# **Symbol Tables**

- **API**
- basic implementations
- **▶** iterators
- **▶** Comparable keys
- challenges

#### **References:**

Algorithms in Java, Chapter 12
Intro to Programming, Section 4.4
<a href="http://www.cs.princeton.edu/introalgsds/41st">http://www.cs.princeton.edu/introalgsds/41st</a>

# **▶** API ▶ basic implementations ▶ iterators ▶ Comparable keys **▶** challenges

#### Symbol Tables

#### Key-value pair abstraction.

- Insert a value with specified key.
- Given a key, search for the corresponding value.

#### Example: DNS lookup.

- Insert URL with specified IP address.
- Given URL, find corresponding IP address

URL	IP address	
www.cs.princeton.edu	128.112.136.11	
www.princeton.edu	128.112.128.15	
www.yale.edu	130.132.143.21	
www.harvard.edu	128.103.060.55	
www.simpsons.com	209.052.165.60	
key	value	

Can interchange roles: given IP address find corresponding URL

### Symbol Table Applications

Application	Purpose	Key	Value
Phone book	Look up phone number	Name	Phone number
Bank	Process transaction	Account number	Transaction details
File share	Find song to download	Name of song	Computer ID
File system	Find file on disk	Filename	Location on disk
Dictionary	Look up word	Word	Definition
Web search	Find relevant documents	Keyword	List of documents
Book index	Find relevant pages	Keyword	List of pages
Web cache	Download	Filename	File contents
Genomics	Find markers	DNA string	Known positions
DNS	Find IP address given URL	URL	IP address
Reverse DNS	Find URL given IP address	IP address	URL
Compiler	Find properties of variable	Variable name	Value and type
Routing table	Route Internet packets	Destination	Best route

#### Symbol Table API

Associative array abstraction: Unique value associated with each key.

```
public class *ST<Key extends Comparable<Key>, Value>
                   *ST()
                                                  create a symbol table
           void put(Key key, Value val)
                                                  put key-value pair into the table
                                                                               ← insert
                                                  return value paired with key
          Value get(Key key)
                                                                                — search
                                                  (null if key not in table)
        boolean contains(Key key)
                                                  is there a value paired with key?
           void remove(Key key)
                                                  remove key-value pair from table
Iterator<Key> iterator()
                                                  iterator through keys in table
```

#### Our conventions:

- 1. Values are not null.
- 2. Method get() returns null if key not present

```
enables this code in all implementations:
public boolean contains(Key key)
{ return get(key) != null; }
```

3. Method put() overwrites old value with new value.

```
a[key] = val; ← Some languages (not Java) allow this notation
```

#### Command line arguments

- a comma-separated value (CSV) file
- key field
- value field

#### Example 1: DNS lookup

```
% java Lookup ip.csv 0 1
adobe.com
192.150.18.60
www.princeton.edu
128.112.128.15
ebay.edu
Not found

% java Lookup ip.csv 1 0
128.112.128.15
www.princeton.edu
999.999.999.99
Not found
```

```
% more ip.csv
www.princeton.edu,128.112.128.15
www.cs.princeton.edu,128.112.136.35
www.math.princeton.edu,128.112.18.11
www.cs.harvard.edu,140.247.50.127
www.harvard.edu,128.103.60.24
www.yale.edu,130.132.51.8
www.econ.yale.edu,128.36.236.74
www.cs.yale.edu,128.36.229.30
espn.com, 199.181.135.201
yahoo.com, 66.94.234.13
msn.com, 207.68.172.246
google.com, 64.233.167.99
baidu.com, 202.108.22.33
yahoo.co.jp,202.93.91.141
sina.com.cn,202.108.33.32
ebay.com,66.135.192.87
adobe.com, 192.150.18.60
163.com, 220.181.29.154
passport.net, 65.54.179.226
tom.com, 61.135.158.237
nate.com, 203.226.253.11
cnn.com,64.236.16.20
daum.net,211.115.77.211
blogger.com, 66.102.15.100
fastclick.com, 205.180.86.4
wikipedia.org,66.230.200.100
rakuten.co.jp,202.72.51.22
```

```
public class Lookup
   public static void main(String[] args)
      In in = new In(args[0]);
                                                                 – process input file
      int keyField = Integer.parseInt(args[1]);
      int valField = Integer.parseInt(args[2]);
      String[] database = in.readAll().split("\\n");
      ST<String, String> st = new ST<String, String>();
                                                               build symbol table
      for (int i = 0; i < database.length; i++)</pre>
         String[] tokens = database[i].split(",");
         String key = tokens[keyField];
         String val = tokens[valField];
         st.put(key, val);
      while (!StdIn.isEmpty())
                                                                  process lookups
                                                                  with standard T/O
         String s = StdIn.readString();
         if (!st.contains(s)) StdOut.println("Not found");
         else
                               StdOut.println(st.get(s));
```

#### Command line arguments

- a comma-separated value (CSV) file
- · key field
- · value field

#### Example 2: Amino acids

% % java Lookup amino.csv 0 3
ACT
Threonine
TAG
Stop
CAT
Histidine

codon is key name is value

% more amino.csv TTT, Phe, F, Phenylalanine TTC, Phe, F, Phenylalanine TTA, Leu, L, Leucine TTG, Leu, L, Leucine TCT, Ser, S, Serine TCC, Ser, S, Serine TCA, Ser, S, Serine TCG, Ser, S, Serine TAT, Tyr, Y, Tyrosine TAC, Tyr, Y, Tyrosine TAA, Stop, Stop, Stop TAG, Stop, Stop, Stop TGT, Cys, C, Cysteine TGC, Cys, C, Cysteine TGA, Stop, Stop, Stop TGG, Trp, W, Tryptophan CTT, Leu, L, Leucine CTC, Leu, L, Leucine CTA, Leu, L, Leucine CTG, Leu, L, Leucine CCT, Pro, P, Proline CCC, Pro, P, Proline CCA, Pro, P, Proline CCG, Pro, P, Proline CAT, His, H, Histidine CAC, His, H, Histidine CAA, Gln, Q, Glutamine CAG, Gln, Q, Glutamine CGT, Arg, R, Arginine CGC, Arg, R, Arginine CGA, Arg, R, Arginine CGG, Arg, R, Arginine ATT, Ile, I, Isoleucine ATC, Ile, I, Isoleucine ATA, Ile, I, Isoleucine ATG, Met, M, Methionine

#### Command line arguments

- a comma-separated value (CSV) file
- key field

datwo

P01

· value field

#### Example 3: Class lists

```
% java Lookup classlist.csv 3 1
jsh
Jeffrey Scott Harris
dgtwo
Daniel Gopstein
ye
Michael Weiyang Ye
% java Lookup classlist.csv 3 2
jsh
P01A
```

login is key name is value

```
% more classlist.csv
10,Bo Ling,P03,bling
10, Steven A Ross, P01, saross
10, Thomas Oliver Horton
Conway, P03, oconway
08, Michael R. Corces
Zimmerman, P01A, mcorces
09, Bruce David Halperin, P02, bhalperi
09, Glenn Charles Snyders Jr., P03, gsnyders
09, Siyu Yang, P01A, siyuyang
08, Taofik O. Kolade, P01, tkolade
09, Katharine Paris
Klosterman, P01A, kkloster
SP, Daniel Gopstein, P01, dgtwo
10, Sauhard Sahi, P01, ssahi
10, Eric Daniel Cohen, P01A, edcohen
09, Brian Anthony Geistwhite, P02, bgeistwh
09, Boris Pivtorak, P01A, pivtorak
09, Jonathan Patrick
Zebrowski, P01A, izebrows
09, Dexter James Doyle, P01A, ddoyle
09, Michael Weiyang Ye, P03, ye
08, Delwin Uy Olivan, P02, dolivan
08, Edward George Conbeer, P01A, econbeer
09, Mark Daniel Stefanski, P01, mstefans
09, Carter Adams Cleveland, P03, cclevela
10, Jacob Stephen Lewellen, P02, jlewelle
10, Ilya Trubov, P02, itrubov
09, Kenton William Murray, P03, kwmurray
07, Daniel Steven Marks, P02, dmarks
09, Vittal Kadapakkam, P01, vkadapak
10, Eric Ruben Domb, P01A, edomb
07, Jie Wu, P03, jiewu
08, Pritha Ghosh, P02, prithag
10, Minh Quang Anh Do, P01, mqdo
. . .
```

#### Keys and Values

#### Associative array abstraction.

- Unique value associated with each key
- If client presents duplicate key, overwrite to change value.

#### Key type: several possibilities

- 1. Assume keys are any generic type, use equals() to test equality.
- 2. Assume keys are Comparable, use compareto().
- 3. Use equals() to test equality and hashCode() to scramble key.

Value type. Any generic type.

Best practices. Use immutable types for symbol table keys.

- Immutable in Java: string, Integer, BigInteger.
- Mutable in Java: Date, GregorianCalendar, StringBuilder.

a[key] = val;

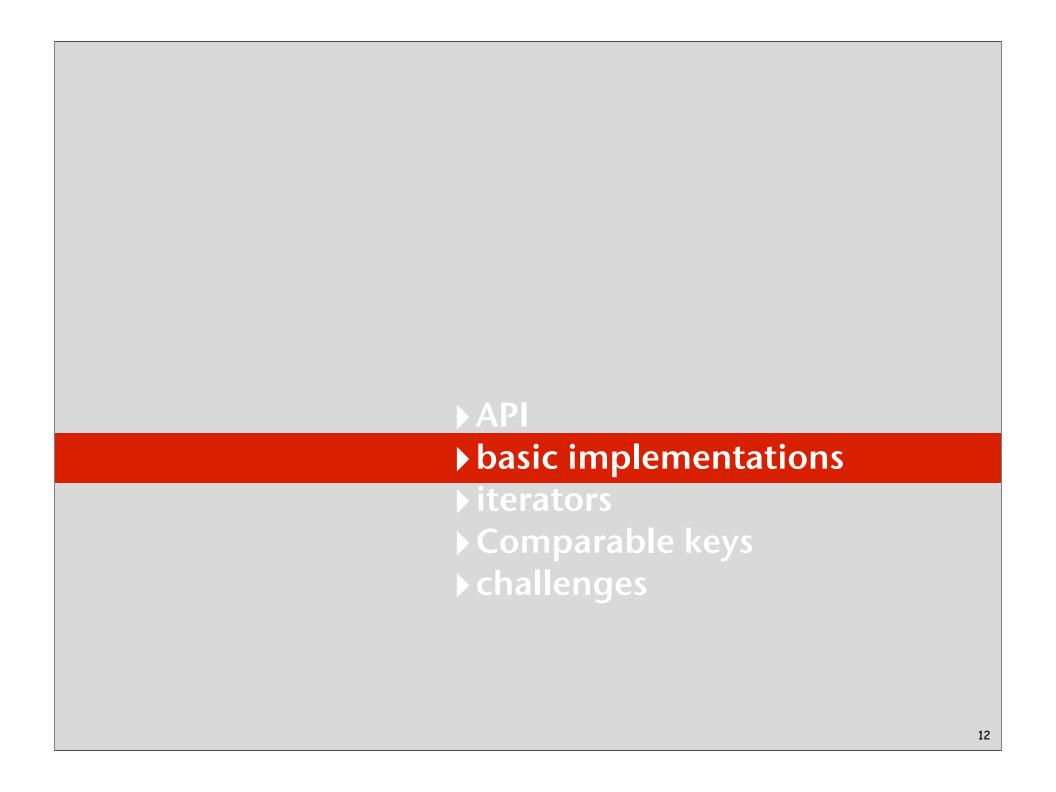
#### Elementary ST implementations

Unordered array
Ordered array
Unordered linked list
Ordered linked list

#### Why study elementary implementations?

- API details need to be worked out
- performance benchmarks
- method of choice can be one of these in many situations
- basis for advanced implementations

Always good practice to study elementary implementations



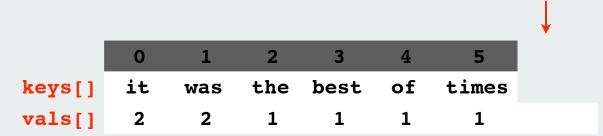
#### Unordered array ST implementation

Maintain parallel arrays of keys and values.

#### Instance variables

- array keys[] holds the keys.
- array vals[] holds the values.
- integer N holds the number of entries.

#### Need to use standard array-doubling technique



#### Alternative: define inner type for entries

- space overhead for entry objects
- more complicated code

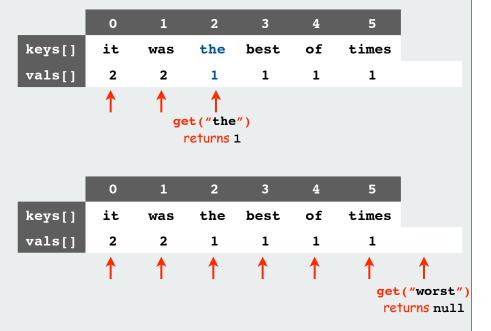
N = 6

#### Unordered array ST implementation (skeleton)

```
public class UnorderedST<Key, Value>
                                               _ parallel arrays lead to cleaner code
   private Value[] vals;
                                                than defining a type for entries
   private Key[] keys;
   private int N = 0;
   public UnorderedST(int maxN)
                                             standard array doubling code omitted
     keys = (Key[]) new Object[maxN];
                                             standard ugly casts
     vals = (Value[]) new Object[maxN];
   public boolean isEmpty()
   { return N == 0; }
   public void put(Key key, Value val)
   // see next slide
   public Value get(Key key)
   // see next slide
```

#### Unordered array ST implementation (search)

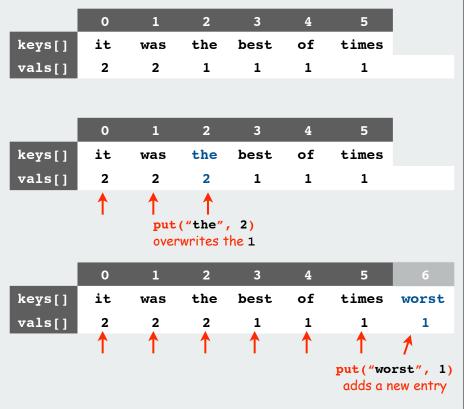
```
public Value get(Key key)
{
   for (int i = 0; i < N; i++)
      if (keys[i].equals(key))
        return vals[i];
   return null;
}</pre>
```



Key, Value are generic and can be any type

#### Unordered array ST implementation (insert)

```
public void put(Key key, Value val)
{
   int i;
   for (i = 0; i < N; i++)
      if (key.equals(keys[i]))
        break;
   vals[i] = val;
   keys[i] = key;
   if (i == N) N++;
}</pre>
```



#### Associative array abstraction

- must search for key and overwrite with new value if it is there
- otherwise, add new key, value at the end (as in stack)

#### Java conventions for equals()

All objects implement equals() but default implementation is (x == y)

is the object referred to by x
the same object that is referred to by y?

Customized implementations.

String, URL, Integer.

User-defined implementations.

Some care needed (example: type of argument must be Object)

#### Equivalence relation. For any references x, y and z:

- Reflexive: x.equals(x) is true.
- Symmetric: x.equals(y) iff y.equals(x).
- Transitive: If x.equals(y) and y.equals(z), then x.equals(z).
- Non-null: x.equals(null) is false.
- Consistency: Multiple calls to x.equals(y) return same value.

#### Implementing equals()

#### Seems easy

```
public class PhoneNumber
  private int area, exch, ext;
  public boolean equals(PhoneNumber y)
     PhoneNumber a = this;
     PhoneNumber b = (PhoneNumber) y;
     return (a.area == b.area)
            && (a.exch == b.exch)
            && (a.ext == b.ext);
```

#### Implementing equals()

#### Seems easy, but requires some care

```
no safe way to use with inheritance
public final class/PhoneNumber
   private final int area, exch, ext;
                                                     Must be Object.
                                                     Why? Experts still debate.
   public boolean equals(
                                 Object
                                            y)
       if (y == this) return true;
                                                   Optimize for true object equality
                                                     _ If I'm executing this code,
       if (y == null) return false;
                                                      I'm not null.
       if (y.getClass() != this.getClass())
                                                   Objects must be in the same class.
          return false;
       PhoneNumber a = this;
       PhoneNumber b = (PhoneNumber) y;
       return (a.area == b.area)
               && (a.exch == b.exch)
               && (a.ext == b.ext);
                                                                             19
```

#### Linked list ST implementation

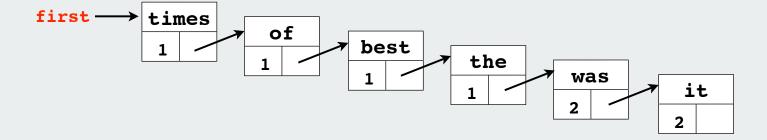
Maintain a linked list with keys and values.

#### inner node class

- instance variable key holds the key
- instance variable val holds the value

#### instance variable

• Node first refers to the first node in the list

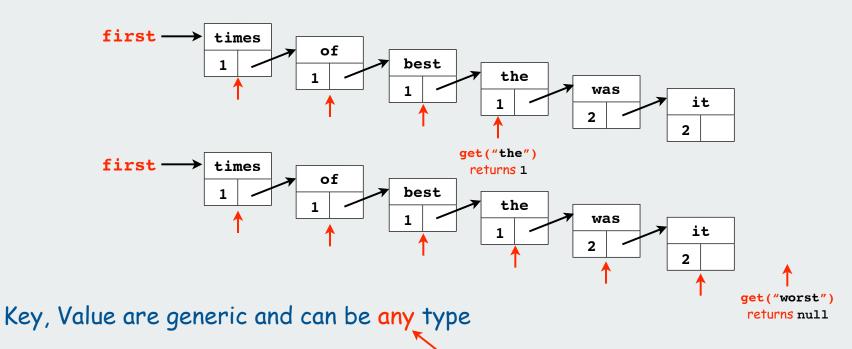


#### Linked list ST implementation (skeleton)

```
public class LinkedListST<Key, Value>
                                                instance variable
    private Node first;
    private class Node
                                                  — inner class
        Key key;
        Value val;
        Node next;
        Node (Key key, Value val, Node next)
            this.key = key;
            this.val = val;
            this.next = next;
   public void put(Key key, Value val)
   // see next slides
   public Val get(Key key)
   // see next slides
```

#### Linked list ST implementation (search)

```
public Value get(Key key)
{
  for (Node x = first; x != null; x = x.next))
    if (key.equals(x.key))
      return vals[i];
  return null;
}
```

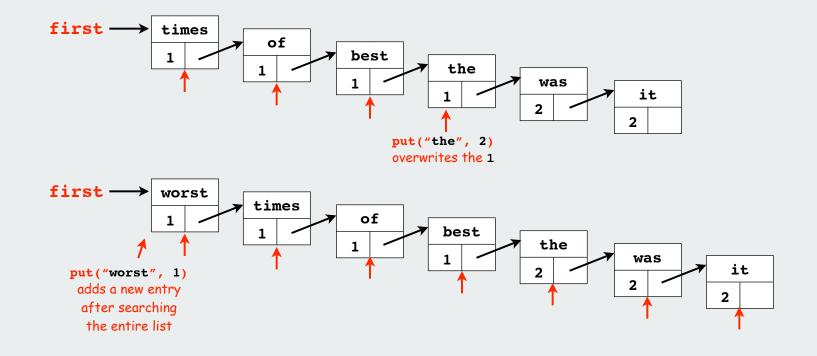


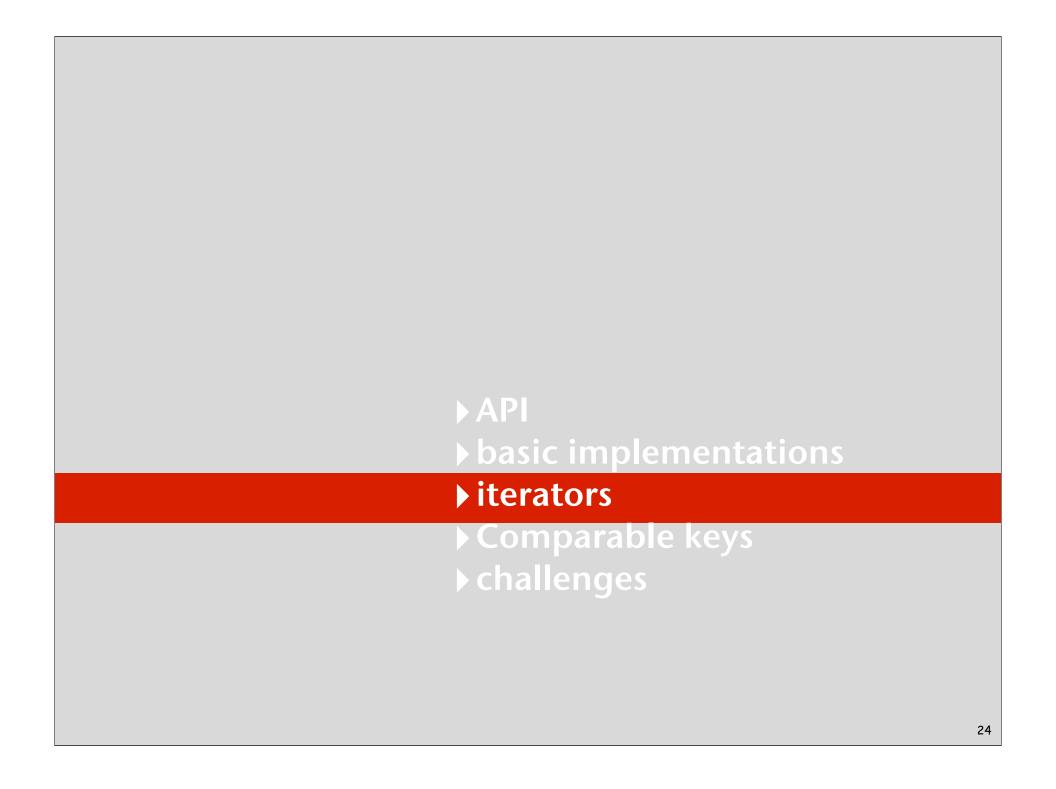
#### Linked list ST implementation (insert)

```
public void put(Key key, Value val)
{
  for (Node x = first; x != null; x = x.next)
    if (key.equals(x.key))
        { x.value = value; return; }
  first = new Node(key, value, first);
}
```

#### Associative array abstraction

- must search for key and, if it is there, overwrite with new value
- otherwise, add new key, value at the beginning (as in stack)





#### Iterators

```
Symbol tables should be Iterable

Q. What is Iterable?

A. Implements iterator()

Q. What is an Iterator?

Q. What is an Iterator?

A. Implements hasNext() and next().

boolean hasNext();
Item next();
void remove(); optional in Java use at your own risk
```

- Q. Why should symbol tables be iterable?
- A. Java language supports elegant client code for iterators

#### "foreach" statement

```
for (String s: st)
   StdOut.println(st.get(s));
```

#### equivalent code

```
Iterator<String> i = st.iterator();
while (i.hasNext())
{
    String s = i.next();
    StdOut.println(st.get(s));
}
```

#### Iterable ST client: count frequencies of occurrence of input strings

Standard input: A file (of strings)

Standard output: All the distinct strings in the file with frequency

```
% more tiny.txt
it was the best of times
it was the worst of times
it was the age of wisdom
it was the age of foolishness
% java FrequencyCount < tiny.txt</pre>
2 age
1 best
1 foolishness
4 it
4 of
4 the
2 times
4 was
1 wisdom
1 worst
        tiny example
                                    real example
          24 words
                                    137177 words
         10 distinct
```

```
% more tale.txt
it was the best of times
it was the worst of times
it was the age of wisdom
it was the age of foolishness
it was the epoch of belief
it was the epoch of incredulity
it was the season of light
it was the season of darkness
it was the spring of hope
it was the winter of despair
we had everything before us
we had nothing before us
% java FrequencyCount < tale.txt</pre>
2941 a
1 aback
1 abandon
10 abandoned
1 abandoning
1 abandonment
1 abashed
1 abate
1 abated
5 abbave
2 abed
1 abhorrence
1 abided
1 abiding
1 abilities
2 ability
1 abject
1 ablaze
17 able
1 abnegating
```

#### Iterable ST client: count frequencies of occurrence of input strings

```
public class FrequencyCount
   public static void main(String[] args)
      ST<String, Integer> st;
      st = new ST<String, Integer>();
      while (!StdIn.isEmpty())
         String key = StdIn.readString();
                                                     read a string
         if (!st.contains(key))
                                                        insert
            st.put(key, 1);
         else
            st.put(key, st.get(key) + 1);
                                                        increment
      for (String s: st)
                                                     print all strings
         StdOut.println(st.get(s) + " " + s);
```

Note: Only slightly more work required to build an index of all of the places where each key occurs in the text.

#### Iterators for array, linked list ST implementations

```
import java.util.Iterator;
public class UnorderedST<Key, Value>
             implements Iterable<Key>
    public Iterator<Key> iterator()
    { return new ArrayIterator(); }
   private class ArrayIterator
       implements Iterator<Key>
       private int i = 0;
        public boolean hasNext()
        { return i < N; }
        public void remove() { }
        public Key next()
          return keys[i++];
```

```
import java.util.Iterator;
public class LinkedListST<Key, Value>
             implements Iterable<Key>
    public Iterator<Key> iterator()
    { return new ListIterator(); }
    private class ListIterator
             implements Iterator<Key>
        private Node current = first;
        public boolean hasNext()
           return current != null;
        public void remove() { }
       public Key next()
            Key key = current.key;
            current = current.next;
            return key;
```

#### Iterable ST client: A problem?

#### Use UnorderedST in FrequencyCount

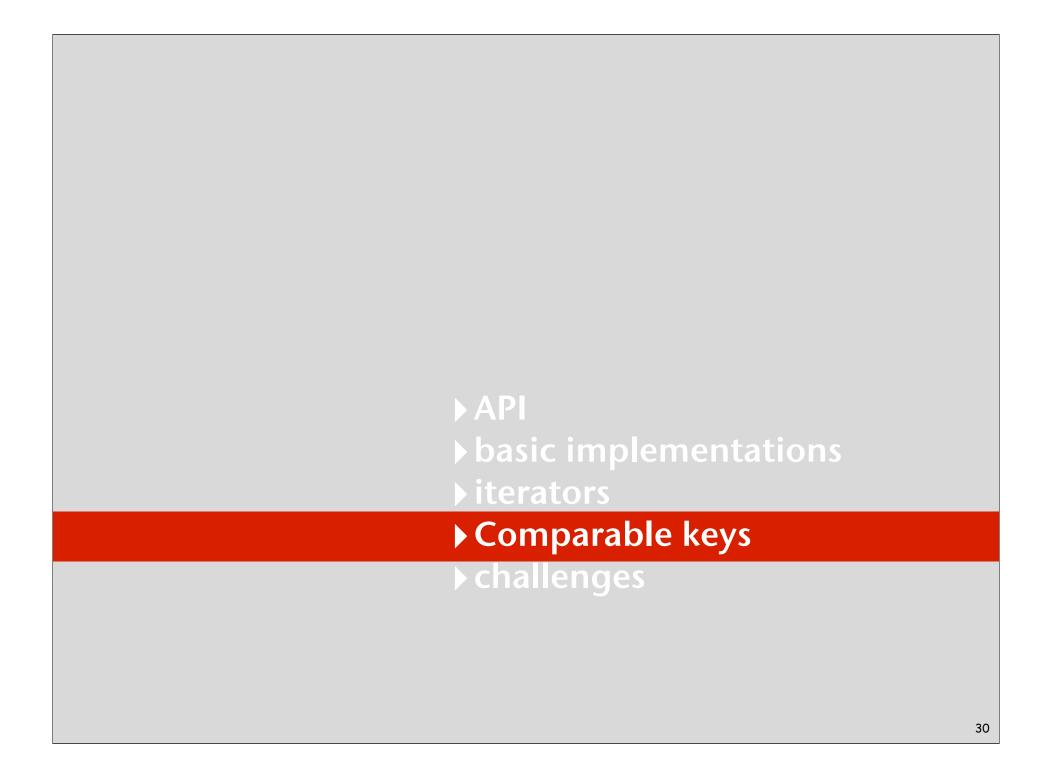
```
% more tiny.txt
it was the best of times
it was the worst of times
it was the age of wisdom
it was the age of foolishness
% java FrequencyCount < tiny.txt</pre>
4 it
4 was
4 the
1 best
4 of
2 times
1 worst
2 age
1 wisdom
1 foolishness
```

#### Use LinkedListST in FrequencyCount

```
% more tiny.txt
it was the best of times
it was the worst of times
it was the age of wisdom
it was the age of foolishness
% java FrequencyCount < tiny.txt</pre>
1 foolishness
1 wisdom
2 age
1 worst
2 times
4 of
1 best
4 the
4 was
4 it
```

Clients who use Comparable keys might expect ordered iteration

- not a requirement for some clients
- not a problem if postprocessing, e.g. with sort or grep
- not in API



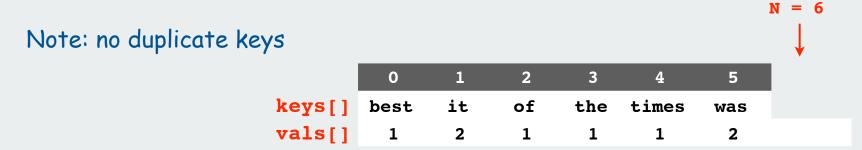
#### Ordered array ST implementation

#### Assume that keys are Comparable

Maintain parallel arrays with keys and values that are sorted by key.

#### Instance variables

- keys[i] holds the ith smallest key
- vals[i] holds the value associated with the ith smallest key
- integer N holds the number of entries.



Need to use standard array-doubling technique

#### Two reasons to consider using ordered arrays

- provides ordered iteration (for free)
- can use binary search to significantly speed up search

#### Ordered array ST implementation (skeleton)

```
public class OrderedST
          <Key extends Comparable<Key>, Value>
          implements Iterable<Key>
                                                 standard array iterator code omitted
   private Value[] vals;
   private Key[] keys;
   private int N = 0;
   public OrderedST(int maxN)

    standard array doubling code omitted

     keys = (Key[]) new Object[maxN];
     vals = (Value[]) new Object[maxN];
   public boolean isEmpty()
   { return N == 0; }
   public void put(Key key, Value val)
   // see next slides
   public Val get(Key key)
   // see next slides
```

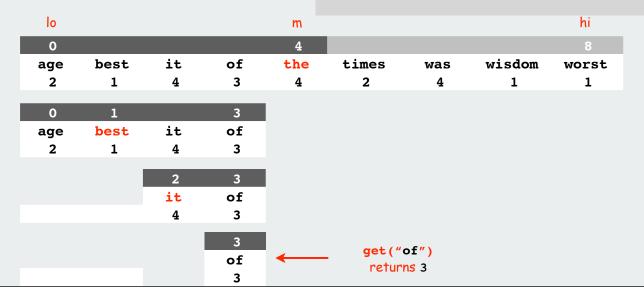
#### Ordered array ST implementation (search)

#### Keeping array in order enables binary search algorithm

```
public Value get(Key key)
{
    int i = bsearch(key);
    if (i == -1) return null;
    return vals[i];
}
```

```
private int bsearch(Key key)
{
    int lo = 0, hi = N-1;
    while (lo <= hi)
    {
        int m = lo + (hi - lo) / 2;
        int cmp = key.compareTo(keys[m]);
        if (cmp < 0) hi = m - 1;
        else if (cmp > 0) lo = m + 1;
        else return m;
    }
    return -1;
}
```

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#### Binary search analysis: Comparison count

Binary search recurrence 
$$T(N) = T(N/2) + 1$$
  
for N > 1, with  $T(1) = 0$ 

- not quite right for odd N
- same recurrence holds for many algorithms
- same number of comparisons for any input of size N.

## Solution of binary search recurrence $T(N) \sim lg N$

- true for all N
- easy to prove when N is a power of 2.

can then use induction for general N (see COS 340)

#### Binary search recurrence: Proof by telescoping

$$T(N) = T(N/2) + 1$$
  
for N > 1, with  $T(1) = 0$ 

(assume that N is a power of 2)

Pf. 
$$T(N) = T(N/2) + 1$$
  
=  $T(N/4) + 1 + 1$   
=  $T(N/8) + 1 + 1 + 1$   
...  
=  $T(N/N) + 1 + 1 + ... + 1$   
=  $Ig N$ 

telescope (apply to first term)
telescope again
stop telescoping, T(1) = 0

$$T(N) = \lg N$$

#### Ordered array ST implementation (insert)

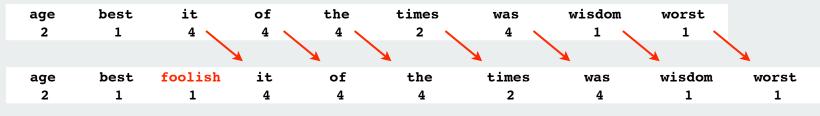
#### Binary search is little help for put(): still need to move larger keys

```
public Val put(Key key, Value val)
{
    int i = bsearch(key);
    if (i != -1)
    { vals[i] = val; return; }

    for ( i = N; i > 0; i-- )
    {
        if key.compareTo(keys[i-1] < 0) break;
        keys[i] = keys[i-1];
        vals[i] = vals[i-1];
    }
    vals[i] = val;
    keys[i] = key;
    N++;
}</pre>

    overwrite with new value
    if key in table

    move larger keys to make room
    if key not in table
```



## Ordered array ST implementation: an important special case

## Test whether key is equal to or greater than largest key

```
public Val put(Key key, Value val)
{
   if (key.compareTo(keys[N-1]) == 0)
   {      vals[N-1] = val; return; }

   if (key.compareTo(keys[N-1] > 0)
   {
      vals[N] = val;
      keys[N] = key;
      N++;
      return;
   }
}
```

If either test succeeds, constant-time insert!

#### Method of choice for some clients:

- sort database by key
- insert N key-value pairs in order by key
- support searches that never use more than Ig N compares
- support occasional (expensive) inserts

## Ordered linked-list ST implementation

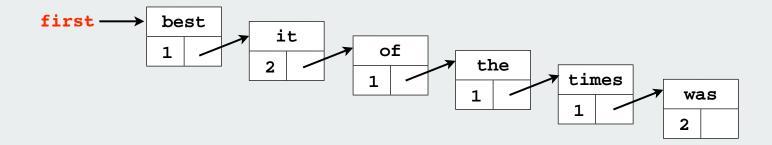
Binary search depends on array indexing for efficiency.

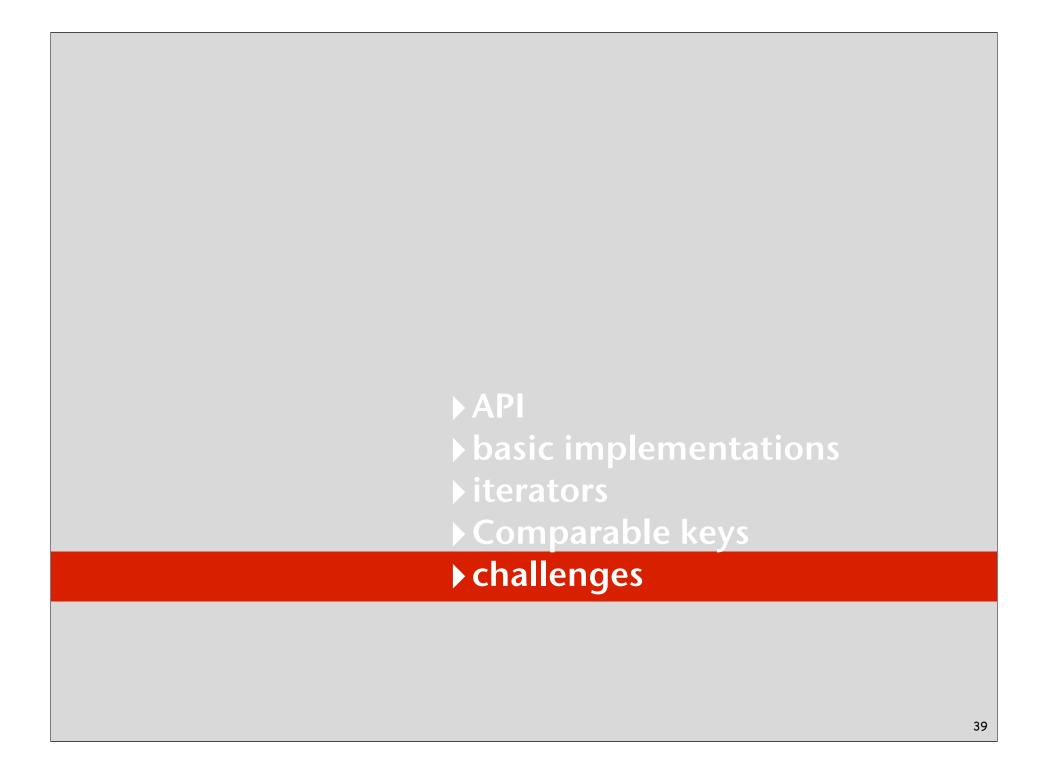
Jump to the middle of a linked list?

Advantages of keeping linked list in order for Comparable keys:

- support ordered iterator (for free)
- cuts search/insert time in half (on average) for random search/insert

#### [ code omitted ]





#### Searching challenge 1A:

Problem: maintain symbol table of song names for an iPod

Assumption A: hundreds of songs

- 1) unordered array
- 2) ordered linked list
- 3) ordered array with binary search
- 4) need better method, all too slow
- 5) doesn't matter much, all fast enough

#### Searching challenge 1B:

Problem: maintain symbol table of song names for an iPod

Assumption B: thousands of songs

- 1) unordered array
- 2) ordered linked list
- 3) ordered array with binary search
- 4) need better method, all too slow
- 5) doesn't matter much, all fast enough

#### Searching challenge 2A:

Problem: IP lookups in a web monitoring device

Assumption A: billions of lookups, millions of distinct addresses

- 1) unordered array
- 2) ordered linked list
- 3) ordered array with binary search
- 4) need better method, all too slow
- 5) doesn't matter much, all fast enough

#### Searching challenge 2B:

Problem: IP lookups in a web monitoring device

Assumption B: billions of lookups, thousands of distinct addresses

- 1) unordered array
- 2) ordered linked list
- 3) ordered array with binary search
- 4) need better method, all too slow
- 5) doesn't matter much, all fast enough

#### Searching challenge 3:

Problem: Frequency counts in "Tale of Two Cities"

Assumptions: book has 135,000+ words

about 10,000 distinct words

- 1) unordered array
- 2) ordered linked list
- 3) ordered array with binary search
- 4) need better method, all too slow
- 5) doesn't matter much, all fast enough

## Searching challenge 4:

Problem: Spell checking for a book

Assumptions: dictionary has 25,000 words

book has 100,000+ words

- 1) unordered array
- 2) ordered linked list
- 3) ordered array with binary search
- 4) need better method, all too slow
- 5) doesn't matter much, all fast enough

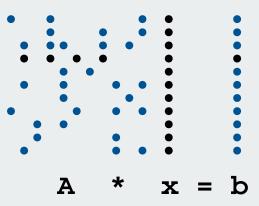
## Searching challenge 5:

Problem: Sparse matrix-vector multiplication

Assumptions: matrix dimension is billions by billions

average number of nonzero entries/row is ~10

- 1) unordered array
- 2) ordered linked list
- 3) ordered array with binary search
- 4) need better method, all too slow
- 5) doesn't matter much, all fast enough



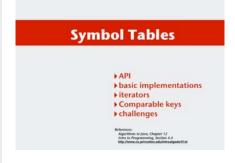
#### Summary and roadmap



- basic algorithmics
- no generics
- more code
- more analysis
- equal keys in ST (not associative arrays)



- iterators
- ST as associative array (all keys distinct)
- BST implementations
- applications



- distinguish algs by operations on keys
- ST as associative array (all keys distinct)
- important special case for binary search
- challenges

## Elementary implementations: summary

studying STs for the midterm? Start here.

implementation	worst case		average case		ordered	operations
	search	insert	search	insert	iteration?	on keys
unordered array	Ν	N	N/2	N/2	no	equals()
ordered array	lg N	Ν	lg N	N/2	yes	compareTo()
unordered list	N	Ν	N/2	Ν	no	equals()
ordered list	Ν	Ν	N/2	N/2	yes	compareTo()

# Next challenge.

Efficient implementations of search and insert and ordered iteration for arbitrary sequences of operations.

(ordered array meets challenge if keys arrive approximately in order)