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# B-Splines

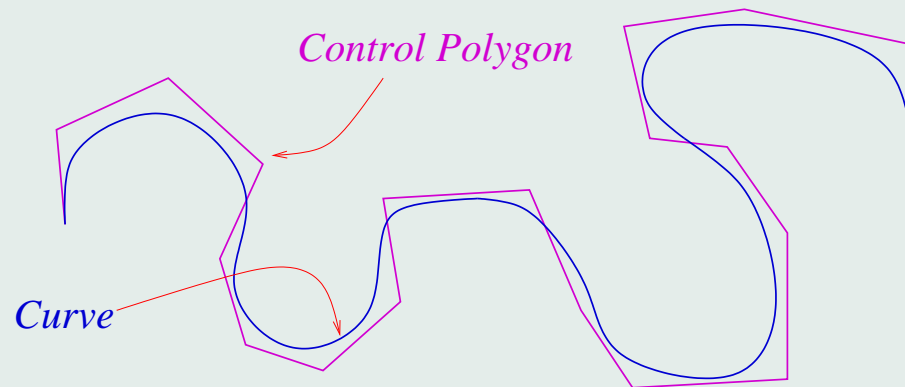
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## An Issue

Suppose we are to model a **long** curve with many convolutions. How does the bezier paradigm do?

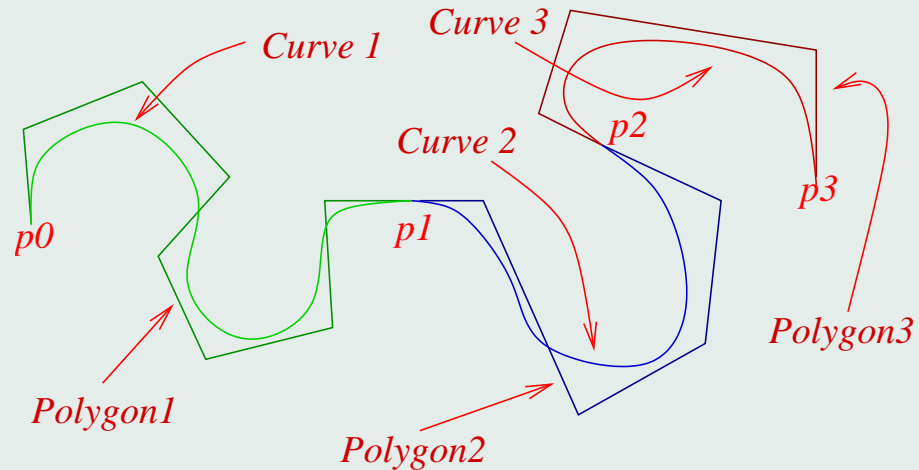
**Option 1:** Use as many control points as required to model the curve:



Problem with this is that as the number of control points increase, the time to evaluation, which is  $O(n^2)$  increases as a **square** in this quantity. This can be very expensive.

## Option 2

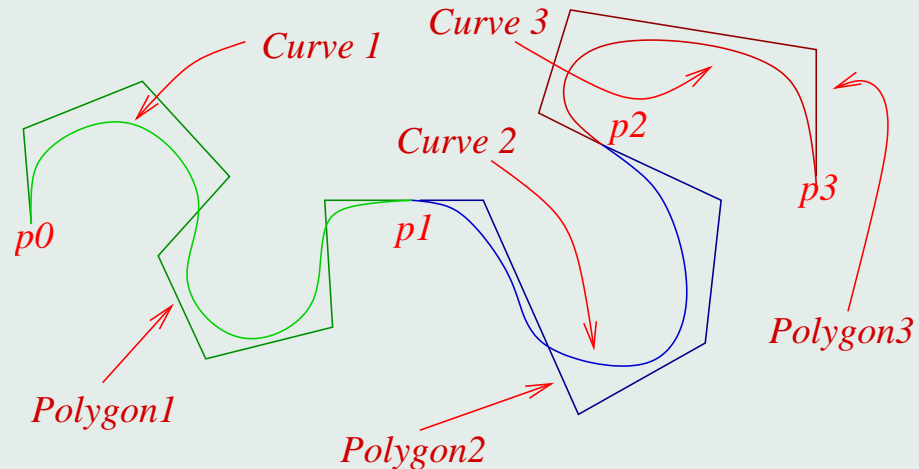
Option 2: Break up the curve into many parts and model separately.



This is a good option except that continuity at the **junction points**  $p_1$ ,  $p_2$ ,  $p_3$  and  $p_4$  poses some problems.

$C^0$ -continuity is easy to impose; just make sure that the last control point of  $C_1$  equals the first of  $C_2$ .

## Higher Continuities?



- $C^1$ -continuity is a bit more tedious: the last span of the control polygon  $P_1$  should be **colinear** with the first of  $P_2$ .
- $C^2$ -continuity is even more tedious.

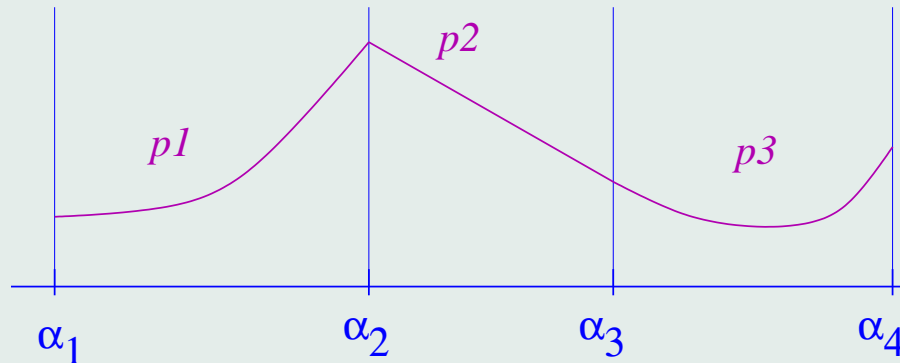
So if this **jugglery** can be managed, then Option 2 is acceptable.

## Piece-wise Polynomials

Fix

- a degree  $D$ .
- a sequence  $\alpha_1 < \alpha_2 < \dots < \alpha_k$  of real numbers.

A function  $f : [\alpha_1, \alpha_k] \rightarrow \mathbb{R}$  is a **piece-wise polynomial** for the above data if there are polynomials  $p_1(t), \dots, p_{k-1}(t)$  of degree at most  $D$  such that  $f(t) = p_i(t)$  whenever  $t \in [\alpha_i, \alpha_{i+1}]$ .



Notice that  $f$  appears to be  $C^0$ -continuous at  $\alpha_2$  and  $C^1$ -continuous at  $\alpha_2$ .

## Defect

**Question:** What is the maximum  $k$  so that  $p_1$  and  $p_2$  are  $C^k$ -continuous at  $\alpha_2$ ?

**Answer:** Obviously the degree  $D$ , in which case  $p_1$  and  $p_2$  are **identical**. Indeed, the  $D + 1$  relations that  $p_1(\alpha_2) = p_2(\alpha_2)$  and  $p_1'(\alpha_2) = p_2'(\alpha_2)$  and so on till  $p_1^{(D)}(\alpha_2) = p_2^{(D)}(\alpha_2)$  enforce that  $p_1 = p_2$ .

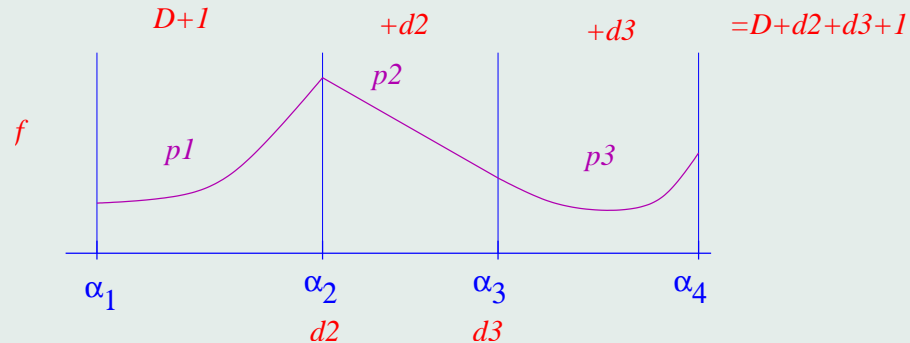
Let  $f = (p_1, \dots, p_{k-1})$  be a piece-polynomial. We say that  $f$  has a defect of (atmost)  $d$  at  $\alpha_1$  if:

$$p_1^i(\alpha_2) = p_2^i(\alpha_2) \text{ for } i = 0, 1, \dots, D - d.$$

## Free Dimensions

Thus if  $p_1$  is known and the defect at  $\alpha_2$  is  $d_2$  then there are exactly  $d_2$  more conditions needed to define  $p_2$  completely. Carrying on like this, we see that, roughly speaking, the **degrees of freedom** for a piece-wise polynomial function  $f$  is

$$D + 1 + d_2 + d_3 + \dots + d_{k-1}$$



## Knot Vector

The data  $D, (\alpha_1, \dots, \alpha_k)$  and the prescribed maximum defects  $d_2, \dots, d_{k-1}$  are succinctly expressed in the format of a **knot vector**

**Knot Vector:**  $\bar{\beta} = [\beta_1 \leq \beta_2 \leq \dots \leq \beta_m]$  such that:

- No entry occurs more than  $D$  times.
- $\beta_1 = \beta_2 = \dots = \beta_D$  and  $\beta_{m-D+1} = \beta_{m-D+2} = \dots = \beta_m$ .

$D$  is called the degree of the knot-vector,  $m$  its length. For a  $\beta \in \bar{\beta}$ , the multiplicity of  $\beta$  is the number of occurrences of  $\beta$  in  $\bar{\beta}$ .

**Examples:**

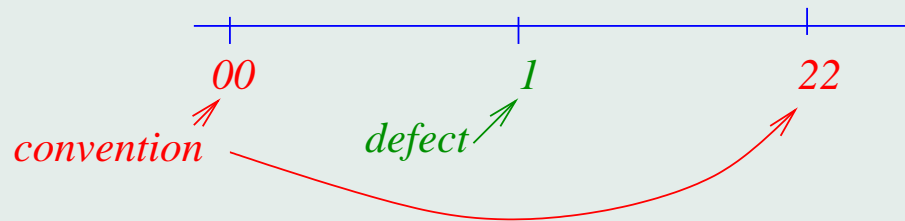
- $S = [0, 0, 0, 1, 1, 1]$ : This is the standard *bezier* knot vector of degree 3.
- $O = [0, 0, 0, 2, 4, 4, 4]$ , degree 3 and length 7.
- $A = [0, 0, 0, 2, 2, 4, 4, 4]$ , degree 3 and length 8.
- $B = [0, 0, 0, 1, 2, 2, 4, 4, 4]$ , degree 3 and length 9.
- $D = [0, 0, 0, 1, 2, 2, 3, 4, 4, 4]$ , degree 3 and length 10.



## Interpretation: A Small Example

Lets look at  $[00122]$ .

$V([00122])$  will denote the space of all **piece-wise** polynomial functions of degree 2 on  $[0, 2]$  with **defect 1** at 1.



Thus  $V$  consists of two degree 2 polynomials  $p_1, p_2$  such that:

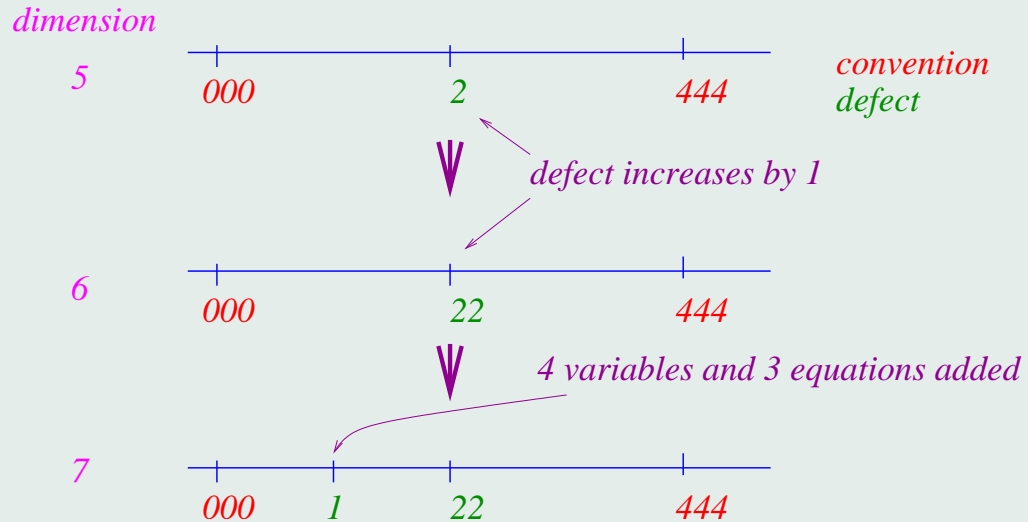
$$(i) p_1^1(1) = p_2^1(1) \quad (ii) p_1^0(1) = p_2^0(1)$$

Since  $p_1 = a_0 + a_1t + a_2t^2$ , and  $p_2 = b_0 + b_1t + b_2t^2$ , we have 6 variables and 2 relations between these variables. The relations are:

$$a_0 + a_1 + a_2 = b_0 + b_1 + b_2 \quad \text{and} \quad 2a_2 + a_1 = 2b_2 + b_1$$

Thus dimension of  $V$  is 4.

## Pictorially, a larger example



Thus by an **insertion** of a **knot**, the dimension increases by exactly 1. It is easy to show now that:

$$\dim(V(A)) = \text{length}(V(A)) - D + 1$$

## Interpretation: More Examples

- $V(S) = V[000111]$  is space of all cubic polynomial functions on  $[0, 1]$ .
- $V(O) = V[0002444]$  is the space of all **piece-wise** polynomial functions on  $[0, 4]$  with defect **1** at 2.
- $V(A) = V[00022444]$  is the space of all **piece-wise** polynomial functions on  $[0, 4]$  with defect **2** at 2.
- $V(B) = V[000122444]$  is the space of all **piece-wise** polynomial functions on  $[0, 4]$  with (i) defect **1** at 1 and (ii) defect **2** at 2.

Note that  $V(O) \rightarrow V(A) \rightarrow V(B)$ .

$\dim(V(S))$	4
$\dim(V(O))$	5
$\dim(V(A))$	6
$\dim(V(B))$	7

## Greville Abscissa

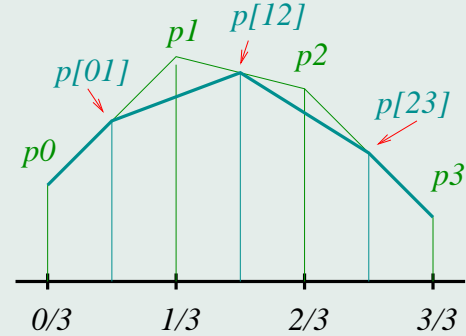
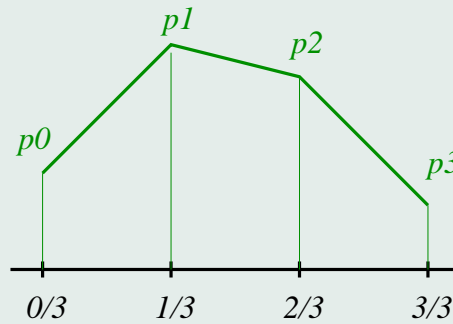
**Question:** But what about a basis for  $V(A)$ ?

Recall the bezier case. We had:

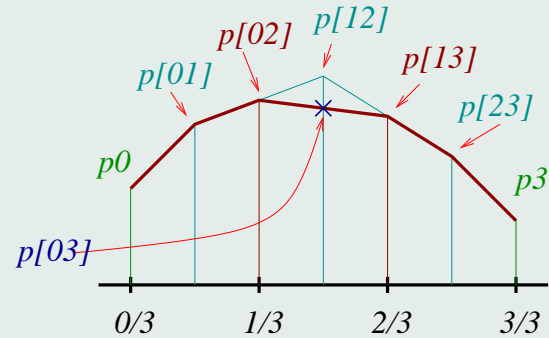
- Special points  $\xi_i = \frac{i}{n}$  and  $Gr_n = \{\xi_0, \xi_1, \dots, \xi_n\}$ .
- A basis element  $B_i^n(t)$  associated with each point  $\xi_i$ .
- Control polygon  $P = [p_0, \dots, p_n]$  with  $p_i$  associated with each  $\xi_i$ .
- An evaluation procedure based on interpolation within this polygon.

A similar process happens for general knot vectors.

# Re-Cap



The  
deCasteljeu  
procedure



## The General Case

We pick the knot vector  $\beta = [0002444]$ . We define the set  $Gr(A)$  to be averages of  $D$  consecutive knots in the knot vector.

Thus  $Gr(A) = \{0, 2/3, 2, 10/3, 4\}$ . Note that

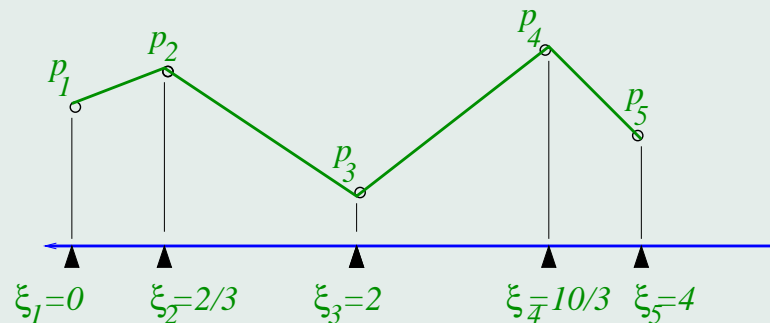
- $|Gr(A)| = length(A) - D + 1$ .
- The first and the last knot are elements of  $Gr(A)$ . In fact if a knot has multiplicity  $D$  then it shows up as a greville abscissa.
- $Gr([000111]) = \{0/3, 1/3, 2/3, 3/3\}$ .

Formally,  $\bar{\beta} = \beta_1, \dots, \beta_m$  is the knot vector then  $Gr(\beta) = \{\xi_1, \dots, \xi_{m-D+1}\}$ , where

$$\xi_i = \frac{\beta_i + \beta_{i+1} + \dots + \beta_{i+D-1}}{D}$$

## The Control Polygon

Assign to each element  $\xi_i$  of  $Gr(\beta)$ , a control point. Locate the set  $Gr(\beta)$  on the real line and form the **Control Polygon**.



$$A = [0002444]$$

$$\text{degree}=3$$

## The Knot Insertion

The basic process is **knot insertion**. Suppose that we are given a polygon  $P = P(O)$  on  $Gr(O)$ . And suppose that  $A$  is obtained from  $O$  by inserting a knot in  $O$ . We construct a polygomm  $Q = P(A)$  on  $Gr(A)$  from  $P(O)$  as follows:

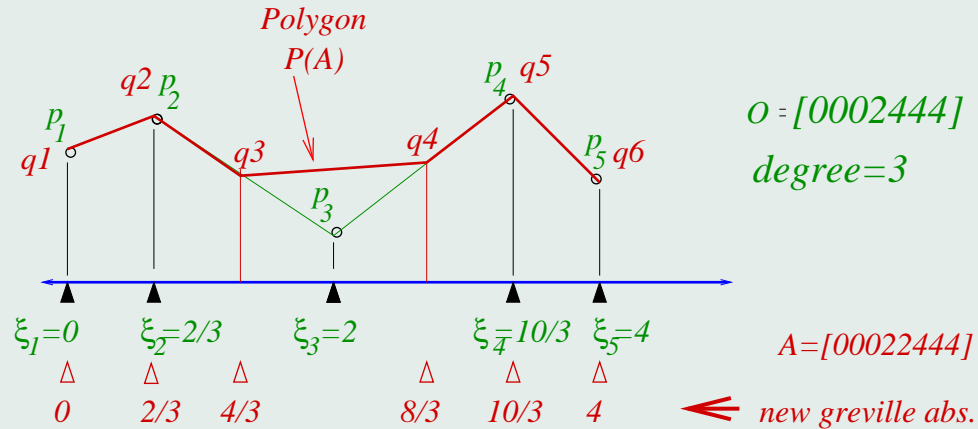
- Compute  $Gr(A)$ . This set has one more element than  $Gr(O)$ . In fact, each element of  $Gr(A)$  lies **between** two elements of  $Gr(O)$  or is equal to one of them.
- For each  $\eta \in Gr(A)$ , express  $\eta$  as a **convex combination** of two adjacent elements  $\xi_i$  and  $\xi_{i+1}$  of  $Gr(O)$ .
- Use these coefficients to obtain  $Q(\eta)$  as a convex combination of  $P(\xi_i)$  and  $P(\xi_{i+1})$ .

This is shown in the next slide.





## Pictorially



We see that

$$4/3 = 1/2 \cdot 2/3 + 1/2 \cdot 2$$

$$8/3 = 1/2 \cdot 2 + 1/2 \cdot 10/3$$

thus

$$q_3 = 1/2 \cdot p_2 + 1/2 \cdot p_3$$

$$q_4 = 1/2 \cdot p_3 + 1/2 \cdot p_4$$

## Evaluation

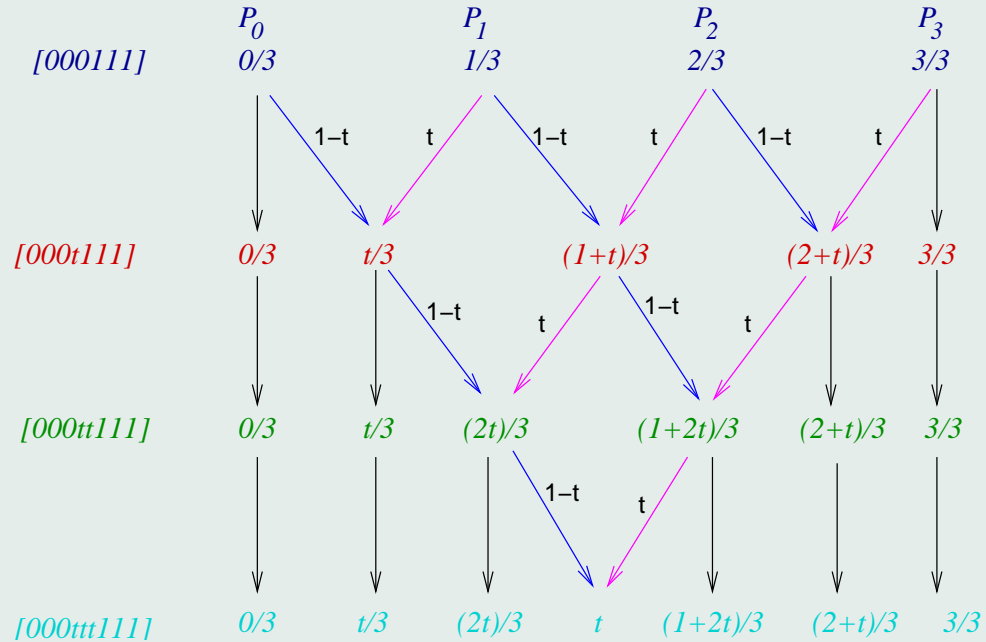
### Inputs:

1. The knot vector  $A$ .
2. The control points (polygon) on  $Gr(A)$ .
3. The parameter  $t$ .

### Output: $f(t)$ .

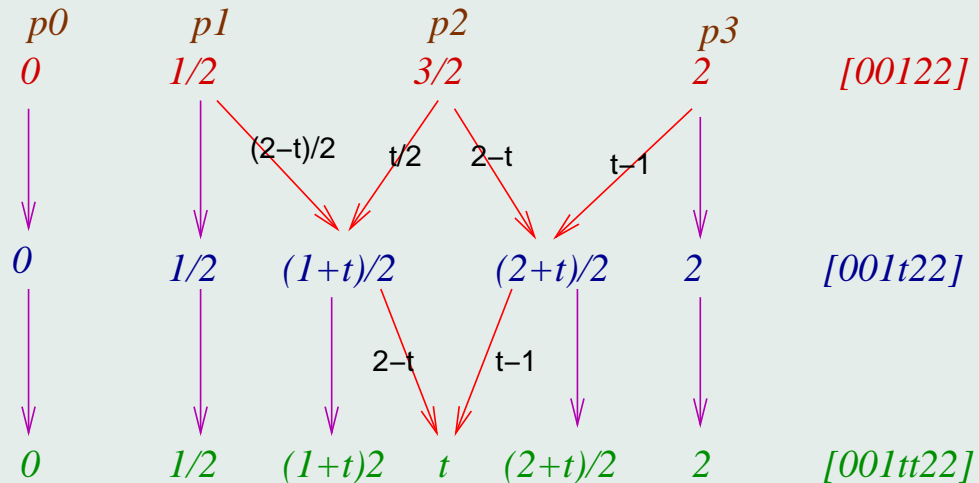
1. Compute  $Gr(A)$  and store it.
2. Insert  $t$  into  $A$   $D$  times or till the multiplicity of  $t$  becomes  $D$ .
  - Add  $t$  and re-compute greville abscissa.
  - Inrpolate to get the new control polygon.
3. Now  $t$  is a greville abscissa. Read off the value at  $t$  as  $f(t)$ .

## The Bezier Case



This is just the de-Casteljau algorithm

## A Simple general Case



Thus we see that for  $t \in [1, 2]$  we have:

$$f(t) = p_1 \frac{(2-t)^2}{2} + p_2 \left[ \frac{(2-t)t}{2} + \frac{(2-t)(t-1)}{2} \right] + p_3 (t-1)^2$$

Thus  $f(t)$  is indeed a polynomial of degree 2.

## Properties

From the evaluation procedure, certain properties are obvious:

- The point  $f(t)$  is a **vonvex** combination of the control points. This is clear since in the modified de-Casteljeu/deBoor algorithm in every stage, new points are created which are convex combinations of earlier points, and so on.
- The second observation is **locality**. Note that if the evaluation is to be made at  $t$  and the relevant portion of the knot vector is:

$$\dots \leq \beta_{i-D+1} \leq \beta_{i-D+2} \leq \dots \leq \beta_i \leq t \leq \beta_{i+1} \leq \dots \leq \beta_{i+D}$$

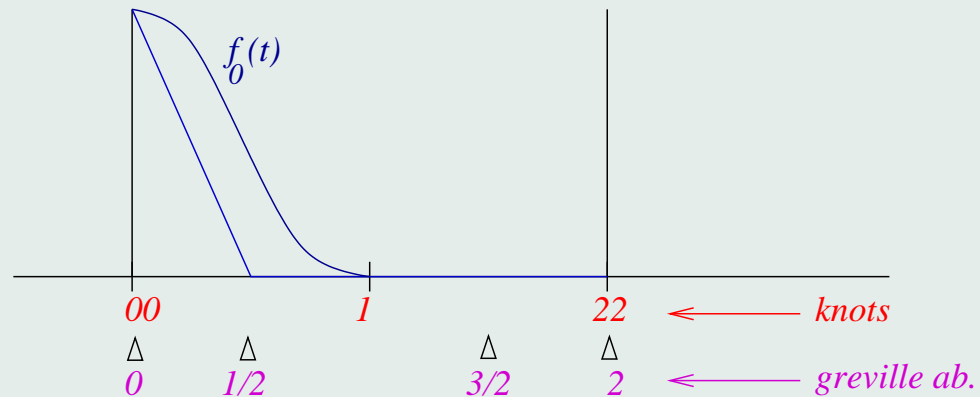
We then see that  $\xi_{i-D+1}, \xi_{i-D+2}, \dots, \xi_{i+1}$  are the only greville abscissas which will play a role. Whence  $f(t)$  is completely determined by only a **subset** of the control points, viz.  $\{p_{i-D+1}, \dots, p_{i+1}\}$ .

## Basis Functions

What then are the basis functions?

So, let  $\beta$  be a knot vector, say  $[00122]$ , which we have seen needs 4 control points. The basis functions  $f_i(t)$  for  $i = 0, \dots, 3$  correspond to the control polygons

$$\{[1, 0, 0, 0], [0, 1, 0, 0], [0, 0, 1, 0], [0, 0, 0, 1]\}$$

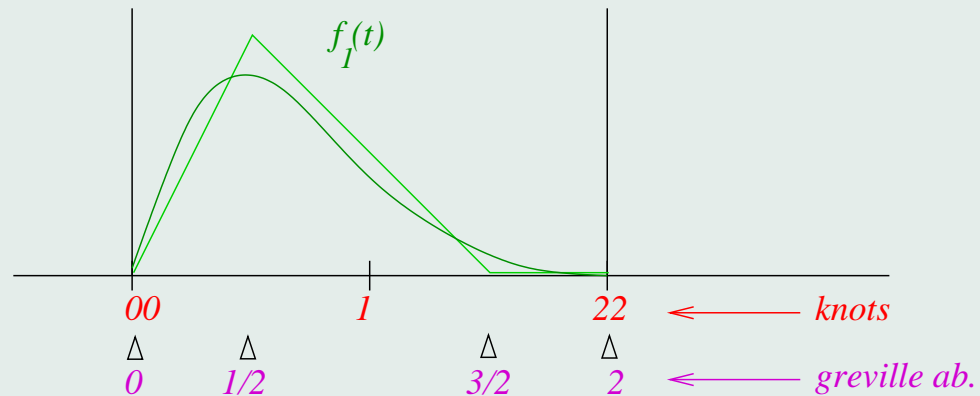


## Basis Functions

What then are the basis functions?

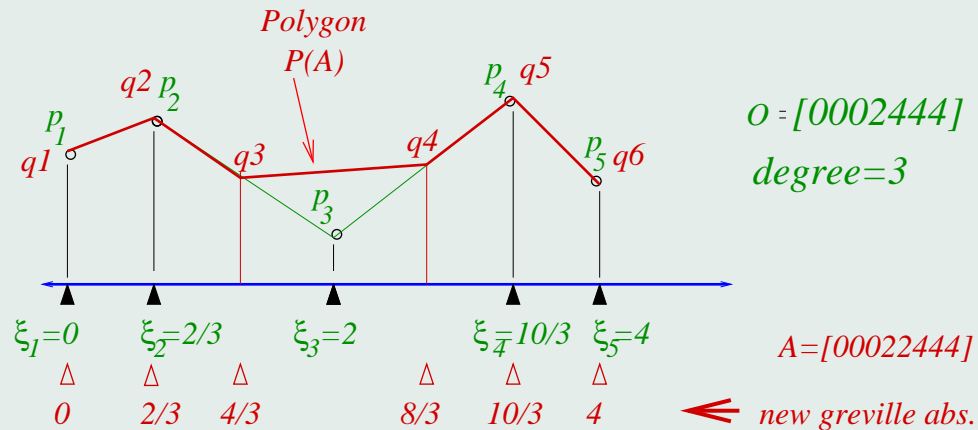
So, let  $\beta$  be a knot vector, say  $[00122]$ , which we have seen needs 4 control points. The basis functions  $f_i(t)$  for  $i = 0, \dots, 3$  correspond to the control polygons

$$\{[1, 0, 0, 0], [0, 1, 0, 0], [0, 0, 1, 0], [0, 0, 0, 1]\}$$



## Decoupling

**Question:** What is the connection between piece-wise Bezier and B-Spline?  
 For the knot vector  $\beta$ , insert each  $\beta_i$  so that the multiplicity becomes  $D$ .  
 Now **read off** the control points for each segment!

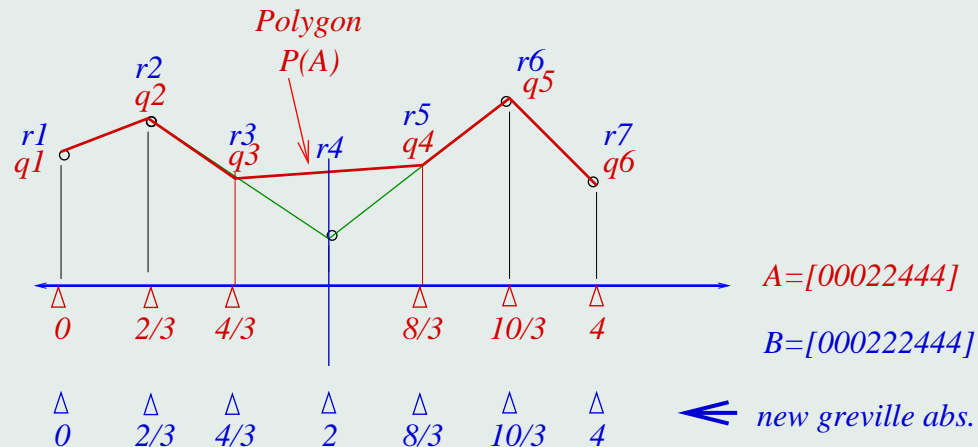


Insert 2 once.



## Decoupling

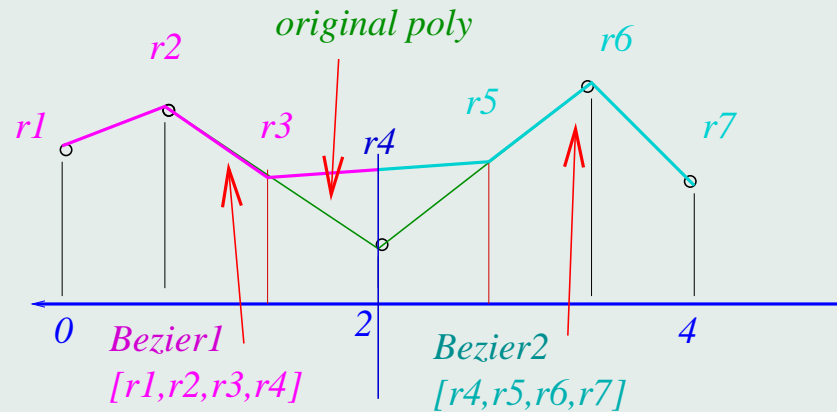
**Question:** What is the connection between piece-wise Bezier and B-Spline?  
 For the knot vector  $\beta$ , insert each  $\beta_i$  so that the multiplicity becomes  $D$ .  
 Now **read off** the control points for each segment!



And again.

## Decoupling

**Question:** What is the connection between piece-wise Bezier and B-Spline?  
 For the knot vector  $\beta$ , insert each  $\beta_i$  so that the multiplicity becomes  $D$ .  
 Now **read off** the control points for each segment!



**Finally, read off the control points.**

Note the relationship between  $[r_2, r_3, r_4]$  and  $[r_4, r_5, r_6]$ .

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## Wrap-Up

This covers our discussion of splines. See my notes for much of the mathematics behind it.

Things missing:

- End Conditions.
- Subdivision.
- Use in tensor-product surfaces.
- Plot of the basis functions.