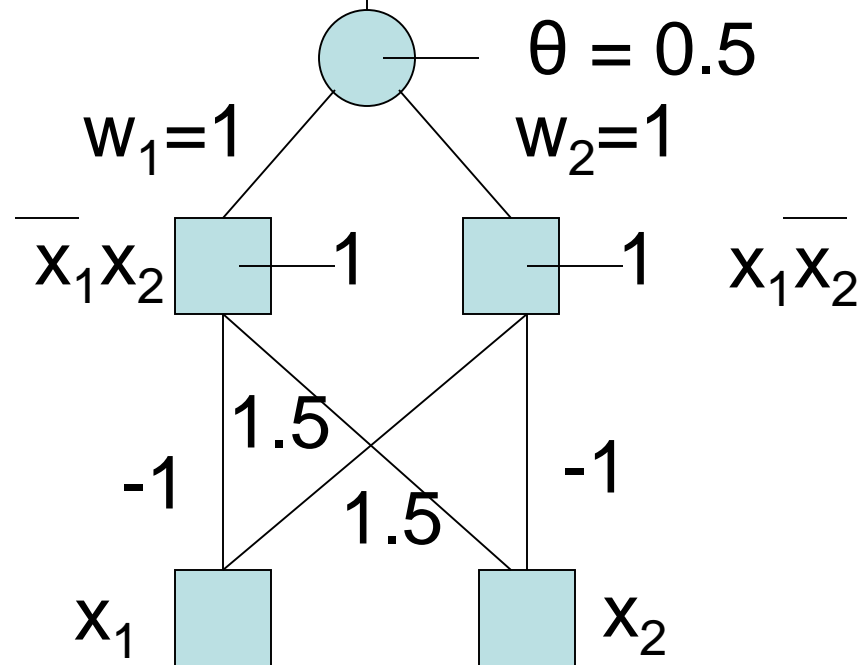
- Where

$$\delta_j = (t_j - o_j) o_j (1 - o_j) \quad \text{for outermost layer}$$

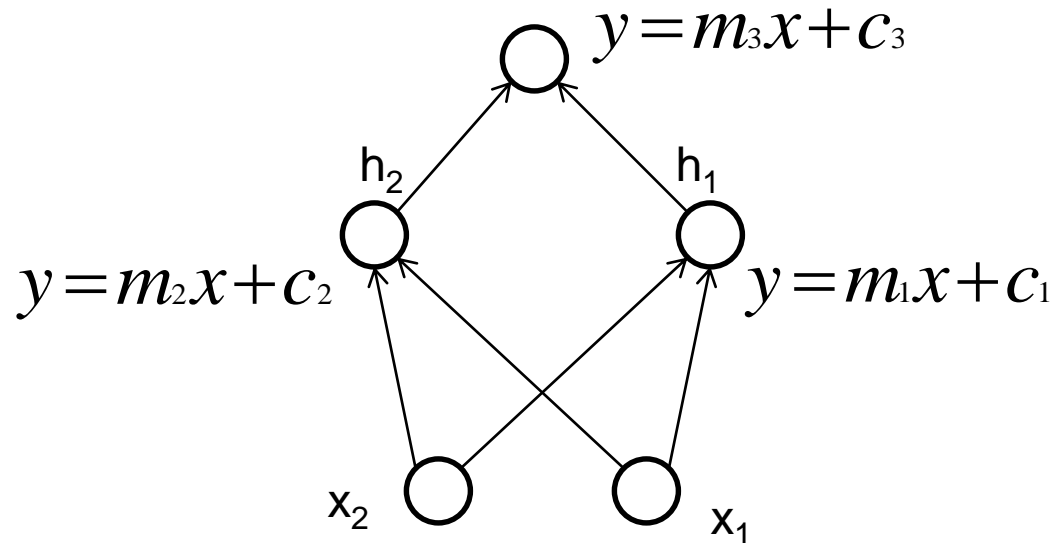
$$= \sum_{k \in \text{next layer}} (w_{kj} \delta_k) o_j (1 - o_j) o_i \quad \text{for hidden layers}$$

# How does it work?

- Input propagation forward and error propagation backward (e.g. XOR)



## Can Linear Neurons Work?



$$h_1 = m_1(w_1x_1 + w_2x_2) + c_1$$

$$h_1 = m_1(w_1x_1 + w_2x_2) + c_1$$

$$\begin{aligned} Out &= (w_5h_1 + w_6h_2) + c_3 \\ &= k_1x_1 + k_2x_2 + k_3 \end{aligned}$$

**Note:** The whole structure shown in earlier slide is reducible to a single neuron with given behavior

$$Out = k_1x_1 + k_2x_2 + k_3$$

**Claim:** A neuron with linear I-O behavior can't compute X-OR.

**Proof:** Considering all possible cases:

[assuming 0.1 and 0.9 as the lower and upper thresholds]

$$m(w_1 \cdot 0 + w_2 \cdot 0 - \theta) + c < 0.1$$

For (0,0), Zero class:  $\Rightarrow c - m \cdot \theta < 0.1$

$$m(w_1 \cdot 1 + w_2 \cdot 0 - \theta) + c > 0.9$$

For (0,1), One class:  $\Rightarrow m \cdot w_1 - m \cdot \theta + c > 0.9$

For (1,0), One class:  $m.w_2 - m.\theta + c > 0.9$

For (1,1), Zero class:  $m.w_1 - m_2.\theta + c < 0.1$

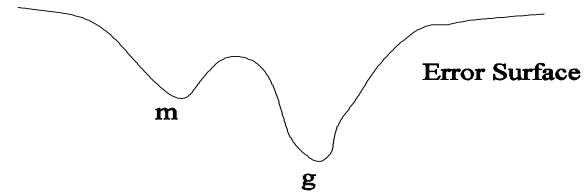
These equations are inconsistent. Hence X-OR can't be computed.

## Observations:

1. A linear neuron can't compute X-OR.
2. A multilayer FFN with linear neurons is collapsible to a single linear neuron, hence **no a additional power due to hidden layer.**
3. Non-linearity is essential for power.

# Local Minima

Due to the Greedy nature of BP, it can get stuck in local minimum  $m$  and will never be able to reach the global minimum  $g$  as the error can only decrease by weight change.



m- local minima, g- global minima

Figure- Getting Stuck in local minimum

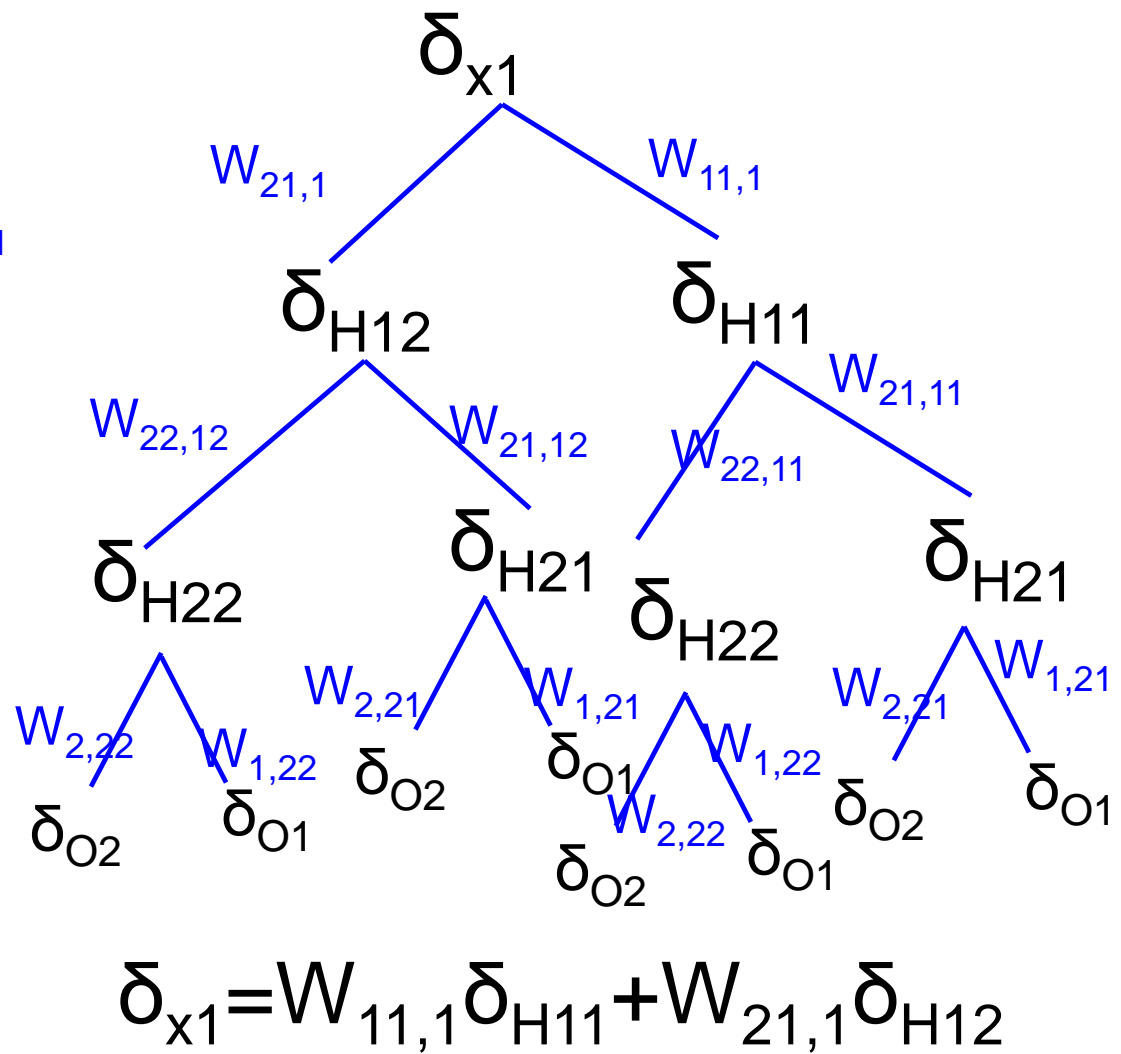
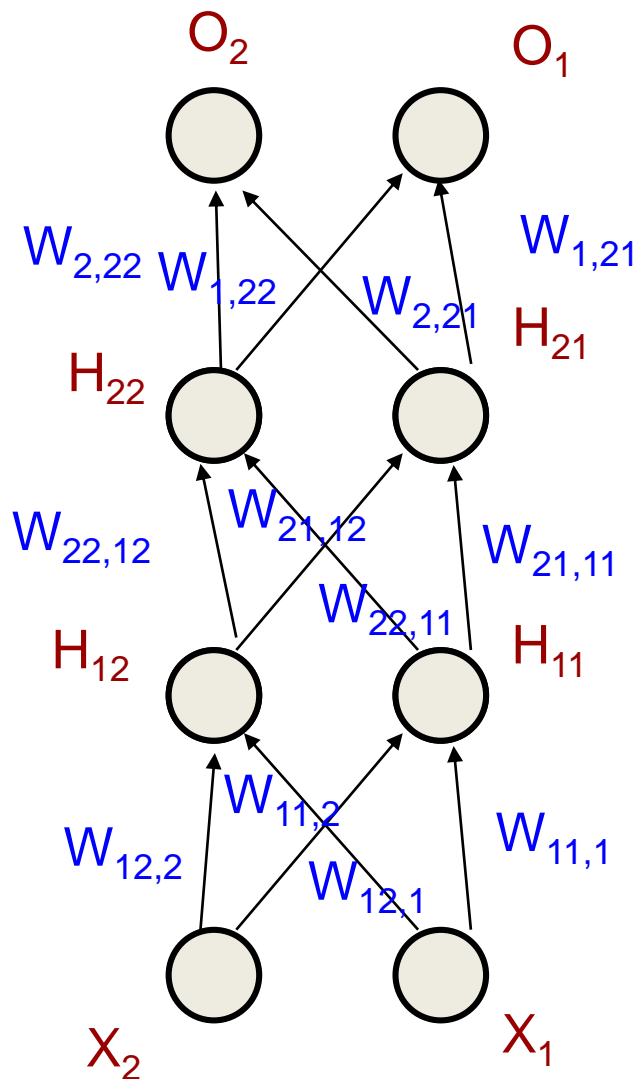
# Momentum factor

## 1. Introduce momentum factor.

$$(\Delta w_{ji})_{nth - iteration} = \eta \delta_j O_i + \beta (\Delta w_{ji})_{(n-1)th - iteration}$$

- Accelerates the movement out of the trough.
- Dampens oscillation inside the trough.
- Choosing  $\beta$  : If  $\beta$  is large, we may jump over the minimum.

# Vanishing/Exploding Gradient





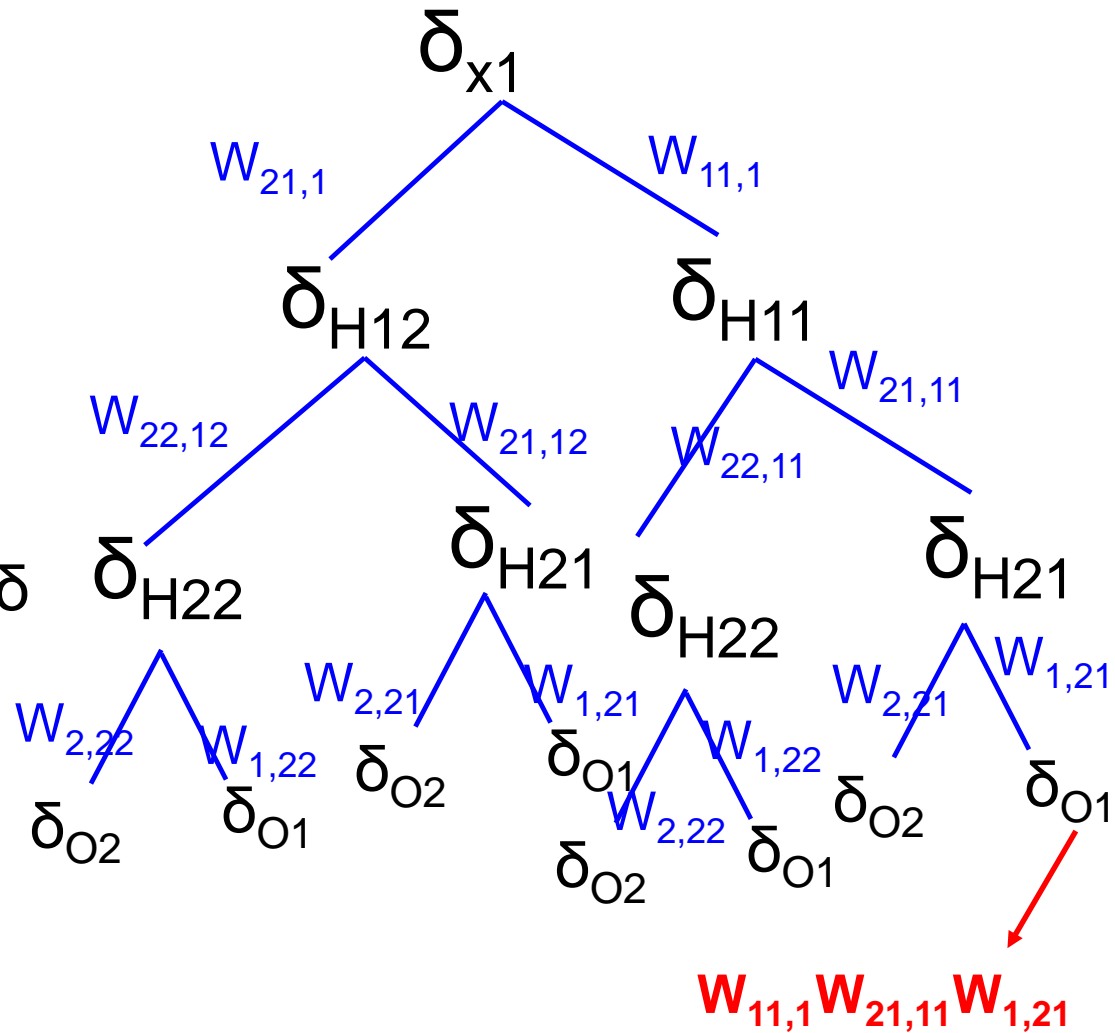
# Vanishing/Exploding Gradient

$$\delta_{x1} = W_{11,1} \delta_{H11} + W_{21,1} \delta_{H12} \quad [2 \text{ terms}]$$

$$= W_{11,1} (W_{21,11} \delta_{H21} + W_{22,11} \delta_{H22}) + W_{21,1} (W_{21,12} \delta_{H21} + W_{22,12} \delta_{H22}) \quad [4 \text{ terms}]$$

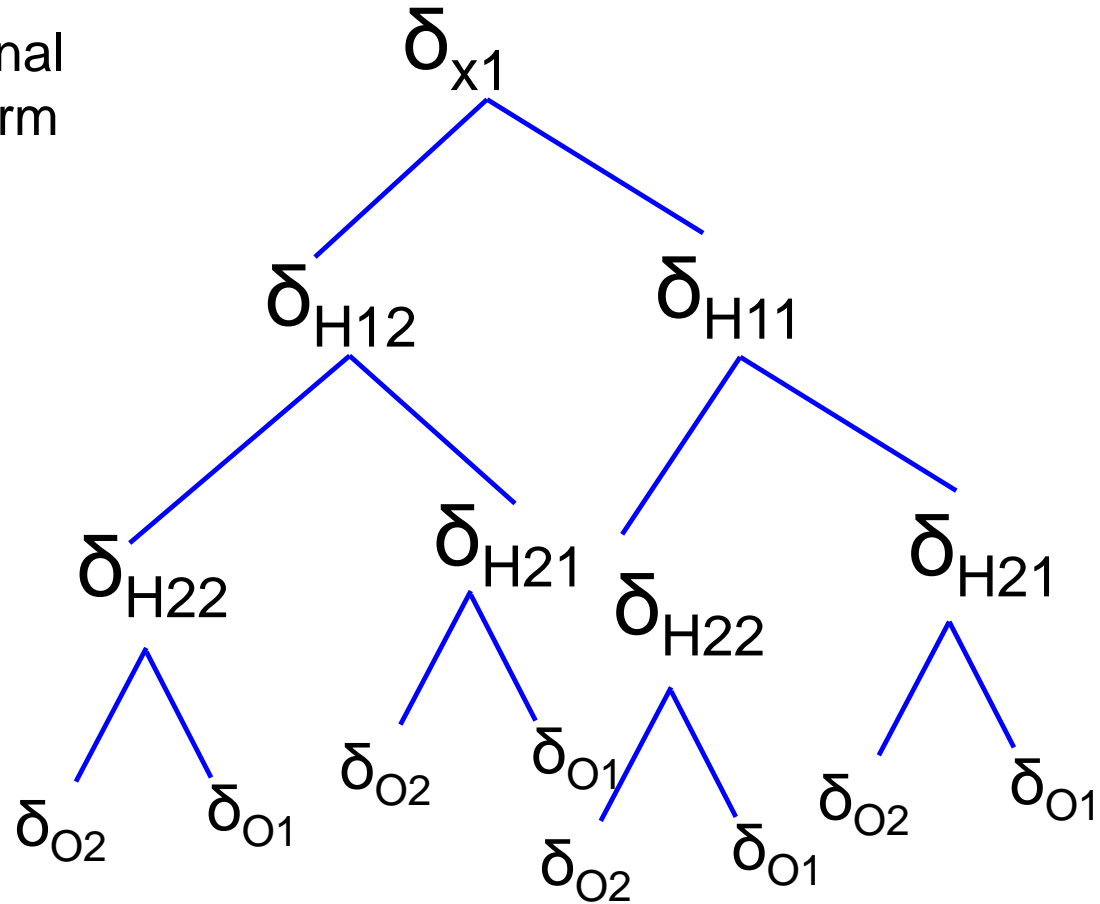
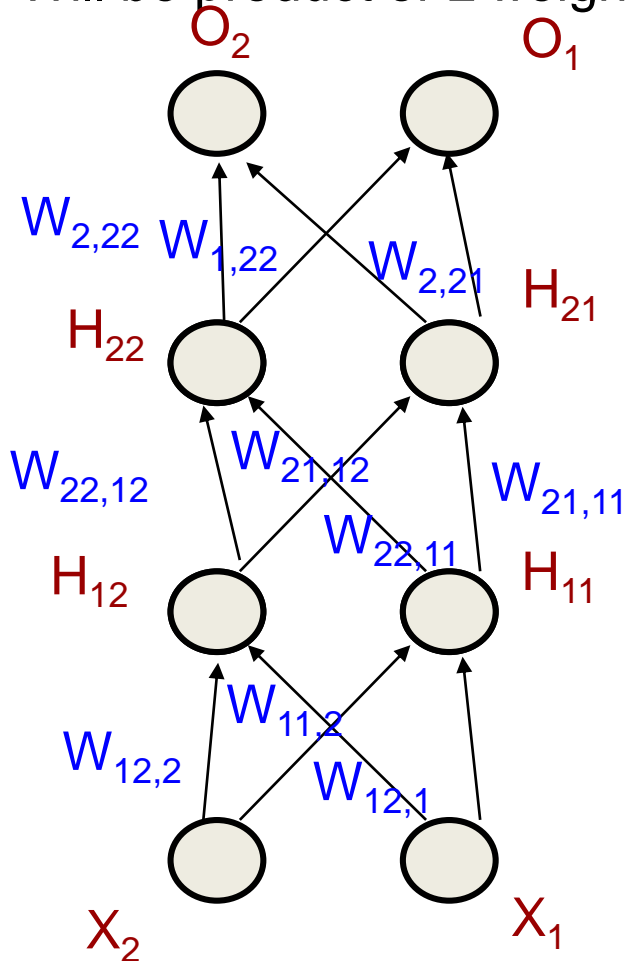
$$= W_{11,1} W_{21,11} \delta_{H21} + W_{11,1} W_{22,11} \delta_{H22} + W_{21,1} W_{21,12} \delta_{H21} + W_{21,1} W_{22,12} \delta_{H22}$$

= (4 terms with  $\delta_{o1}$ ) + (4 terms with  $\delta_{o2}$ ; one term shown for the leftmost leaf's weight)



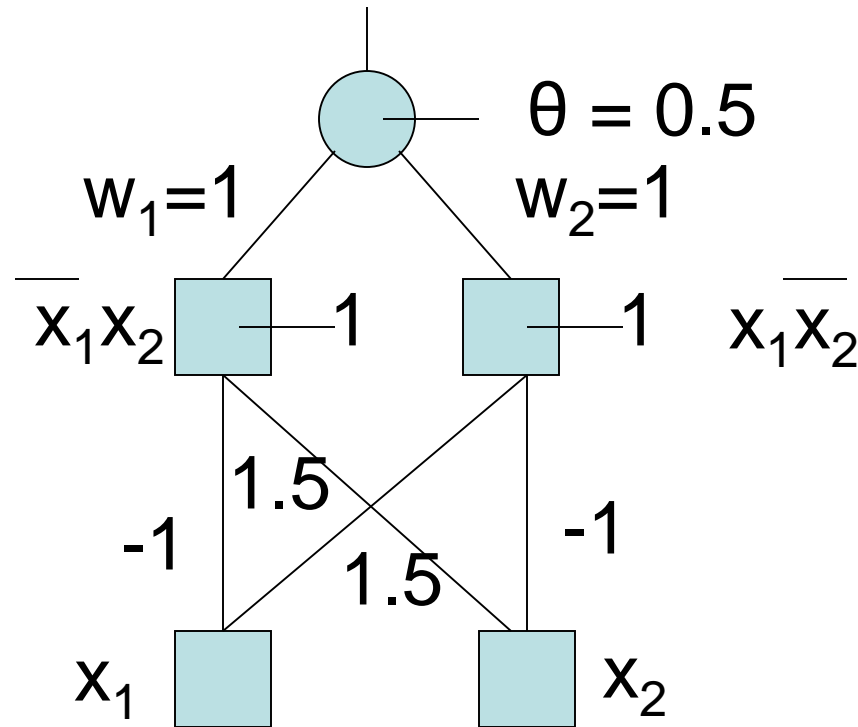
# Vanishing/Exploding Gradient

With 'B' as branching factor and  
 'L' as number of levels,  
 There will be  $B^L$  terms in the final  
 Expansion of  $\delta_{x1}$ . Also each term  
 Will be product of L weights



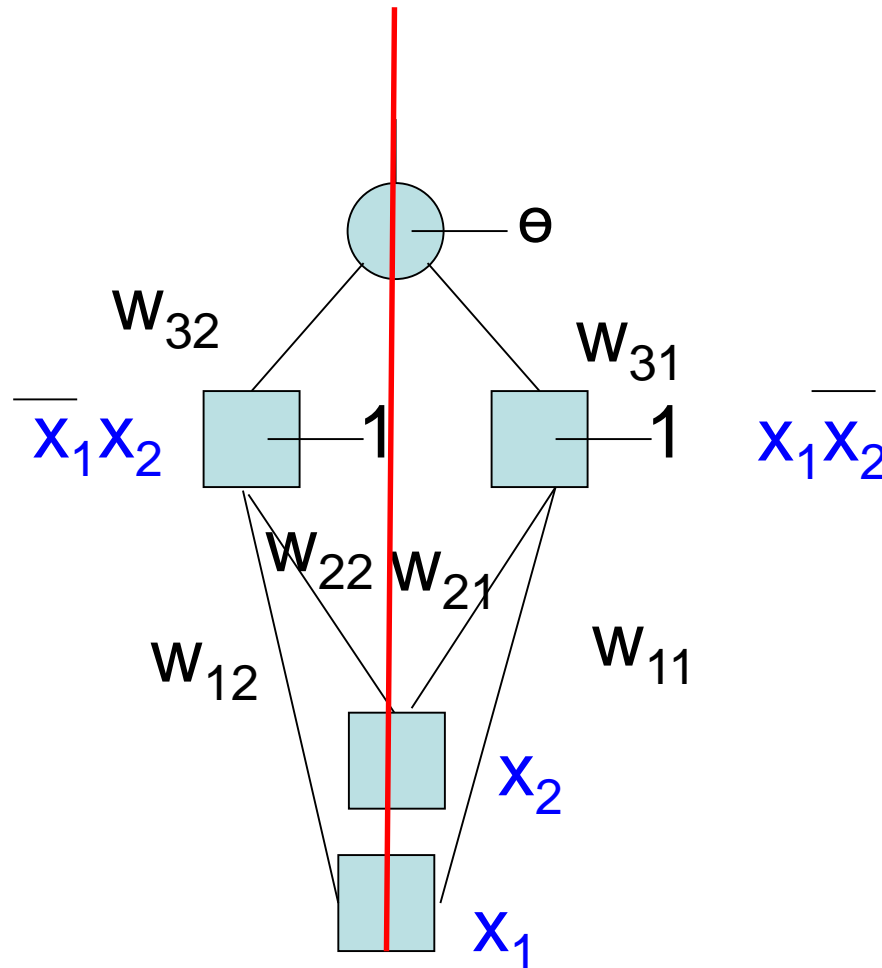
# Symmetry breaking

- If mapping demands different weights, but we start with the same weights everywhere, then BP will never converge.



XOR n/w: if we started with identical weight everywhere, BP will not converge

# Symmetry breaking: understanding with proper diagram



**Symmetry  
About  
The red  
Line should  
Be broken**

# Exercise

- Find the weakest condition for symmetry breaking. It is not the case that only when ALL weights are equal, the network faces the symmetry problem.

# Backpropagation Application in NLP

Dependency Parsing

# Dependency parsing

- Process
  - Determine all parts of speech tags (Identify verbs, nouns etc.)
  - If there are multiple verbs, identify main verb
  - Root arrow: Main verb
  - Recursively identify heads and modifiers in the subparts of clauses and phrases of the sentence
- Transition based dependency parsing
  - Find heads and modifiers from a sentence as a classification problem
    - At every position identify if it is a head or a modifier
    - If modifier, which head it is associated with

# Exercise: Dependency parsing using FFNN

- Implement feed forward neural network for dependency parsing.
  - Each step in dependency parsing is a classification problem.
    - First classification decision is with respect to finding the main verb.
    - Then at every step we decide if a word is a head or a modifier; if modifier, then modifier for which word.



# Recurrent Neural Network

## Acknowledgement:

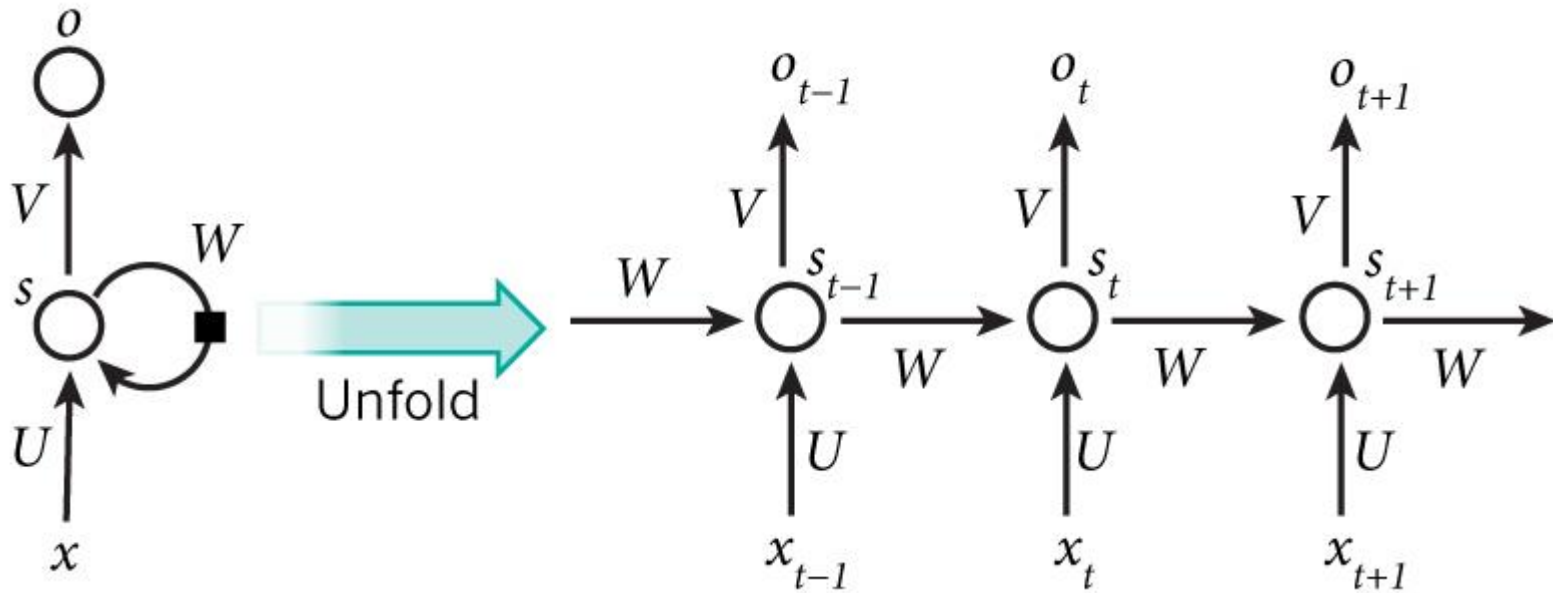
1. <http://www.wildml.com/2015/09/recurrent-neural-networks-tutorial-part-1-introduction-to-rnns/>

By Denny Britz

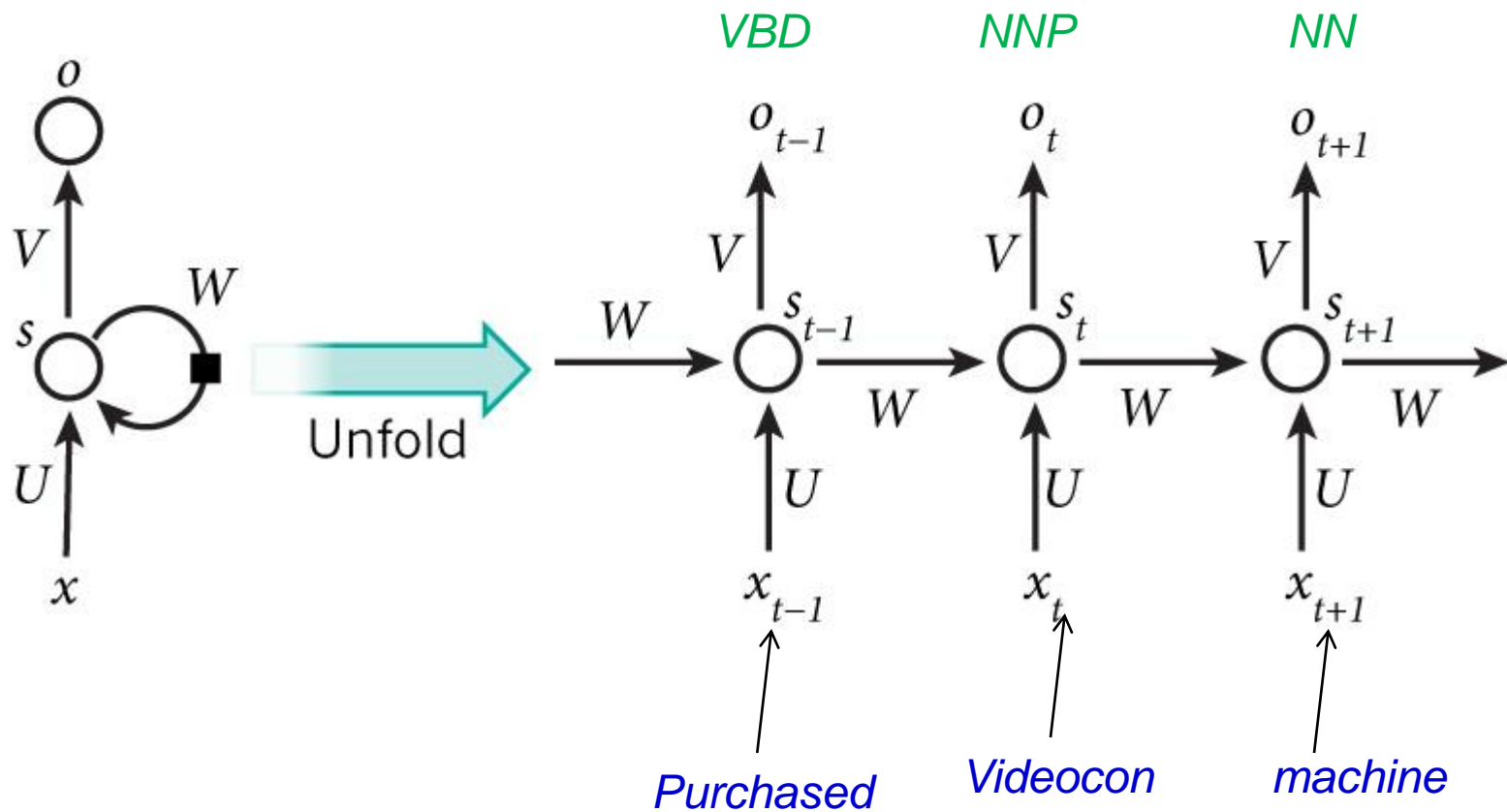
2. Introduction to RNN by Jeffrey Hinton

<http://www.cs.toronto.edu/~hinton/csc2535/lectures.html>

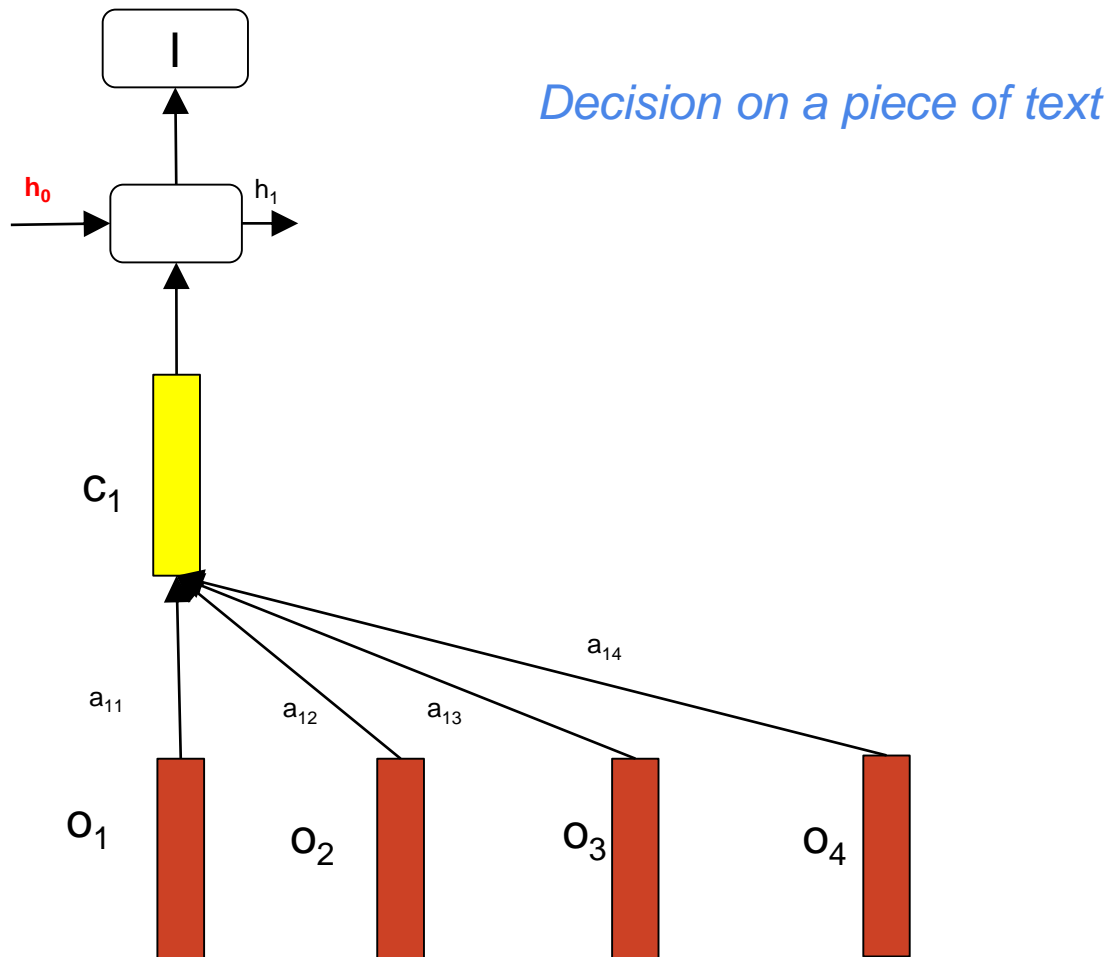
# Sequence processing m/c

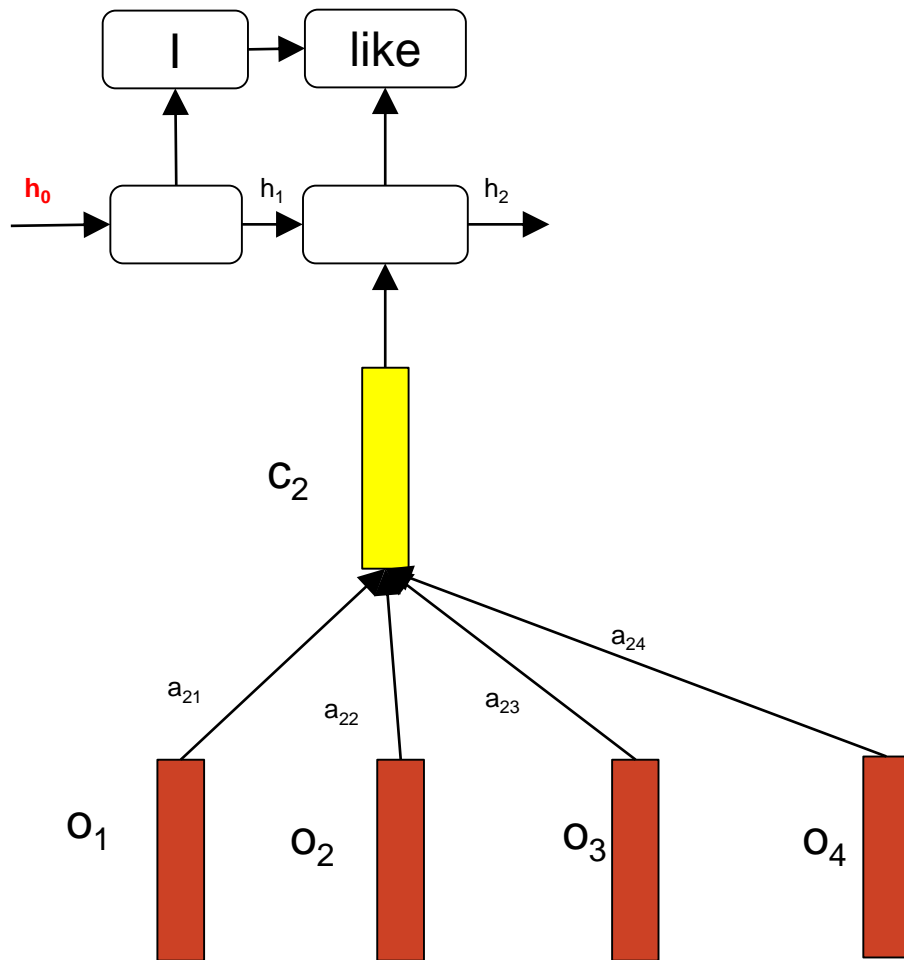


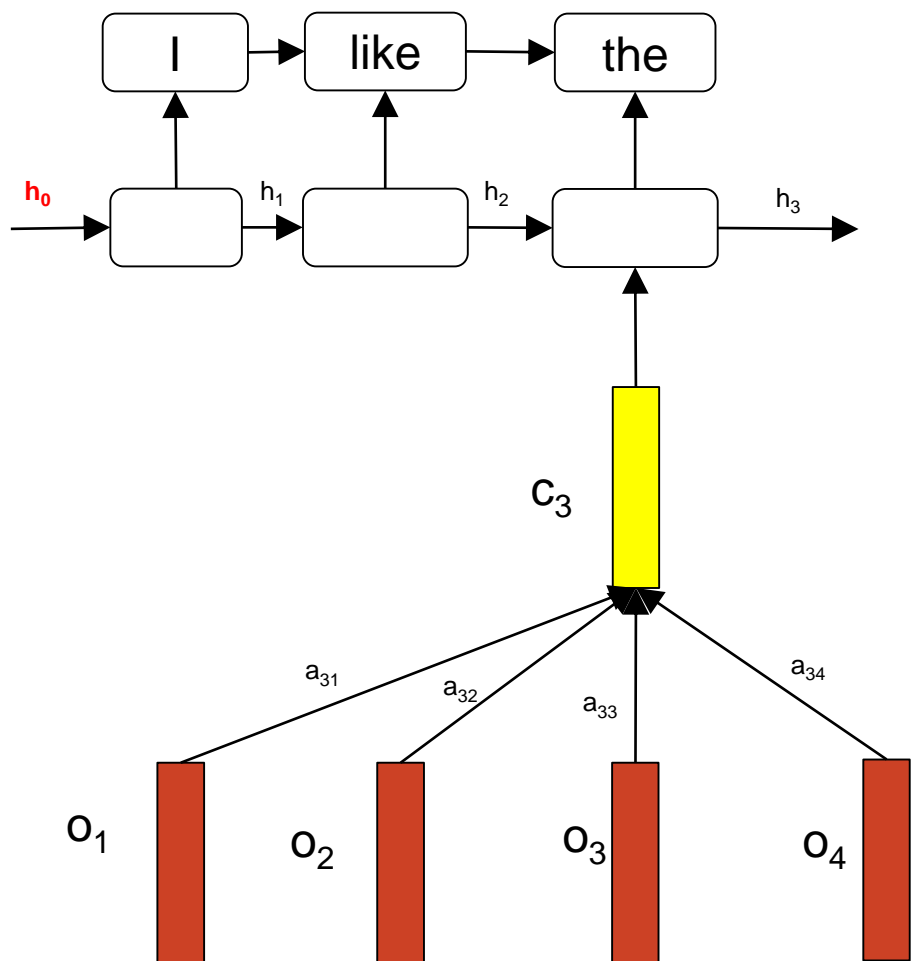
# E.g. POS Tagging

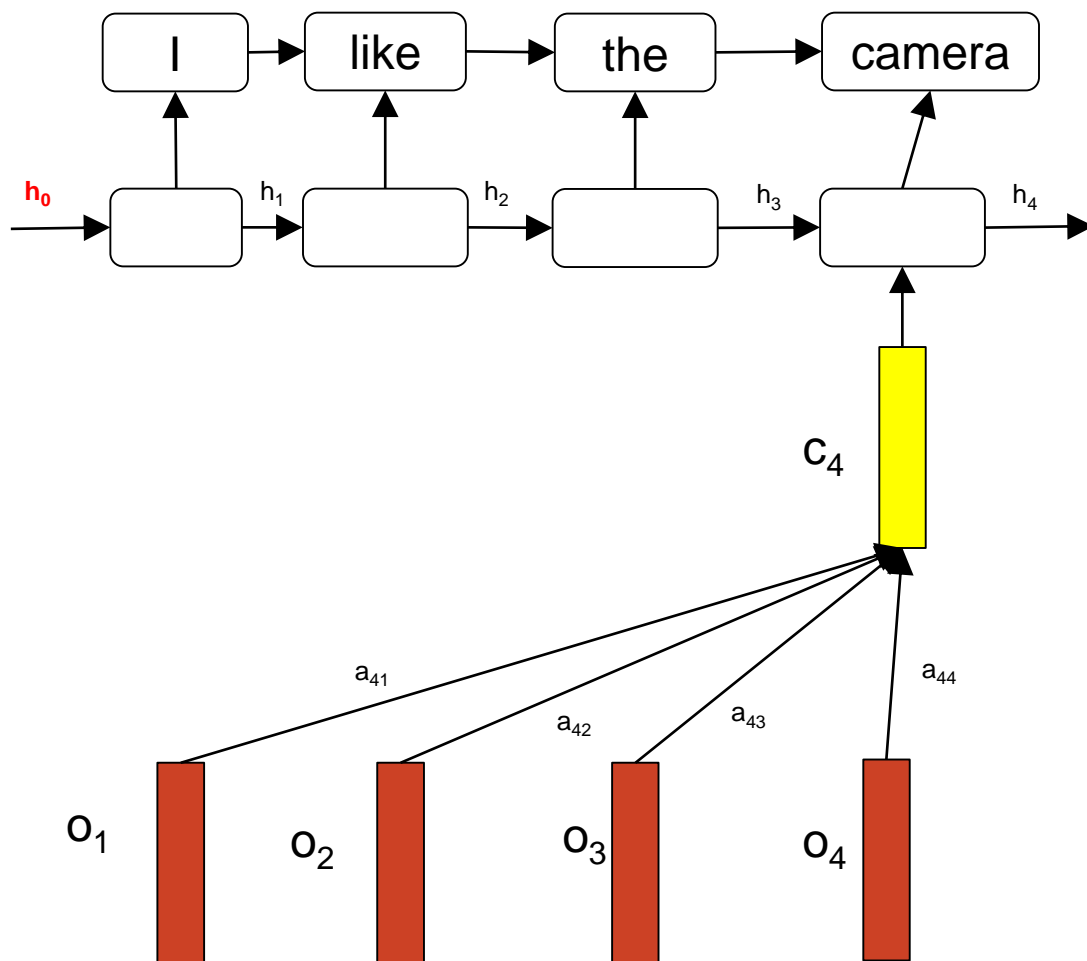


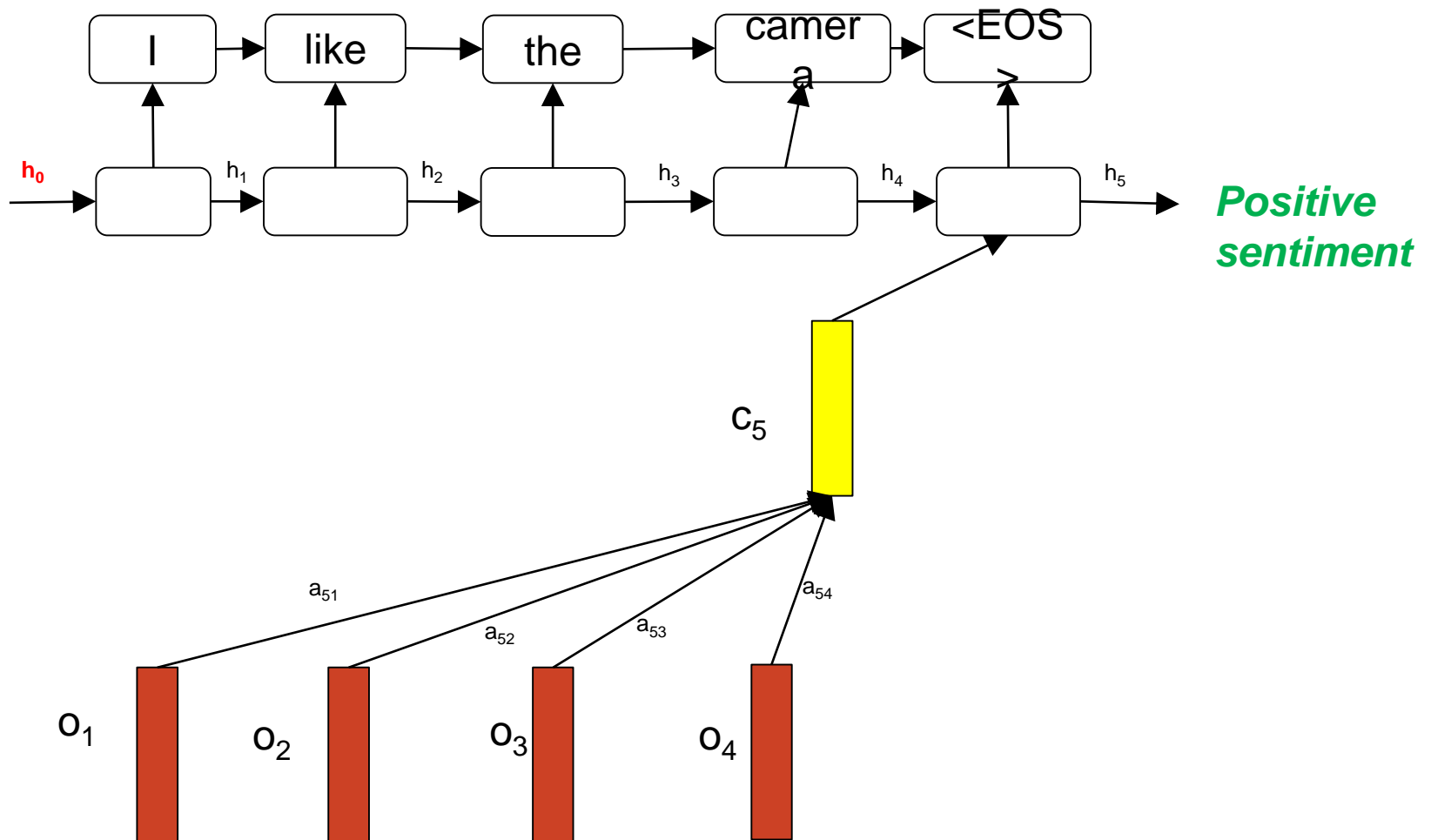
# E.g. Sentiment Analysis





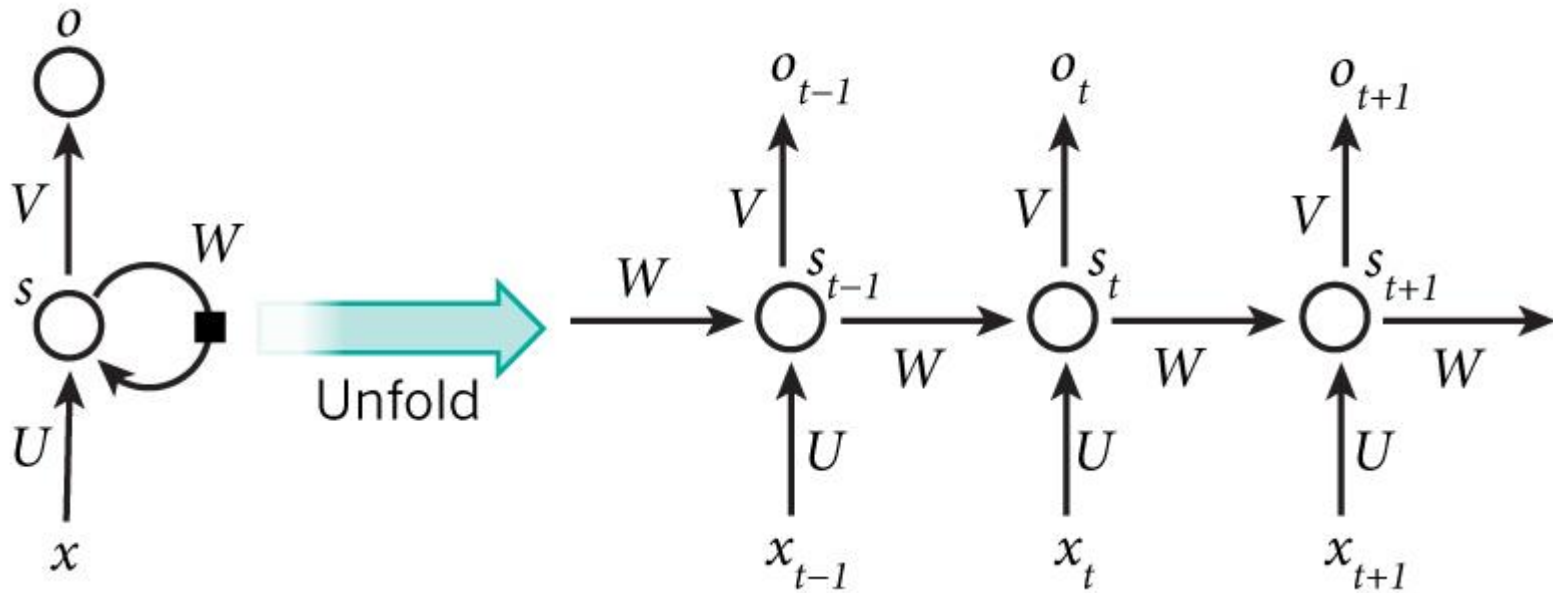








# Back to RNN model



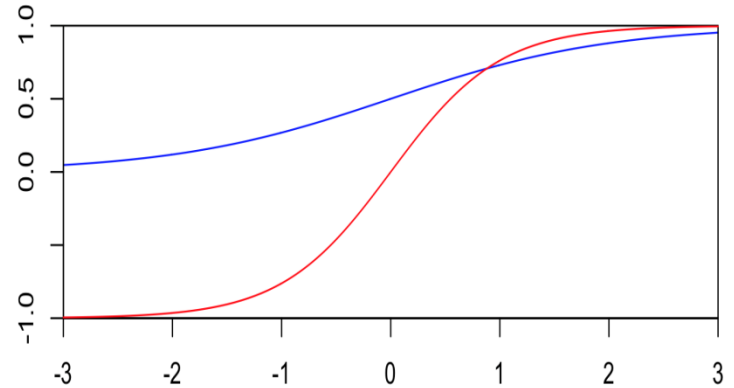
# Notation: input and state

- $x_t$  is the input at time step  $t$ . For example, could be a one-hot vector corresponding to the second word of a sentence.
- $s_t$  is the hidden state at time step  $t$ . It is the “memory” of the network.
- $s_t = f(U \cdot x_t + W s_{t-1})$   **$U$  and  $W$  matrices are learnt**
- $f$  is a function of the input and the previous state
- Usually *tanh* or *ReLU* (approximated by *softplus*)

# Tanh, ReLU (rectifier linear unit) and Softplus

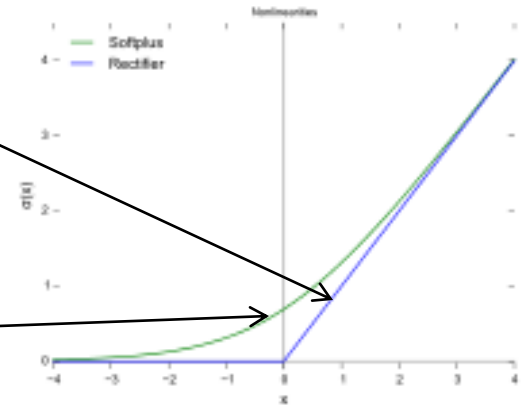
$$\tanh = \frac{e^x - e^{-x}}{e^x + e^{-x}}$$

tanh =



$$f(x) = \max(0, x)$$

$$g(x) = \ln(1 + e^x)$$



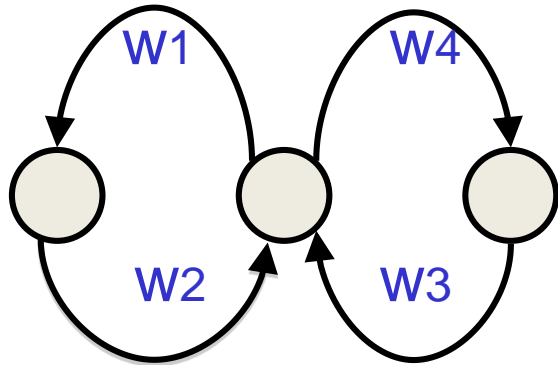
## Notation: output

- $o_t$  is the output at step  $t$
- For example, if we wanted to predict the next word in a sentence it would be a vector of probabilities across our vocabulary
- $o_t = \text{softmax}(V \cdot s_t)$

# Operation of RNN

- RNN shares the same parameters ( $U$ ,  $V$ ,  $W$ ) across all steps
- Only the input changes
- Sometimes the output at each time step is not needed: e.g., in sentiment analysis
- Main point: the **hidden states !!**

## The equivalence between feedforward nets and recurrent nets



Assume that there is a time delay of 1 in using each connection.

The recurrent net is just a layered net that keeps reusing the same weights.

