

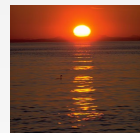


# Chapter 9: Object-Based Databases

**Database System Concepts**

©Silberschatz, Korth and Sudarshan

See [www.db-book.com](http://www.db-book.com) for conditions on re-use





# Chapter 9: Object-Based Databases

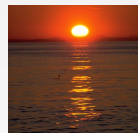
- Complex Data Types and Object Orientation
- Structured Data Types and Inheritance in SQL
- Table Inheritance
- Array and Multiset Types in SQL
- Object Identity and Reference Types in SQL
- Implementing O-R Features
- Persistent Programming Languages
- Comparison of Object-Oriented and Object-Relational Databases





# Object-Relational Data Models

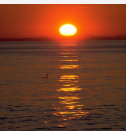
- Extend the relational data model by including object orientation and constructs to deal with added data types.
- Allow attributes of tuples to have complex types, including non-atomic values such as nested relations.
- Preserve relational foundations, in particular the declarative access to data, while extending modeling power.
- Upward compatibility with existing relational languages.





# Complex Data Types

- Motivation:
  - Permit non-atomic domains (atomic  $\equiv$  indivisible)
  - Example of non-atomic domain: set of integers, or set of tuples
  - Allows more intuitive modeling for applications with complex data
- Intuitive definition:
  - allow relations whenever we allow atomic (scalar) values
    - relations within relations
  - Retains mathematical foundation of relational model
  - Violates first normal form.

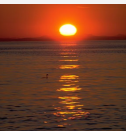




# Example of a Nested Relation

- Example: library information system
- Each book has
  - title,
  - a set of authors,
  - Publisher, and
  - a set of keywords
- Non-1NF relation *books*

<i>title</i>	<i>author-set</i>	<i>publisher</i>	<i>keyword-set</i>
		( <i>name, branch</i> )	
Compilers	{Smith, Jones}	(McGraw-Hill, New York)	{parsing, analysis}
Networks	{Jones, Frick}	(Oxford, London)	{Internet, Web}





# 4NF Decomposition of Nested Relation

- Remove awkwardness of *flat-books* by assuming that the following multivalued dependencies hold:
  - $title \twoheadrightarrow author$
  - $title \twoheadrightarrow keyword$
  - $title \twoheadrightarrow pub-name, pub-branch$
- Decompose *flat-doc* into 4NF using the schemas:
  - $(title, author)$
  - $(title, keyword)$
  - $(title, pub-name, pub-branch)$





# 4NF Decomposition of *flat-books*

<i>title</i>	<i>author</i>
Compilers	Smith
Compilers	Jones
Networks	Jones
Networks	Frick

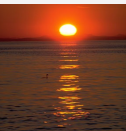
*authors*

<i>title</i>	<i>keyword</i>
Compilers	parsing
Compilers	analysis
Networks	Internet
Networks	Web

*keywords*

<i>title</i>	<i>pub-name</i>	<i>pub-branch</i>
Compilers	McGraw-Hill	New York
Networks	Oxford	London

*books4*





# Problems with 4NF Schema

- 4NF design requires users to include joins in their queries.
- 1NF relational view *flat-books* defined by join of 4NF relations:
  - eliminates the need for users to perform joins,
  - but loses the one-to-one correspondence between tuples and documents.
  - And has a large amount of redundancy
- Nested relations representation is much more natural here.

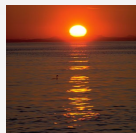






# Complex Types and SQL:1999

- Extensions to SQL to support complex types include:
  - Collection and large object types
    - ▶ Nested relations are an example of collection types
  - Structured types
    - ▶ Nested record structures like composite attributes
  - Inheritance
  - Object orientation
    - ▶ Including object identifiers and references
- Our description is mainly based on the SQL:1999 standard
  - Not fully implemented in any database system currently
  - But some features are present in each of the major commercial database systems
    - ▶ Read the manual of your database system to see what it supports





# Structured Types and Inheritance in SQL

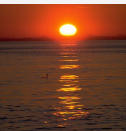
- **Structured types** can be declared and used in SQL

```
create type Name as  
  (firstname      varchar(20),  
   lastname      varchar(20))  
final
```

```
create type Address as  
  (street        varchar(20),  
   city          varchar(20),  
   zipcode      varchar(20))  
not final
```

- Note: **final** and **not final** indicate whether subtypes can be created
- Structured types can be used to create tables with composite attributes

```
create table customer (  
  name      Name,  
  address  Address,  
  dateOfBirth date)
```
- Dot notation used to reference components: *name.firstname*





# Structured Types (cont.)

- User-defined row types

```
create type CustomerType as (  
    name Name,  
    address Address,  
    dateOfBirth date)  
not final
```

- Can then create a table whose rows are a user-defined type  
**create table** *customer* **of** *CustomerType*





# Methods

- Can add a method declaration with a structured type.

**method** *ageOnDate* (*onDate* **date**)

**returns interval year**

- Method body is given separately.

**create instance method** *ageOnDate* (*onDate* **date**)

**returns interval year**

**for** *CustomerType*

**begin**

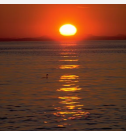
**return** *onDate* - **self.dateOfBirth**;

**end**

- We can now find the age of each customer:

**select** *name.lastname*, *ageOnDate* (**current\_date**)

**from** *customer*





# Inheritance

- Suppose that we have the following type definition for people:

```
create type Person  
  (name varchar(20),  
  address varchar(20))
```

- Using inheritance to define the student and teacher types

```
create type Student  
under Person  
  (degree varchar(20),  
  department varchar(20))
```

```
create type Teacher  
under Person  
  (salary integer,  
  department varchar(20))
```

- Subtypes can redefine methods by using **overriding method** in place of **method** in the method declaration





# Multiple Inheritance

- SQL:1999 and SQL:2003 do not support multiple inheritance
- If our type system supports multiple inheritance, we can define a type for teaching assistant as follows:

```
create type Teaching Assistant  
under Student, Teacher
```

- To avoid a conflict between the two occurrences of *department* we can rename them

```
create type Teaching Assistant  
under  
  Student with (department as student_dept),  
  Teacher with (department as teacher_dept)
```



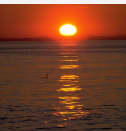


# Consistency Requirements for Subtables

- Consistency requirements on subtables and supertables.
  - Each tuple of the supertable (e.g. *people*) can correspond to at most one tuple in each of the subtables (e.g. *students* and *teachers*)
  - Additional constraint in SQL:1999:

All tuples corresponding to each other (that is, with the same values for inherited attributes) must be derived from one tuple (inserted into one table).

    - ▶ That is, each entity must have a most specific type
    - ▶ We cannot have a tuple in *people* corresponding to a tuple each in *students* and *teachers*



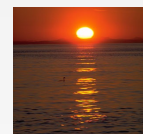


# Array and Multiset Types in SQL

- Example of array and multiset declaration:

```
create type Publisher as  
  (name          varchar(20),  
   branch       varchar(20))  
create type Book as  
  (title         varchar(20),  
   author-array varchar(20) array [10],  
   pub-date     date,  
   publisher    Publisher,  
   keyword-set  varchar(20) multiset )  
  
create table books of Book
```

- Similar to the nested relation books, but with array of authors instead of set

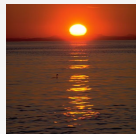






# Creation of Collection Values

- Array construction  
`array ['Silberschatz', `Korth', `Sudarshan']`
- Multisets
  - `multisetset ['computer', 'database', 'SQL']`
- To create a tuple of the type defined by the *books* relation:  
`('Compilers', array[ `Smith', `Jones'],  
    Publisher ( `McGraw-Hill', `New York'),  
    multiset [ `parsing', `analysis' ])`
- To insert the preceding tuple into the relation *books*  
`insert into books  
values  
    ('Compilers', array[ `Smith', `Jones'],  
    Publisher ( `McGraw-Hill', `New York'),  
    multiset [ `parsing', `analysis' ])`





# Querying Collection-Valued Attributes

- To find all books that have the word “database” as a keyword,  

```
select title
from books
where 'database' in (unnest(keyword-set))
```
- We can access individual elements of an array by using indices
  - E.g.: If we know that a particular book has three authors, we could write:  

```
select author-array[1], author-array[2], author-array[3]
from books
where title = `Database System Concepts`
```
- To get a relation containing pairs of the form “title, author-name” for each book and each author of the book  

```
select B.title, A.author
from books as B, unnest (B.author-array) as A (author)
```
- To retain ordering information we add a **with ordinality** clause  

```
select B.title, A.author, A.position
from books as B, unnest (B.author-array) with ordinality as
A (author, position)
```





# Unnesting

- The transformation of a nested relation into a form with fewer (or no) relation-valued attributes is called **unnesting**.
- E.g.

```
select title, A as author, publisher.name as pub_name,  
        publisher.branch as pub_branch, K.keyword  
from books as B, unnest(B.author_array) as A (author),  
        unnest (B.keyword_set) as K (keyword)
```





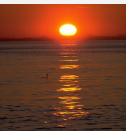
# Nesting

- **Nesting** is the opposite of unnesting, creating a collection-valued attribute
- NOTE: SQL:1999 does not support nesting
- Nesting can be done in a manner similar to aggregation, but using the function **collect()** in place of an aggregation operation, to create a multiset
- To nest the *flat-books* relation on the attribute *keyword*:

```
select title, author, Publisher (pub_name, pub_branch ) as publisher,  
        collect (keyword) as keyword_set  
from flat-books  
groupby title, author, publisher
```

- To nest on both authors and keywords:

```
select title, collect (author ) as author_set,  
        Publisher (pub_name, pub_branch) as publisher,  
        collect (keyword) as keyword_set  
from flat-books  
group by title, publisher
```



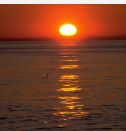


# 1NF Version of Nested Relation

1NF version of *books*

<i>title</i>	<i>author</i>	<i>pub-name</i>	<i>pub-branch</i>	<i>keyword</i>
Compilers	Smith	McGraw-Hill	New York	parsing
Compilers	Jones	McGraw-Hill	New York	parsing
Compilers	Smith	McGraw-Hill	New York	analysis
Compilers	Jones	McGraw-Hill	New York	analysis
Networks	Jones	Oxford	London	Internet
Networks	Frick	Oxford	London	Internet
Networks	Jones	Oxford	London	Web
Networks	Frick	Oxford	London	Web

*flat-books*





# Nesting (Cont.)

- Another approach to creating nested relations is to use subqueries in the **select** clause.

```
select title,  
      array ( select author  
              from authors as A  
              where A.title = B.title  
              order by A.position) as author_array,  
      Publisher (pub-name, pub-branch) as publisher,  
      multiset (select keyword  
                  from keywords as K  
                  where K.title = B.title) as keyword_set  
from books4 as B
```





# Object-Identity and Reference Types

- Define a type *Department* with a field *name* and a field *head* which is a reference to the type *Person*, with table *people* as scope:

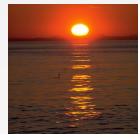
```
create type Department (  
    name varchar (20),  
    head ref (Person) scope people)
```

- We can then create a table *departments* as follows

```
create table departments of Department
```

- We can omit the declaration **scope** *people* from the type declaration and instead make an addition to the **create table** statement:

```
create table departments of Department  
    (head with options scope people)
```

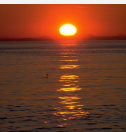




# Initializing Reference-Typed Values

- To create a tuple with a reference value, we can first create the tuple with a null reference and then set the reference separately:

```
insert into departments
  values (`CS`, null)
update departments
  set head = (select p.person_id
              from people as p
              where name = `John`)
where name = `CS`
```







# User Generated Identifiers

- The type of the object-identifier must be specified as part of the type definition of the referenced table, and
- The table definition must specify that the reference is user generated

```
create type Person  
  (name varchar(20)  
   address varchar(20))  
  ref using varchar(20)  
create table people of Person  
  ref is person_id user generated
```

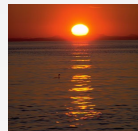
- When creating a tuple, we must provide a unique value for the identifier:

```
insert into people (person_id, name, address) values  
  ('01284567', 'John', '23 Coyote Run')
```

- We can then use the identifier value when inserting a tuple into *departments*

- Avoids need for a separate query to retrieve the identifier:

```
insert into departments  
values('CS', '02184567')
```





# User Generated Identifiers (Cont.)

- Can use an existing primary key value as the identifier:

```
create type Person  
  (name varchar (20) primary key,  
   address varchar(20))  
  ref from (name)  
create table people of Person  
  ref is person_id derived
```

- When inserting a tuple for *departments*, we can then use

```
insert into departments  
  values(`CS`, `John`)
```





# Path Expressions

- Find the names and addresses of the heads of all departments:

```
select head -> name, head -> address  
from departments
```

- An expression such as “head->name” is called a **path expression**
- Path expressions help avoid explicit joins
  - If department head were not a reference, a join of *departments* with *people* would be required to get at the address
  - Makes expressing the query much easier for the user





# Implementing O-R Features

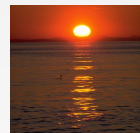
- Similar to how E-R features are mapped onto relation schemas
- Subtable implementation
  - Each table stores primary key and those attributes defined in that table
- or,
- Each table stores both locally defined and inherited attributes





# Persistent Programming Languages

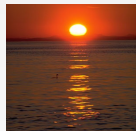
- Languages extended with constructs to handle persistent data
- Programmer can manipulate persistent data directly
  - no need to fetch it into memory and store it back to disk (unlike embedded SQL)
- Persistent objects:
  - by class - explicit declaration of persistence
  - by creation - special syntax to create persistent objects
  - by marking - make objects persistent after creation
  - by reachability - object is persistent if it is declared explicitly to be so or is reachable from a persistent object





# Object Identity and Pointers

- Degrees of permanence of object identity
  - Intraprocedure: only during execution of a single procedure
  - Intraprogram: only during execution of a single program or query
  - Interprogram: across program executions, but not if data-storage format on disk changes
  - Persistent: interprogram, plus persistent across data reorganizations
- Persistent versions of C++ and Java have been implemented
  - C++
    - ▶ ODMG C++
    - ▶ ObjectStore
  - Java
    - ▶ Java Database Objects (JDO)





# Comparison of O-O and O-R Databases

## ■ Relational systems

- simple data types, powerful query languages, high protection.

## ■ Persistent-programming-language-based OODBs

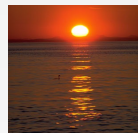
- complex data types, integration with programming language, high performance.

## ■ Object-relational systems

- complex data types, powerful query languages, high protection.

## ■ Note: Many real systems blur these boundaries

- E.g. persistent programming language built as a wrapper on a relational database offers first two benefits, but may have poor performance.





# End of Chapter

## Database System Concepts

©Silberschatz, Korth and Sudarshan

See [www.db-book.com](http://www.db-book.com) for conditions on re-use

