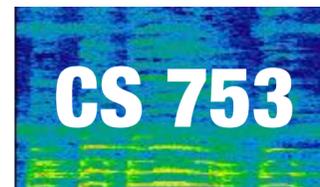


# Introduction to Statistical Speech Recognition

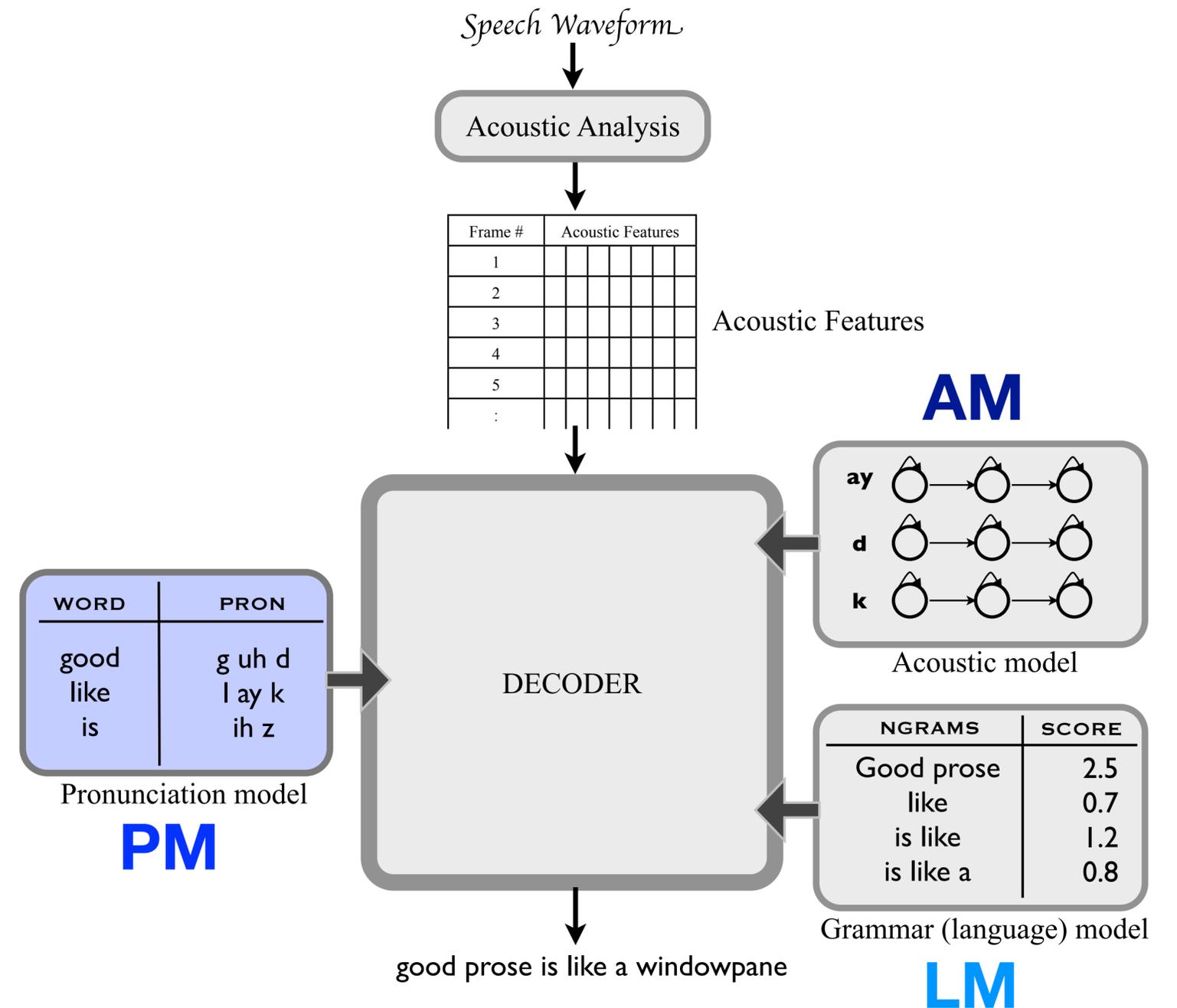
## Lecture 1



Instructor: Preethi Jyothi

# Course Plan (I)

- Cascaded ASR System
  - Acoustic Model (**AM**)
  - Pronunciation Model (**PM**)
  - Language Model (**LM**)
- Weighted Finite State Transducers for ASR
- **AM**: HMMs, DNN and RNN-based models
- **PM**: Phoneme and Grapheme-based models
- **LM**: Ngram models (+smoothing), RNNLMs
- Decoding Algorithms, Lattices

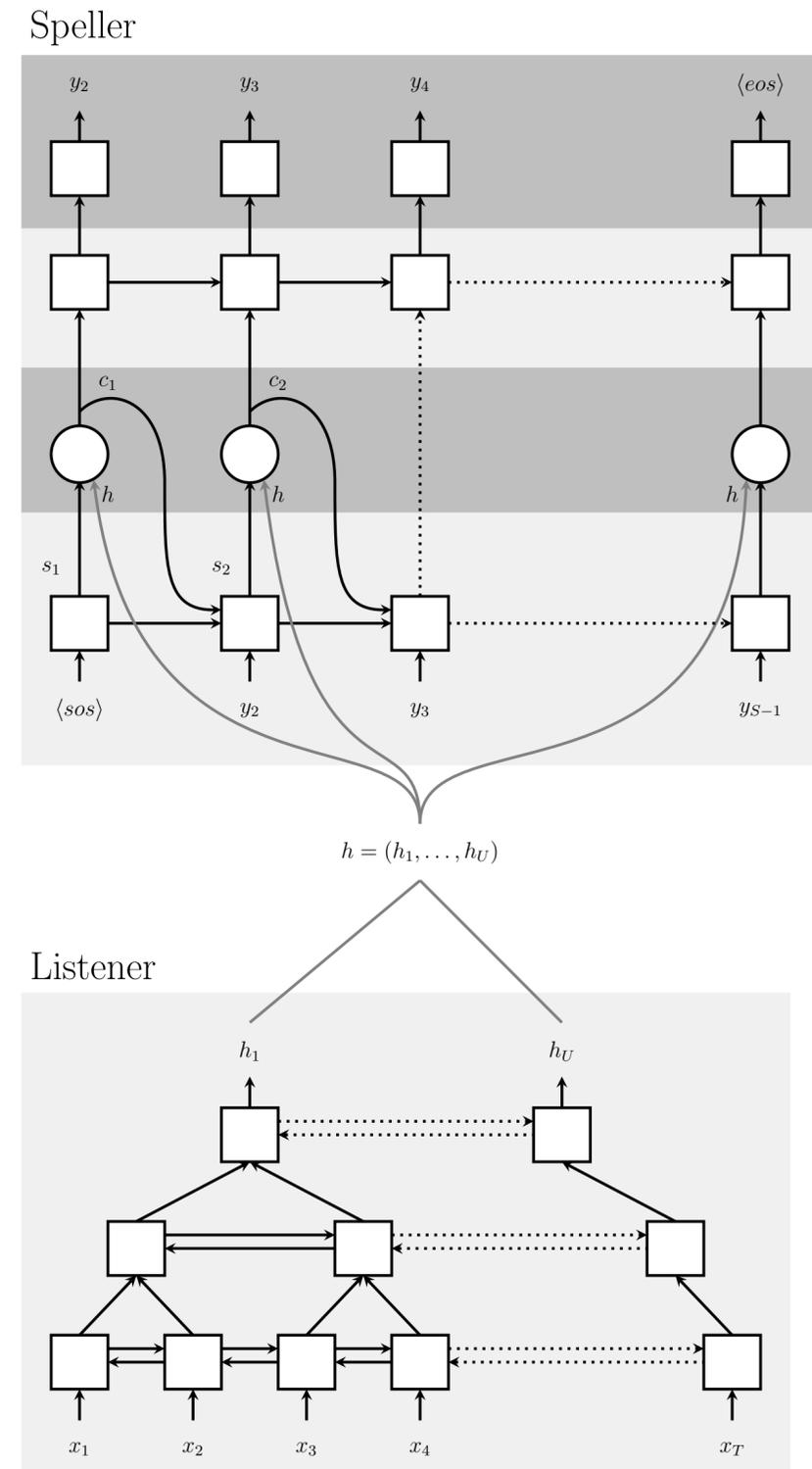


# Course Plan (II)

- End-to-end Neural Models for ASR
  - CTC loss function
  - Encoder-decoder Architectures with Attention
- Speaker Adaptation
- Speech Synthesis
- Recent Generative Models (GANs, VAEs) for Speech Processing

Check [www.cse.iitb.ac.in/~pjyothi/cs753](http://www.cse.iitb.ac.in/~pjyothi/cs753) for latest updates

Moodle will be used for assignment/project-related submissions and all announcements



# Other Course Info

- Teaching Assistants (TAs):
  - Vinit Unni (vinit AT cse)
  - Saiteja Nalla (saitejan AT cse)
  - Naman Jain (namanjain AT cse)
- TA office hours: Wednesdays, 10 am to 12 pm (tentative)  
Instructor 1-1: Email me to schedule a time
- Readings:
  - No fixed textbook. “Speech and Language Processing” by Jurafsky and Martin serves as a good starting point.
  - All further readings will be posted online.
- Audit requirements: Complete all assignments/quizzes and score  $\geq 40\%$

# Course Evaluation

- 3 Assignments OR 2 Assignments + 1 Quiz 35%
  - At least one programming assignment
    - Set up ASR system based on a recipe & improve said recipe
- Midsem Exam + Final Exam 15% + 25%
- Final Project 20%
- Participation 5%

**Attendance Policy?** Strongly advised to attend lectures.  
Also, participation points hinges on it.

# **Academic Integrity Policy**

## **Assignments/Exams**

- Always cite your sources (be it images, papers or existing code repos). Follow proper citation guidelines.
- Unless specifically permitted, collaborations are not allowed.
- Do not copy or plagiarise. Will incur significant penalties.

# **Academic Integrity Policy**

## **Assignments/Exams**

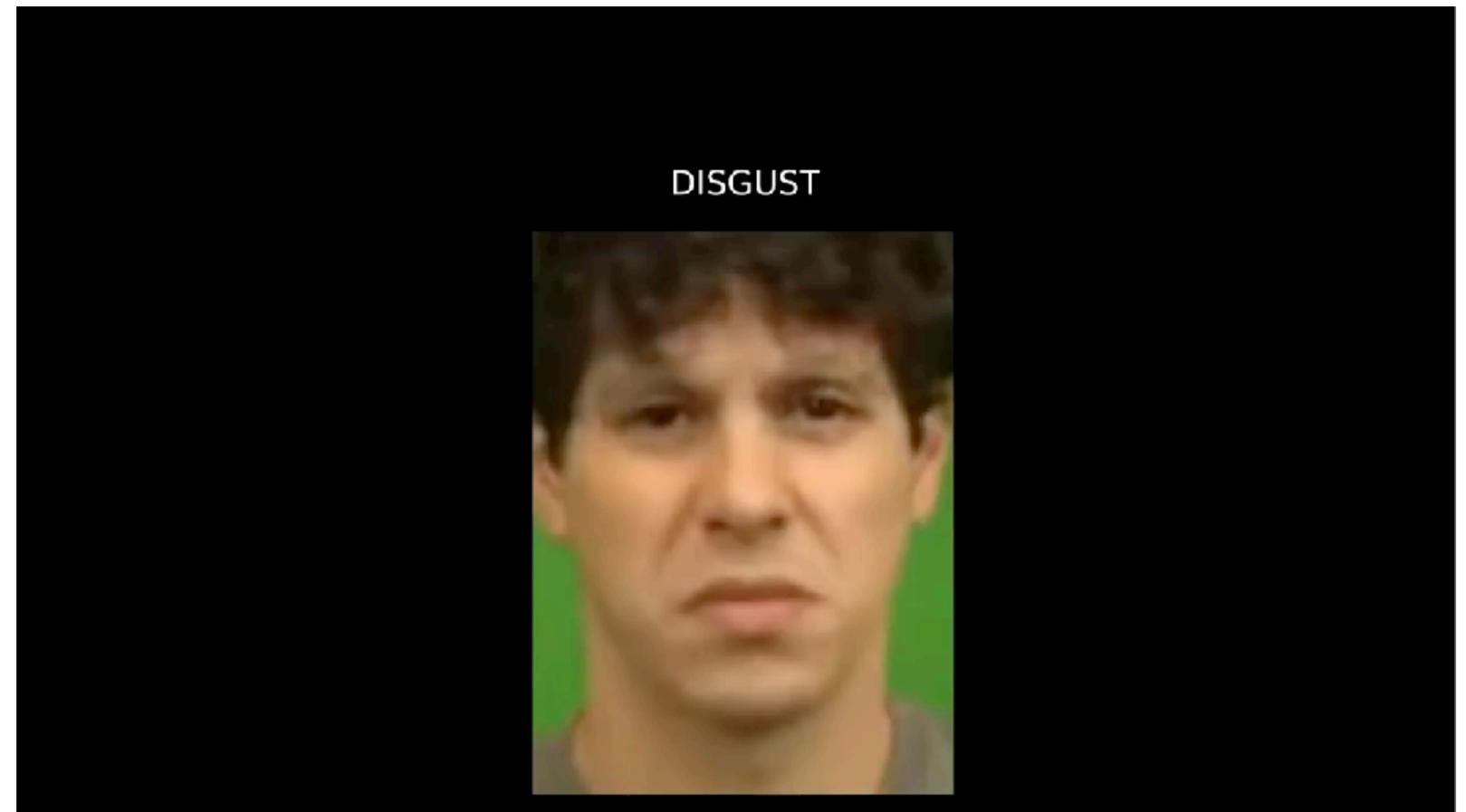
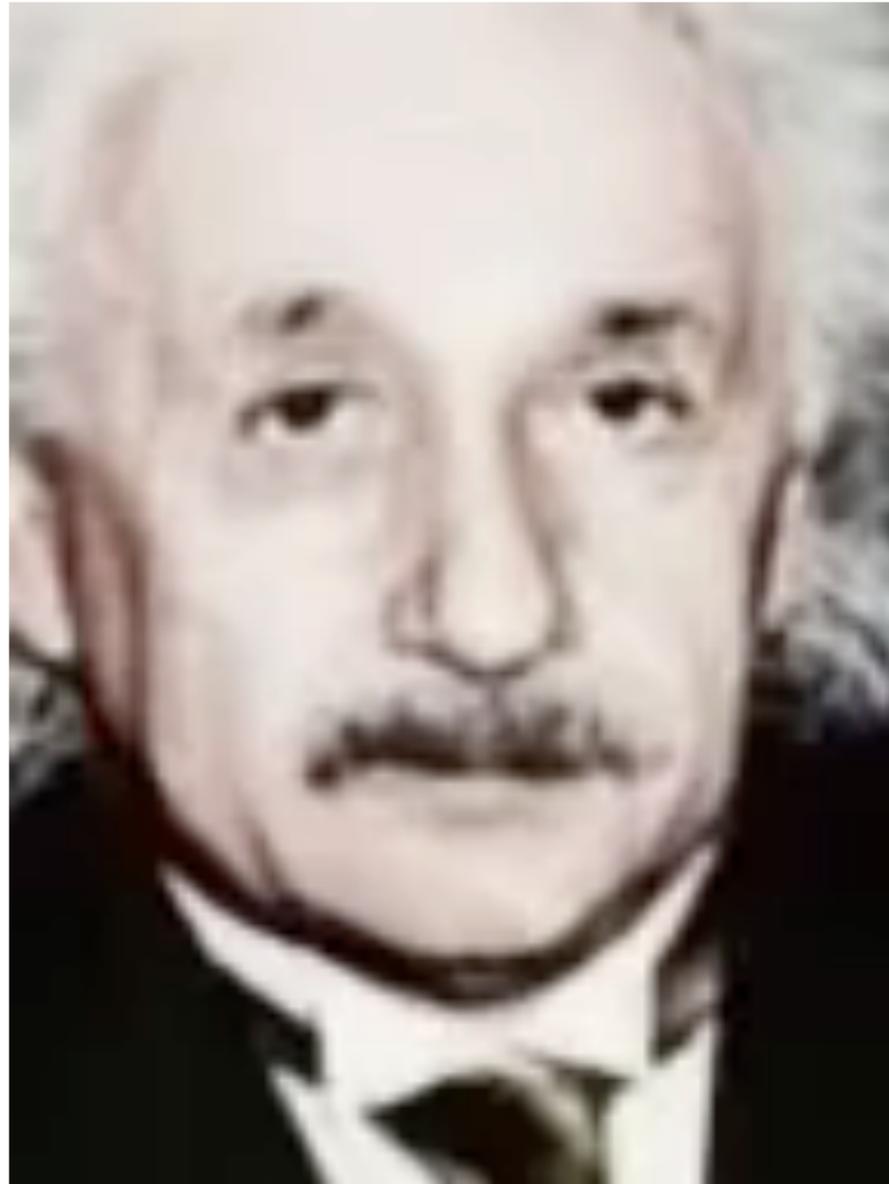
- Always cite your sources (be it images, papers or existing code repos). Follow proper citation guidelines.
- Unless specifically permitted, collaborations are not allowed.
- Do not copy or plagiarise. Will incur significant penalties.

# Final Project

- Projects can be on any topic related to speech/audio processing. Check website for abstracts from a previous offering.
- No individual projects and no more than 3 members in a team.
- Preliminary Project Evaluation: Short report detailing project statement, goals, specific tasks and preliminary experiments
- Final Evaluation:
  - Presentation (Oral or poster session, depending on final class strength)
  - Report (Use ML conference style files & provide details about the project)
- Excellent Projects:
  - Will earn extra credit that counts towards the final grade
  - Can be turned into a research paper



# #1: Speech-driven Facial Animation

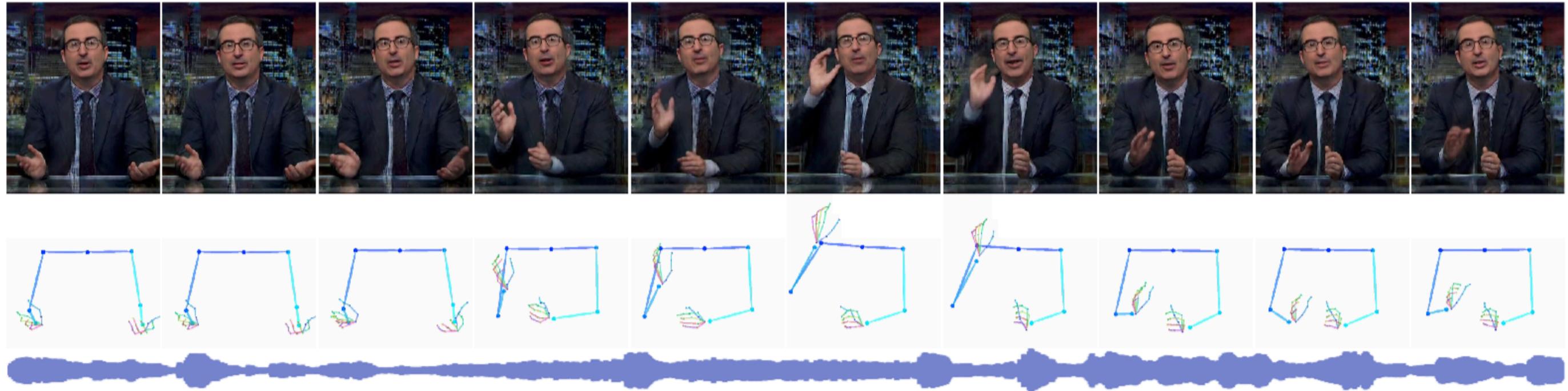


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<https://arxiv.org/pdf/1906.06337.pdf>, June 2019

Videos from: <https://sites.google.com/view/facial-animation>

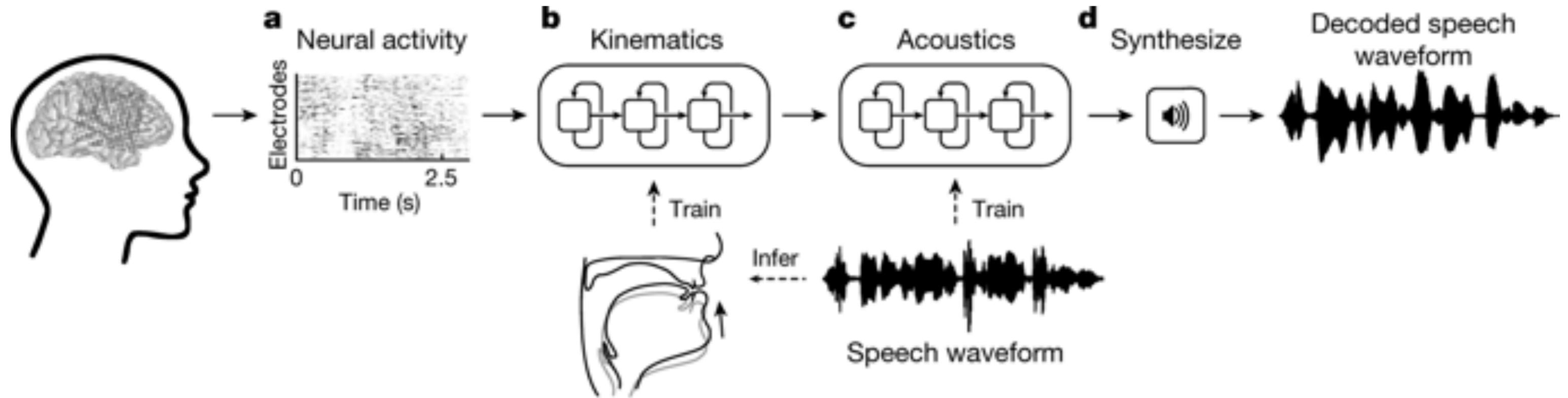
# #2: Speech2Gesture



<https://arxiv.org/abs/1906.04160>, CVPR 2019

Image from: <http://people.eecs.berkeley.edu/~shiry/projects/speech2gesture/>

# #3: Decoding Brain Signals Into Speech



# **Introduction to ASR**

# Automatic Speech Recognition

- Problem statement: Transform a spoken utterance into a sequence of tokens (words, syllables, phonemes, characters)
- Many downstream applications of ASR. Examples:
  - Speech understanding
  - Spoken translation
  - Audio information retrieval
- Speech demonstrates variabilities at multiple levels: Speaker style, accents, room acoustics, microphone properties, etc.

# History of ASR



RADIO REX (1922)

---

# History of ASR



SHOEBOX (IBM, 1962)

1 word

Freq.  
detector



1922 1932 1942 1952 1962 1972 1982 1992 2002 2012

# History of ASR



ADVANCED RESEARCH PROJECTS AGENCY

## HARPY (CMU, 1976)

1 word

16 words

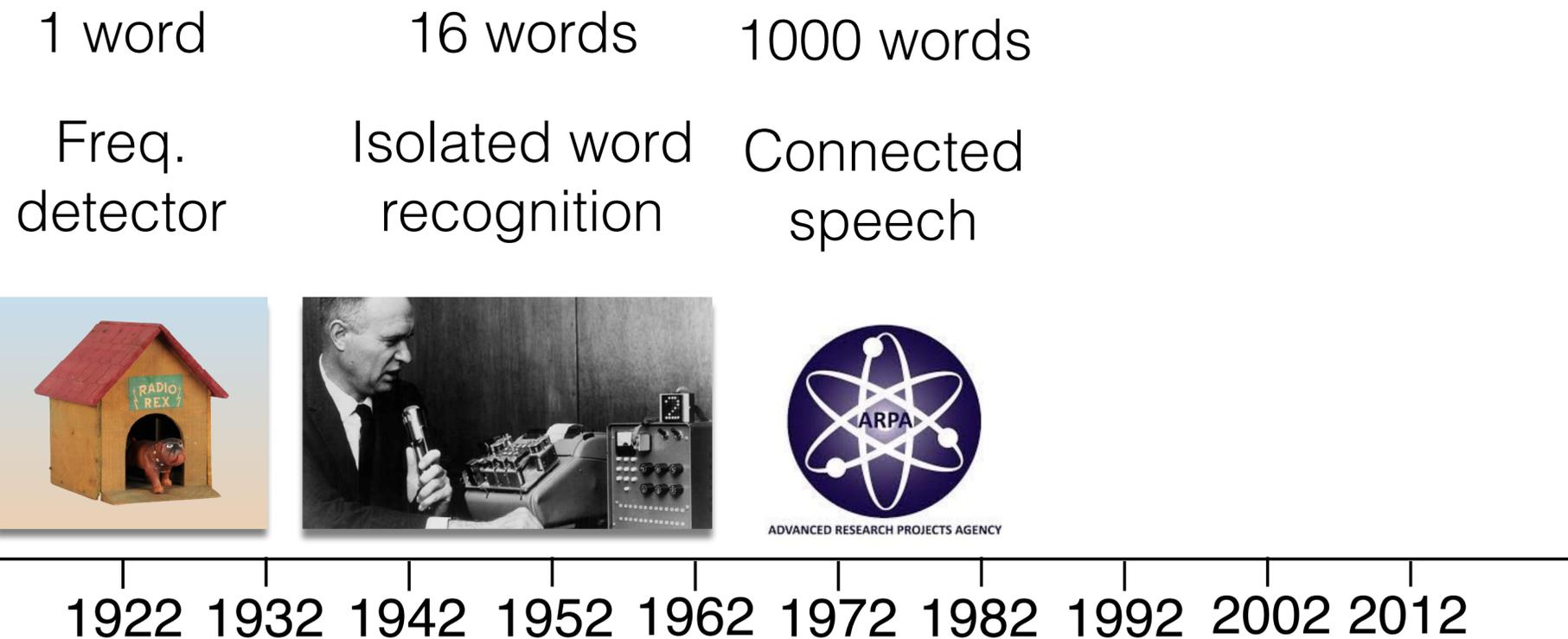
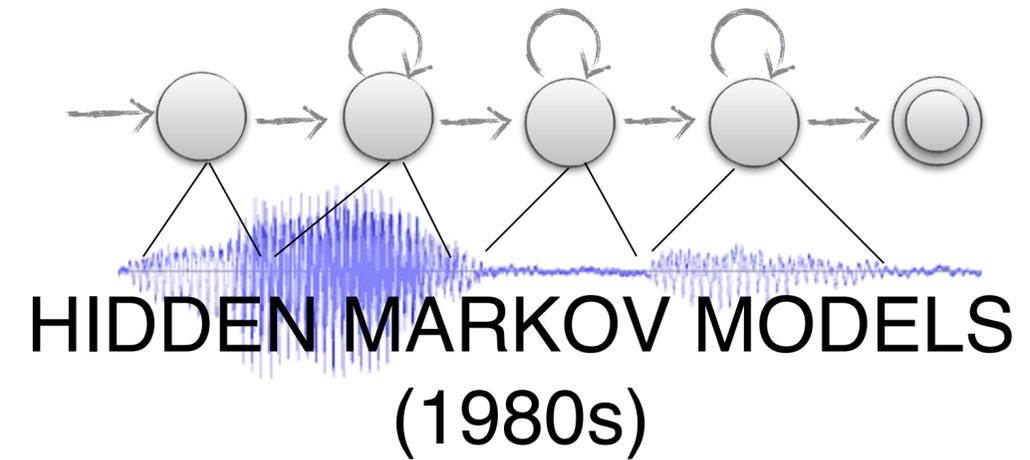
Freq.  
detector

Isolated word  
recognition



1922 1932 1942 1952 1962 1972 1982 1992 2002 2012

# History of ASR

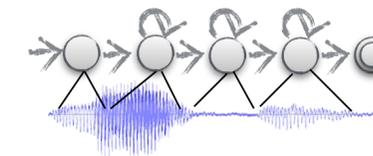


# History of ASR



## DEEP NEURAL NETWORK BASED SYSTEMS (>2010)

1 word	16 words	1000 words	10K+ words
Freq. detector	Isolated word recognition	Connected speech	LVCSR systems



1922 1932 1942 1952 1962 1972 1982 1992 2002 2012

# How are ASR systems evaluated?

- Error rates computed on an unseen test set by comparing  $W^*$  (decoded sentence) against  $W_{\text{ref}}$  (reference sentence) for each test utterance
  - Sentence/Utterance error rate (trivial to compute!)
  - Word/Phone error rate
- Word/Phone error rate (ER) uses the Levenshtein distance measure: What are the minimum number of edits (insertions/deletions/substitutions) required to convert  $W^*$  to  $W_{\text{ref}}$ ?

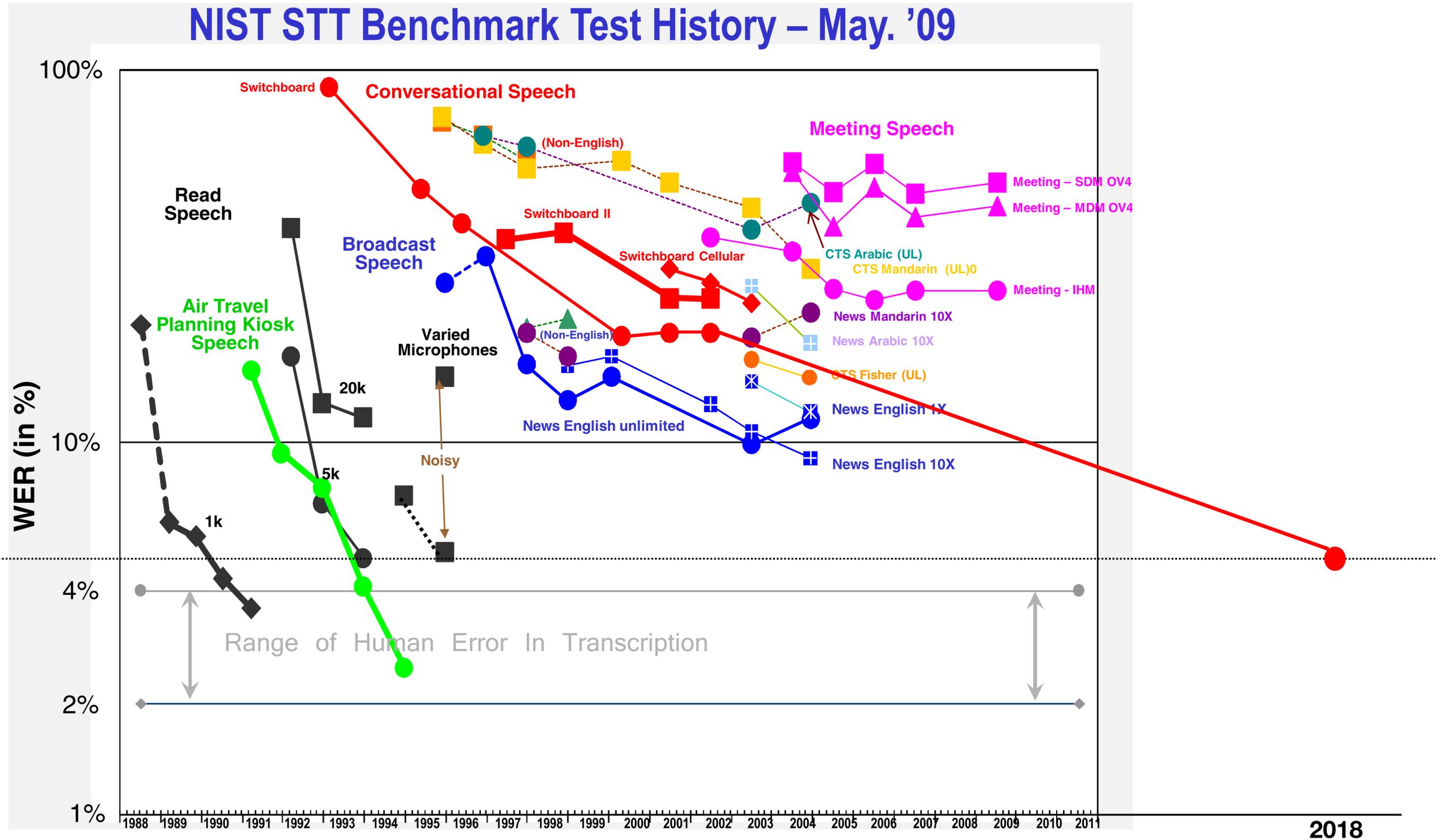
On a test set with  $N$  instances:

$$\text{ER} = \frac{\sum_{j=1}^N \text{Ins}_j + \text{Del}_j + \text{Sub}_j}{\sum_{j=1}^N \ell_j}$$

$\text{Ins}_j$ ,  $\text{Del}_j$ ,  $\text{Sub}_j$  are number of insertions/deletions/substitutions in the  $j^{\text{th}}$  ASR output

$\ell_j$  is the total number of words/phones in the  $j^{\text{th}}$  reference

# Remarkable progress in ASR in the last decade



# Statistical Speech Recognition

Pioneer of ASR technology, Fred Jelinek (1932 - 2010): Cast ASR as a channel coding problem.

Let  $\mathbf{O}$  be a sequence of acoustic features corresponding to a speech signal. That is,  $\mathbf{O} = \{O_1, \dots, O_T\}$ , where  $O_i \in \mathbb{R}^d$  refers to a  $d$ -dimensional acoustic feature vector and  $T$  is the length of the sequence.

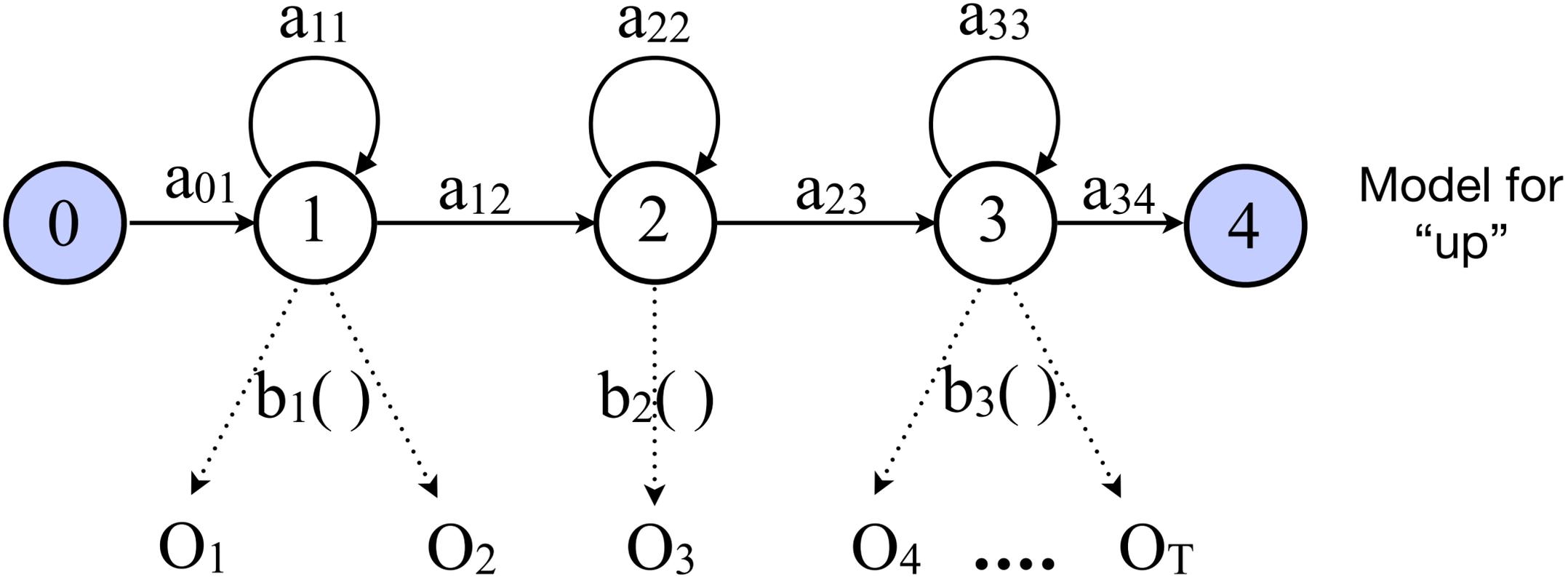
Let  $\mathbf{W}$  denote a word sequence. An ASR decoder solves the foll. problem:

$$\begin{aligned} \mathbf{W}^* &= \arg \max_W \Pr(\mathbf{W} | \mathbf{O}) && \text{Language Model} \\ &= \arg \max_W \Pr(\mathbf{O} | \mathbf{W}) \Pr(\mathbf{W}) && \text{Acoustic Model} \end{aligned}$$

# Simple example of isolated word ASR

- Task: Recognize utterances which consist of speakers saying either “up” or “down” or “left” or “right” per recording.
- Vocabulary: Four words, “up”, “down”, “left”, “right”
- Data splits
  - Training data: 30 utterances
  - Test data: 20 utterances
- Acoustic model: Let’s parameterize  $\Pr_{\theta}(\mathbf{O} | \mathbf{W})$  using a Markov model with parameters  $\theta$ .

# Word-based acoustic model



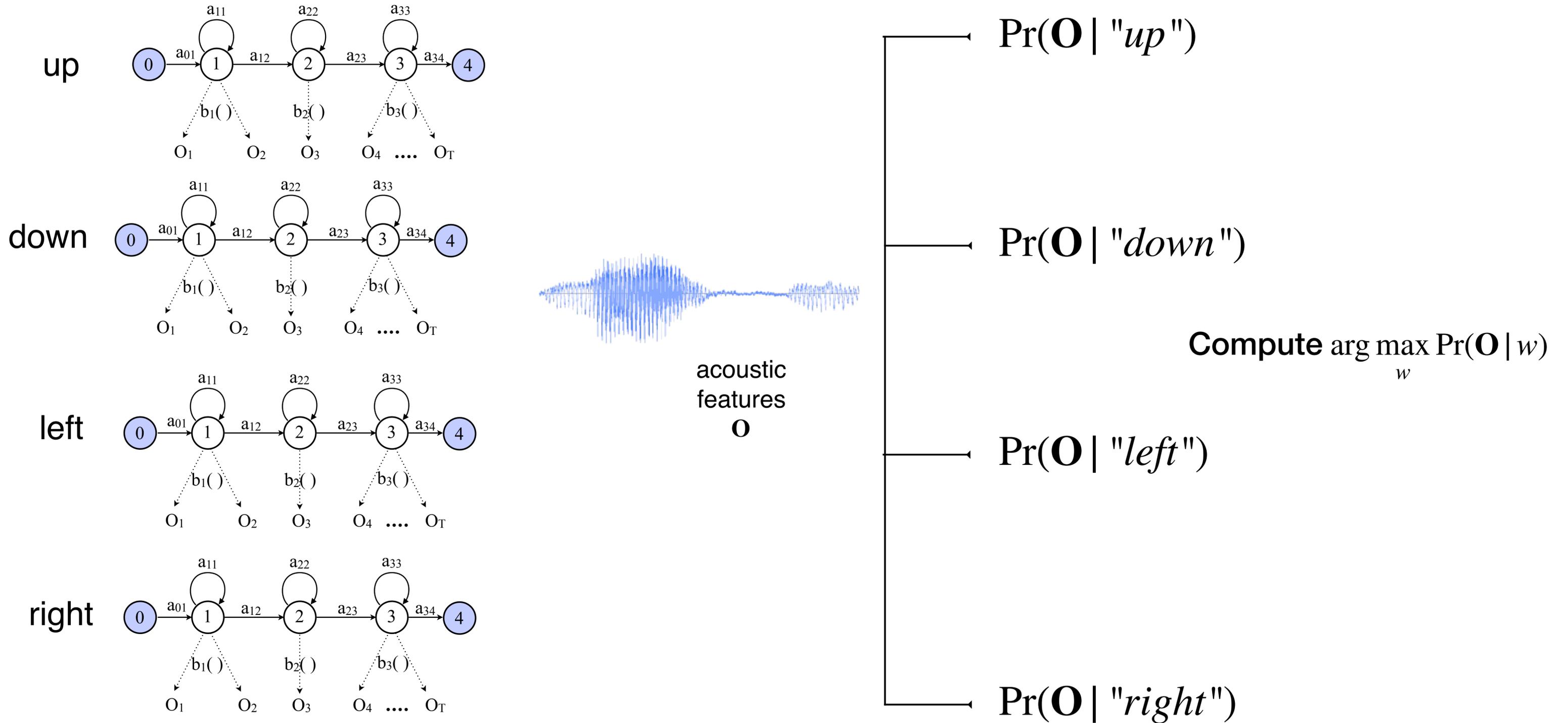
$a_{ij}$  → Transition probabilities going from state  $i$  to state  $j$

$b_j(O_i)$  → Probability of generating  $O_i$  from state  $j$

Compute  $\Pr(\mathbf{O} \mid \text{"up"}) = \sum_{\mathbf{Q}} \Pr(\mathbf{O}, \mathbf{Q} \mid \text{"up"})$

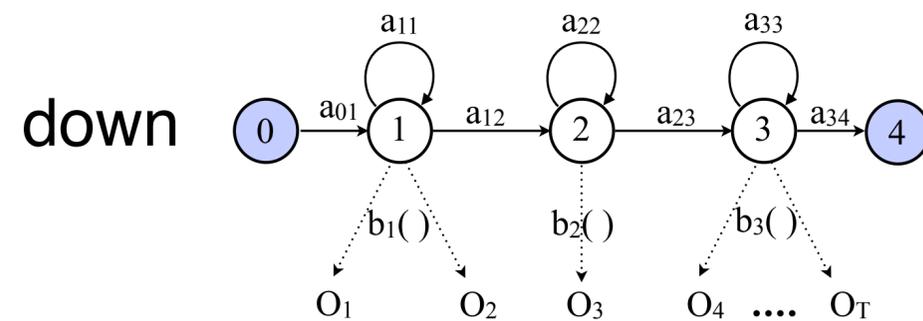
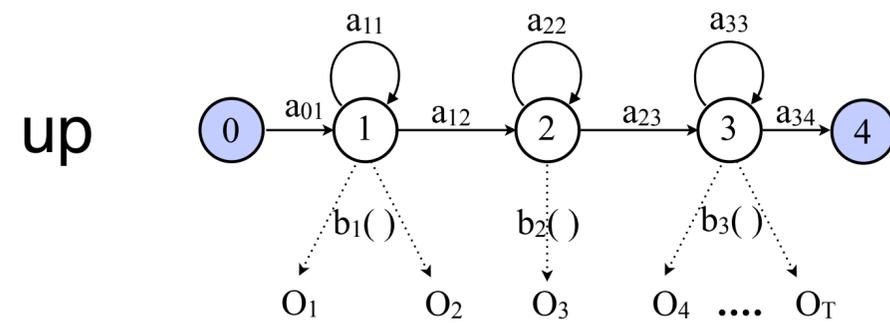
Efficient algorithm exists.  
Will appear in a later class.

# Isolated word recognition



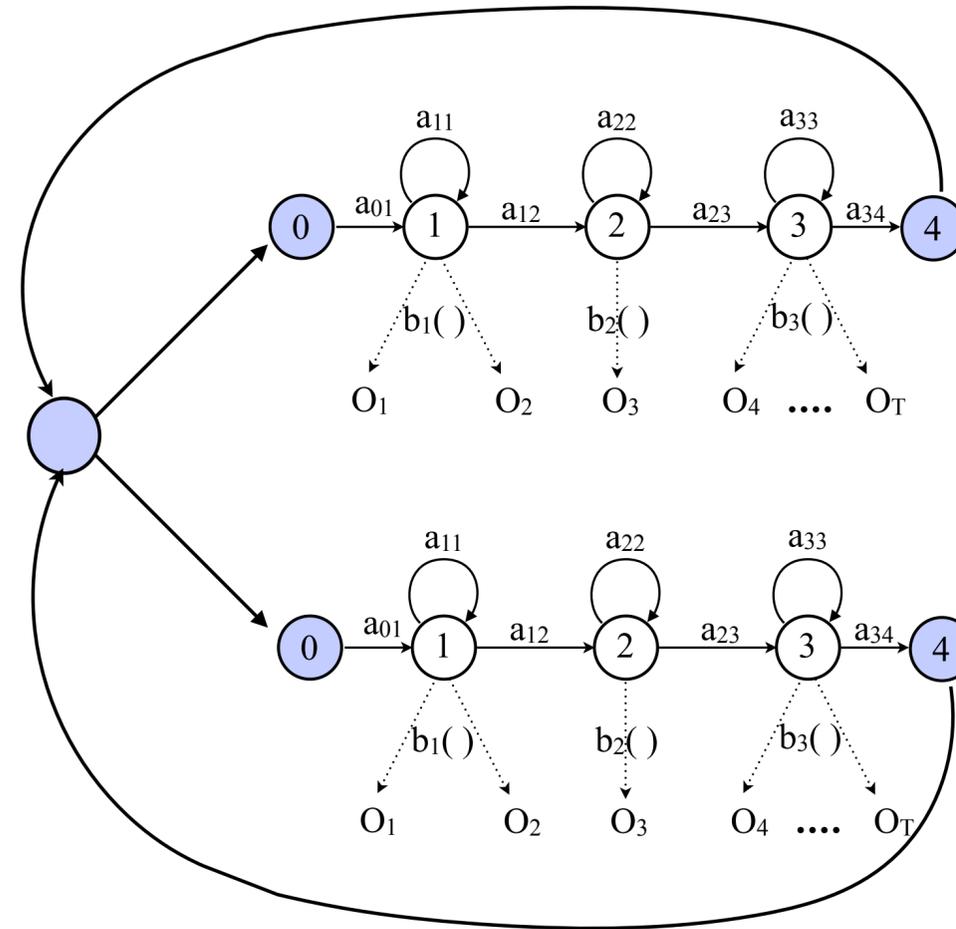
# Small tweak

- Task: Recognize utterances which consist of speakers saying either "up" or "down" **multiple times** per recording.



# Small tweak

- Task: Recognize utterances which consist of speakers saying either "up" or "down" **multiple times** per recording.

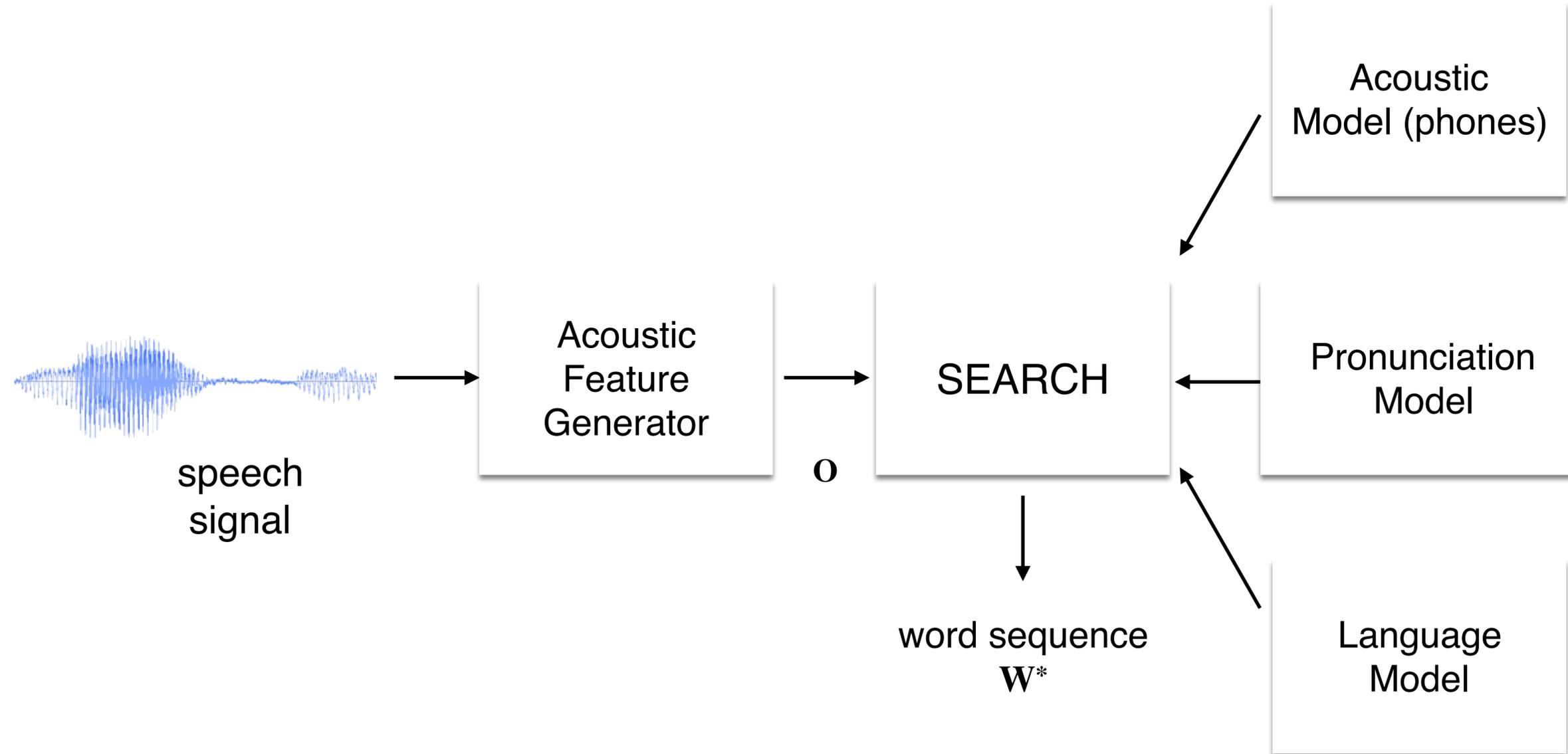


Search within this graph

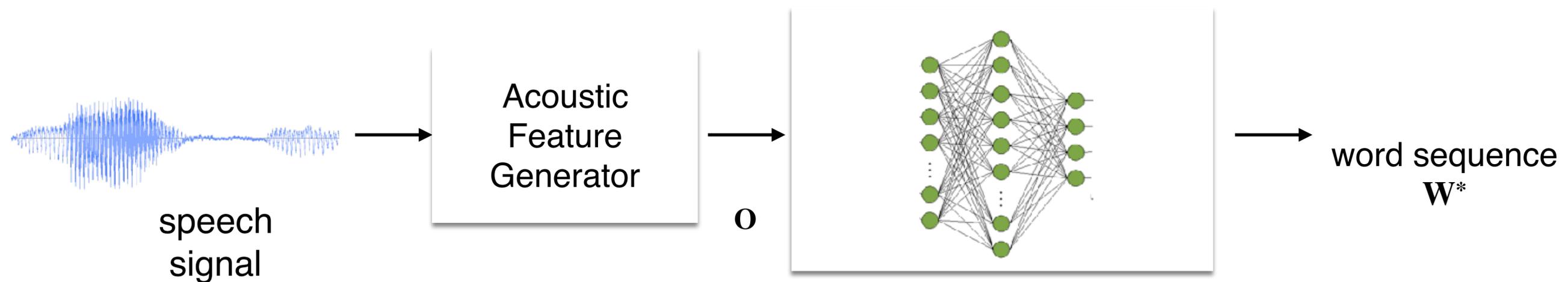
# Small vocabulary ASR

- Task: Recognize utterances which consist of speakers saying one of 1000 words **multiple times** per recording.
- Not scalable anymore to use words as speech units
- Model using phones instead of words as individual speech units
  - Phonemes are abstract, subword units that distinguish one word from another (minimal pair; e.g. “pan” vs. “can”)
  - Phones are actually sounds that are realized and not language-specific units
- What's an obvious advantage of using phones over entire words?  
Hint: Think of words with zero coverage in the training data.

# Architecture of an ASR system



# Cascaded ASR $\Rightarrow$ End-to-end ASR



Single end-to-end model that directly learns a mapping from speech to text

# ASR Progress contd.

Voice Recognition Software Finally Beats Humans At Typing, Study Finds

AUG '16



Microsoft researchers achieve new conversational speech recognition milestone

AUG '17



Amazon's AI system could cut Alexa speech recognition errors by 15%

MAR '19



<https://venturebeat.com/2019/04/22/amazons-ai-system-could-cut-alexa-speech-recognition-errors-by-15/>

<https://www.microsoft.com/en-us/research/blog/microsoft-researchers-achieve-new-conversational-speech-recognition-milestone/>

<https://www.npr.org/sections/alltechconsidered/2016/08/24/491156218/voice-recognition-software-finally-beats-humans-at-typing-study-finds>

# What are some unsolved problems related to ASR?

- State-of-the-art ASR systems do not work well on regional accents, dialects
- Code-switching is hard for ASR systems to deal with
- How do we rapidly build competitive ASR systems for a new language?  
Low-resource ASR and keyword spotting.
- How do we recognize speech from meetings where a primary speaker is speaking amidst other speakers?

**Next class: HMMs for Acoustic Modeling**