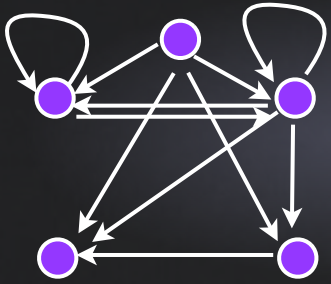


# Sets & Relations

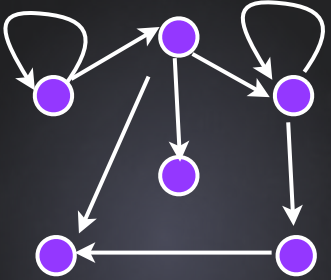
Posets



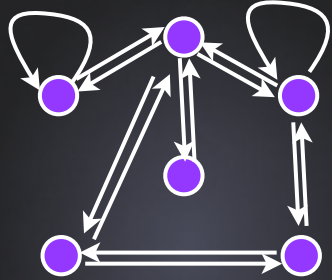
# Landscape of Transitive Relations



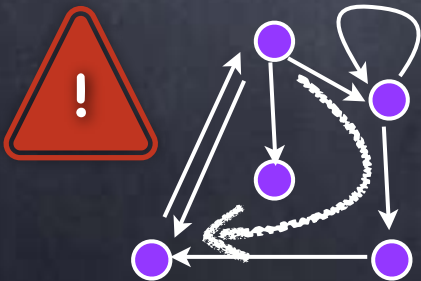
**Transitive:**  
Path from a to b implies edge (a,b)



**Anti-symmetric:**  
No bidirectional edges



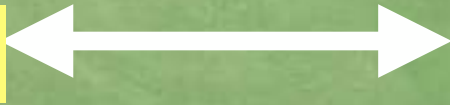
**Symmetric:**  
Only self-loops & bidirectional edges



**Acyclic**  
Cannot follow a sequence of non-self-loop edges and get back to where you started from

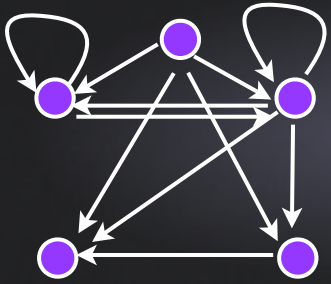
$\subseteq$   $\leq$  has same last name as  
 $<$  ancestor of  $\equiv$

Anti-Symmetric

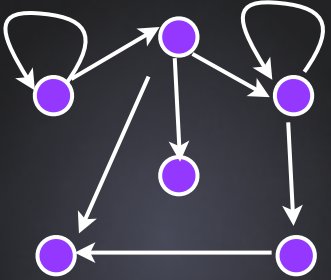


Symmetric

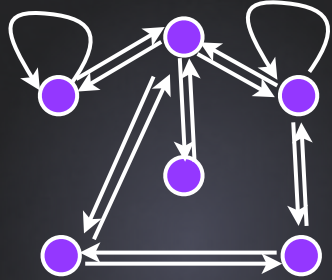
# Landscape of Transitive Relations



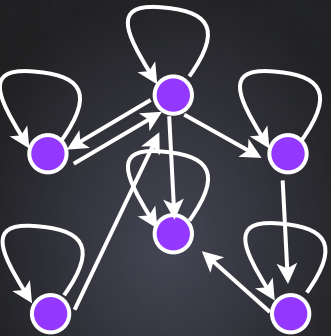
**Transitive:**  
Path from a to b implies edge (a,b)



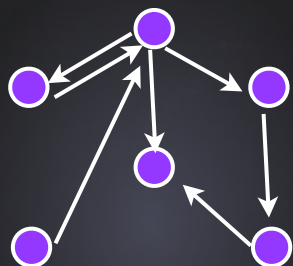
**Anti-symmetric:**  
No bidirectional edges



**Symmetric:**  
Only self-loops & bidirectional edges



**Reflexive:**  
All self-loops



**Irreflexive:**  
No self-loops

Strict  
Partial Orders

Irreflexive

ancestor of  
<

$\subseteq \leq$

Reflexive

Partial  
Orders

has same  
last name as

Equivalences  $\equiv$

Anti-Symmetric

Symmetric

# Partial Order

Strict partial order:  
irreflexive, rather than  
reflexive

- A transitive, anti-symmetric and reflexive relation
  - e.g.  $\leq$  for integers, divides for integers,  $\subseteq$  for sets, "containment" for line-segments
- Equivalently, transitive and acyclic (and ir/reflexive) (a pair of bidirectional edges is a "cycle")
  - "Order" refers to these properties
- "Partial": not every two elements need be "comparable"
  - i.e.,  $\{a,b\}$  s.t. neither  $a \subseteq b$  nor  $b \subseteq a$ 
    - e.g., neither  $A \subseteq B$  nor  $B \subseteq A$

# Posets

- Partially ordered set (a.k.a Poset)

- A non-empty set and a partial order over it

- Denoted like  $(S, \leq)$

- e.g.  $S = \{S_1, S_2, S_3, S_4, S_5\}$  where

$S_1 = \{0, 1, 2, 3\}$ ,  $S_2 = \{1, 2, 3, 4\}$ ,  $S_3 = \{1, 2, 3\}$ ,

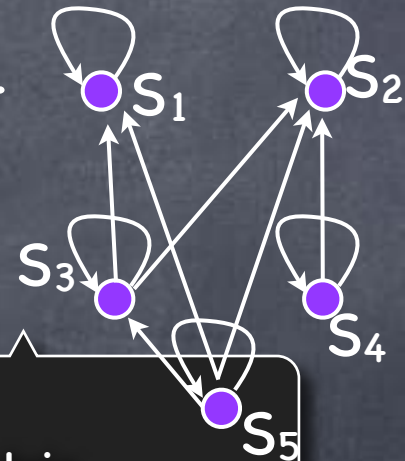
$S_4 = \{3, 4\}$ , and  $S_5 = \{2\}$ . Poset  $(S, \subseteq)$

- More generally,  $(S, \subseteq)$  where  $S$  is any set of sets

- Verify:  $P \subseteq P$ ;  $P \subseteq Q \wedge Q \subseteq R \rightarrow P \subseteq R$ ;  $P \subseteq Q \wedge Q \subseteq P \rightarrow P = Q$

- e.g. Divisibility poset:  $(\mathbb{Z}^+, |)$

- Verify:  $a|a$  ;  $a|b \wedge b|c \rightarrow a|c$  ;  $a|b \wedge b|a \rightarrow a=b$



Check:

- Anti-symmetric (no bidirectional edges),
- Transitive,
- Reflexive (all self-loops)

# Extremal & Extremum

- **Maximal & minimal elements** of a poset  $(S, \leq)$

- $x \in S$  is **maximal** if  $\nexists y \in S - \{x\}$  s.t.  $x \leq y$

- $x \in S$  is **minimal** if  $\nexists y \in S - \{x\}$  s.t.  $y \leq x$

- Need not exist (e.g., in  $(\mathbb{Z}, \leq)$ ).

- Need not be unique when it exists

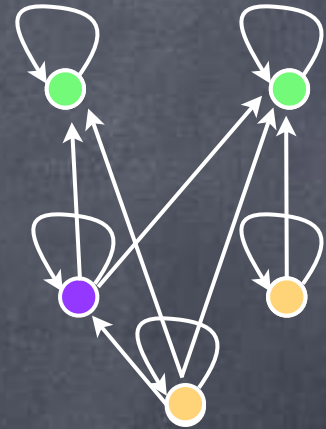
(e.g., divisibility poset restricted to integers  $> 1$ )

- **Claim:** Every finite poset has at least one maximal and one minimal element

- Proof by induction on  $|S|$  [**Exercise**]

- $x \in S$  is the **greatest element** if  $\forall y \in S, y \leq x$

- $x \in S$  is the **least element** if  $\forall y \in S, x \leq y$



Useful in induction proofs about finite posets

Need not exist. Unique when one exists.

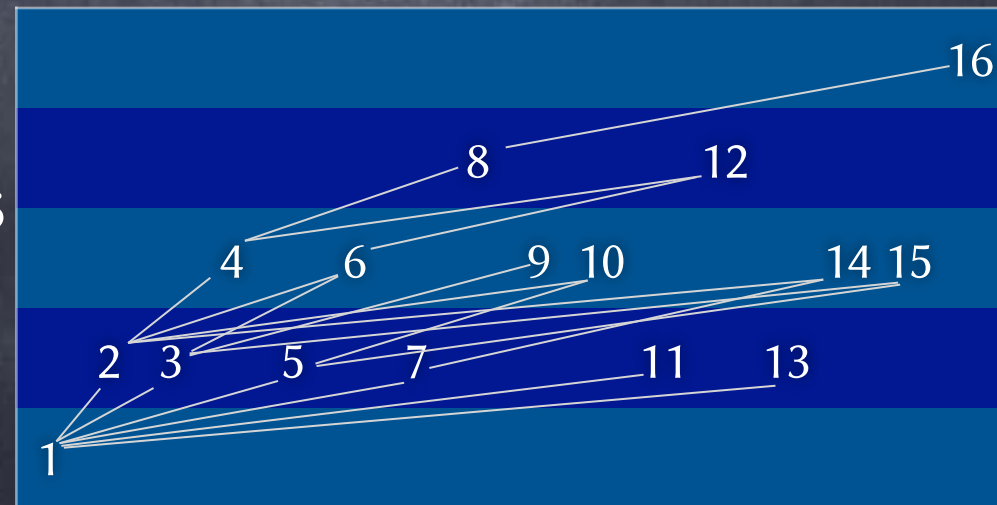
# Other Relations from a Poset

- Consider partial order  $\leq$
- $<$  is the **reflexive reduction of  $\leq$**  iff  $\leq$  is the reflexive closure of  $<$ , and  $<$  itself is irreflexive
  - $a < b$  iff  $a \neq b$  and  $a \leq b$
- $\sqsubset$  is the **transitive reduction of  $\leq$**  iff  $\leq$  is the transitive closure of  $\sqsubset$ , and  $\forall a, b ( a \sqsubset b \rightarrow \nexists m \notin \{a, b\}, a \leq m \leq b )$ 
  - Well-defined for finite posets: Define  $a \sqsubset b$  iff  $a \leq b$  and  $\nexists m \notin \{a, b\}, a \leq m \leq b$ . [**Prove by induction**]
  - Need not exist for infinite sets (e.g., for  $(\mathbb{R}, \leq)$ ,  $\sqsubset$  defined as above is the equality relation)

# Running Example

## Divisibility poset: $(\mathbb{Z}^+, |)$

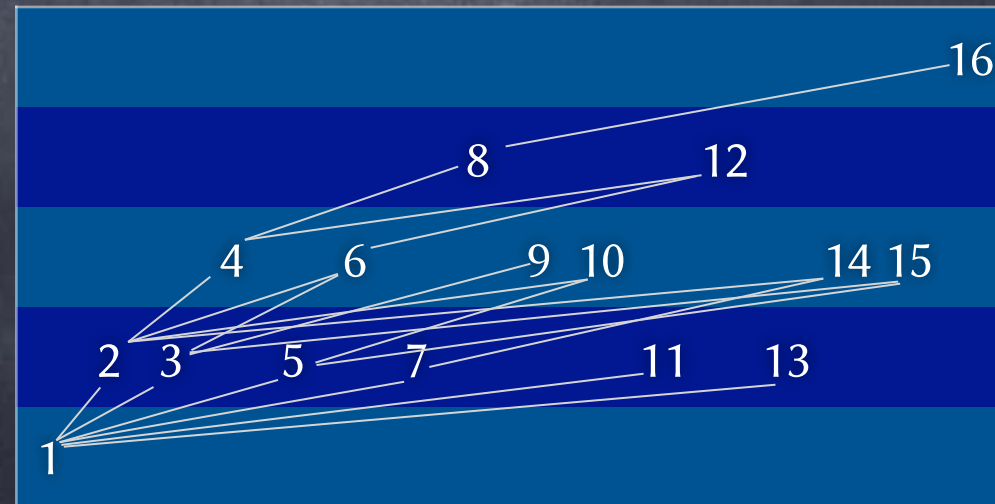
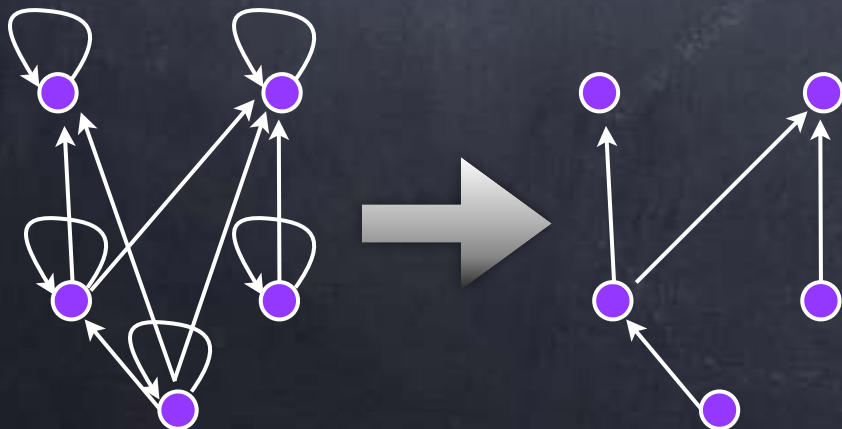
- Consider strict poset  $(\mathbb{Z}^+, \sqsubset)$ , where  $a \sqsubset b$  iff  $b/a$  is prime
- Claim:**  $|$  is the transitive closure of the reflexive closure of  $\sqsubset$  [Verify]
- Claim:**  $\sqsubset$  is the transitive reduction of the reflexive reduction of  $|$  [Verify]
  - Note: Divisibility poset has a transitive reduction even though it is infinite





# Hasse Diagram

- For a poset  $(S, \leq)$ , the transitive reduction of the reflexive reduction of  $\leq$ , if it exists, has all the information about the poset
  - Recall: For finite posets, guaranteed to exist
- Hasse Diagram: the graph of this relation (with arrowheads implicit)



# Bounding Elements

Given a poset  $(S, \leq)$  and  $T \subseteq S$

Need not exist.  
Need not be unique  
when one exists.

Do exist in  
finite posets

Maximal element in  $T$  :  $x \in T$  s.t.  $\forall y \in T, x \leq y \rightarrow y = x$

Minimal element in  $T$  :  $x \in T$  s.t.  $\forall y \in T, y \leq x \rightarrow y = x$

Greatest element in  $T$  :  $x \in T$  s.t.  $\forall y \in T, y \leq x$

Least element in  $T$  :  $x \in T$  s.t.  $\forall y \in T, x \leq y$

Need not exist.  
Unique when one  
exists.

Upper Bound for  $T$  :  $x \in S$  s.t.  $\forall y \in T, y \leq x$

Lower Bound for  $T$  :  $x \in S$  s.t.  $\forall y \in T, x \leq y$

Least Upper Bound for  $T$ : Least in  $\{x \mid x \text{ u.b. for } T\}$

Greatest Lower Bound for  $T$ : Greatest in  $\{x \mid x \text{ l.b. for } T\}$

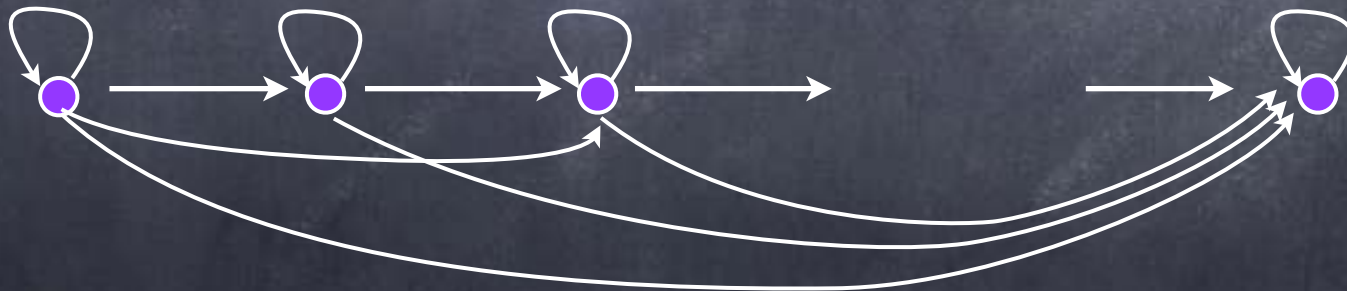
# Running Example

Divisibility poset:  $(\mathbb{Z}^+, |)$

- Lower bound
  - When is  $c$  a lower bound for  $T = \{a, b\}$ ?
    - $c|a$  and  $c|b \Rightarrow c$  is a common divisor for  $\{a, b\}$
  - Greatest lower bound for  $\{a, b\} = \gcd(a, b)$
- Upper bound
  - $d$  is an upper bound for  $\{a, b\} \Rightarrow a|d, b|d \Rightarrow d$  a common multiple for  $\{a, b\}$
  - Least upper bound for  $\{a, b\} = \text{lcm}(a, b)$

# Total/Linear Order

- In some posets every two elements are “comparable”:  
for  $\{a,b\}$ , either  $a \sqsubseteq b$  or  $b \sqsubseteq a$
- Can arrange all the elements in a line, with all possible right-pointing edges (plus, all self-loops)



- If finite, has unique maximal and unique minimal elements (left and right ends)

# Order Extension

- A poset  $P'=(S,\leq)$  is an extension of a poset  $P=(S,\preceq)$  if  $\forall a,b \in S, a \preceq b \rightarrow a \leq b$
- Any finite poset can be extended to a total ordering (this is called topological sorting)
  - **Prove by induction** on  $|S|$ 
    - Induction step: Remove a minimal element, extend to a total ordering, reintroduce the removed element as the minimum in the total ordering.
  - For infinite posets? The "Order Extension Principle" is typically taken as an axiom! (Unless an even stronger axiom called the "Axiom of Choice" is used)

# Running Example

## Divisibility poset: $(\mathbb{Z}^+, |)$

- The totally ordered set  $(\mathbb{Z}^+, \leq)$ , where  $\leq$  is the standard “less-than-or-equals” relation, is an extension of the divisibility poset
  - Because  $a|b \rightarrow a \leq b$
- Consider another totally ordered set  $(\mathbb{Z}^+, \sqsubseteq)$ :
  - For any  $(a,b) \in \mathbb{Z}^+ \times \mathbb{Z}^+$ ,  $a \sqsubseteq b$  iff:
    - $a=1$ , or
    - $a,b$  both prime or both composite, and  $a \leq b$ , or
    - $a$  prime and  $b$  composite
  - $(\mathbb{Z}^+, \sqsubseteq)$  extends the divisibility poset [Exercise]