## CS781 Quiz 1 (Autumn 2023)

## Max marks: 30

- The exam is open book and notes. However, you are not allowed to search on the internet or consult others over the internet for your answers.
- Be brief, complete and stick to what has been asked.
- Unless asked for explicitly, you may cite results/proofs covered in class without reproducing them.
- If you need to make any assumptions, state them clearly.
- Do not copy solutions from others. Penalty for offenders: FR grade.
- 1. The neural network shown in Fig. 1 has 1 input layer, 1 hidden layer and 1 output layer. Assume that all hidden and output layer nodes have bias 0, and a non-linear activation function  $\sigma(\cdot)$  is used in the hidden layer nodes (but not in output layer nodes). Thus, the output of node  $x_3$  is given by  $\sigma(x_1 x_2)$  and that of node  $x_4$  is given by  $\sigma(x_2 x_1)$ . The function  $\sigma(x)$  is defined as  $\max(\alpha . x, \beta . x)$ , where  $0 \le \alpha < 0.25$  and  $0.75 \le \beta \le 1$ .

Our goal in this question is to find out if  $\min_{(x_1,x_2)\in\mathcal{C}} x_5 \geq 0$  and also if  $\max_{(x_1,x_2)\in\mathcal{C}} x_5 \leq 0.5$ , where  $\mathcal{C}$  denotes the *p*-norm unit ball around (0,0), i.e.  $(x_1,x_2)\in\mathbb{B}_p((0,0),1)$  and p>1



Figure 1: A neural network

[5 marks] Using the interval abstract domain studied in class and using only interval propagation, find upper and lower bounds for every node n in the neural network. Upper and lower bounds of  $x_3, x_4, x_5$ can be in terms of the parameters  $\alpha$  and  $\beta$ .

[10 marks] Next, suppose we wish to use the 4-tuple abstract domain used in the DEEPPOLY paper. Using the upper and lower bounds determined above, find the best linear expressions in  $x_1$  and  $x_2$  that bound  $x_3$  and  $x_4$  from above and below. Your expressions can include  $\alpha$  and  $\beta$  and are not required to be linear in these parameters. As in the DEEPPOLY paper, you must use only a single linear expression (in  $x_1$  and  $x_2$ ) for each lower/upper bound.

[5 marks] Find linear expressions in  $x_1$  and  $x_2$  for the lower and upper bound of  $x_5$  using the backward propagation of expressions all the way up to the input layer, as explained in the DEEPPOLY paper. Your expressions can include  $\alpha$  and  $\beta$  and are not required to be linear in these parameters.

[5 marks] Use the expressions obtained above and the result about dual norms and Holder's inequality studied in class to find a lower bound of  $\min_{(x_1,x_2)\in\mathcal{C}} x_5$  and an upper bound of  $\max_{(x_1,x_2)\in\mathcal{C}} x_5$  in terms of  $\alpha$  and  $\beta$ , where  $\mathcal{C} = \mathbb{B}_p((0,0), 1)$  and p > 1.

[5 marks] From the bounds obtained in the previous sub-question, can you find a (possibly non-linear) relation between  $\alpha$  and  $\beta$  that ensures that  $x_5 \ge 0$  for all  $(x_1, x_2) \in \mathcal{C}$  for all p > 1? Give justification for your answer.