

# Polynomial Commitments

## Wrap-UP

Lecture 21  
And Linear PCP-Based SNARKs

# Polynomial Commitment

- Prover wants to (succinctly) commit to a polynomial and later let the verifier (interactively) evaluate it on points of its choice
  - Generally, a multi-variate polynomial with a known number of variables and known degree
    - e.g., a multi-linear polynomial in GKR. In some other applications, univariate polynomial of a known degree
- Trivial solution: send the coefficients of the polynomial
  - But not succinct and evaluating the polynomial is expensive
  - Want verifier's computation/communication to be sub-linear in the size of the polynomial
- Non-trivial solutions: Using Merkle hashes and low-degree tests; from hardness of discrete logarithm; from bilinear pairings; using "IOPs"; ...

# Polynomial Commitment

- 3 Approaches:
  - Hash-Based
    - Ligerio, FRI and their variants
  - Discrete Log-Based
    - Bulletproofs
  - Pairings-Based
    - KZG, Dory
- Can be combined with public-coin Outsourced computation protocols, MIP or IOPs (covered later) that use polynomial commitments, to get SNARKs
- Other approaches to SNARKS:
  - From PCPs and Merkle hashes
  - From Linear PCPs and Linear function commitment (Today)

# SNARKs

## from Linear PCPs

- PCP with a very long (super-polynomial) but more structured proof
  - Proof  $\pi$  is the evaluation of a multi-variate linear polynomial (total degree is 1) with 0 as the constant term
    - $\pi[ax+by] = a\pi[x] + b\pi[y]$  for  $x, y \in \mathbb{F}^k$  and  $a, b \in \mathbb{F}$
- Idea: can commit to such a multi-variate linear polynomial efficiently [Later]
- Linear PCPs + non-interactive multi-variate linear polynomial commitment schemes yield practical SNARKs
  - e.g., "Groth16"

# SNARKs

## from Linear PCPs

- A Scheme for R1CS

- $m$  public vectors  $a_i, b_i, c_i \in \mathbb{F}^n$  and a private vector  $z \in \mathbb{F}^n$  s.t. for all  $i \in [m]$ ,  $\langle a_i, z \rangle \langle b_i, z \rangle = \langle c_i, z \rangle$
- Will require  $z_1 = 1$ . May also require some more  $z_j$  to be fixed.
- Generalizes constraints like  $z_j z_{j''} = z_{j'''}$ ,  $z_j + z_{j''} = z_{j'''}$
- Idea: Encode  $\{a_i, b_i, c_i\}_{i \in [m]}$  as polynomials evaluated at  $m$  places, so that a single combined constraint can be checked
- For  $j \in [n]$ , let degree  $m-1$  polynomials  $A_j, B_j, C_j$  be such that for all  $i \in [m]$ ,  $A_j(\sigma_i) = a_{ij}$ ,  $B_j(\sigma_i) = b_{ij}$ ,  $C_j(\sigma_i) = c_{ij}$
- Let  $P_z(X) = \left[ \sum_{j \in [n]} z_j A_j(X) \right] \cdot \left[ \sum_{j \in [n]} z_j B_j(X) \right] - \left[ \sum_{j \in [n]} z_j C_j(X) \right]$
- $P_z(\sigma_i) = \langle a_i, z \rangle \langle b_i, z \rangle - \langle c_i, z \rangle$
- $P_z$  is a degree  $2(m-1)$  polynomial that evaluates to 0 in  $\{\sigma_i\}_{i \in [m]}$  iff all the constraints (other than fixed values) satisfied

# SNARKs

## from Linear PCPs

- To prove  $P_z \exists z$  such that  $P_z$  evaluates to 0 in  $H = \{ \sigma_i \}_{i \in [m]}$ 
  - (Ignoring for now that some coordinates of  $z$  have to be fixed)
  - Fact:  $P(X)$  vanishes on  $H$  iff  $Z_H(X) = \prod_{\sigma \in H} (X - \sigma)$  divides  $P(X)$
  - To prove  $P_z(X) = Z_H(X) \cdot Q(X)$ , where  $Q(X)$  is some polynomial of degree  $2(m-1) - m = m-2$
  - Enough to check  $P_z(\beta) = Z_H(\beta) \cdot Q(\beta)$  for random  $\beta \leftarrow \mathbb{F}$  for large  $\mathbb{F}$
- Linear PCP: Proof includes linear functions  $L_z$  and  $L_Q$  s.t.  $L_z(x) = \langle x, z \rangle$  and  $L_Q(1, x, \dots, x^{m-2}) = Q(x)$ . Verifier checks  $Z_H(\beta) \cdot L_Q(1, \beta, \dots, \beta^{m-2}) = L_z(a)L_z(b) - L_z(c)$  where  $a_j = A_j(\beta)$ ,  $b_j = B_j(\beta)$ ,  $c_j = C_j(\beta)$
- SNARK: Need to commit to  $L_z$  and  $L_Q$  succinctly

# Linear Function Commitment

- Goal: Prover commits to a vector  $D \in \mathbb{F}^n$ , and on being queried with a vector  $x \in \mathbb{F}^n$ , opens to  $\langle D, x \rangle$ .

- Simple interactive solution

- Commitment: Verifier picks  $\beta \leftarrow \mathbb{F}^n$ , uses an additively homomorphic encryption scheme to encrypt each  $\beta_i$ , and sends them. Prover homomorphically computes encryption of  $\langle D, \beta \rangle$  and sends it back. Verifier decrypts to get  $s = \langle D, \beta \rangle$
- Evaluation: Verifier picks  $\alpha \leftarrow \mathbb{F}$  and send  $x$ ,  $y = \alpha x + \beta$ . Prover sends  $a = \langle D, x \rangle$  and  $b = \langle D, y \rangle$ . Verifier checks  $b = \alpha a + s$ .

Enough to get  $s$  as  $g^s$

- Batch evaluation: For  $x_1, x_2, \dots$ , let  $y = (\alpha_1 x_1 + \alpha_2 x_2 + \dots) + \beta$
- Soundness: For any  $x$ , on challenges  $y, y'$  for  $\alpha, \alpha'$  (resp.), if two answers  $a \neq a'$  then  $b - b' = \alpha a - \alpha' a'$  and  $y - y' = (\alpha - \alpha')x$  yield  $\alpha, \alpha'$ . But if  $\beta$  is hidden (as it should be), only  $\alpha - \alpha'$  is revealed.
- Not public coin: Verifier keeps secrets:  $\beta, \alpha$  and decryption key

# SNARKs

## from Linear PCPs

- Linear PCP: Proof includes linear functions  $L_z$  and  $L_Q$  s.t.  $L_z(x) = \langle x, z \rangle$  and  $L_Q(1, x, \dots, x^{m-2}) = Q(x)$ . Verifier checks  $Z_H(\beta) \cdot L_Q(1, \beta, \dots, \beta^{m-2}) = L_z(a)L_z(b) - L_z(c)$  where  $a_j = A_j(\beta)$ ,  $b_j = B_j(\beta)$ ,  $c_j = C_j(\beta)$
- Interactive commitment involves verifier sends a homomorphic encryption of  $r$  and later random  $\alpha$
- SNARK: Need to commit to  $L_z$  and  $L_Q$  non-interactively
  - Cannot use non-public coin protocol with Fiat-Shamir
- Idea (a la KZG): Compute  $Z_H(\beta)$ ,  $L_Q(1, \beta, \dots, \beta^{m-2})$  and  $L_z(x)$  for  $x=a, b, c$  in the exponent, using trusted setup  $(g^{Z_H(\beta)}, g^{rZ_H(\beta)})$ ,  $(g, g^r, g^\beta, g^{r\beta}, g^{\beta^2}, g^{r\beta^2}, \dots)$ ,  $(g^{x_1}, g^{rx_1}, g^{x_2}, g^{rx_2}, \dots)$  for  $x=a, b, c$ . Verifier will use pairings (with  $G_1 = G_2$ )
  - Need to also ensure same  $z$  used for  $L_z(x)$ ,  $x=a, b, c$ . Ask for  $L_z(x^*)$  too, where  $x^* = \delta_1 a + \delta_2 b + \delta_3 c$ ,  $\delta_i \leftarrow \mathbb{F}$  and cross-check

# SNARKs

## from Linear PCPs

- Linear PCP: Proof includes linear functions  $L_z$  and  $L_Q$  s.t.  $L_z(x) = \langle x, z \rangle$  and  $L_Q(1, x, \dots, x^{m-2}) = Q(x)$ . Verifier checks  $Z_H(\beta) \cdot L_Q(1, \beta, \dots, \beta^{m-2}) = L_z(a)L_z(b) - L_z(c)$  where  $a_j = A_j(\beta)$ ,  $b_j = B_j(\beta)$ ,  $c_j = C_j(\beta)$

- SNARK:

- Setup:  $g^{Z_H(\beta)}$ ,  $(g, g^\gamma, g^\beta, g^{\gamma\beta}, g^{\beta^2}, g^{\gamma\beta^2}, \dots)$ ,  $\{(g^{x_1}, g^{\gamma x_1}, g^{x_2}, g^{\gamma x_2}, \dots)\}_{x=a,b,c,x^*}$ , where  $x^* = \delta_1 a + \delta_2 b + \delta_3 c$ , with  $\beta, \gamma, \delta_i \leftarrow \mathbb{F}$
- Prover sends  $(g_Q, h_Q) = (g^{Q(\beta)}, g^{\gamma Q(\beta)})$ ,  $(g_x, h_x) = (g^{\langle z, x \rangle}, g^{\gamma \langle z, x \rangle})$  for  $x=a,b,c,x^*$
- Verifier checks:
  - $e(g^{Z_H(\beta)}, g_Q) \cdot e(g, g_c) = e(g_a, g_b)$
  - $e(g, g_{x^*}) = e(g^{\delta_1}, g_a) e(g^{\delta_2}, g_b) e(g^{\delta_3}, g_c)$
  - $e(g^\gamma, g_T) = e(g, h_T)$  for  $T=Q,a,b,c,x^*$

# SNARKs

## from Linear PCPs

- Setup:  $g^{Z_H(\beta)}, (g, g^\gamma, g^\beta, g^{\gamma\beta}, g^{\beta^2}, g^{\gamma\beta^2}, \dots), \{(g^{x_1}, g^{\gamma x_1}, g^{x_2}, g^{\gamma x_2}, \dots)\}_{x=a,b,c,x^*}$ , where  $x^* = \delta_1 a + \delta_2 b + \delta_3 c$ , with  $\beta, \gamma, \delta_i \leftarrow \mathbb{F}$
- Prover sends  $(g_Q, h_Q) = (g^{Q(\beta)}, g^{\gamma Q(\beta)})$ ,  $(g_x, h_x) = (g^{\langle z, x \rangle}, g^{\gamma \langle z, x \rangle})$  for  $x=a,b,c,x^*$
- Verifier checks:
  - $e(g^{Z_H(\beta)}, g_Q) \cdot e(g, g_c) = e(g_a, g_b)$
  - $e(g, g_{x^*}) = e(g^{\delta_1}, g_a) e(g^{\delta_2}, g_b) e(g^{\delta_3}, g_c)$
  - $e(g^\gamma, g_T) = e(g, h_T)$  for  $T=Q,a,b,c,x^*$
- Knowledge soundness based on KEA and “Strong” Discrete Log assumption in the source group
  - “Strong DL assumption” : Given  $g, g^\beta, g^{\beta^2}, \dots$  can't find  $\beta$
- Groth16 is a more efficient version, but soundness relies on the Generic Group model (or the Algebraic Group model) heuristics

# SNARKs

## from Linear PCPs

- Saw PoK of  $z$  such that  $P_z$  evaluates to 0 in  $H = \{ \sigma_i \}_{i \in [m]}$
- Also need to check  $z$  equals known values at various coordinates
  - In particular need at least one such coordinate ( $z_1=1$ ) to model constraints from general circuit satisfiability
- Let  $z = z' \parallel z''$ , where  $z'$  is known. In the Linear PCP, to commit to  $L_z$ , prover should commit only to  $L_{z''}$  and the verifier computes  $L_z(x) = \langle z', x' \rangle + L_{z''}(x'')$  where  $x = x' \parallel x''$  (for  $x=a,b,c$ )
  - In the SNARK, instead of sending  $(g_x, h_x) = (g^{\langle z, x \rangle}, g^{\gamma \langle z, x \rangle})$ , prover sends  $(g'_x, h'_x) = (g^{\langle z'', x'' \rangle}, g^{\gamma \langle z'', x'' \rangle})$  (for  $x=a,b,c,x^*$ ). Verifier computes  $g_x = g'_x \cdot g^{\langle z', x' \rangle}$  using  $g^{x'}$  which is included in the setup.