

# CS 207 Discrete Mathematics – 2012-2013

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## Combinatorics

### Lecture 11: Catalan numbers

August 28, 2012

Last time

# Recap

- Cayley's number: the number of labelled trees is  $n^{n-2}$
- Using generating functions to solve recurrences.

# Today

- Doubt solving session.
- Generating functions and recurrences.

## Assignment 2, Question 4

### Theorem

*Let  $x_1 \leq x_2 \leq \dots \leq x_n$ . Prove that if  $\frac{1}{x_1} + \frac{1}{x_2} + \dots + \frac{1}{x_n} = 1$  then  $x_n < 2^n$*

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### Proof.

- Let the *precision* of a rational  $\frac{a}{b}$  be  $p$  where,  $\frac{a}{b}$  equals  $\frac{q}{p}$  after cancellations.

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 $y_k \geq x_k / (n - k + 1)$ .
- And  $y_k \leq x_1 x_2 \dots x_{k-1}$
- $\therefore x_k \leq x_1 x_2 \dots x_{k-1} (n - k + 1)$
- Solving, we get  $\forall n > 3 : x_n \leq 2^n$



# Anti-chains

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No! This is a theorem called Spencer's theorem. It can be derived using another heavy hammer. Those interested may look up on Google.  
(Beyond the scope for now.)

# Cayley's number

Towards the end of the lecture. (Last 5-7 minutes)

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In general, let  $C(n)$  be the number of ways of doing this.

- Note that  $(l, r)$  is a bracketed expression where  $l$  is a bracketed expression with  $i$  terms and  $r$  with  $n - i$  terms for some  $i$  such that  $1 \leq i \leq n - 1$ . Therefore, the recurrence for  $C(n)$ :

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How to solve this recurrence? Using generating functions, of course!

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## Theorem (Extended Binomial Theorem)

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- Let  $\phi(t) = \sum_{n=1}^{\infty} C(n)t^n$ .  $C(0) = 0$ ,  $C(1) = 1$  by convention.

- Now consider  $\phi(t)^2$

$$\begin{aligned}\phi(t)^2 &= (\sum_{n=1}^{\infty} C(n)t^n)(\sum_{n=1}^{\infty} C(n)t^n) \\ &= \sum_{n=2}^{\infty} \sum_{i=1}^{n-1} C(i)C(n-i)t^n \\ &= \sum_{n=2}^{\infty} C(n)t^n \\ &= \phi(t) - t\end{aligned}$$

- Solving for  $\phi(t)$ , we get  $\phi(t) = \frac{1}{2} (1 \pm (1 - 4t)^{1/2})$ .

$$\text{As } \phi(0) = 0, \phi(t) = \frac{1}{2} (1 - (1 - 4t)^{1/2}) = \frac{1}{2} + (-\frac{1}{2}(1 - 4t)^{1/2})$$

- The coefficient of  $t^n$  is

$$C(n) = -\frac{1}{2} \binom{1/2}{n} (-4)^n = -\frac{1}{2} \left( \frac{1}{2} \left( \frac{1}{2} - 1 \right) \left( \frac{1}{2} - 2 \right) \dots \left( \frac{1}{2} - n + 1 \right) \right) \frac{(-4)^n}{n!}$$

$$C(n) = -\frac{1}{2} \cdot \frac{1}{2} \cdot -\frac{1}{2} \cdot -\frac{3}{2} \cdot -\frac{5}{2} \dots -\frac{2n-3}{2} \frac{(-4)^n}{n!}$$

$$C(n) = \frac{(-1)^n}{2^{n+1}} \frac{(-4)^n}{n!} \cdot 1 \cdot 3 \cdot 5 \cdot \dots \cdot (2n-3)$$

$$C(n) = \frac{(-1)^{2n}}{2^{n+1}} \frac{(4)^n}{n!} \cdot \frac{1 \cdot 2 \cdot 3 \cdot 4 \cdot \dots \cdot (2n-3) \cdot (2n-2)}{2^{n-1} (n-1)!}$$

$$C(n) = \frac{1}{2^{n+1}} \frac{(4)^n}{n!} \cdot \frac{1 \cdot 2 \cdot 3 \cdot 4 \cdot \dots \cdot (2n-3) \cdot (2n-2)}{2^{n-1} (n-1)!} = \frac{(2n-2)!}{n! (n-1)!} = \frac{1}{n} \binom{2n-2}{n-1}$$

# Catalan Number

## Theorem (n-th Catalan Number)

*If the recurrence for  $C(n)$  is given as follows:*

$$C(n) = \sum_{i=1}^{n-1} C(i)C(n-i) \quad \text{for } n > 1$$

*then*

$$C(n) = \frac{1}{n} \binom{2n-2}{n-1}$$