

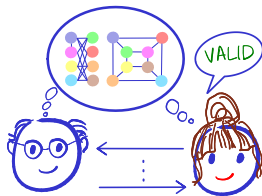
CS760: Topics in Computational Complexity

Lecture 21(?) (18/Oct/24)

Instructor: Chethan Kamath (Stand-in for Rohit Gurjar)

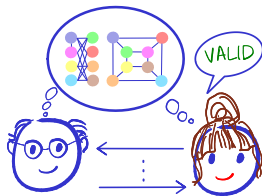
Plan for Today's Lecture

- What constitutes a proof?
 - Traditional “NP” proofs vs *interactive* proofs



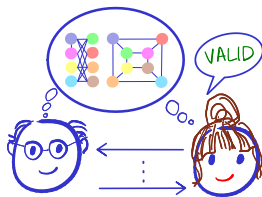
Plan for Today's Lecture

- What constitutes a proof?
 - Traditional “NP” proofs vs *interactive* proofs
- Zero-knowledge proofs: capture “zero knowledge” via simulation paradigm



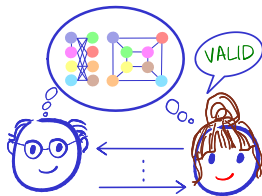
Plan for Today's Lecture

- What constitutes a proof?
 - Traditional “NP” proofs vs *interactive* proofs
- Zero-knowledge proofs: capture “zero knowledge” via simulation paradigm
- Examples. ZKP for:
 - Graph isomorphism (GI)
 - Quadratic residuosity (QR)
 - Graph non-isomorphism (GNI)
 - Quadratic non-residuosity (QNR)

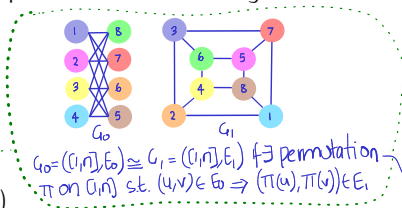


Plan for Today's Lecture

- What constitutes a proof?
 - Traditional "NP" proofs vs *interactive* proofs



- Zero-knowledge proofs: capture "zero knowledge" via simulation paradigm



- Examples. ZKP for:

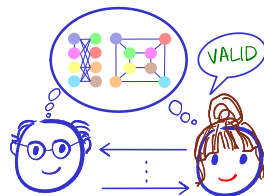
- Graph isomorphism (GI)
- Quadratic residuosity (QR)
- Graph non-isomorphism (GNI)
- Quadratic non-residuosity (QNR)

$$G_0 = (G, E_0) \cong G_1 = (G, E_1) \Leftrightarrow \exists \text{ permutation } \pi \text{ on } G \text{ s.t. } (u, v) \in E_0 \Rightarrow (\pi(u), \pi(v)) \in E_1$$

$G_0 \cong G_1 \text{ or } G_1 = \pi(G_0)$

Plan for Today's Lecture

- What constitutes a proof?
 - Traditional "NP" proofs vs *interactive* proofs

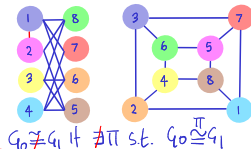


- Zero-knowledge proofs: capture "zero knowledge" via simulation paradigm

- Examples. ZKP for:

- Graph isomorphism (GI)
- Quadratic residuosity (QR)
- Graph non-isomorphism (GNI)
- Quadratic non-residuosity (QNR)

$G_0 = (U, E_0) \cong G_1 = (U, E_1) \nexists \text{ permutation } \pi \text{ on } U \text{ s.t. } (u, v) \in E_0 \Rightarrow (\pi(u), \pi(v)) \in E_1$
 $\rightarrow G_0 \cong G_1 \text{ or } G_1 = \pi(G_0)$



Plan for Today's Lecture

- 1 Interactive Proof (IP)
- 2 Zero Knowledge (Interactive) Proof (ZKP)
- 3 Class SZK: Statistical Zero-Knowledge Proof

Plan for Today's Lecture

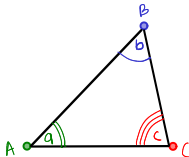
- 1 Interactive Proof (IP)
- 2 Zero Knowledge (Interactive) Proof (ZKP)
- 3 Class SZK: Statistical Zero-Knowledge Proof

Traditional “NP” Proof...

■ Axioms $\xrightarrow{\text{derivation rules}}$ theorems=true statements

■ E.g.: Axioms of Euclidean geometry

→ Theorem: “Sum of angles of a triangle equals 180° ”

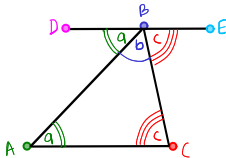


Traditional “NP” Proof

■ Axioms $\xrightarrow{\text{derivation rules}}$ theorems=true statements

■ E.g.: Axioms of Euclidean geometry

→ Theorem: “Sum of angles of a triangle equals 180° ”



■ Prover vs. verifier

■ Prover does the heavy lifting: derives the proof

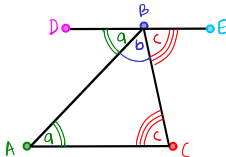
- 1 Construct a line through B parallel to \overline{AC}
- 2 $\angle DBA = \angle a$ and $\angle EBC = \angle c$ (alternate interior angles)
- 3 $2 \Rightarrow \angle a + \angle b + \angle c = \angle DBA + \angle b + \angle EBC = 180^\circ$

Traditional “NP” Proof

- Axioms $\xrightarrow{\text{derivation rules}}$ theorems=true statements

- E.g.: Axioms of Euclidean geometry

→ Theorem: “Sum of angles of a triangle equals 180° ”



- Prover vs. verifier
 - Prover does the heavy lifting: derives the proof
 - 1 Construct a line through B parallel to \overline{AC}
 - 2 $\angle DBA = \angle a$ and $\angle EBC = \angle c$ (alternate interior angles)
 - 3 $2 \Rightarrow \angle a + \angle b + \angle c = \angle DBA + \angle b + \angle EBC = 180^\circ$
 - Verifier checks the proof, step by step

Traditional “NP” Proof...

- Corresponds to class NP
 - A language $\mathcal{L} \in \mathbf{NP}$ if there exists a polynomial-time *deterministic* machine V such that

$$\forall x \in \mathcal{L} \exists \pi \in \{0, 1\}^{\text{poly}(|x|)} : V(x, \pi) = 1$$

Traditional “NP” Proof...

- Corresponds to class NP

- A language $\mathcal{L} \in \text{NP}$ if there exists a polynomial-time *deterministic* machine V such that

statement \rightarrow $\forall x \in \mathcal{L} \exists \pi \in \{0, 1\}^{\text{poly}(|x|)} : V(x, \pi) = 1$ \leftarrow witness/proof

Traditional “NP” Proof...

- Corresponds to class NP

- A language $\mathcal{L} \in \text{NP}$ if there exists a polynomial-time *deterministic* machine V such that

statement \rightarrow $\forall x \in \mathcal{L} \exists \pi \in \{0, 1\}^{\text{poly}(|x|)} : V(x, \pi) = 1$ \leftarrow witness/proof

- NP is the class of all such \mathcal{L} s

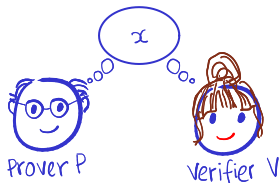
Traditional “NP” Proof...

- Corresponds to class NP

- A language $\mathcal{L} \in \text{NP}$ if there exists a polynomial-time *deterministic* machine V such that

statement \rightarrow $\forall x \in \mathcal{L} \exists \pi \in \{0, 1\}^{\text{poly}(|x|)} : V(x, \pi) = 1$ \leftarrow witness/proof

- NP is the class of all such \mathcal{L} s



- “Proof system” view of NP

- Prover P is *unbounded*: finds short proof π for x (if one exists)
- Verifier V is *efficient*: checks proof π against the statement x

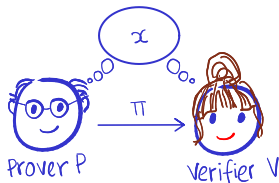
Traditional “NP” Proof...

- Corresponds to class NP

- A language $\mathcal{L} \in \text{NP}$ if there exists a polynomial-time *deterministic* machine V such that

statement \rightarrow $\forall x \in \mathcal{L} \exists \pi \in \{0, 1\}^{\text{poly}(|x|)} : V(x, \pi) = 1$ \leftarrow witness/proof

- NP is the class of all such \mathcal{L} s



- “Proof system” view of NP

- Prover P is *unbounded*: finds short proof π for x (if one exists)
- Verifier V is *efficient*: checks proof π against the statement x

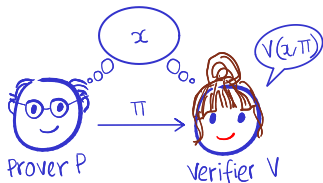
Traditional “NP” Proof...

- Corresponds to class NP

- A language $\mathcal{L} \in \text{NP}$ if there exists a polynomial-time *deterministic* machine V such that

statement \rightarrow $\forall x \in \mathcal{L} \exists \pi \in \{0, 1\}^{\text{poly}(|x|)} : V(x, \pi) = 1$ \leftarrow witness/proof

- NP is the class of all such \mathcal{L} s



- “Proof system” view of NP

- Prover P is *unbounded*: finds short proof π for x (if one exists)
- Verifier V is *efficient*: checks proof π against the statement x

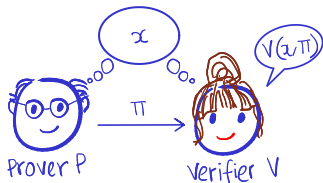
Traditional “NP” Proof...

- Corresponds to class NP

- A language $\mathcal{L} \in \text{NP}$ if there exists a polynomial-time *deterministic* machine V such that

statement \rightarrow $\forall x \in \mathcal{L} \exists \pi \in \{0, 1\}^{\text{poly}(|x|)} : V(x, \pi) = 1$ \leftarrow witness/proof

- NP is the class of all such \mathcal{L} s



- “Proof system” view of NP

- Prover P is *unbounded*: finds short proof π for x (if one exists)
- Verifier V is *efficient*: checks proof π against the statement x
- Completeness: $x \in \mathcal{L} \Rightarrow P \text{ finds } \pi \Rightarrow V(x, \pi) = 1$
- Soundness: $x \notin \mathcal{L} \Rightarrow \nexists \pi \in \{0, 1\}^{\text{poly}(|x|)} \text{ s.t. } V(x, \pi) = 1$

Which Languages have “NP” Proofs?

Quadratic residuosity (QR)



$$\mathcal{L}_{QR} = \{(N, y) : \exists x \in \mathbb{Z}_N^* \text{ s.t. } y = x^2 \bmod N\}$$

Which Languages have "NP" Proofs?

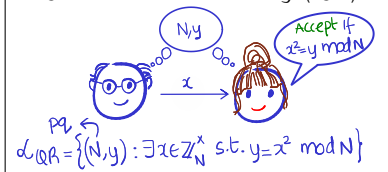
Quadratic residuosity (QR)



$$L_{QR} = \{(N, y) : \exists x \in \mathbb{Z}_N^* \text{ s.t. } y = x^2 \bmod N\}$$

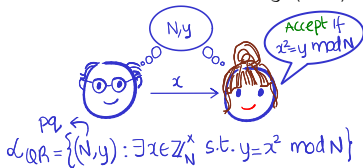
Which Languages have "NP" Proofs?

Quadratic residuosity (QR)

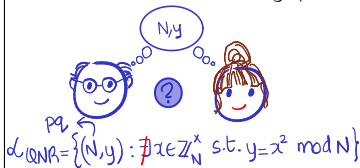


Which Languages have "NP" Proofs?

Quadratic residuosity (QR)

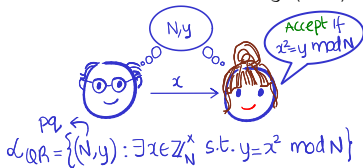


Quad. non-residuosity (QNR)

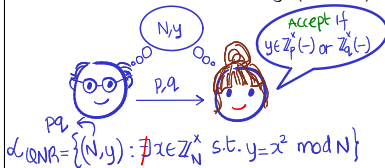


Which Languages have "NP" Proofs?

Quadratic residuosity (QR)

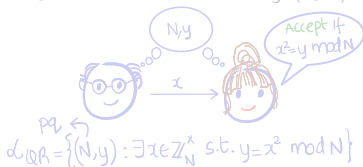


Quad. non-residuosity (QNR)

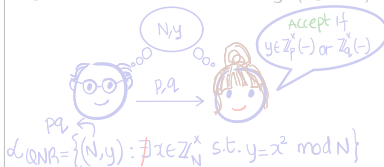


Which Languages have "NP" Proofs?

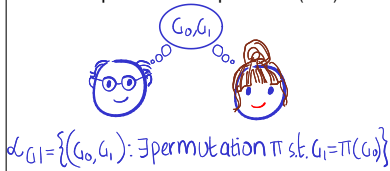
Quadratic residuosity (QR)



Quad. non-residuosity (QNR)

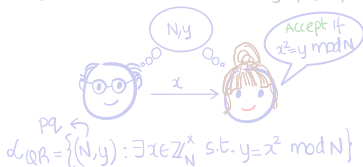


Graph isomorphism (GI)

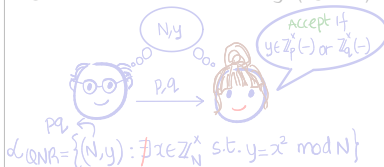


Which Languages have "NP" Proofs?

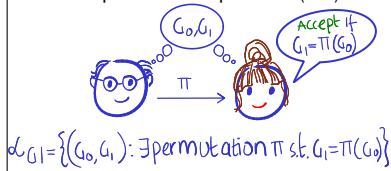
Quadratic residuosity (QR)



Quad. non-residuosity (QNR)

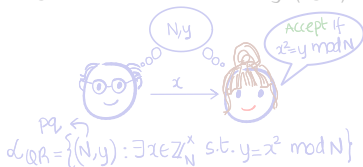


Graph isomorphism (GI)

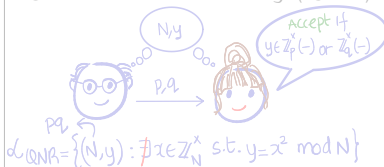


Which Languages have "NP" Proofs?

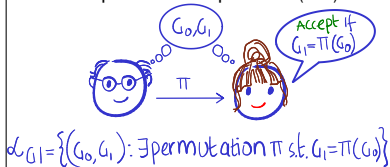
Quadratic residuosity (QR)



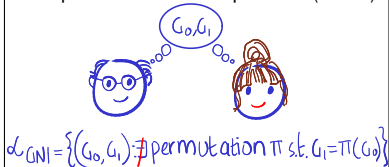
Quad. non-residuosity (QNR)



Graph isomorphism (GI)

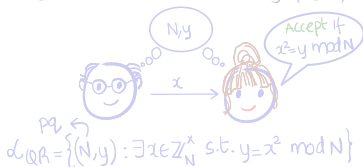


Graph non-isomorphism (GNI)

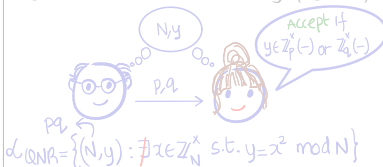


Which Languages have "NP" Proofs?

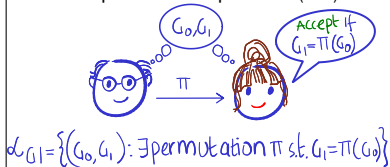
Quadratic residuosity (QR)



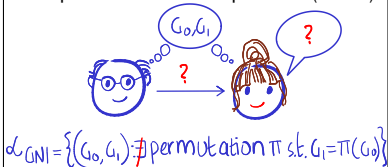
Quad. non-residuosity (QNR)



Graph isomorphism (GI)

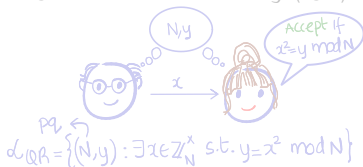


Graph non-isomorphism (GNI)

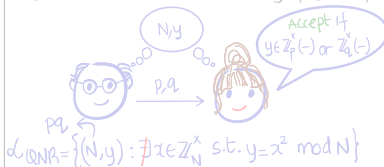


Which Languages have "NP" Proofs?

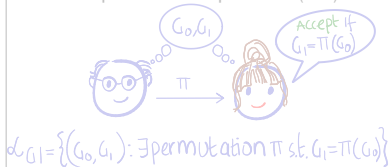
Quadratic residuosity (QR)



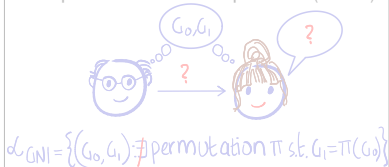
Quad. non-residuosity (QNR)



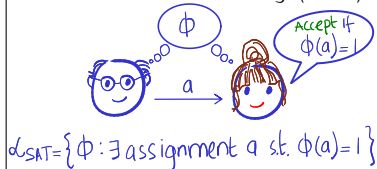
Graph isomorphism (GI)



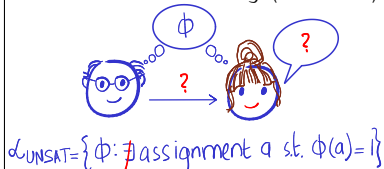
Graph non-isomorphism (GNI)



Boolean satisfiability (SAT)



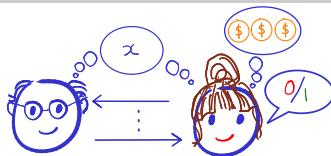
Bool. unsatisfiability (UNSAT)



Interactive Proof (IP)

■ Difference from NP proofs:

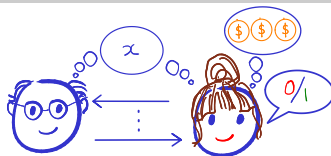
- 1 Verifier V is *randomised*
- 2 Prover P and V *interact* and V accepts/rejects in the end



Interactive Proof (IP)

■ Difference from NP proofs:

- 1 Verifier V is *randomised*
- 2 Prover P and V *interact* and V accepts/rejects in the end



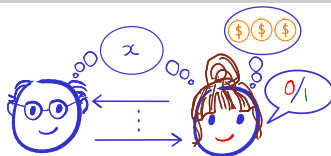
Definition 1

An interactive protocol (P, V) for a language \mathcal{L} is an interactive proof (IP) system if the following holds:

Interactive Proof (IP)

■ Difference from NP proofs:

- 1 Verifier V is *randomised*
- 2 Prover P and V *interact* and V accepts/rejects in the end



Definition 1

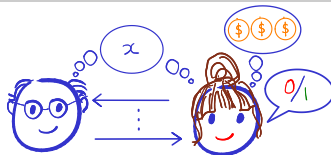
An interactive protocol (P, V) for a language \mathcal{L} is an interactive proof (IP) system if the following holds:

- *Completeness*: for every $x \in \mathcal{L}$, $\Pr[1 \leftarrow \langle P, V \rangle(x)] \geq 1 - 1/3$

Interactive Proof (IP)

■ Difference from NP proofs:

- 1 Verifier V is *randomised*
- 2 Prover P and V *interact* and V accepts/rejects in the end



Definition 1

An interactive protocol (P, V) for a language \mathcal{L} is an interactive proof (IP) system if the following holds:

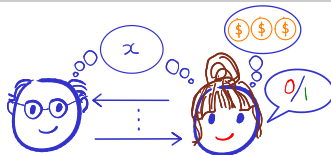
- *Completeness*: for every $x \in \mathcal{L}$, $\Pr[1 \leftarrow \langle P, V \rangle(x)] \geq 1 - 1/3$
- *Soundness*: for every $x \notin \mathcal{L}$ and malicious prover P^* ,
$$\Pr[1 \leftarrow \langle P^*, V \rangle(x)] \leq 1/3$$



Interactive Proof (IP)

■ Difference from NP proofs:

- 1 Verifier V is *randomised*
- 2 Prover P and V *interact* and V accepts/rejects in the end



Definition 1

An interactive protocol (P, V) for a language \mathcal{L} is an interactive proof (IP) system if the following holds:

- **Completeness:** for every $x \in \mathcal{L}$, $\Pr[1 \leftarrow \langle P, V \rangle(x)] \geq 1 - 1/3$

- **Soundness:** for every $x \notin \mathcal{L}$ and malicious prover P^* ,

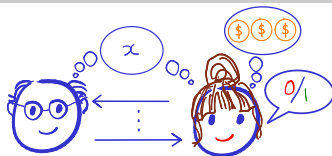
$$\Pr[1 \leftarrow \langle P^*, V \rangle(x)] \leq 1/3$$

← soundness error $\epsilon_s(n)$

Interactive Proof (IP)

■ Difference from NP proofs:

- 1 Verifier V is *randomised*
- 2 Prover P and V *interact* and V accepts/rejects in the end



Definition 1

An interactive protocol (P, V) for a language \mathcal{L} is an interactive proof (IP) system if the following holds:

■ **Completeness:** for every $x \in \mathcal{L}$, $\Pr[1 \leftarrow \langle P, V \rangle(x)] \geq 1 - 1/3$

■ **Soundness:** for every $x \notin \mathcal{L}$ and malicious prover P^* , $\Pr[1 \leftarrow \langle P^*, V \rangle(x)] \leq 1/3$

Exercise 1 (Robustness of Definition 1)

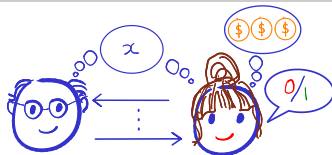
Show that languages captured by Definition 1 doesn't change when

1) $\epsilon_c \leq 1/2^{|x|}$, $\epsilon_s \leq 1/2^{|x|}$; 2) $\epsilon_c \leq 1/2 - 1/|x|$, $\epsilon_s \leq 1/2 - 1/|x|$

Interactive Proof (IP)

■ Difference from NP proofs:

- ① Verifier V is *randomised*
- ↔ 2 Prover P and V *interact* and V accepts/rejects in the end



Definition 1

An interactive protocol (P, V) for a language \mathcal{L} is an interactive proof (IP) system if the following holds:

■ **Completeness:** for every $x \in \mathcal{L}$, $\Pr[1 \leftarrow \langle P, V \rangle(x)] \geq 1 - 1/3$

■ **Soundness:** for every $x \notin \mathcal{L}$ and malicious prover P^* , $\Pr[1 \leftarrow \langle P^*, V \rangle(x)] \leq 1/3$

Exercise 1 (Robustness of Definition 1)

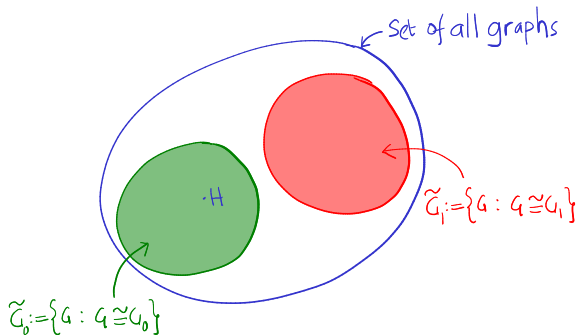
Show that languages captured by Definition 1 doesn't change when

1) $\epsilon_c \leq 1/2^{|x|}$, $\epsilon_s \leq 1/2^{|x|}$; 2) $\epsilon_c \leq 1/2 - 1/|x|$, $\epsilon_s \leq 1/2 - 1/|x|$

Power of Randomness+Interaction: IP for GNI...



Idea: $G_0 \not\cong G_1 \Rightarrow$ for any graph H , $G_0 \cong H$ and $G_1 \cong H$ both cannot hold

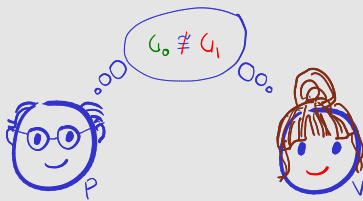
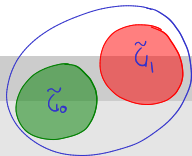


Power of Randomness+Interaction: IP for GNI...



Idea: $G_0 \not\cong G_1 \Rightarrow$ for any graph H , $G_0 \cong H$ and $G_1 \cong H$ both cannot hold

Protocol 1 (Π_{GNI} : IP for GNI)

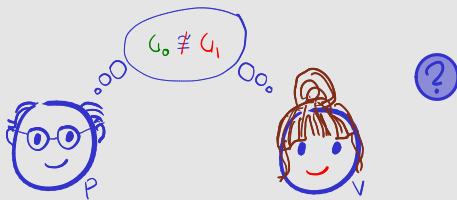
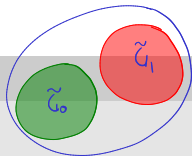


Power of Randomness+Interaction: IP for GNI...



Idea: $G_0 \not\cong G_1 \Rightarrow$ for any graph H , $G_0 \cong H$ and $G_1 \cong H$ both cannot hold

Protocol 1 (Π_{GNI} : IP for GNI)

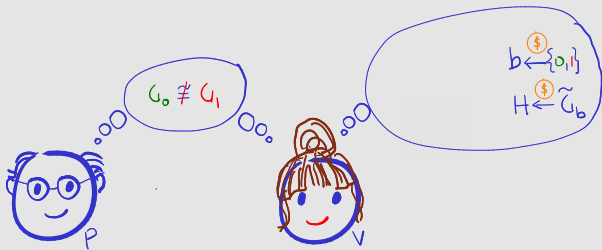


Power of Randomness+Interaction: IP for GNI...



Idea: $G_0 \not\cong G_1 \Rightarrow$ for any graph H , $G_0 \cong H$ and $G_1 \cong H$ both cannot hold

Protocol 1 (Π_{GNI} : IP for GNI)

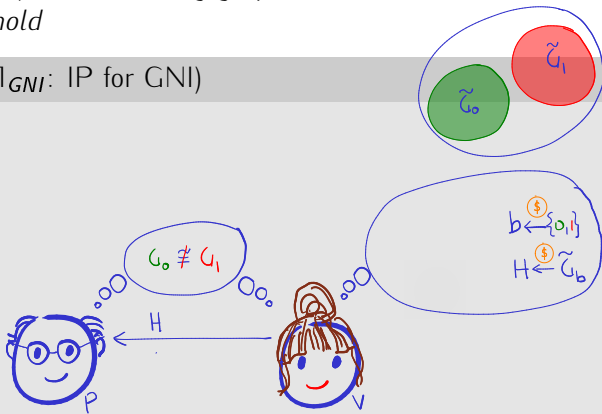


Power of Randomness+Interaction: IP for GNI...



Idea: $G_0 \not\cong G_1 \Rightarrow$ for any graph H , $G_0 \cong H$ and $G_1 \cong H$ both cannot hold

Protocol 1 (Π_{GNI} : IP for GNI)

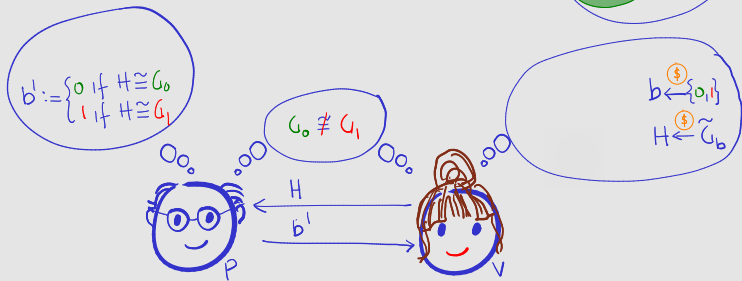


Power of Randomness+Interaction: IP for GNI...



Idea: $G_0 \not\cong G_1 \Rightarrow$ for any graph H , $G_0 \cong H$ and $G_1 \cong H$ both cannot hold

Protocol 1 (Π_{GNI} : IP for GNI)

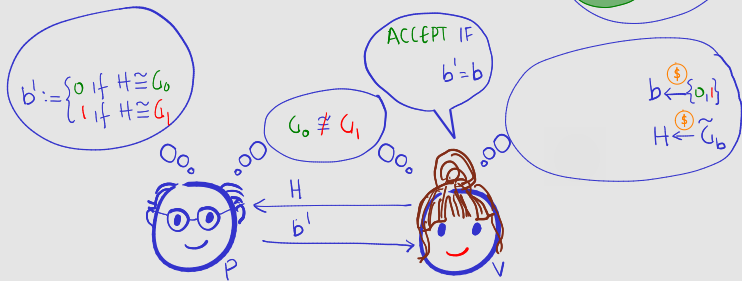


Power of Randomness+Interaction: IP for GNI...



Idea: $G_0 \not\cong G_1 \Rightarrow$ for any graph H , $G_0 \cong H$ and $G_1 \cong H$ both cannot hold

Protocol 1 (Π_{GNI} : IP for GNI)

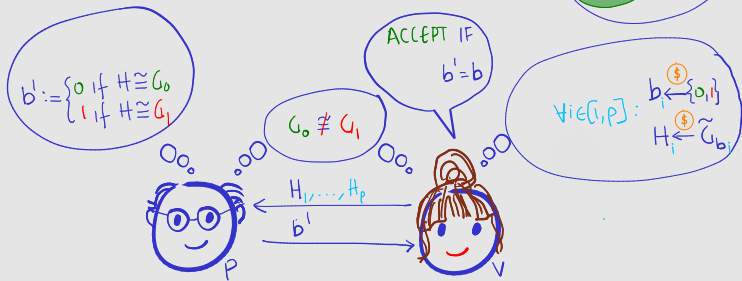


Power of Randomness+Interaction: IP for GNI...



Idea: $G_0 \not\cong G_1 \Rightarrow$ for any graph H , $G_0 \cong H$ and $G_1 \cong H$ both cannot hold

Protocol 1 (Π_{GNI} : IP for GNI)



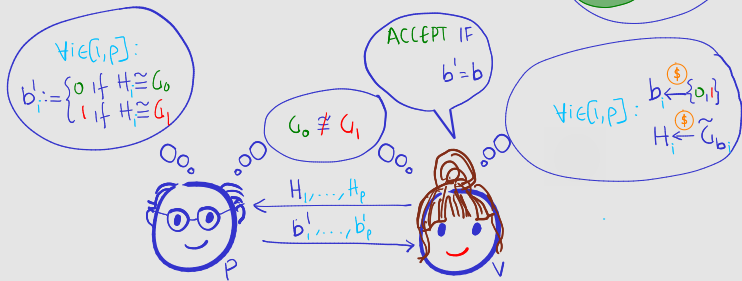
Parallel/sequentially repeat to boost soundness

Power of Randomness+Interaction: IP for GNI...



Idea: $G_0 \not\cong G_1 \Rightarrow$ for any graph H , $G_0 \cong H$ and $G_1 \cong H$ both cannot hold

Protocol 1 (Π_{GNI} : IP for GNI)



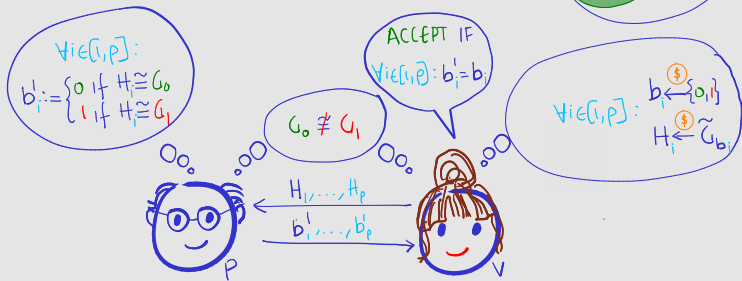
Parallel/sequentially repeat to boost soundness

Power of Randomness+Interaction: IP for GNI...



Idea: $G_0 \not\cong G_1 \Rightarrow$ for any graph H , $G_0 \cong H$ and $G_1 \cong H$ both cannot hold

Protocol 1 (Π_{GNI} : IP for GNI)



Parallel/sequentially repeat to boost soundness

Power of Randomness+Interaction: IP for GNI...

Theorem 1

Π_{GNI} is an IP for \mathcal{L}_{GNI}

Power of Randomness+Interaction: IP for GNI...

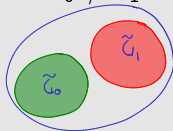
Theorem 1

Π_{GNI} is an IP for \mathcal{L}_{GNI}

Proof.

■ Completeness:

- $G_0 \not\equiv G_1 \Rightarrow P$ can recover b_i from H_i with certainty



$$\Pr[1 \leftarrow \langle P, V \rangle(G_0, G_1)] = 1 \geq 2/3$$

Power of Randomness+Interaction: IP for GNI...

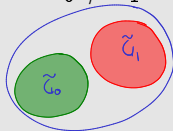
Theorem 1

Π_{GNI} is an IP for \mathcal{L}_{GNI}

Proof.

■ Completeness:

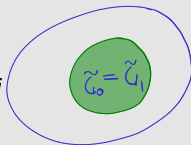
- $G_0 \not\approx G_1 \Rightarrow P$ can recover b_i from H_i with certainty



$$\Pr[1 \leftarrow \langle P, V \rangle(G_0, G_1)] = 1 \geq 2/3$$

■ Soundness:

- $G_0 \approx G_1 \Rightarrow H_i$ loses information about bits b_i
- Hence best P^* can do is guess b_i s

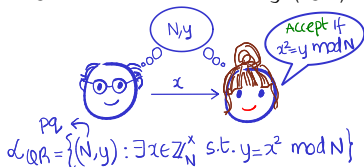


$$\Pr[1 \leftarrow \langle P^*, V \rangle(G_0, G_1)] = 1/2^\rho < 1/3$$

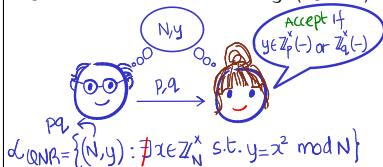


Which Languages have IPs?

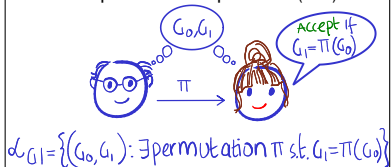
Quadratic residuosity (QR)



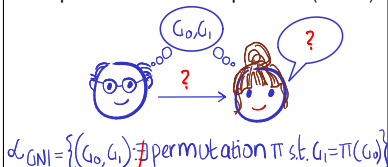
Quad. non-residuosity (QNR)



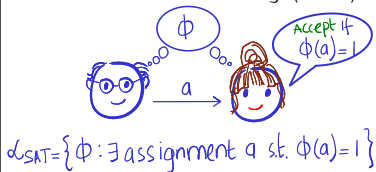
Graph isomorphism (GI)



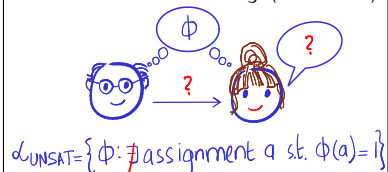
Graph non-isomorphism (GNI)



Boolean satisfiability (SAT)

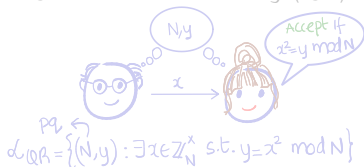


Bool. unsatisfiability (UNSAT)

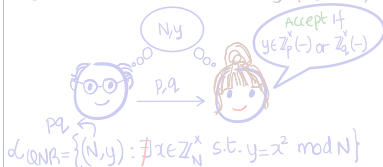


Which Languages have IPs?

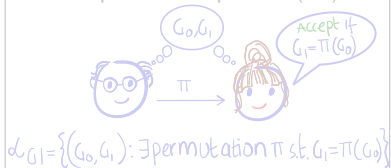
Quadratic residuosity (QR)



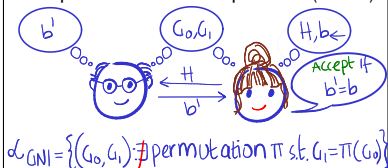
Quad. non-residuosity (QNR)



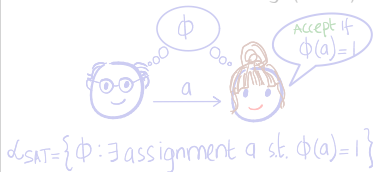
Graph isomorphism (GI)



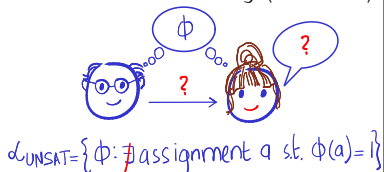
Graph non-isomorphism (GNI)



Boolean satisfiability (SAT)

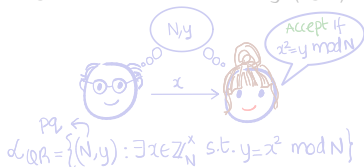


Bool. unsatisfiability (UNSAT)

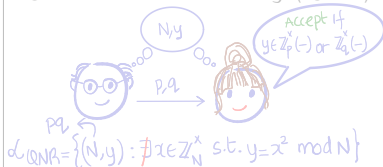


Which Languages have IPs?

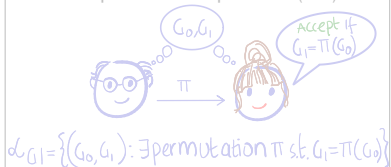
Quadratic residuosity (QR)



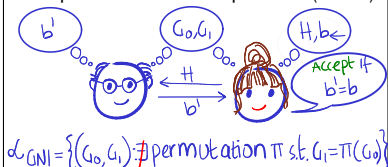
Quad. non-residuosity (QNR)



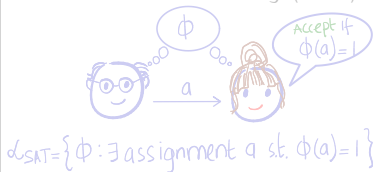
Graph isomorphism (GI)



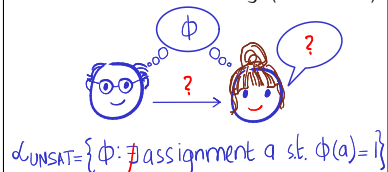
Graph non-isomorphism (GNI)



Boolean satisfiability (SAT)

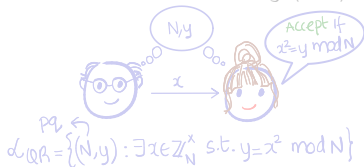


Bool. unsatisfiability (UNSAT)

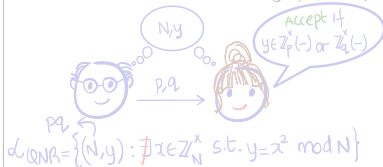


Which Languages have IPs? PSPACE Languages

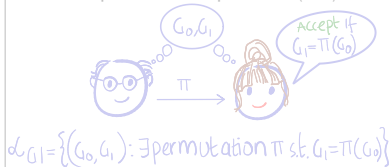
Quadratic residuosity (QR)



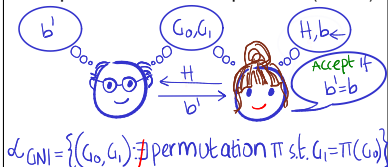
Quad. non-residuosity (QNR)



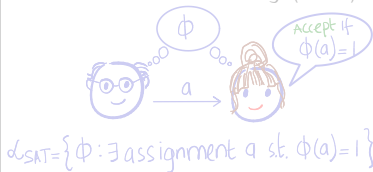
Graph isomorphism (GI)



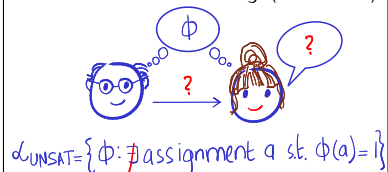
Graph non-isomorphism (GNI)



Boolean satisfiability (SAT)



Bool. unsatisfiability (UNSAT)

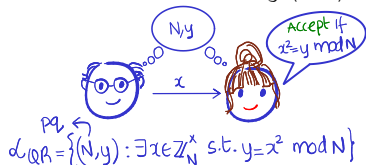


Plan for Today's Lecture

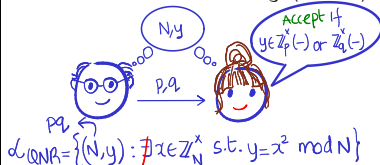
- 1 Interactive Proof (IP)
- 2 Zero Knowledge (Interactive) Proof (ZKP)
- 3 Class SZK: Statistical Zero-Knowledge Proof

Any Issues with the NP Proofs We Saw?

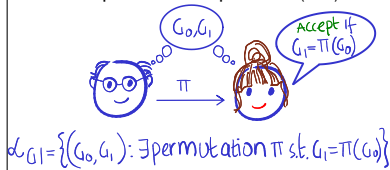
Quadratic residuosity (QR)



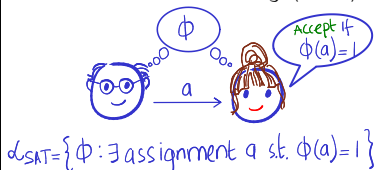
Quad. non-residuosity (QNR)



Graph isomorphism (GI)

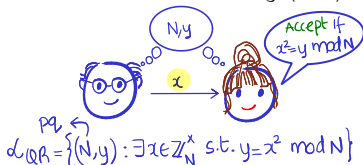


Boolean satisfiability (SAT)

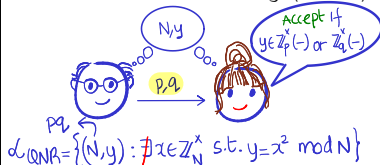


Any Issues with the NP Proofs We Saw?

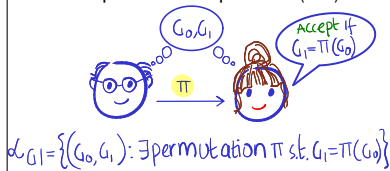
Quadratic residuosity (QR)



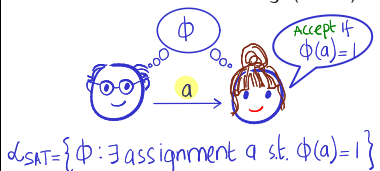
Quad. non-residuosity (QNR)



Graph isomorphism (GI)



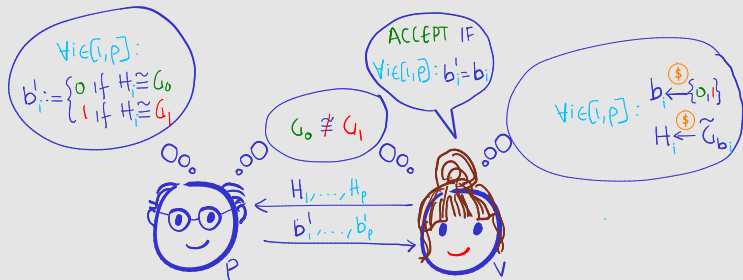
Boolean satisfiability (SAT)



- Verifier gains "**non-trivial knowledge**" about witness w
 - Not desirable, e.g., when $x = pk$ and $w = sk$ (identification)

What About the IP We Saw?

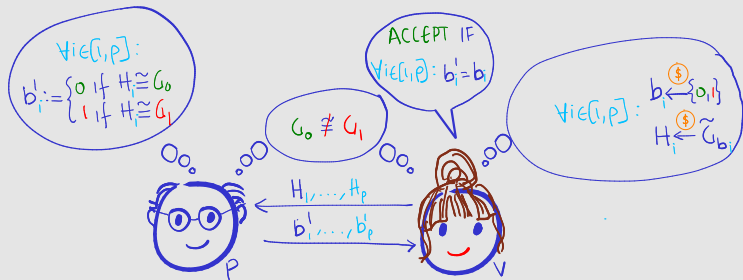
Protocol 1 (Π_{GNI} : IP for GNI)



Parallel/sequentially repeat to boost soundness

What About the IP We Saw?

Protocol 1 (Π_{GNI} : IP for GNI)

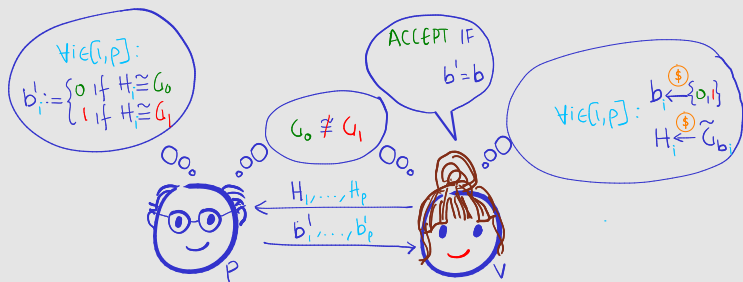


Parallel/sequentially repeat to boost soundness

- Seems V gains no knowledge beyond validity of the statement

What About the IP We Saw?


Protocol 1 (Π_{GNI} : IP for GNI)



Parallel/sequentially repeat to boost soundness

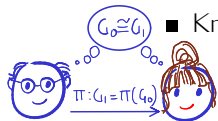
- Seems V gains no knowledge beyond validity of the statement
- We will see that Π_{GNI} is (honest-verifier) zero-knowledge!

How to Capture “V Gains No Knowledge”?

- Knowledge vs. information  *in the information-theoretic sense*
 - Knowledge is computational

How to Capture “V Gains No Knowledge”?

■ Knowledge vs. information ← in the information-theoretic sense



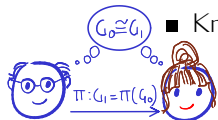
■ Knowledge is computational: e.g., consider NP proof for GI

■ Given (G_0, G_1) , the isomorphism π contains no *information*

■ But when given π , V “gains knowledge” since she couldn’t have computed π herself

How to Capture “V Gains No Knowledge”?

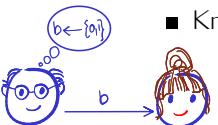
■ Knowledge vs. information ← in the information-theoretic sense



■ Knowledge is computational: e.g., consider NP proof for GI

■ Given (G_0, G_1) , the isomorphism π contains no *information*

■ But when given π , V “gains knowledge” since she couldn’t have computed π herself



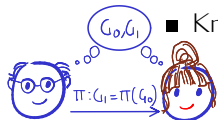
■ Knowledge pertains to public objects:

■ Flipping a *private* fair coin b and (later) revealing its outcome leads to V gaining *information*

■ But V *does not gain knowledge*: she could herself have tossed the private coin and revealed it

How to Capture “V Gains No Knowledge”?

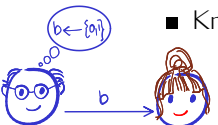
■ Knowledge vs. information ← in the information-theoretic sense



■ Knowledge is computational: e.g., consider NP proof for GI

■ Given (G_0, G_1) , the isomorphism π contains no *information*

■ But when given π , V “gains knowledge” since she couldn’t have computed π herself



■ Knowledge pertains to public objects:

■ Flipping a *private* fair coin b and (later) revealing its outcome leads to V gaining *information*

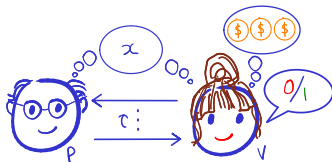
■ But V *does not gain knowledge*: she could herself have tossed the private coin and revealed it



Intuitively, “V gains no knowledge” if anything \wedge V can *compute* after the interaction, V could have computed *without it* (other than the validity of x)

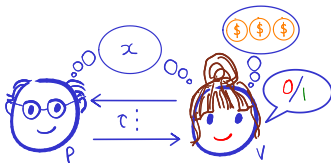
Defining Zero Knowledge via Simulators

- Formalised via “simulation paradigm”: $\text{View}_V(\langle P, V \rangle(x))$ can be *efficiently* simulated given only the instance



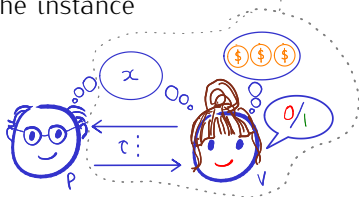
Defining Zero Knowledge via Simulators

- V 's "view" = x + transcript τ + coins
- Formalised via "simulation paradigm": $\text{View}_V(\langle P, V \rangle(x))$ can be *efficiently* simulated given only the instance



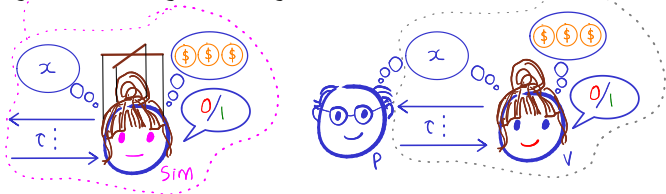
Defining Zero Knowledge via Simulators

- Formalised via “simulation paradigm”: $\text{View}_V(\langle P, V \rangle(x))$ can be *efficiently* simulated given only the instance



Defining Zero Knowledge via Simulators

- Formalised via "simulation paradigm": $\text{View}_V(\langle P, V \rangle(x))$ can be efficiently **simulated** given only the instance



Definition 2 (Honest-Verifier *Perfect* ZK)

An IP Π is honest-verifier perfect ZK if there exists a PPT simulator Sim such that for all distinguishers D and all $x \in \mathcal{L}$, the following is zero

$$\Pr[D(\text{View}_V(\langle P, V \rangle(x))) = 1] - \Pr[D(\text{Sim}(x)) = 1]$$

Defining Zero Knowledge via Simulators...

Malicious-verifier

Definition 2 (~~Honest-Verifier~~ Perfect ZK)

$\forall V^*$

An IP Π is honest-verifier perfect ZK if there exists a PPT simulator Sim such that for all distinguishers D and all $x \in \mathcal{L}$, the following is zero

$$\Pr[D(\text{View}_{V^*}(\langle P, V^* \rangle(x))) = 1] - \Pr[D(\text{Sim}(x)) = 1]$$

- Malicious-Verifier ZK: honest verifier $V \rightarrow$ all verifiers V^*
 - For every V^* there exists a PPT simulator Sim

Defining Zero Knowledge via Simulators...

Definition 2 (Honest-Verifier ~~Perfect~~ ^{Statistical} ZK)

An IP Π is honest-verifier ~~perfect~~ ^{Statistical} ZK if there exists a PPT simulator Sim such that for all distinguishers D and all $x \in \mathcal{L}$, the following is ~~zero~~ ^{negligible}

$$\left| \Pr[D(\text{View}_V(\langle P, V \rangle(x))) = 1] - \Pr[D(\text{Sim}(x)) = 1] \right|$$

- Malicious-Verifier ZK: honest verifier $V \rightarrow$ all verifiers V^*
 - For every V^* there exists a PPT simulator Sim
- Statistical ZK: relax “zero” to “negligible”
 - Equivalently: relax “distributions identical” to “distributions statistically close”

Defining Zero Knowledge via Simulators...

Definition 2 (Honest-Verifier *Perfect* ZK)

An IP Π is honest-verifier perfect ZK if there exists a PPT simulator Sim such that for all distinguishers D and all $x \in \mathcal{L}$, the following is zero

$$\Pr[D(\text{View}_V(\langle P, V \rangle(x))) = 1] - \Pr[D(\text{Sim}(x)) = 1]$$

- Malicious-Verifier ZK: honest verifier $V \rightarrow$ all verifiers V^*
 - For every V^* there exists a PPT simulator Sim
- Statistical ZK: relax “zero” to “negligible”
 - Equivalently: relax “distributions identical” to “distributions statistically close”

Exercise 2

What happens when one invokes the simulator on $x \notin \mathcal{L}$?

Π_{GNI} is *Honest-Verifier* ZK

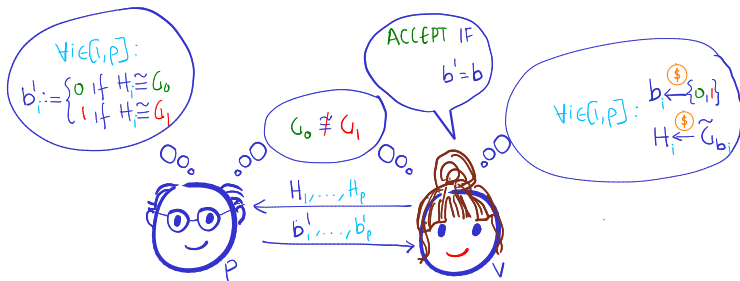
Theorem 2

Π_{GNI} is *honest-verifier perfect zero-knowledge IP* for \mathcal{L}_{GNI}

Π_{GNI} is Honest-Verifier ZK

Theorem 2

Π_{GNI} is honest-verifier perfect zero-knowledge IP for \mathcal{L}_{GNI}

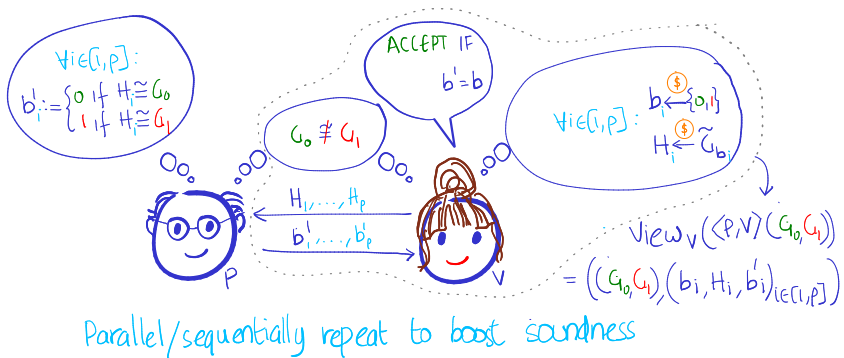


Parallel/sequentially repeat to boost soundness

Π_{GNI} is Honest-Verifier ZK

Theorem 2

Π_{GNI} is honest-verifier perfect zero-knowledge IP for \mathcal{L}_{GNI}



Π_{GNI} is *Honest-Verifier* ZK

Theorem 2

Π_{GNI} is *honest-verifier perfect zero-knowledge IP* for \mathcal{L}_{GNI}

Proof.

$$\text{view}_V(\langle P, V \rangle(G_0, G_1)) := ((G_0, G_1), (b_i, H_i, b'_i)_{i \in [1, \rho]})$$

$$\forall G_0 \neq G_1:$$

Π_{GNI} is *Honest-Verifier* ZK

Theorem 2

Π_{GNI} is honest-verifier perfect zero-knowledge IP for \mathcal{L}_{GNI}

Proof.

$$\text{view}_V(\langle P, V \rangle(G_0, G_1)) := ((G_0, G_1), (b_i, H_i, b'_i)_{i \in [1, p]})$$

$\forall G_0 \not\equiv G_1:$



$$\text{sim}(G_0, G_1) := ?$$

Π_{GNI} is Honest-Verifier ZK

Theorem 2

Π_{GNI} is honest-verifier perfect zero-knowledge IP for \mathcal{L}_{GNI}

Proof.

$$\text{view}_V(\langle P, V \rangle(G_0, G_1)) := ((G_0, G_1), (b_i, H_i, b'_i)_{i \in [1, p]})$$

$\forall G_0 \neq G_1:$



$$\text{sim}(G_0, G_1) := \forall i \in [1, p]: \text{sample } b_i \leftarrow \{0, 1\} \text{ and } H_i \leftarrow \tilde{H}_{b_i}$$
$$\text{o/p } ((G_0, G_1), (b_i, H_i, b_i)_{i \in [1, p]})$$

$\forall G_0 \neq G_1: \text{view}_V(\langle P, V \rangle(G_0, G_1))$ identically distributed to $\text{sim}(G_0, G_1)$.

Π_{GNI} is Honest-Verifier ZK

Theorem 2

Π_{GNI} is honest-verifier perfect zero-knowledge IP for \mathcal{L}_{GNI}

Proof.

$$\text{view}_V(\langle P, V \rangle(G_0, G_1)) := ((G_0, G_1), (b_i, H_i, b'_i)_{i \in [1, p]})$$

$\forall G_0 \not\equiv G_1:$



$$\text{sim}(G_0, G_1) := \forall i \in [1, p]: \text{sample } b_i \leftarrow \{0, 1\} \text{ and } H_i \leftarrow \tilde{C}_{b_i}$$
$$\text{o/p } ((G_0, G_1), (b_i, H_i, b_i)_{i \in [1, p]})$$

$\forall G_0 \not\equiv G_1: \text{view}_V(\langle P, V \rangle(G_0, G_1))$ identically distributed to $\text{sim}(G_0, G_1)$. □

Exercise 3

- 1 What happens if V is malicious and can deviate from protocol?
- 2 Using ideas from Π_{GNI} , build honest-verifier ZKP for \mathcal{L}_{QNR}

Are Randomness and Interaction Necessary?



\Leftrightarrow Interaction is necessary

Exercise 4

If \mathcal{L} has a non-interactive (i.e, one-message) ZKP then $\mathcal{L} \in \text{BPP}$

Are Randomness and Interaction Necessary?



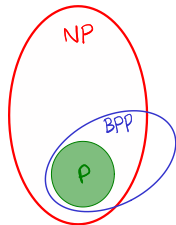
$\forall x \in d : \Pr[V(x)=1] \geq 2/3$
 $x \in \text{BPP} \iff \exists \text{PPT } V : \forall x \notin d : \Pr[V(x)=1] \leq 1/3$

Bounded-error probabilistic polynomial (BPP)

\Leftrightarrow Interaction is necessary

Exercise 4

If \mathcal{L} has a non-interactive (i.e., one-message) ZKP then $\mathcal{L} \in \text{BPP}$



Are Randomness and Interaction Necessary?



$\forall x \in d : \Pr[V(x)=1] \geq 2/3$
 $x \notin BPP \iff \exists PPT V : \forall x \in d : \Pr[V(x)=1] \leq 1/3$

Bounded-error probabilistic polynomial (BPP)

\Rightarrow Interaction is necessary

Exercise 4

If \mathcal{L} has a non-interactive (i.e, one-message) ZKP then $\mathcal{L} \in BPP$



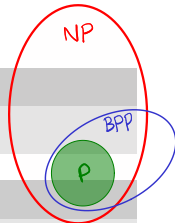
Randomness is necessary

Exercise 5

If \mathcal{L} has an IP with deterministic verifier then $\mathcal{L} \in NP$

Exercise 6

If \mathcal{L} has an ZKP with deterministic verifier then $\mathcal{L} \in BPP$

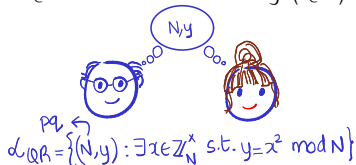


Plan for Today's Lecture

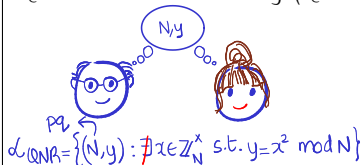
- 1 Interactive Proof (IP)
- 2 Zero Knowledge (Interactive) Proof (ZKP)
- 3 Class SZK: Statistical Zero-Knowledge Proof

Which Languages have ZKPs?

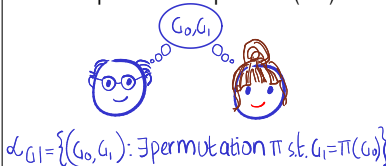
Quadratic residuosity (QR)



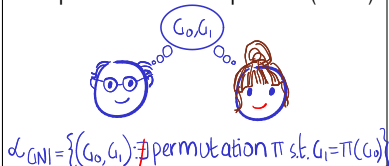
Quad. non-residuosity (QNR)



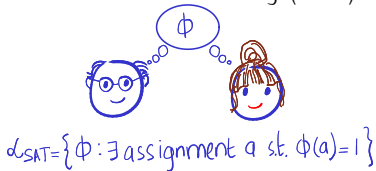
Graph isomorphism (GI)



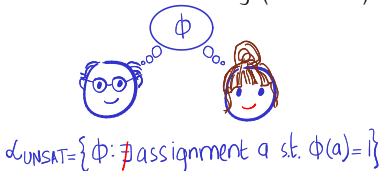
Graph non-isomorphism (GNI)



Boolean satisfiability (SAT)

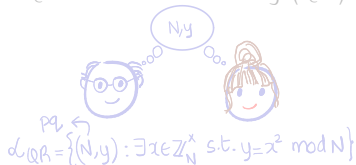


Bool. unsatisfiability (UNSAT)

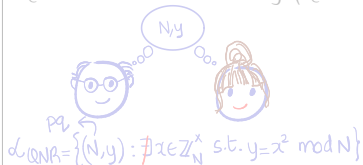


Which Languages have ZKPs?

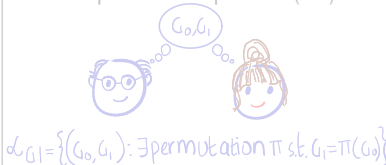
Quadratic residuosity (QR)



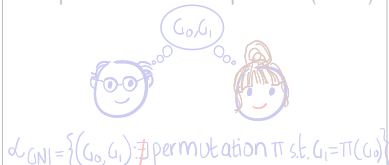
Quad. non-residuosity (QNR)



Graph isomorphism (GI)

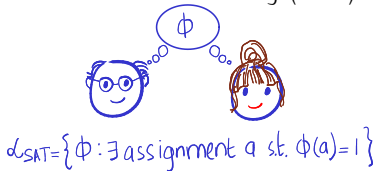


Graph non-isomorphism (GNI)

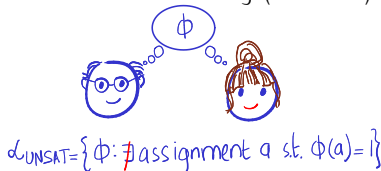


Boolean satisfiability (SAT)

L15

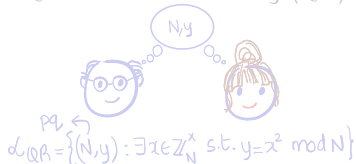


Bool. unsatisfiability (UNSAT)

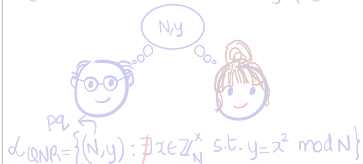


Which Languages have ^{Computational} ZKPs? PSPACE Languages

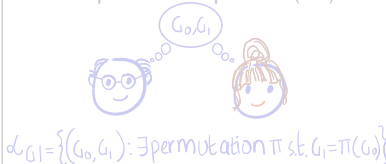
Quadratic residuosity (QR)



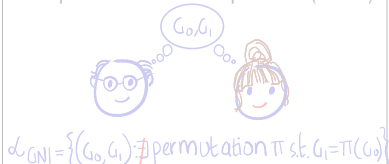
Quad. non-residuosity (QNR)



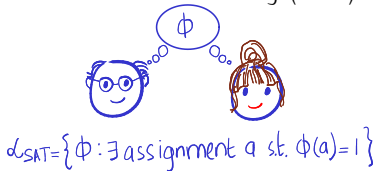
Graph isomorphism (GI)



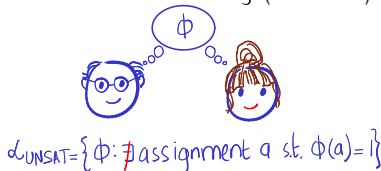
Graph non-isomorphism (GNI)



Boolean satisfiability (SAT)



Bool. unsatisfiability (UNSAT)



Class Statistical Zero Knowledge Proofs (SZK)

- The class of languages which admit *malicious verifier statistical* zero-knowledge proofs

Class Statistical Zero Knowledge Proofs (SZK)

- The class of languages which admit *malicious verifier statistical* zero-knowledge proofs
- Why is it interesting?
 - Contains a host of languages with connections to cryptography.
 - 1 Number-theoretic problems: QR, QNR
 - 2 Lattice problems: approximate shortest-vector problem, closest vector problems
 - 3 ...

Class Statistical Zero Knowledge Proofs (SZK)

- The class of languages which admit *malicious verifier statistical* zero-knowledge proofs
- Why is it interesting?
 - Contains a host of languages with connections to cryptography.
 - 1 Number-theoretic problems: QR, QNR
 - 2 Lattice problems: approximate shortest-vector problem, closest vector problems
 - 3 ...
 - Has complete problems: e.g., statistical difference (SD)
 - Given two circuits $C_0, C_1 : \{0, 1\}^n \rightarrow \{0, 1\}^m$, decide whether the distributions induced inputting C_0 and C_1 are statistically “close” or “far”.

Class Statistical Zero Knowledge Proofs (SZK)

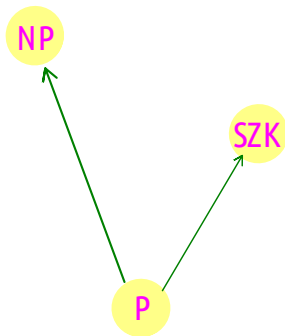
- The class of languages which admit *malicious verifier statistical* zero-knowledge proofs
- Why is it interesting?
 - Contains a host of languages with connections to cryptography.
 - 1 Number-theoretic problems: QR, QNR
 - 2 Lattice problems: approximate shortest-vector problem, closest vector problems
 - 3 ...
 - Has complete problems: e.g., statistical difference (SD)
 - Given two circuits $C_0, C_1 : \{0, 1\}^n \rightarrow \{0, 1\}^m$, decide whether the distributions induced inputting C_0 and C_1 are statistically “close” or “far”.

Exercise 7

Can you think of a honest-verifier SZK proof for SD?

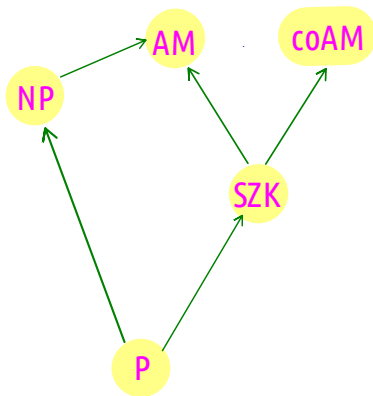
What do we know about SZK?

+ Closed under complement, i.e., $\text{SZK} = \text{coSZK}$



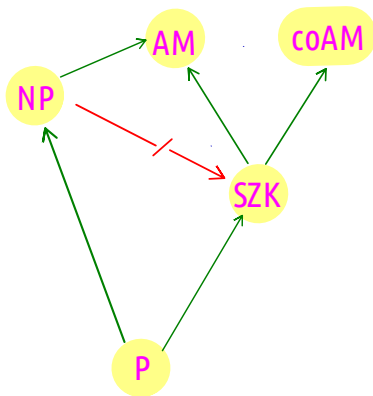
What do we know about SZK?

- + Closed under complement, i.e., $\text{SZK} = \text{coSZK}$
- + Contained in $\text{AM} \cap \text{coAM}$
 - AM: *public-coin*, one-round IP



What do we know about SZK?

- + Closed under complement, i.e., $\text{SZK} = \text{coSZK}$
- + Contained in $\text{AM} \cap \text{coAM}$
 - AM: *public-coin*, one-round IP
- NP cannot be contained in SZK (unless polynomial hierarchy collapses)



To Recap Today's Lecture

- Traditional “NP” proofs vs *interactive* proofs
 - IP is more powerful: IP for GNI

To Recap Today's Lecture

- Traditional “NP” proofs vs *interactive* proofs
 - IP is more powerful: IP for GNI
- Zero-knowledge proofs
 - Knowledge vs. information
 - Modelled “zero knowledge” via simulation paradigm

To Recap Today's Lecture

- Traditional “NP” proofs vs *interactive* proofs
 - IP is more powerful: IP for GNI
- Zero-knowledge proofs
 - Knowledge vs. information
 - Modelled “zero knowledge” via simulation paradigm
- *Honest-verifier* ZKP for GNI (HW: QNR)

To Recap Today's Lecture

- Traditional “NP” proofs vs *interactive* proofs
 - IP is more powerful: IP for GNI
- Zero-knowledge proofs
 - Knowledge vs. information
 - Modelled “zero knowledge” via simulation paradigm
- *Honest-verifier* ZKP for GNI (HW: QNR)
- Class SZK

References

- 1 [Gol01, Chapter 4] for details of today's lecture
- 2 [GMR89] for definitional and philosophical discussion on ZK. Salil Vadhan's thesis [Vad99] is also an excellent resource.
- 3 The ZKPs for GI and GNI are taken from [GMR89, GMW91]
- 4 IP for all of **PSPACE** is due to [LFKN92, Sha90].
Computational ZKP for all of **PSPACE** is due to [GMW91].
- 5 [Oka96] showed that **SZK** is closed under complement. That **NP** cannot be contained in **SZK** (unless PH collapses) is due to [For87]



Lance Fortnow.

The complexity of perfect zero-knowledge (extended abstract).

In Alfred Aho, editor, *19th ACM STOC*, pages 204–209. ACM Press, May 1987.



Shafi Goldwasser, Silvio Micali, and Charles Rackoff.

The knowledge complexity of interactive proof systems.

SIAM J. Comput., 18(1):186–208, 1989.



Oded Goldreich, Silvio Micali, and Avi Wigderson.

Proofs that yield nothing but their validity for all languages in NP have zero-knowledge proof systems.

J. ACM, 38(3):691–729, 1991.



Oded Goldreich.

The Foundations of Cryptography – Volume 1: Basic Techniques.

Cambridge University Press, 2001.



Carsten Lund, Lance Fortnow, Howard Karloff, and Noam Nisan.

Algebraic methods for interactive proof systems.

J. ACM, 39(4):859–868, October 1992.



Tatsuaki Okamoto.

On relationships between statistical zero-knowledge proofs.
In *28th ACM STOC*, pages 649–658. ACM Press, May 1996.



Adi Shamir.

$IP=PSPACE$.

In *31st FOCS*, pages 11–15. IEEE Computer Society Press, October 1990.



Salil Vadhan.

A study of statistical zero-knowledge proofs.

PhD thesis, Massachusetts Institute of Technology, 1999.