

CS 748, Spring 2021: Week 2, Lecture 1

Shivaram Kalyanakrishnan

Department of Computer Science and Engineering
Indian Institute of Technology Bombay

Spring 2021

Navigation System

How to go from IIT Bombay to Marine Drive?

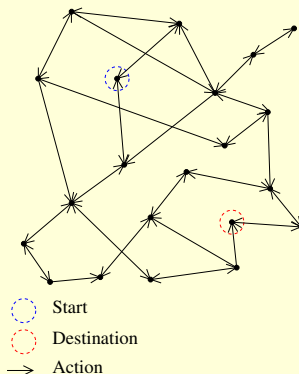


[1]

[1] <https://www.flickr.com/photos/nat507/16088993607>. CC image courtesy of Nathan Hughes Hamilton on Flickr licensed under CC BY 2.0.

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Some Popular Puzzles

How to solve?

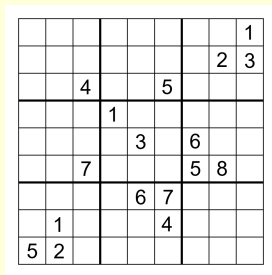
								1
							2	3
		4			5			
			1					
				3		6		
		7				5	8	
				6	7			
	1				4			
5	2							

Sudoku [1]

[1] https://upload.wikimedia.org/wikipedia/commons/e/eb/Sudoku_Puzzle_%28a_symmetrical_puzzle_with_17_clues%29.png. CC image courtesy of LithiumFlash on WikiCommons licensed under CC-BY-SA-4.0.

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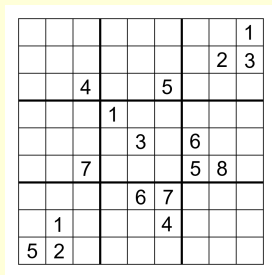
15-puzzle [2]

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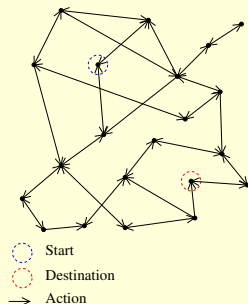
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Search

- Classical search
 - ▶ Problem instances
 - ▶ Generic search template
 - ▶ Uninformed search
 - ▶ Informed search (a.k.a. heuristic search)
 - ▶ Minimax search
- Decision-time planning in MDPs
 - ▶ Problem
 - ▶ Rollout policies
 - ▶ Monte Carlo tree search
- Discussion

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Elements of a Search Problem Instance

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- Set of **states**, including designated **start** state.
- Set of **actions** available from each state.
- **NextState(s, a)** for each state s and action a .
- **Cost(s, a)** for each state s and action a (assumed ≥ 0).
- **IsGoal(s)** for each state s .

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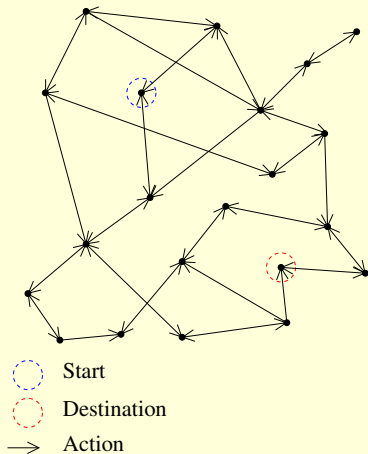
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- Number of available actions in each state is called the **branching factor b** .
- Length of optimal path to reach goal state is called the **depth d** of the search instance.

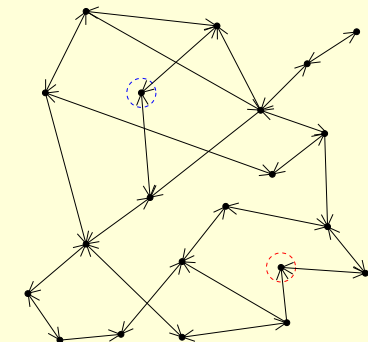
Problem Formulation: Navigation System




States?



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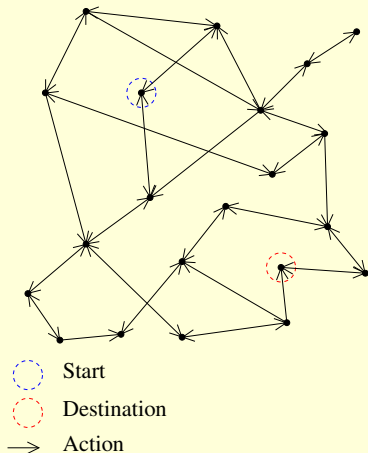
States?
Start state?



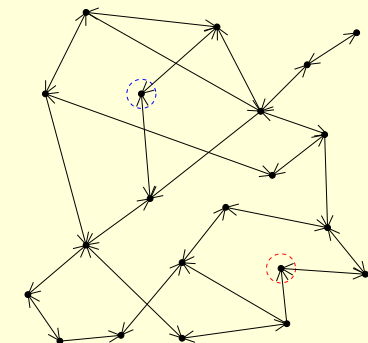
-  Start
-  Destination
-  Action

Problem Formulation: Navigation System

States?
Start state?
Actions?



Problem Formulation: Navigation System

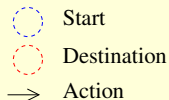


States?

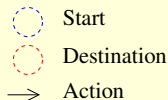
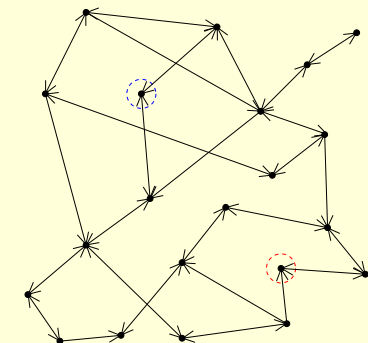
Start state?

Actions?

NextState()?

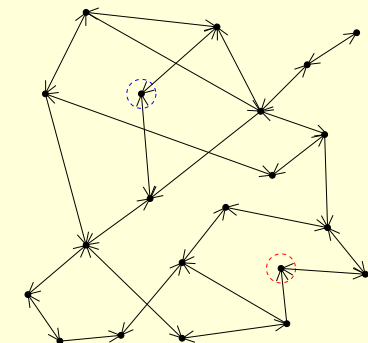





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States?
Start state?
Actions?
NextState()?
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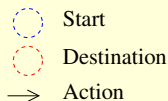
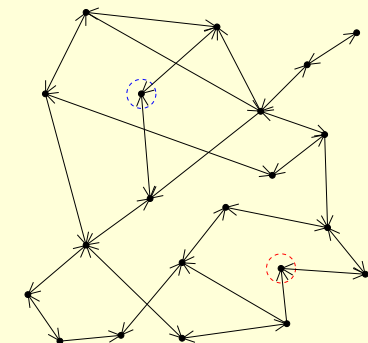
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States?
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Actions?
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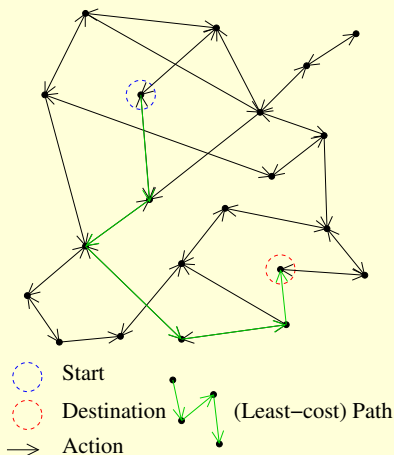
Problem Formulation: Navigation System



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Start state?
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Cost()?
IsGoal()?

A solver needs to find the least-cost path.

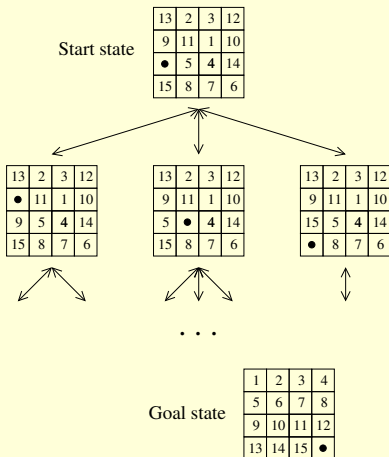
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Problem Formulation: 15 Puzzle



States?

Start state?

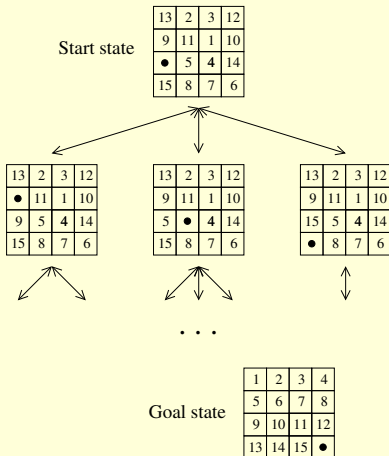
Actions?

NextState()?

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Problem Formulation: 15 Puzzle



States?

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Actions?

NextState()?

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IsGoal()?

A solver needs to find the shortest path to goal state.

Search

- Classical search
 - ▶ Problem instances
 - ▶ **Generic search template**
 - ▶ Uninformed search
 - ▶ Informed search (a.k.a. heuristic search)
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Generic Search Template: Pseudocode

- Primary data element is a **Node**, which is a tuple of the form $(state, pathFromStartState, pathCost)$.

Generic Search Template: Pseudocode

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(state, pathFromStartState, pathCost).

- At every stage of the search,
 - some states have been **explored**
 - some states remain **unexplored**, and
 - The **Frontier** is a set of nodes due for imminent expansion.

Generic Search Template: Pseudocode

$Frontier \leftarrow \{Node(startState, (startState), 0)\}.$

Repeat for ever:

 Select a node n from $Frontier$.

 //Expand n .

If $isGoal(n.state)$:

Return n .

For each action a available from $n.state$:

$s \leftarrow NextState(n.state, a).$

$c \leftarrow Cost(n.state, a).$

$n' \leftarrow Node(s, n.path + (a, s), n.pathCost + c).$

 Merge n' with $Frontier$. //Typically insertion;
 might also allow deletions.

Generic Search Template: Pseudocode

Frontier $\leftarrow \{ \text{Node}(\text{startState}, (\text{startState}), 0) \}$.

Repeat for ever:

 Select a node *n* from *Frontier*. //Which one?

 //Expand *n*.

If *isGoal*(*n.state*):

Return *n*.

For each action *a* available from *n.state*:

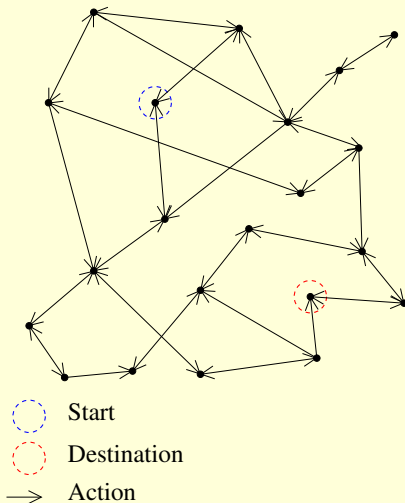
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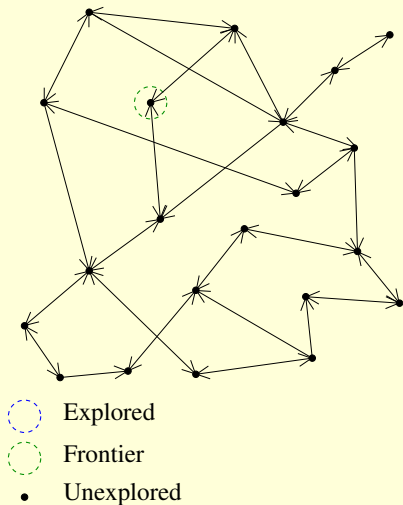
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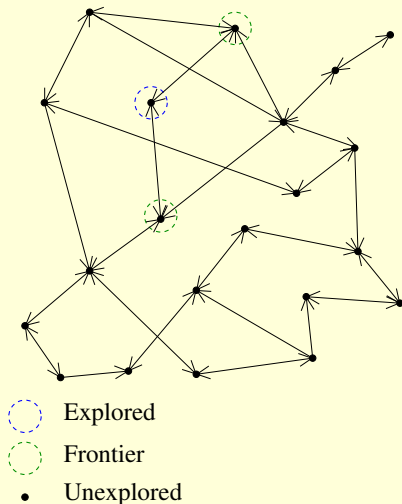
Generic Search Template: Illustration



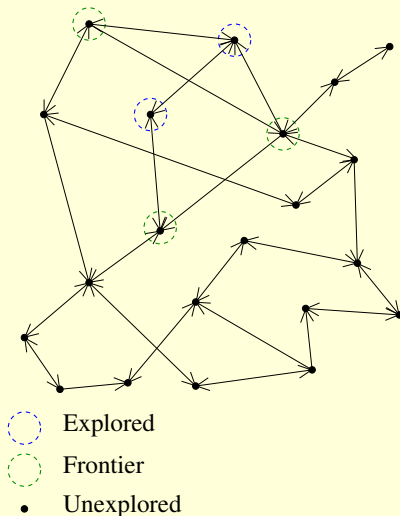
Generic Search Template: Illustration



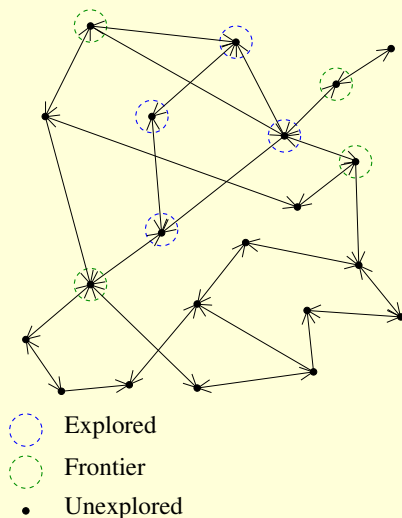
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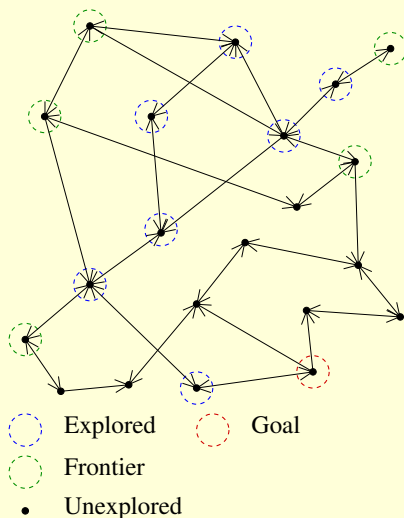
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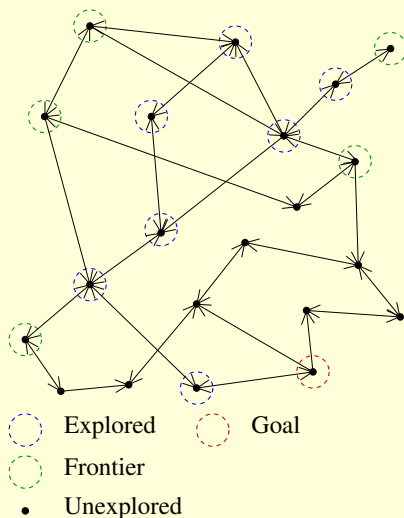
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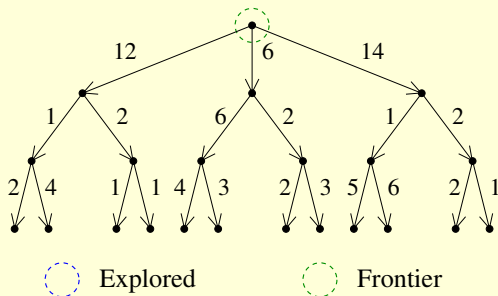
How did we decide which frontier nodes to expand?

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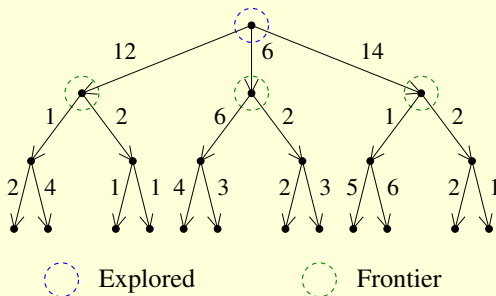
Depth-first Search (DFS)

Expand frontier node with **longest** path from start state.



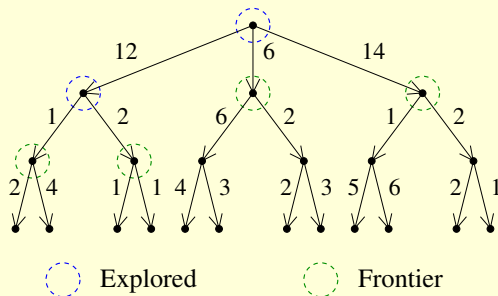
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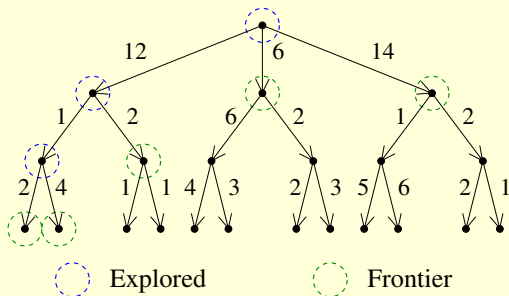
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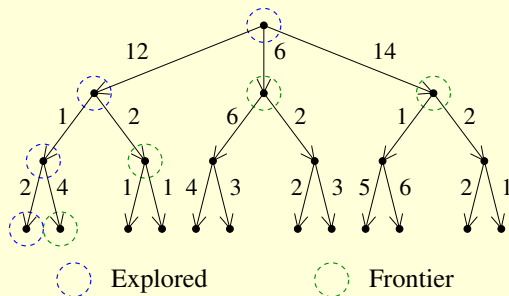
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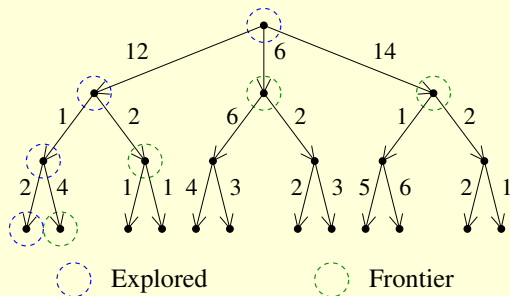
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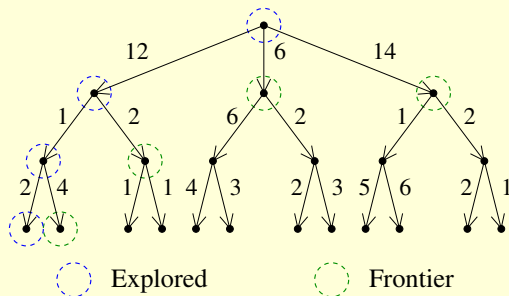
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- Frontier treated like a **stack** (LIFO).

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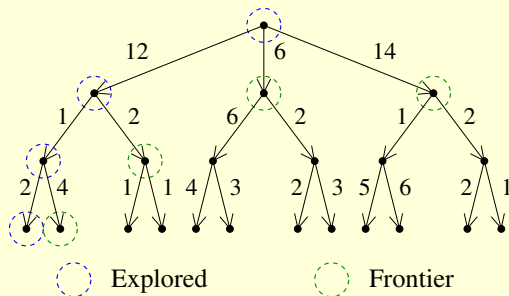
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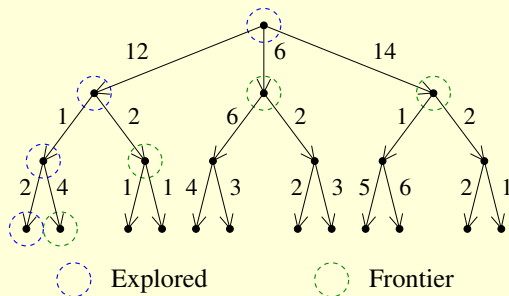
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- Guaranteed to terminate on **finite** search instances.

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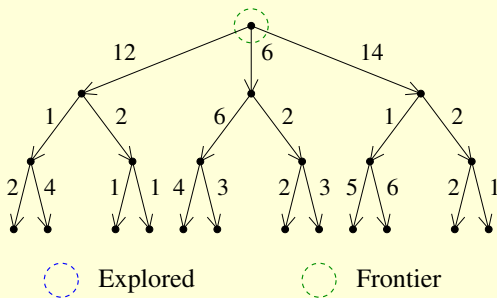
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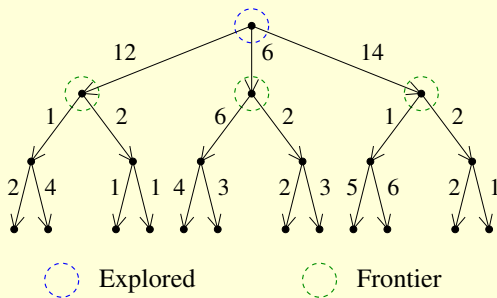
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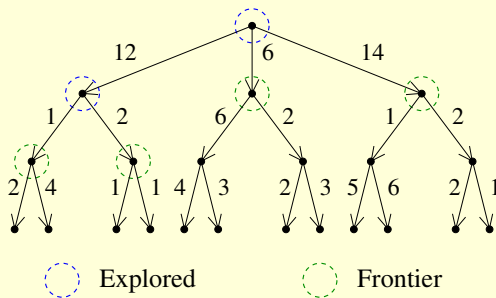
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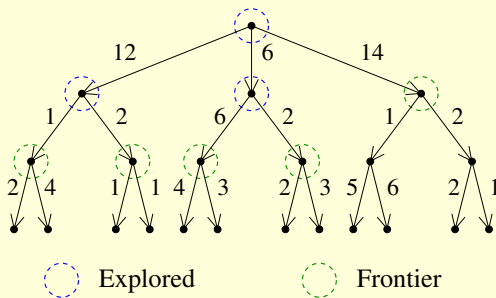
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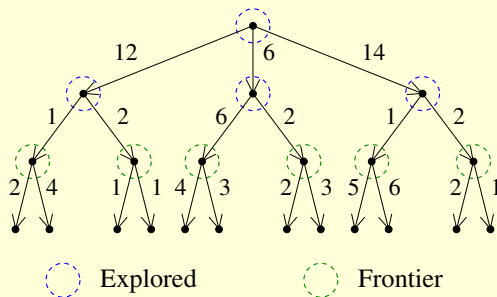
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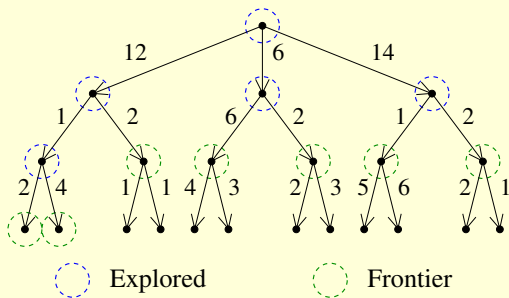
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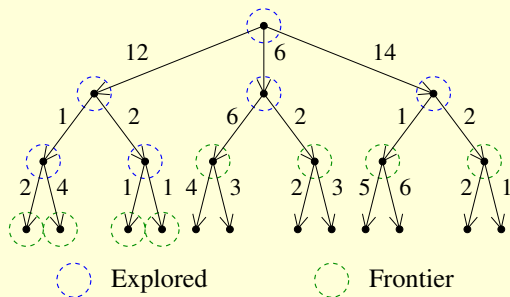
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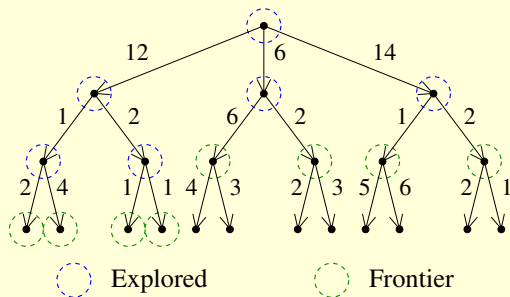
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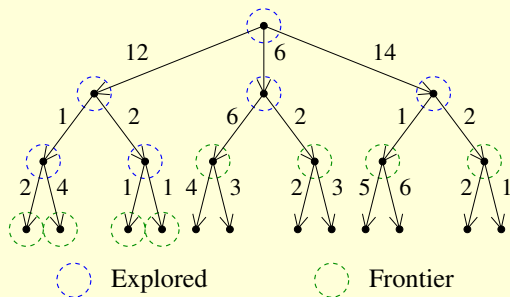
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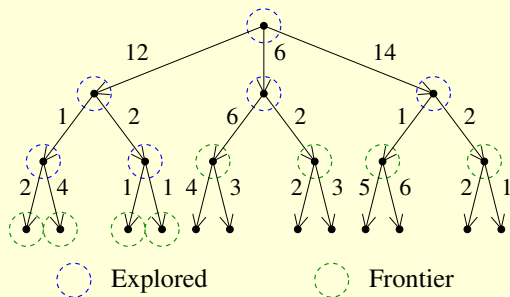
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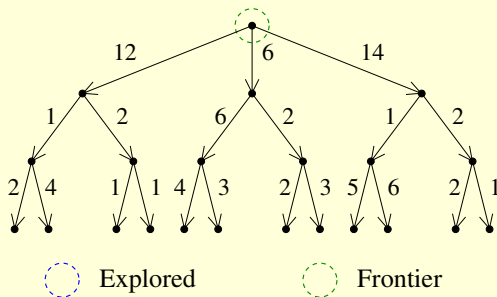
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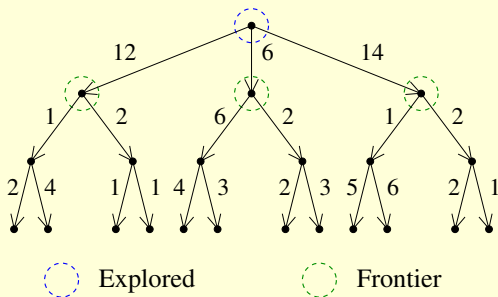
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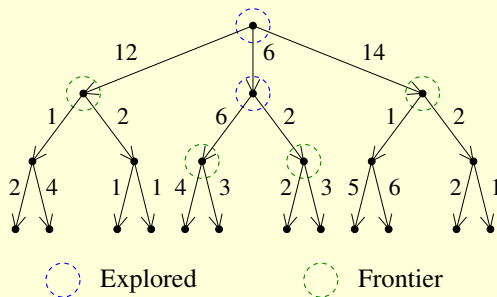
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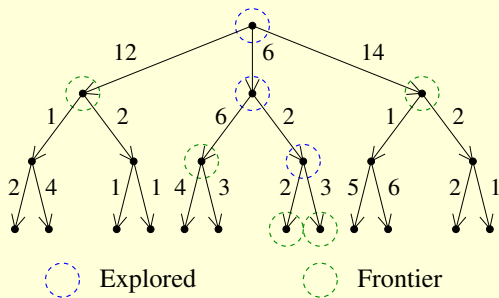
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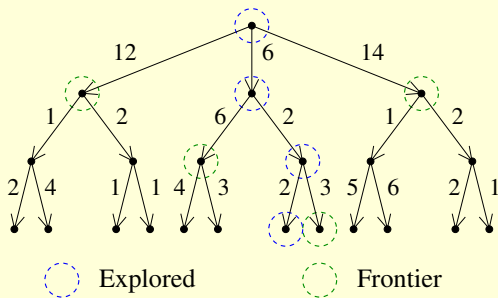
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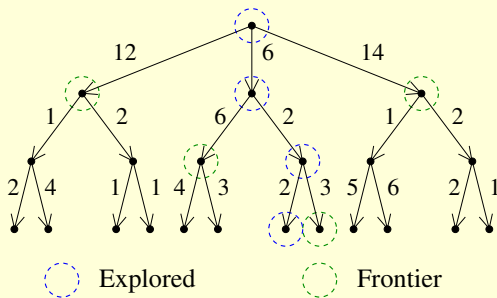
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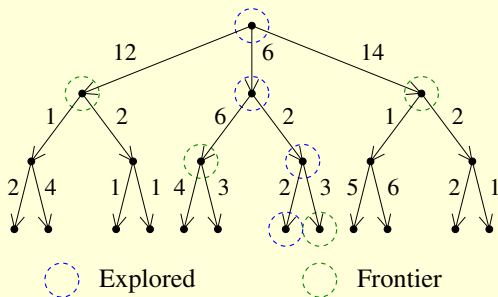
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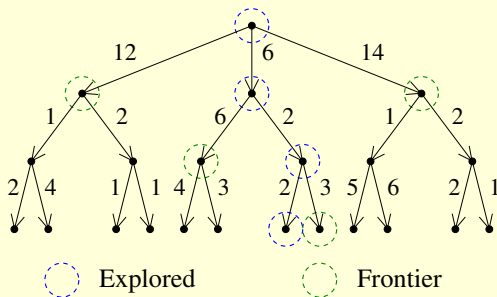
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- Guaranteed to terminate if **search depth** is finite and each **cost exceeds** $\epsilon > 0$.
- Memory requirement depends heavily on instance.

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Incorporating Domain Knowledge into Search

- Have to travel from Powai to Mahim.

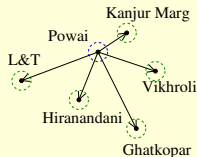
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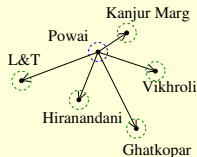


- Mahim

- First you expand the Powai node.

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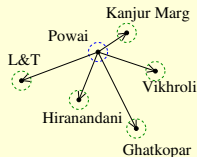


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- Which node will **you** expand next?

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- L&T and Hiranandani are **geographically** closer to Mahim: should that count?

Heuristic Functions and A* Search Algorithm

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- A* search originally conceived for robotic path planning.

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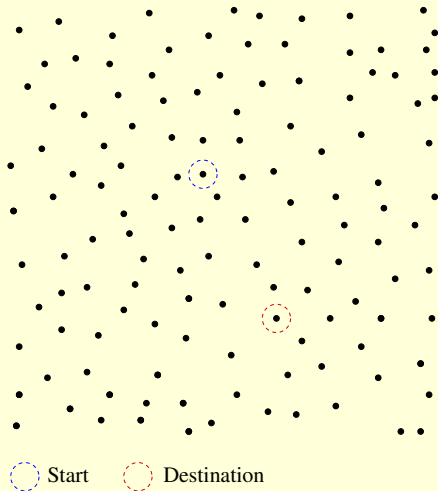
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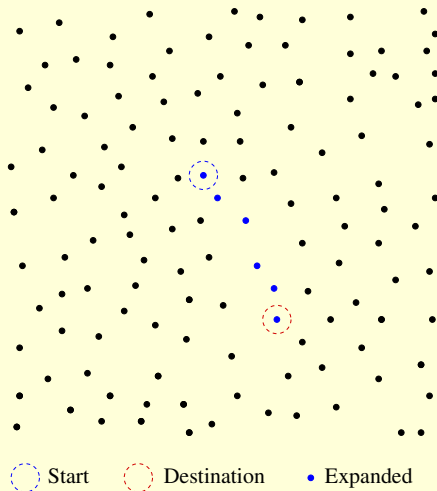
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- For a given task, which is the best heuristic function to use?

Effect of Heuristic

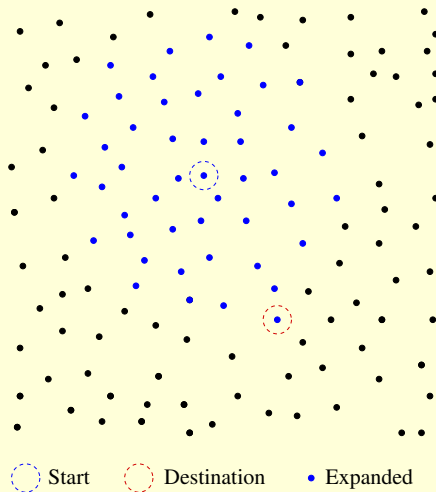


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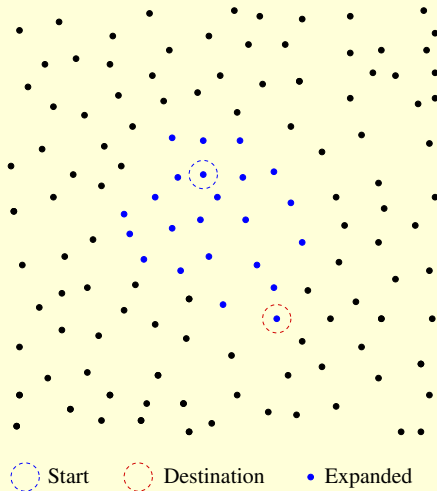
$h(n) = c^*(n)$. Will only expand nodes along optimal path!
Unfortunately $c^*(n)$ is not known!

Effect of Heuristic



$h(n) = 0$. Identical to LCFS.

Effect of Heuristic



Intermediate/typical $h(n)$ expands fewer nodes than LCFS.

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Admissible Heuristics

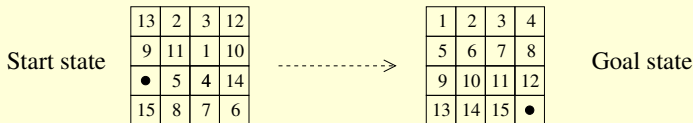
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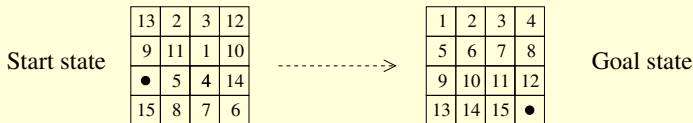
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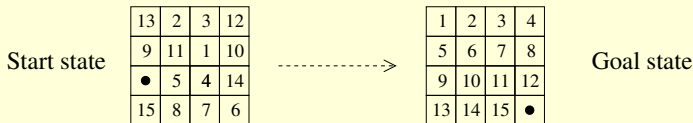
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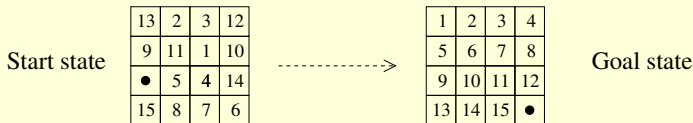


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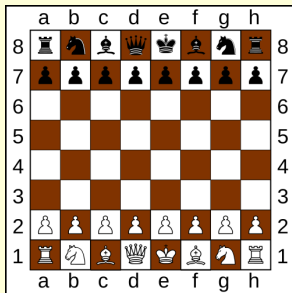
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Yes—example coming up in next section. But try to avoid.

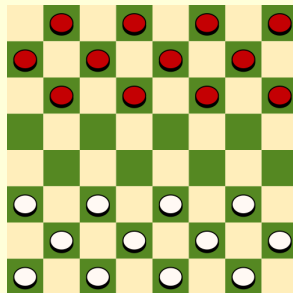
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Search and Games



[1]



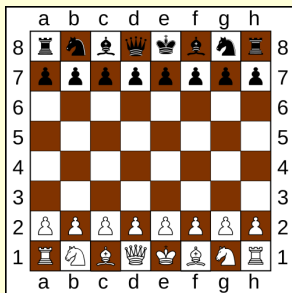
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[1] https://commons.wikimedia.org/wiki/File:AAA_SVG_Chessboard_and_chess_pieces_02.svg. CC image courtesy of ILA-boy on WikiMedia Commons licensed under CC-BY-SA-3.0.

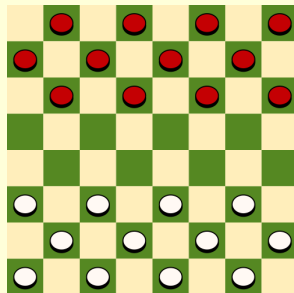
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Search and Games



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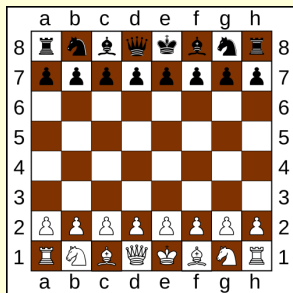


Checkers/Draughts [2]

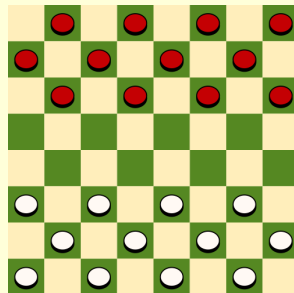
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Search and Games



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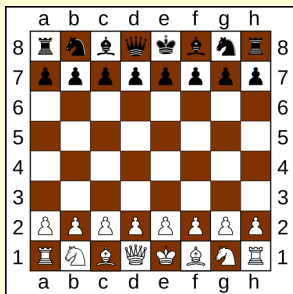
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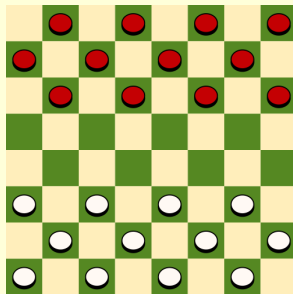
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Search and Games



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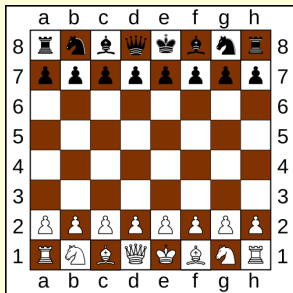
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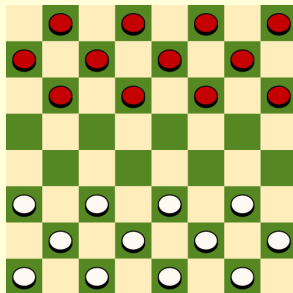
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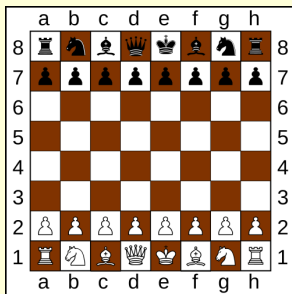
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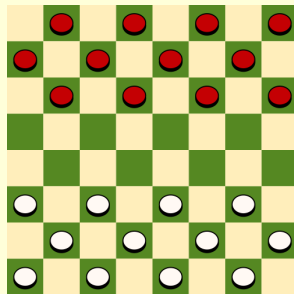
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Search and Games



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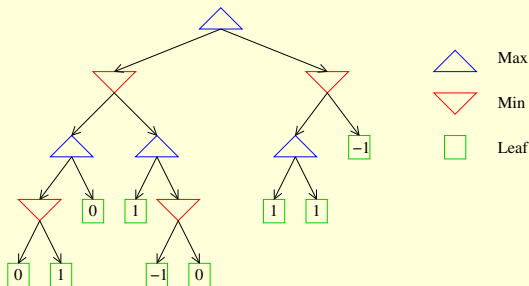
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- Instances of **turn-based** two player zero-sum games.

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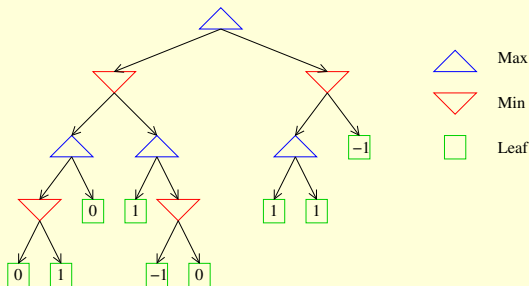
Game Tree

- Assume turn-taking zero sum game played by **Max** and **Min**.
- Action costs usually taken as 0, but leaves have value -1 (Max loses), 0 (draw), 1 (Max wins).
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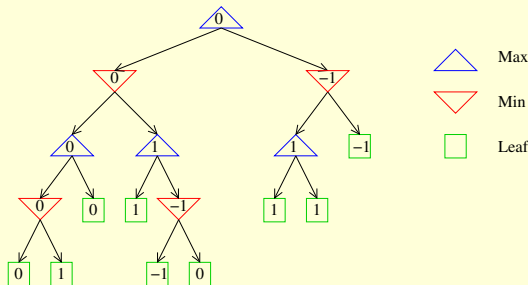
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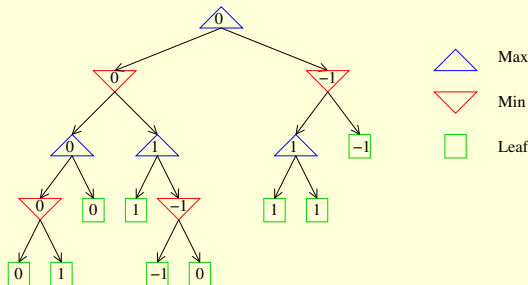
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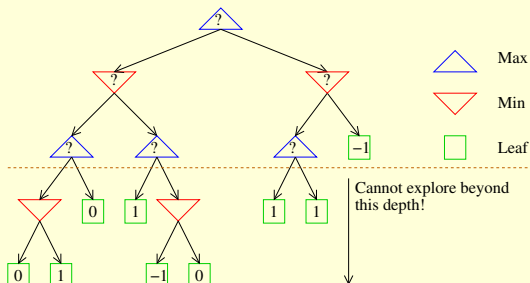
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- In 2007, a massive, long-running computation concluded that the value of the root node for Checkers is 0 (draw).

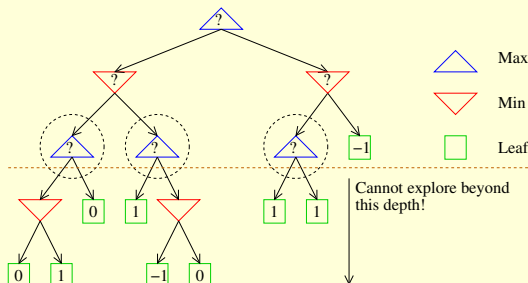
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Infeasible to solve!



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- At some depth d from current node, estimate node value using **features**.
- For example, in Chess, set **evaluation** as
$$w_1 \times \text{Material diff.} + w_2 \times \text{King safety} + w_3 \times \text{pawn strength} + \dots$$
- Weights w_1, w_2, w_3, \dots are tuned or learned.

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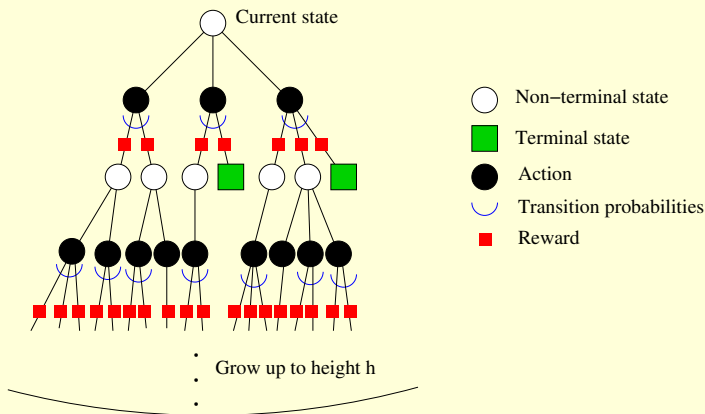
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- How to rigorously do so?

Tree Search on MDPs

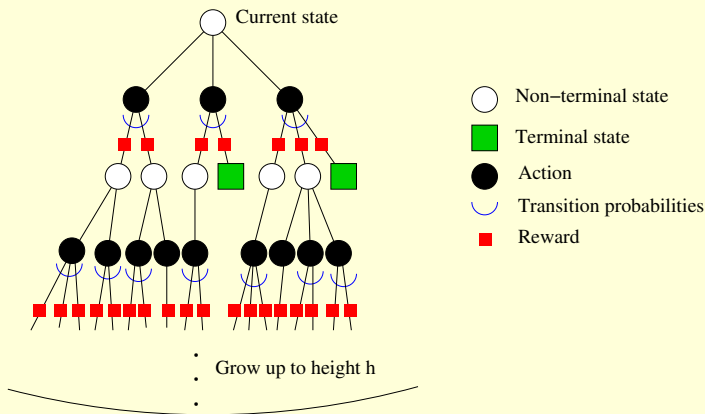


- **Expectimax** calculation. Set $Q^h \leftarrow \mathbf{0}$ //Leaves.
For $d = h - 1, h - 2, \dots, 0$ //Bottom-up calculation.

$$V^d(s) \leftarrow \max_{a \in A} Q^{d+1}(s, a);$$

$$Q^d(s, a) \leftarrow \sum_{s' \in S} T(s, a, s') \{R(s, a, s') + \gamma V^d(s')\}.$$

Tree Search on MDPs



- Need $h = \theta(\frac{1}{1-\gamma})$ for sufficient accuracy.
- With branching factor b , tree size is $\theta(b^h)$. Expensive!
- Often M is only a **sampling model** (not distribution model).
- Can we avoid expanding (clearly) inferior branches?

Search

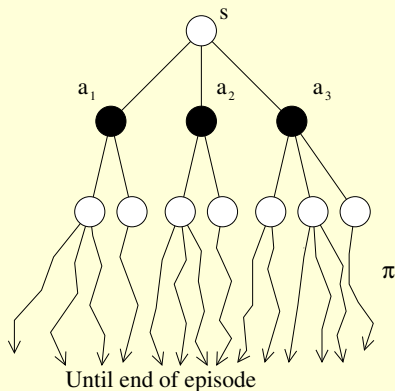
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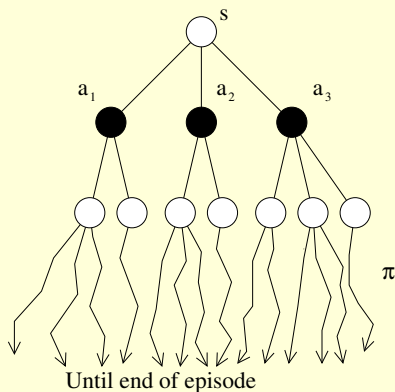
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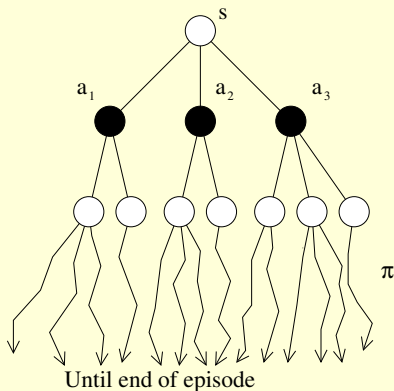
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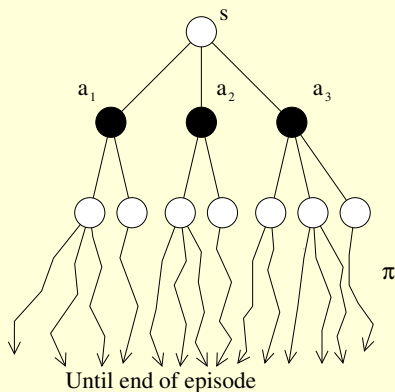
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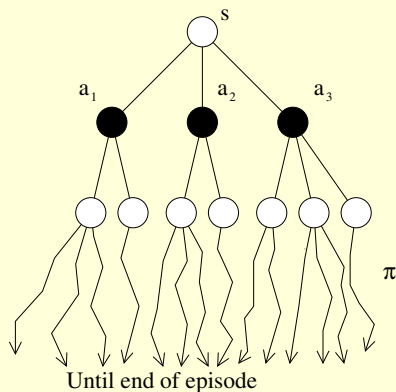
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- Take action $\pi'(s) = \operatorname{argmax}_{a \in A} \hat{Q}^\pi(s, a)$.

Rollout Policies

- Suppose we have a (look-up) policy π .
- Let policy π' satisfy $\pi'(s) = \max_{a \in A} Q^\pi(s, a)$ for $s \in S$.
- By the policy improvement theorem, we know $\pi' \succeq \pi$.
- We implement π' using Monte Carlo rollouts (through M).



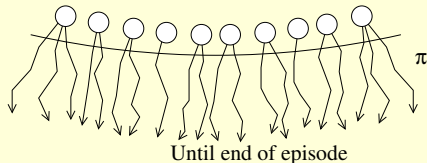
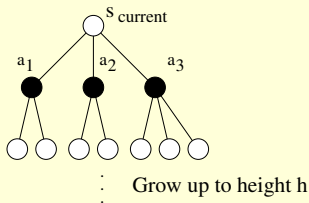
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- Repeat same process from next state s' .

Search

- Classical search
 - ▶ Problem instances
 - ▶ Generic search template
 - ▶ Uninformed search
 - ▶ Informed search (a.k.a. heuristic search)
 - ▶ Minimax search
- Decision-time planning in MDPs
 - ▶ Problem
 - ▶ Rollout policies
 - ▶ Monte Carlo tree search
- Discussion

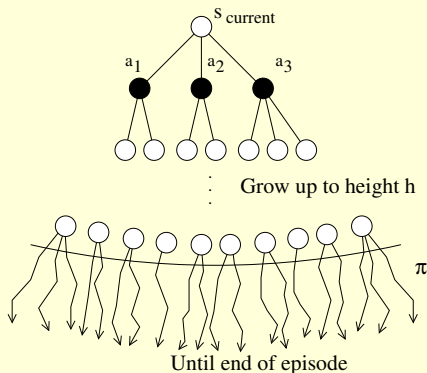
Monte Carlo Tree Search (UCT Algorithm)

- Build out a tree up to height h (say 5–10) from current state s_{current} . “Data” for the tree are samples returned by M .
- For (s, a) pairs reachable from s_{current} in $\leq h$ steps, maintain
 - ▶ $Q(s, a)$: average of returns of rollouts passing through (s, a) .
 - ▶ $ucb(s, a) = Q(s, a) + C_p \sqrt{\frac{\ln(t)}{\text{visits}(s, a)}}$.



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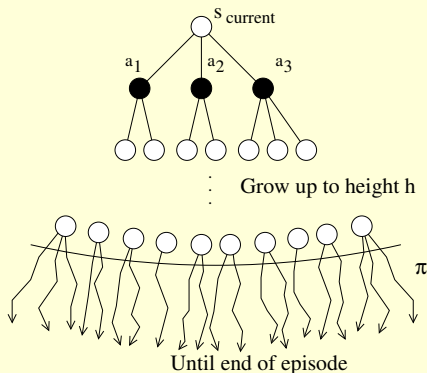


Repeat N times from s_{current} :

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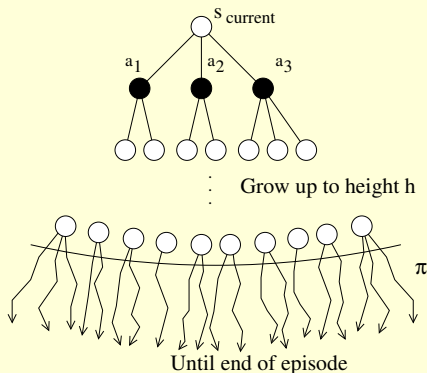


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Take $\arg\max_{a \in A} ucb(s, a)$.

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- Main parameters of UCT: rollout policy π , search tree height h , number of rollouts N .
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- UCT focuses attention on rewarding regions of state space.
- Rollouts can easily be parallelised.
- Extremely successful algorithm in practice.

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Search in AI/ML

- **Heuristic search** (“problem-solving”) is among the earliest topics studied in AI.
- **Applications**: Theorem-proving, constraint satisfaction problems/integer programming, robotic path planning, logistics, Video games (movement of characters).
- **A*** search (and variants such as IDA*) used widely in practice.
- Search is **different from learning**, although these two attributes of intelligence often come together.
- Main technical challenge: large (exponentially growing) **number of states** in most practical tasks.

Search in On-line Decision Making

- Key requirement: simulator ([model](#)).
- More [computationally expensive](#) than lookup of π or Q .
- MCTS with rollout policies an effective approach to handle stochasticity as well as [large state spaces](#).
- [Learning](#) (say an evaluation function) can also help solution quality of search in practice.
- Proof of all these claims: [AlphaGo](#)!

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- We'll cover more model-based methods, as well as AlphaGo, in upcoming lectures.