

# **Abstract Interpretation and Program Verification**

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# Program Analysis: An Example

```
int x = 0, y = 0, z;  
read(z);  
while ( f(x, z) > 0) {  
    if ( g(z, y) > 10) {  
        x = x + 1; y = y + 100;  
    }  
    else if ( h(z) > 20) {  
        if (x >= 4) {  
            x = x + 1; y = y + 1;  
        }  
    }  
}
```

IDEAS?

- Run test cases
- Get code analyzed by many people
- Convince yourself by ad-hoc reasoning

**What is the relation between x and y on exiting while loop?**

# Program Verification: An Example

```
int x = 0, y = 0, z;  
read(z);  
while ( f(x, z) > 0) {  
    if ( g(z, y) > 10) {  
        x = x + 1; y = y + 100;  
    }  
    else if ( h(z) > 20) {  
        if (x >= 4) {  
            x = x + 1; y = y + 1;  
        }  
    }  
}
```

IDEAS?

- Run test cases
- Get code analyzed by many people
- Convince yourself by ad-hoc reasoning

**INVARIANT or PROPERTY**

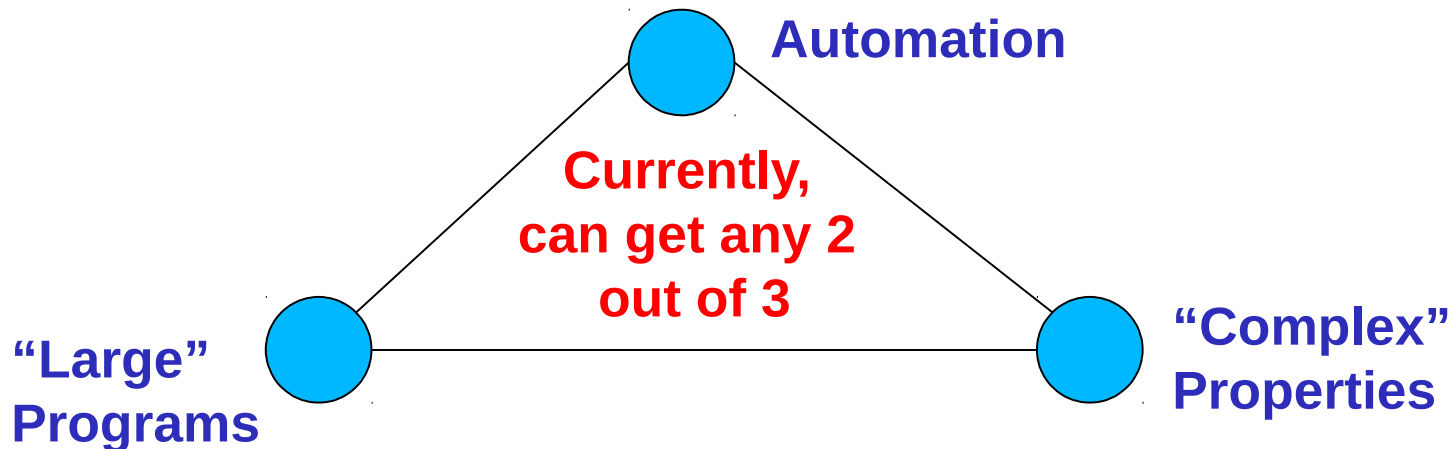
**assert( x < 4 OR y >= 2 );**

# Verification & Analysis: Close Cousins

- Both investigate relations between program variables at different program locations
- Verification: A (seemingly) special case of analysis
  - Yes/No questions
  - No simpler than program analysis
- Both problems **undecidable** (in general) for languages with loops, integer addition and subtraction
  - **Exact algorithm for program analysis/verification that works for all programs & properties: an impossibility**
- This doesn't reduce the importance of proving programs correct
  - Can we solve this in special (real-life) cases?

# Hope for Real-Life Software

- Certain classes of analyses/property-checking of real-life software feasible in practice
  - Uses domain specific techniques, restrictions on program structure...
  - “Safety” properties of avionics software, device drivers, ...
- A practitioner’s perspective



# Some Driving Factors

- Compiler design and optimizations
  - Since earliest days of compiler design
- Performance optimization
  - Renewed importance for embedded systems
- Testing, verification, validation
  - Increasingly important, given criticality of software
- Security and privacy concerns
- Distributed and concurrent applications
  - Human reasoning about all scenarios difficult

# Successful Approaches in Practical Software Verification

- Use of sophisticated abstraction and refinement techniques
  - Domain specific as well as generic
- Use of constraint solvers
  - Propositional, quantified boolean formulas, first-order theories, Horn clauses ...
- Use of scalable symbolic reasoning techniques
  - Several variants of decision diagrams, combinations of decision diagrams & satisfiability solvers ...
- Incomplete techniques that scale to real programs

# Focus of today's talk

## Abstract Interpretation Framework

- Elegant **unifying framework** for several program analysis & verification techniques
- Several success stories
  - Checking properties of avionics code in Airbus
  - Checking properties of device drivers in Windows
  - Many other examples
    - Medical, transportation, communication ...
- But, **NOT a panacea**
- Often used in combination with other techniques



# Sequential Program State

- Given sequential program  $P$ 
  - State: information necessary to determine complete future behaviour
  - (pc, store, heap, call stack)
  - pc: program counter/location
  - store: map from program variables to values
  - heap: dynamically allocated/freed memory and pointer relations thereof
  - call stack: stack of call frames

# Programs as State Transition Systems

➤ A simple program:

```
int func(int a, int b)
{ int x, y;
```

```
  L1: x = 0;
```

```
  L2: y = 1;
```

```
  L3: if (a >= b + 2)
```

```
    L4: a = y;
```

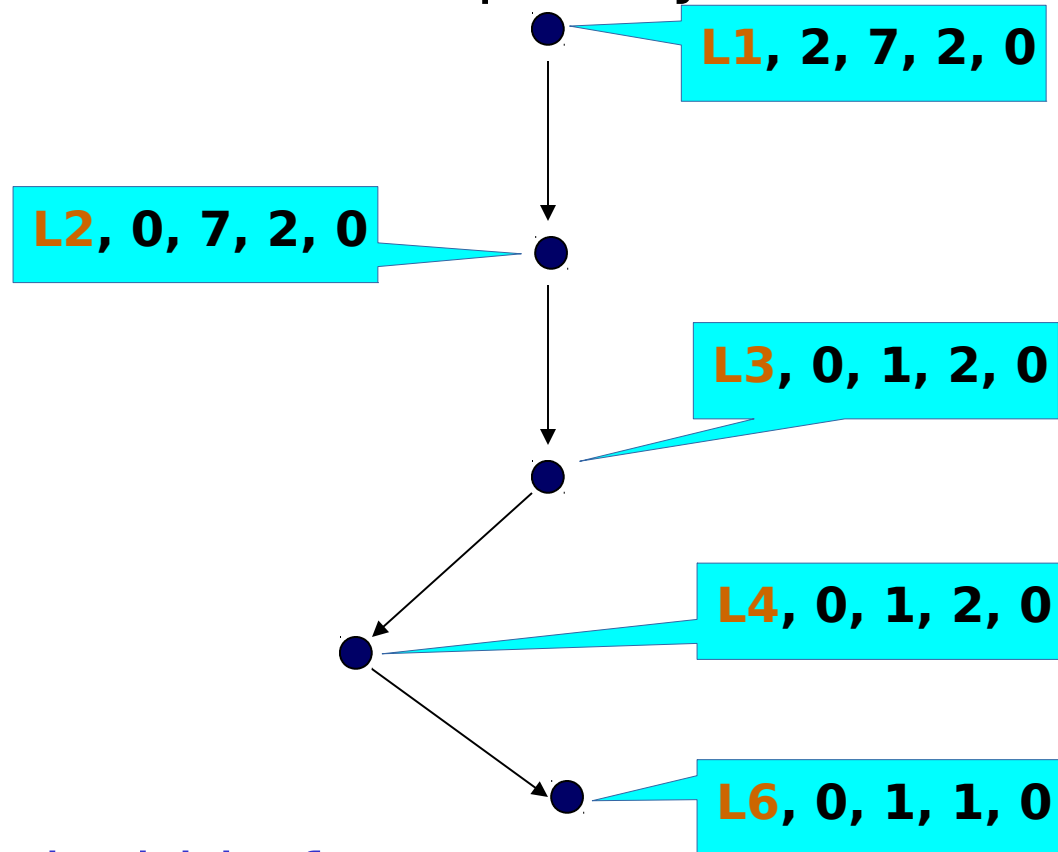
```
      else
```

```
    L5: b = x;
```

```
    L6: return (a-b);
```

```
}
```

State (pc, x, y, a, b )



State = (pc, store)

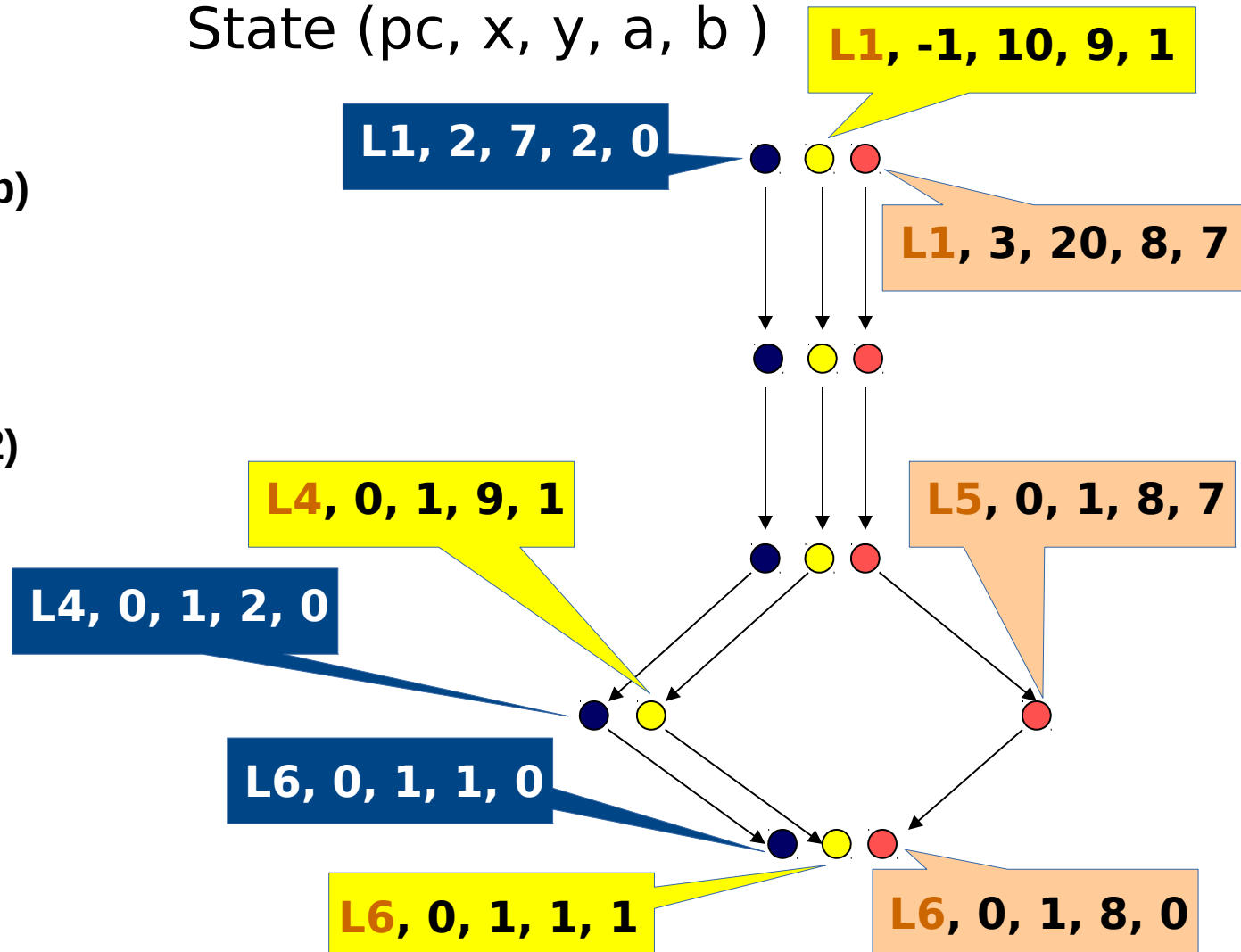
heap, stack unchanged within func

# Programs as State Transition Systems

```
int func(int a, int b)
{ int x, y;
```

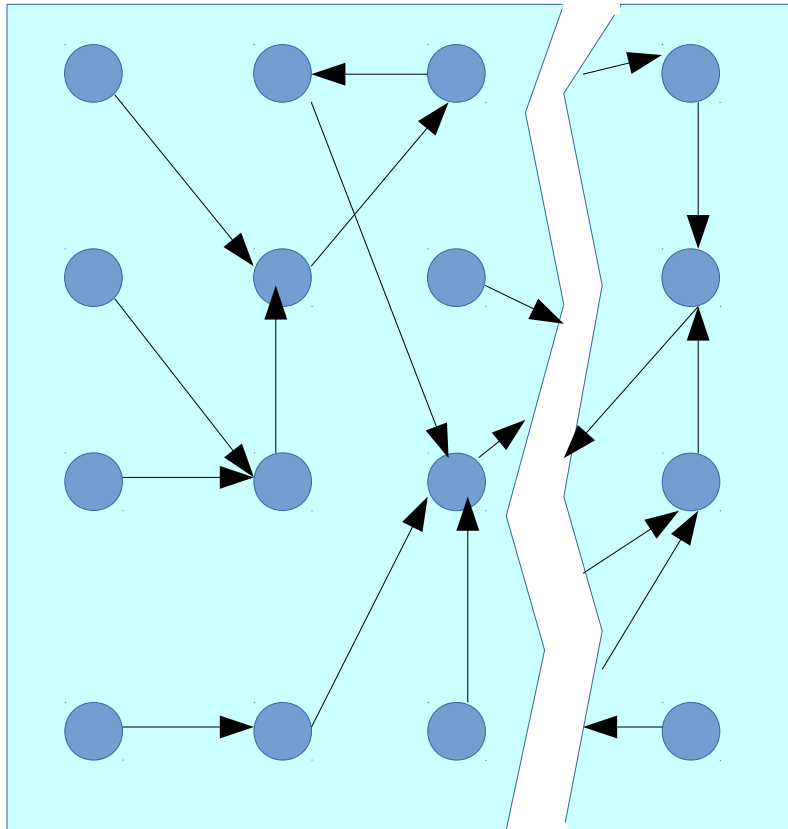
```
  L1: x = 0;
  L2: y = 1;
  L3: if (a >= b + 2)
  L4:  a = y;
      else
  L5:  b = x;
  L6:  return (a-b);
}
```

State (pc, x, y, a, b)



# Programs as State Transition Systems

State: pc, x, y, a, b



(L3, 0, 1, 5, 2)

(L4, 0, 1, 5, 2)



*Transition*

L3: if (a >= b+2)  
L4: ...  
else  
L5:



```
int func(int a, int b)
{ int x, y;
```

```
  L1: x = 0;
```

```
  L2: y = 1;
```

```
  L3: if (a >= b + 2)
```

```
    L4: a = y;
```

```
      else
```

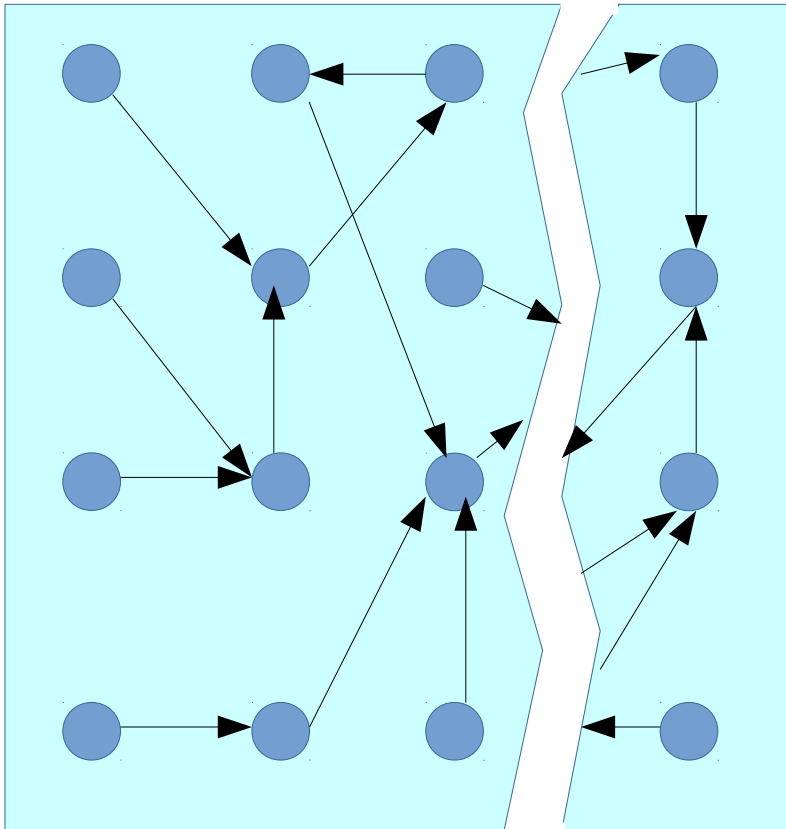
```
    L5: b = x;
```

```
    L6: return (a-b);
```

```
  }
```

# Specifying Program Properties

State: pc, x, y, a, b

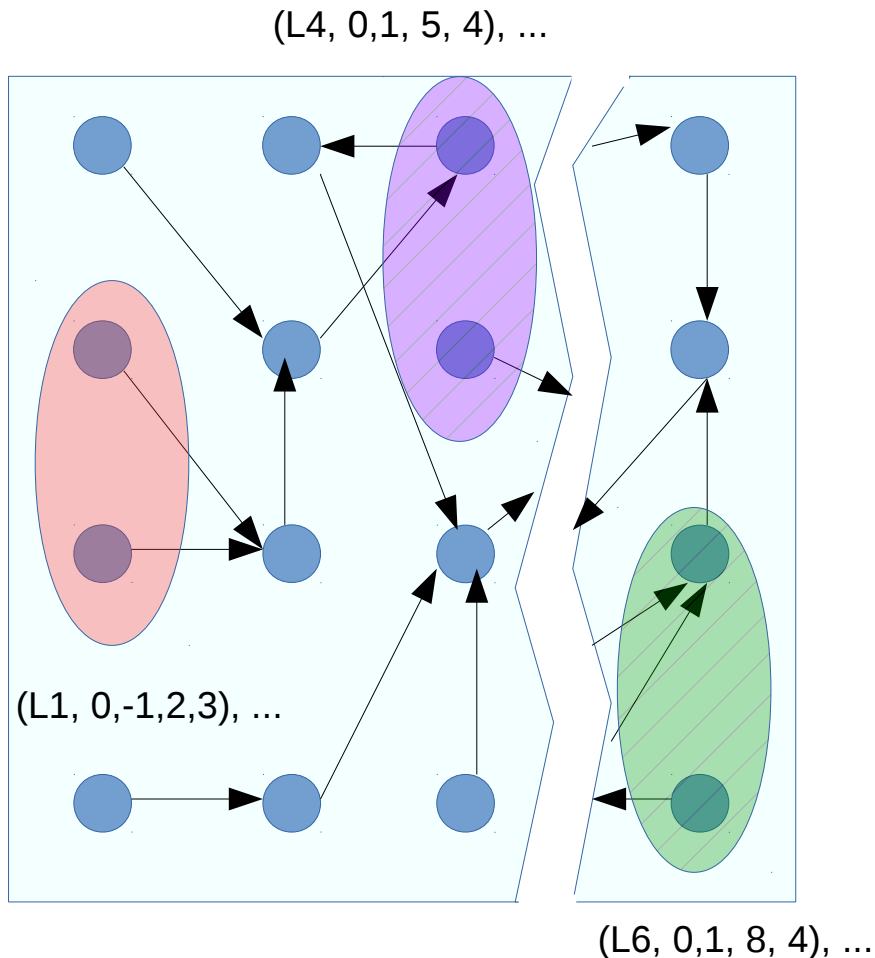


```
Pre-condition:  
{ a + b >= 0 }  
int func(int a, int b)  
{ int x, y;  
  
  L1: x = 0;  
  L2: y = 1;  
  L3: if (a >= b + 2)  
      // assert (a-b <= 1);  
  L4:  a = y;  
      else  
  L5:  b = x;  
  L6: return (a-b);  
}
```

**Post-condition:**  
{ ret\_val <= 1 }

# Specifying Program Properties

State: pc, x, y, a, b



**Pre-condition:**

```
{ a + b >= 0 }
```

```
int func(int a, int b)
```

```
{ int x, y;
```

```
  L1: x = 0;
```

```
  L2: y = 1;
```

```
  L3: if (a >= b + 2)
```

```
        // assert (a-b <= 1);
```

```
  L4: a = y;
```

```
        else
```

```
  L5: b = x;
```

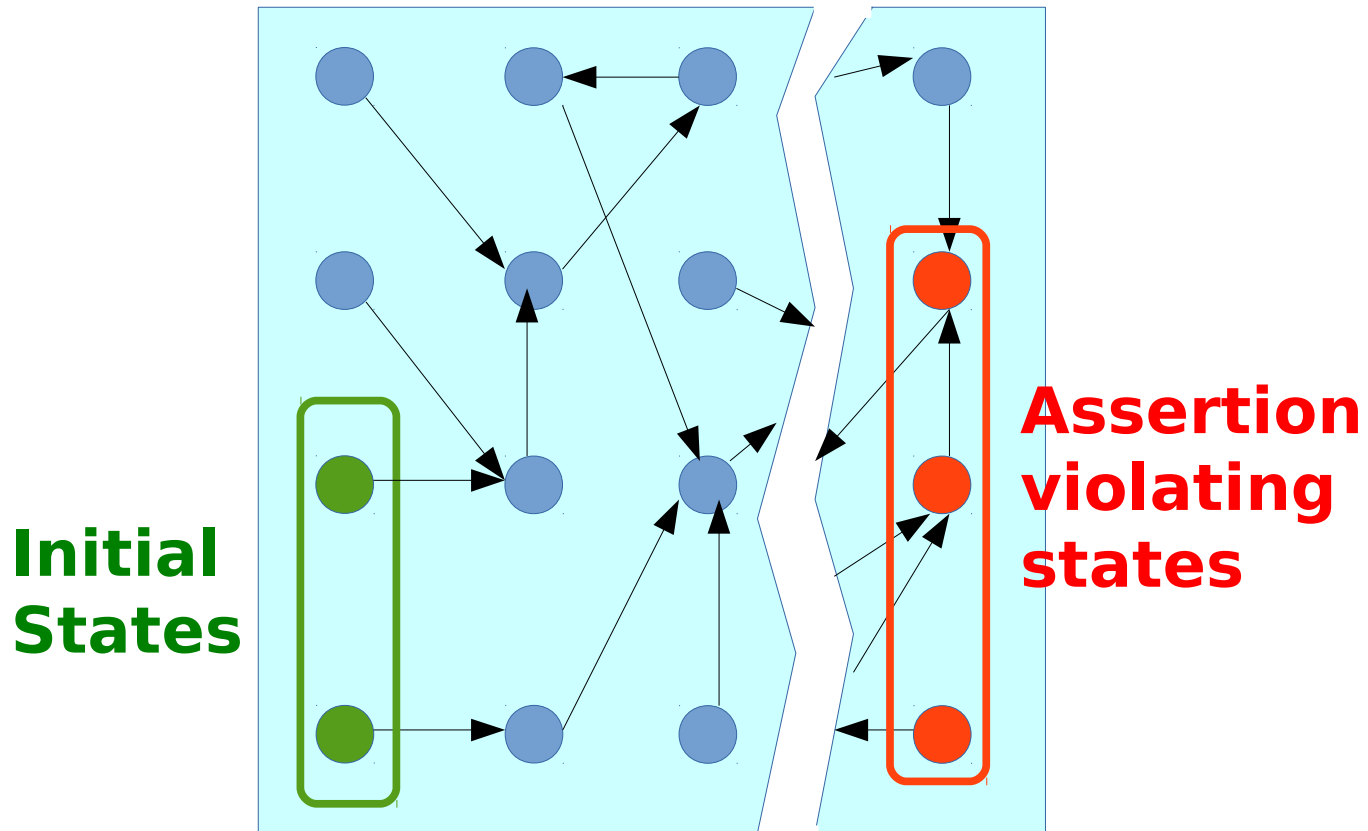
```
  L6: return (a-b);
```

```
}
```

**Post-condition:**

```
{ ret_val <= 1 }
```

# Assertion Checking as Reachability



**Path** from **initial** to **assertion violating** state ?

Absence of path: System cannot exhibit error

Presence of path: System can exhibit error

What happens with procedure calls/returns?

# State Space: How large is it?

- State = (pc, store, heap, call stack)
  - pc: finite valued
  - store: finite if all variables have finite types
  - Every program statement effects a state transition
  - enum {wait, critical, noncritical} pr\_state (finite)
  - int a, b, c (infinite)
  - bool \*p, \*q (infinite)
  - heap: unbounded in general
  - call stack: unbounded in general
- **Bad news: State space infinite in general**



# Dealing with State Space Size

- Infinite state space
  - Difficult to represent using state transition diagram
  - **Can we still do some reasoning?**
- **Solution: Use of abstraction**
  - Naive view
    - Bunch sets of states together “intelligently”
    - Don't talk of individual states, talk of a representation of a set of states
    - Transitions between state set representations
  - Granularity of reasoning shifted
  - Extremely powerful general technique
    - Allows reasoning about large/infinite state spaces

Concrete states


Abstract states

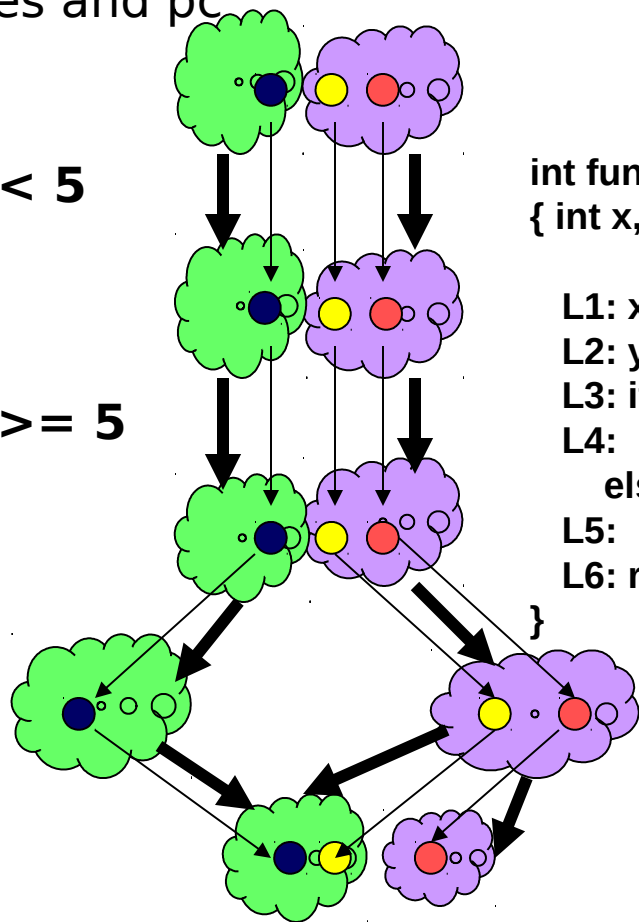
# Simple Abstractions

Group states according to values of variables and pc

State: pc, x, y, a, b

  $a < 5$

  $a \geq 5$



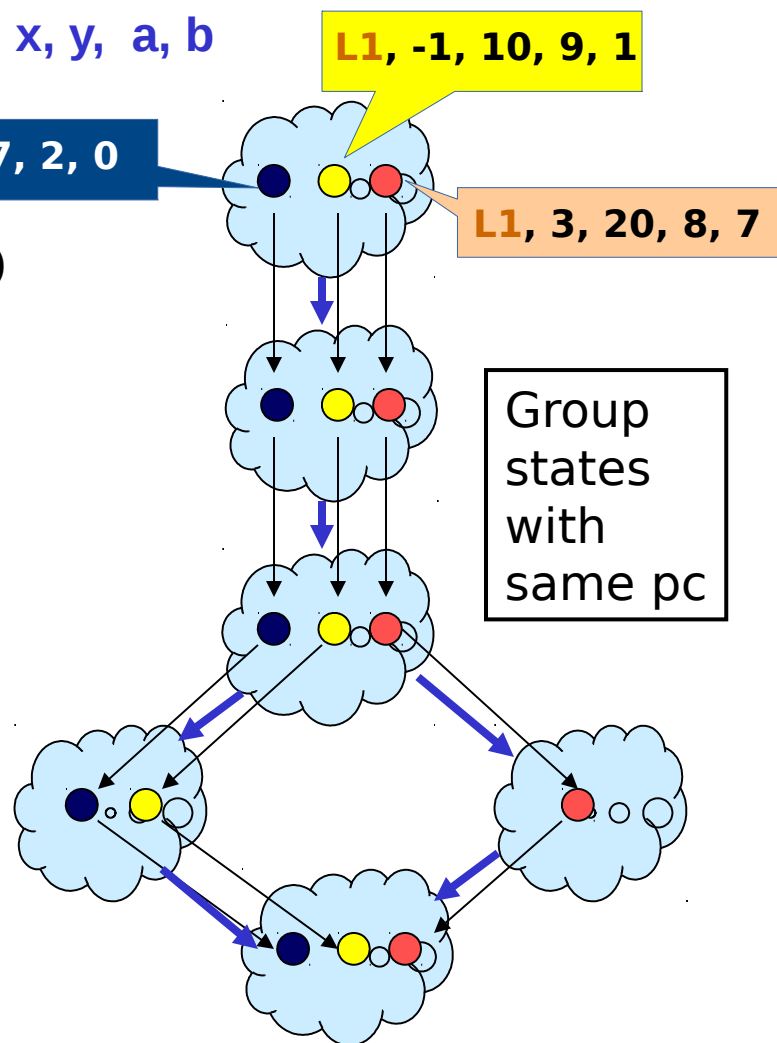
```
int func(int a, int b)
{ int x, y;
```

```
L1: x = 0;
L2: y = 1;
L3: if (a >= b + 2)
L4:   a = y;
      else
L5:   b = x;
L6: return (a-b);
}
```

**L1, 2, 7, 2, 0**

**L1, -1, 10, 9, 1**

**L1, 3, 20, 8, 7**




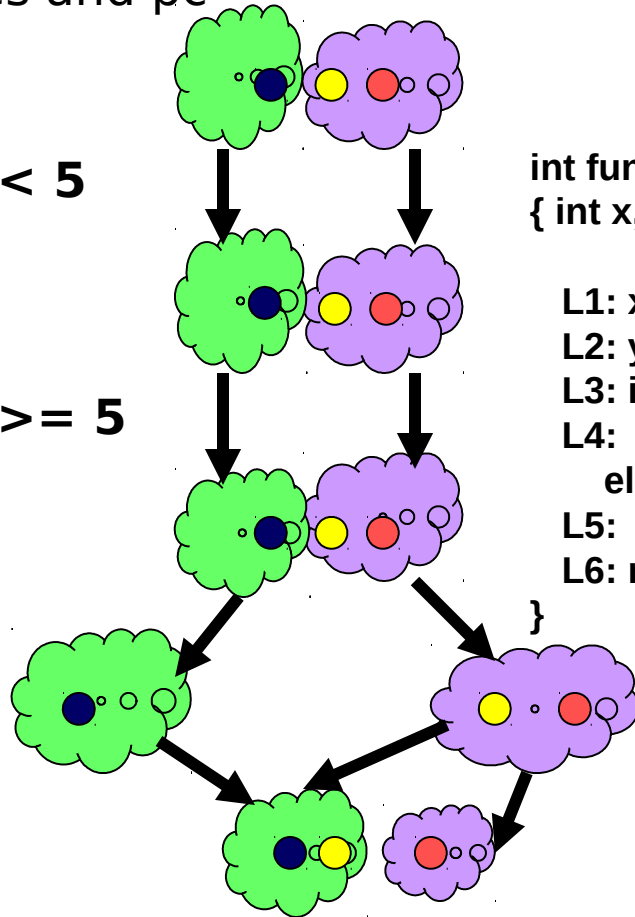
Group states with same pc

# Programs as State Set Transformers

Group states according to values of variables and pc

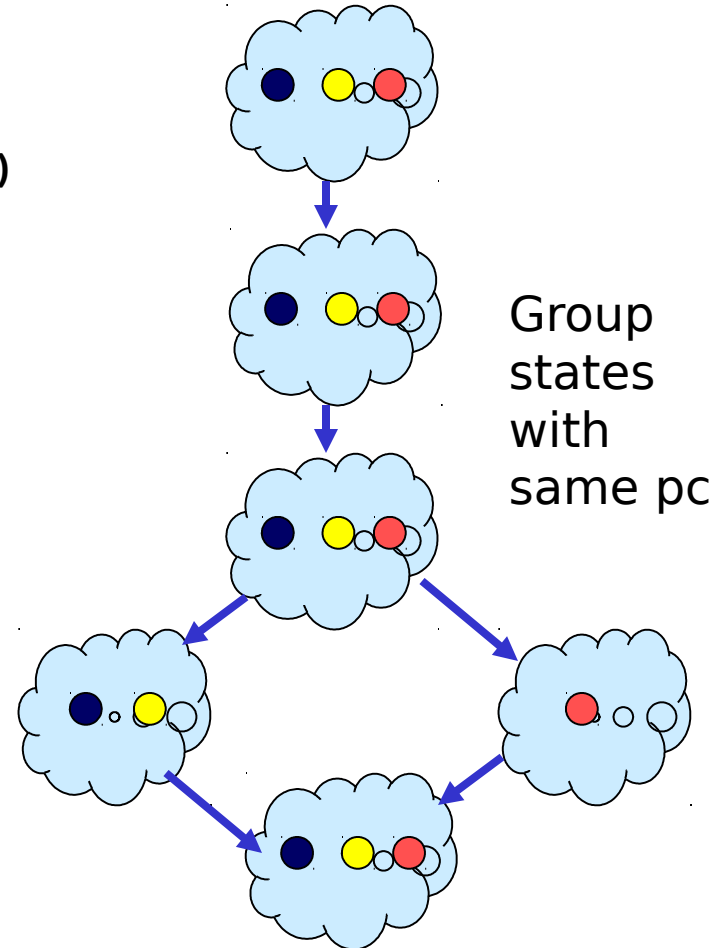
  $a < 5$

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  L1: x = 0;
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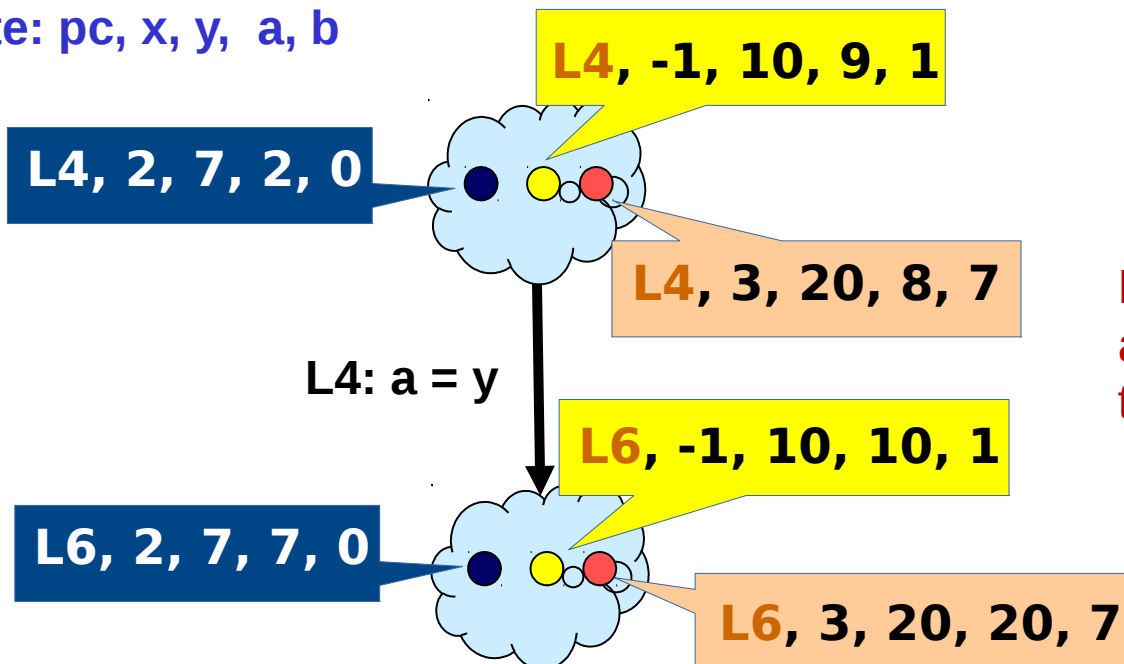


Group states with same pc

# Programs as Abstr State Transformers

- Recall: Set of (potentially infinite) concrete states is an abstract state
- **Think of program as abstract state transformer**

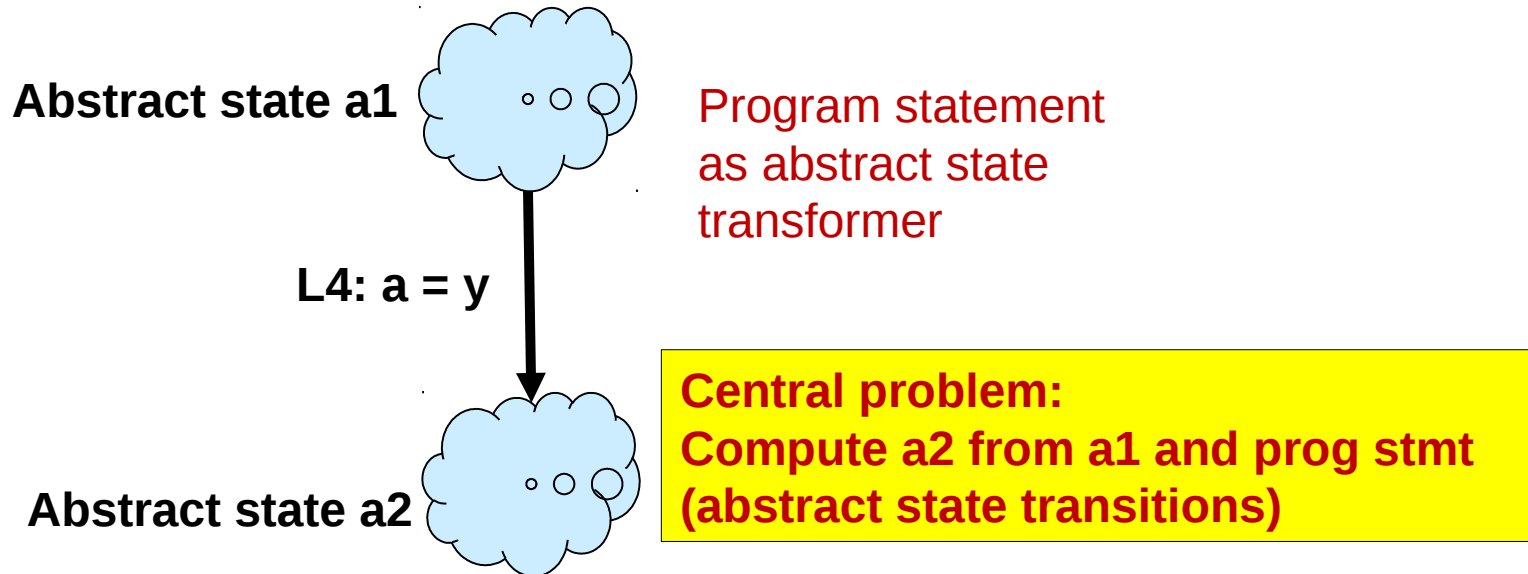
State: pc, x, y, a, b



Program statement  
as concrete state  
transformer

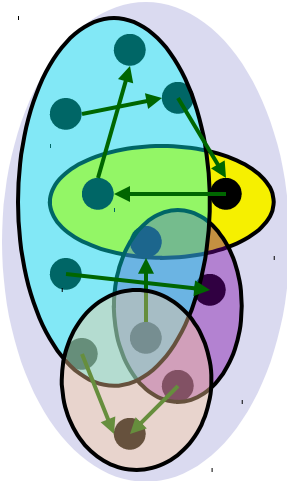
# Programs as Abstr State Transformers

- Recall: Set of (potentially infinite) concrete states is an abstract state
- **Think of program as abstract state transformer**

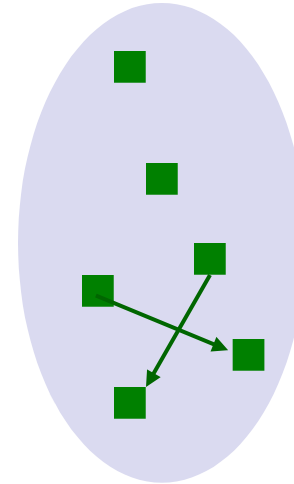


# A Generic View of Abstraction

Set of concrete states



Set of abstract states



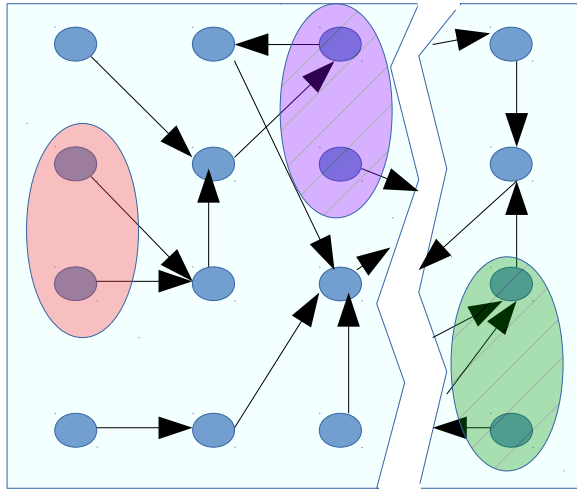
Abstraction ( $\alpha$ )



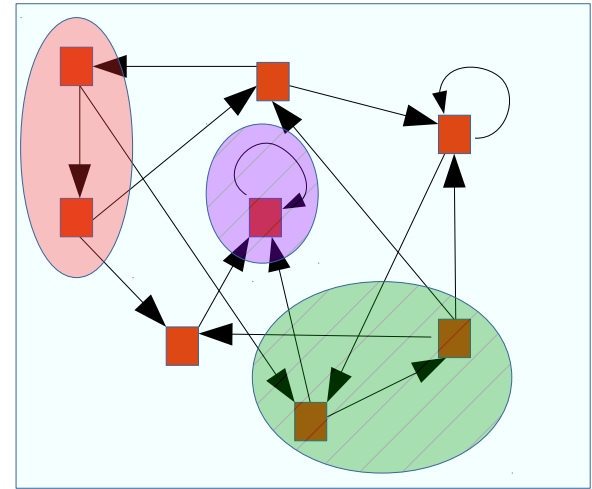
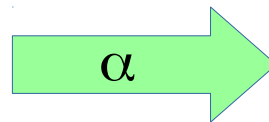
Concretization ( $\gamma$ )

- Every subset of concrete states mapped to unique abstract state
- Desirable to capture containment relations
- Transitions between state sets (abstract states)

# The Game Plan



CONCRETE STATES

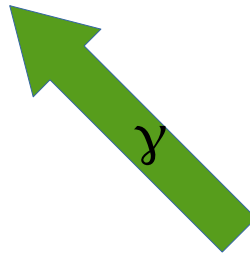


ABSTRACT STATES

```

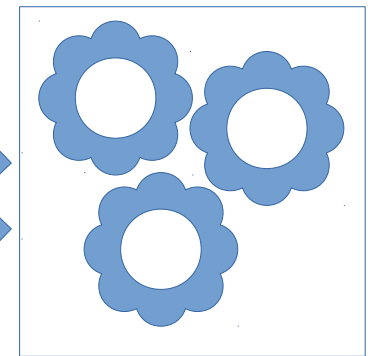
Pre-condition:
{ a + b >= 0 }
int func(int a, int b)
{ int x, y;

  L1: x = 0;
  L2: y = 1;
  L3: if (a >= b + 2)
      // assert (a-b <= 1);
  L4: a = y;
      else
  L5: b = x;
  L6: return (a-b);
}
Post-condition:
{ ret_val <= 1 }
    
```



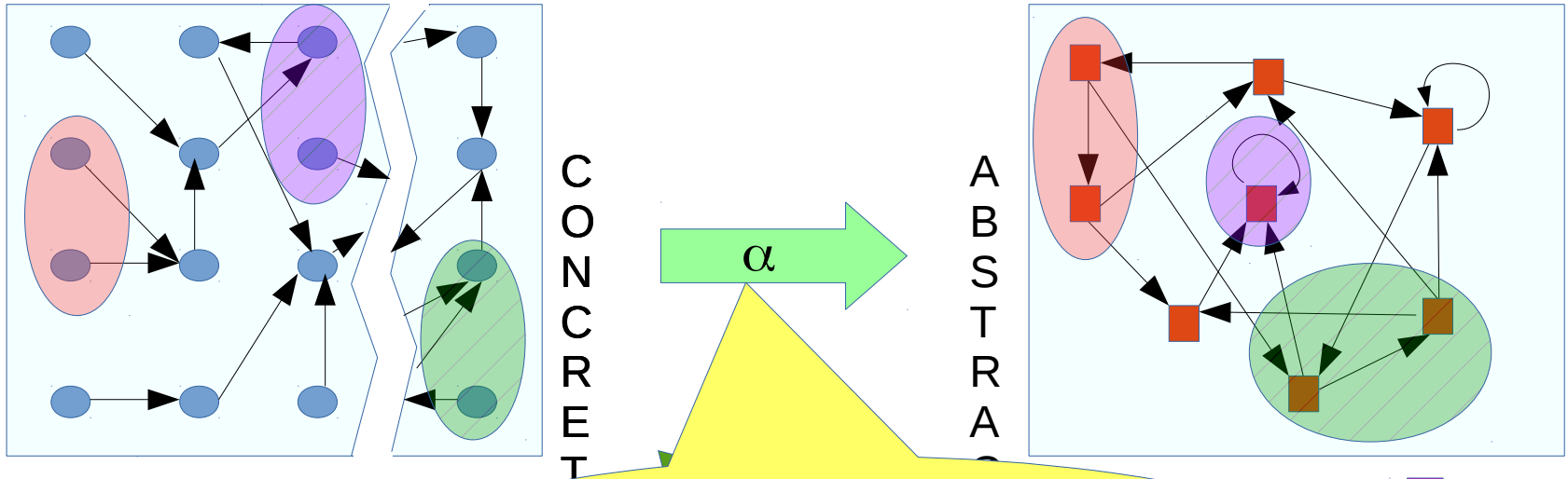
Yes,  
Proof

No,  
Counterexample



**Abstract analysis engine**

# The Game Plan



Pre-condition:

{ a + b >= 0 }

int func(int

{ int x, y

L1:

L2:

L3:

L4:

els

L5: b = x,

L6: return (a-b),

}

Post-condition:

{ ret\_val <= 1 }

How do we choose the right abstraction?  
Is there a method beyond domain expertise?  
Can we learn from errors in abstraction to build  
better (refined) abstractions?  
Can refinement be automated?

Abstract analysis engine



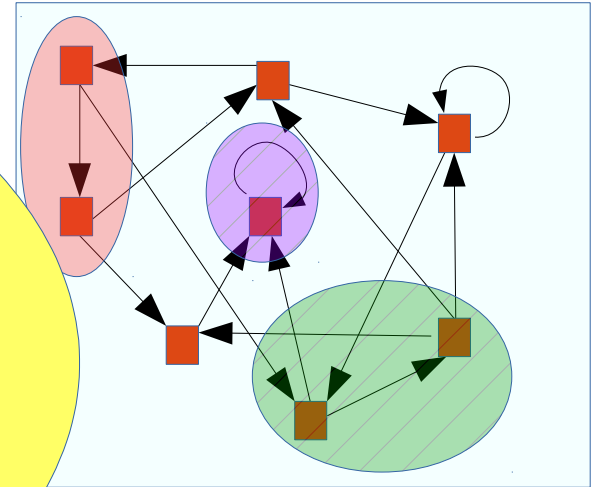
# The Game Plan

Abstract state spaces can be infinite.  
What can we do to make abstract analysis practical?  
Finite ascending chains  
what beyond?

```
int f(int a, b)
{ int x, y;
  L1: x = 0;
  L2: y = 1;
  L3: if (a >= b + 2)
      // assert (a-b <= 1);
  L4: a = y;
      else
  L5: b = x;
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Post-condition:
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```

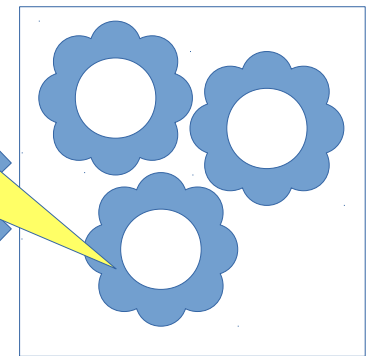
A  
T  
E  
S

A  
T  
E  
S



Yes,  
Proof

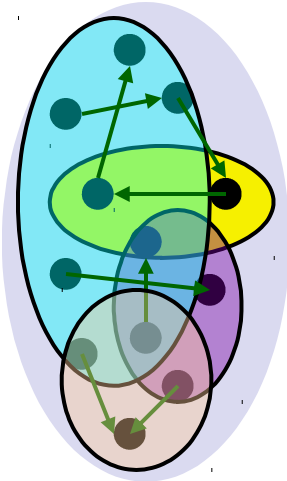
No,  
Counterexample



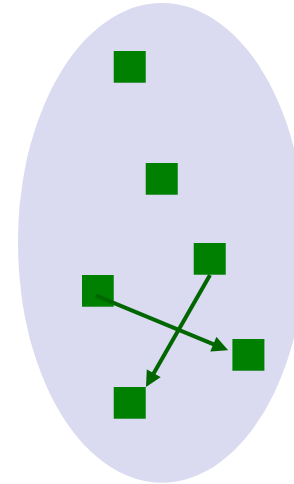
Abstract analysis engine

# Desirable Properties of Abstraction

Set of concrete states



Set of abstract states



Abstraction ( $\alpha$ )



Concretization ( $\gamma$ )

- Suppose  $S_1 \subseteq S_2$  : subsets of concrete states
  - Any behaviour starting from  $S_1$  can also happen starting from  $S_2$
  - If  $\alpha(S_1) = a_1, \alpha(S_2) = a_2$  we want this monotonicity in behaviour in abstr state space too
    - Need ordering of abstract states, similar in spirit to  $S_1 \subseteq S_2$

# Structure of Concrete State Space

➤ Set of concrete states:  $S$

▪ Concrete lattice  $\mathcal{C} = (\wp(S), \subseteq, \cup, \cap, S, \emptyset)$

Powerset of  $S$

Partial order

Least upper bound

Greatest lower bound

Bottom element

Top element

# Structure of Abstract State Space

- Abstract lattice  $\mathcal{A} = (\mathcal{A}, \sqsubseteq, \sqcup, \sqcap, \top, \perp)$
- Abstraction function  $\alpha : \wp(S) \rightarrow \mathcal{A}$ 
  - Monotone:  $S_1 \subseteq S_2 \Rightarrow \alpha(S_1) \sqsubseteq \alpha(S_2)$  for all  $S_1, S_2 \subseteq S$
  - $\alpha(S) = \top, \quad \alpha(\emptyset) = \perp$
- Concretization function  $\gamma : \mathcal{A} \rightarrow \wp(S)$ 
  - Monotone:  $a_1 \sqsubseteq a_2 \Rightarrow \gamma(a_1) \subseteq \gamma(a_2)$  for all  $a_1, a_2 \in \mathcal{A}$
  - $\gamma(\top) = S, \quad \gamma(\perp) = \emptyset$

# A Simple Abstract Domain

# Interval Abstract Domain

- Simplest domain for analyzing numerical programs
- Represent values of each variable separately using intervals
- Example:

L0:  $x = 0$ ;  $y = 0$ ;

L1: while ( $x < 100$ ) do

    L2:  $x = x + 1$ ;

    L3:  $y = y + 1$ ;

L4: end while

If the program terminates, does  $x$  have the value 100 on termination?

# Interval Abstract Domain

➤ Abstract states: intervals of values of  $x$ , pc implicit

$$[-10, 7]: \{ (x, y) \mid -10 \leq x \leq 7 \}$$

$$(-\infty, 20]: \{ (x, y) \mid x \leq 20 \}$$

▪  $\sqsubseteq$  relation: Inclusion of intervals

$$[-10, 7] \sqsubseteq [-20, 9]$$

▪  $\sqcup$  and  $\sqcap$ : union and intersection of intervals

$$[-10, 9] \sqcup [-20, 7] = [-20, 9]$$

$$[-10, 9] \sqcap [-20, 7] = [-10, 7]$$

▪  $\perp$  is empty interval of  $x$

▪  $\top$  is  $(-\infty, +\infty)$

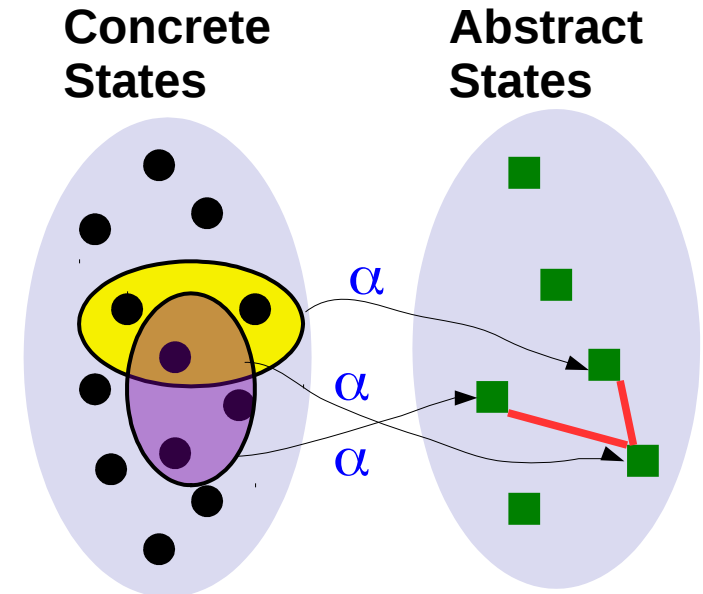
# Interval Abstract Domain

➤ Abstract states: intervals of values of  $x$ , pc implicit

$$[-10, 7]: \{ (x, y) \mid -10 \leq x \leq 7 \}$$

$$(-\infty, 20]: \{ (x, y) \mid x \leq 20 \}$$

- $\sqsubseteq$  relation: Inclusion of intervals  
 $[-10, 7] \sqsubseteq [-20, 9]$
- $\sqcup$  and  $\sqcap$ : union and intersection  
 $[-10, 9] \sqcup [-20, 7] = [-20, 9]$   
 $[-10, 9] \sqcap [-20, 7] = [-10, 7]$
- $\perp$  is empty interval of  $x$
- $\top$  is  $(-\infty, +\infty)$



$$\alpha(\{(L1, 1, 3), (L1, 2, 4), (L1, 5, 7)\}) = [1, 5]$$

$$\alpha(\{(L1, 5, 7), (L1, 7, 6), (L1, 9, 10)\}) = [5, 9]$$

$$\alpha(\{(L1, 5, 7)\}) = [5, 5]$$



# Interval Abstract Domain

- Abstract states: pairs of intervals (one for  $x$ ,  $y$ ), pc implicit
  - $([-10, 7], (-\infty, 20])$
  - $\sqsubseteq$  relation: Inclusion of intervals
    - $([-10, 7], (-\infty, 20]) \sqsubseteq ([-20, 9], (-\infty, +\infty))$
  - $\sqcup$  and  $\sqcap$ : union and intersection of intervals
    - $([-10, 9], (-\infty, 20]) \sqcap ([-20, 7], [3, +\infty)) = ([-10, 7], [3, 20])$
    - $([-10, 9], (-\infty, 20]) \sqcup ([-20, 7], [3, +\infty)) = ([-20, 9], (-\infty, +\infty))$
  - $\perp$  is empty interval of  $x$  and  $y$
  - $\top$  is  $((-\infty, +\infty), (-\infty, +\infty))$

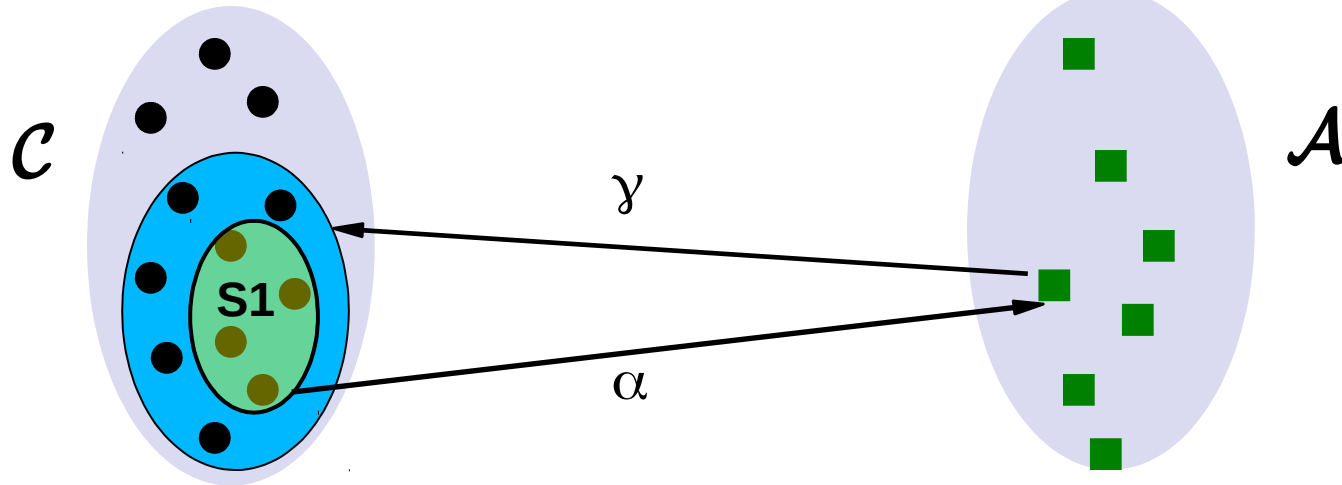
# Desirable Properties of $\alpha$ and $\gamma$

For all  $S_1 \subseteq \mathcal{C}$       $S_1 \subseteq \gamma(\alpha(S_1))$

▪

Set of concrete states

Set of abstract states



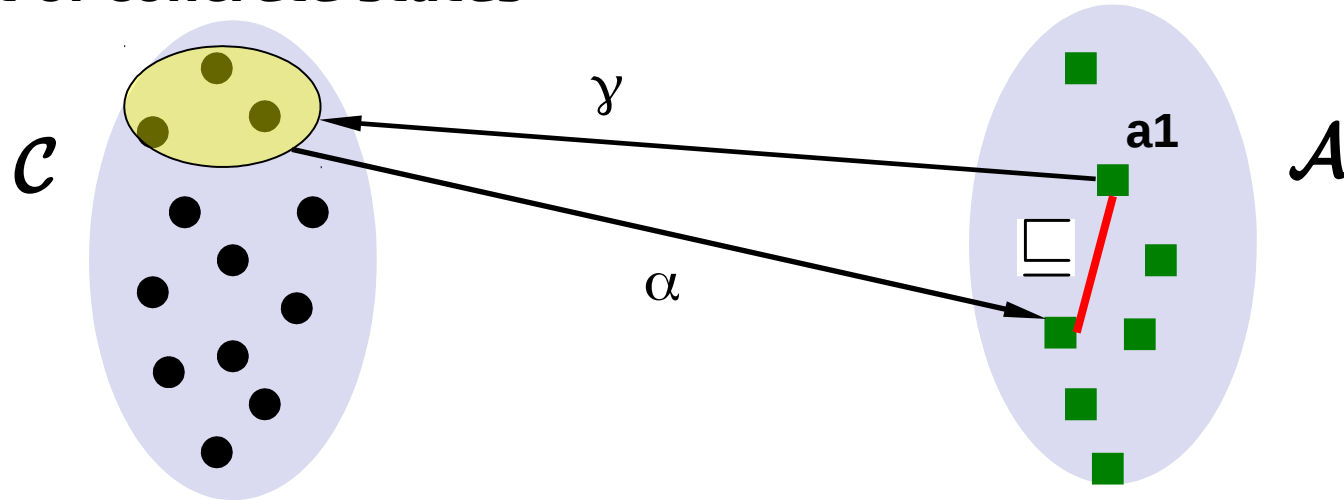
# Desirable Properties of $\alpha$ and $\gamma$

$$S_1 \subseteq \gamma(\alpha(S_1)) \quad \text{forall } S_1 \subseteq \mathcal{C}$$

$$\alpha(\gamma(a_1)) \sqsubseteq a_1 \quad \text{forall } a_1 \in \mathcal{A}$$

Set of concrete states

Set of abstract states



$\alpha$  and  $\gamma$  form a Galois connection

# Desirable Properties of $\alpha$ and $\gamma$

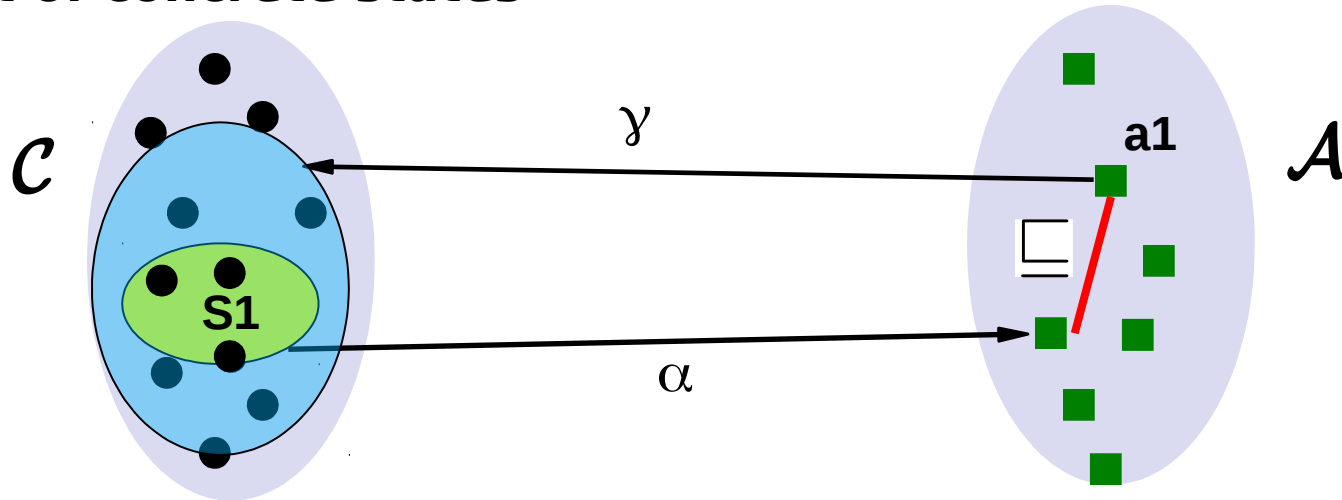
➤  $\alpha$  and  $\gamma$  form a Galois connection

▪ Second (equivalent) view:

$$\alpha(S_1) \sqsubseteq a_1 \Leftrightarrow S_1 \subseteq \gamma(a_1) \text{ for all } S_1 \subseteq S, a_1 \in \mathcal{A}$$

**Set of concrete states**

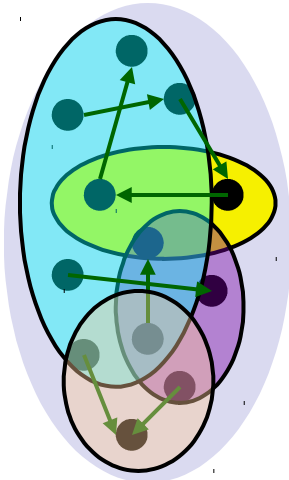
**Set of abstract states**



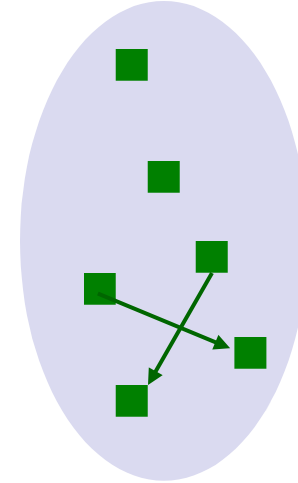
# Computing Abstract State Transitions

Set of concrete states

Set of abstract states



Abstraction ( $\alpha$ )



Concretization ( $\gamma$ )

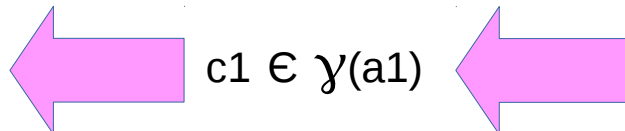
Concrete state  $c_1$



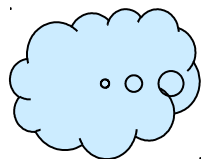
L4:  $a = y$



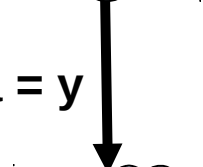
Concrete state  $c_2$



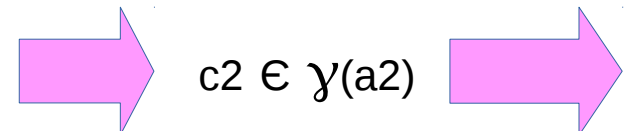
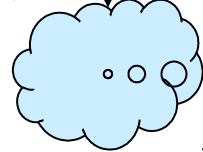
Abstract state  $a_1$



L4:  $a = y$



Abstract state  $a_2$

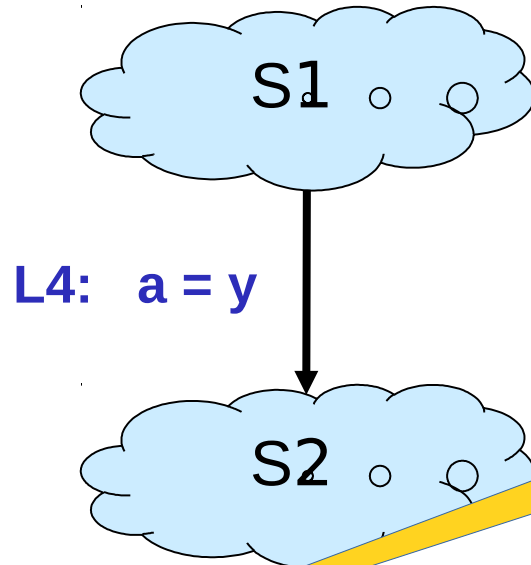


# Computing Abstract State Transitions

- Concrete state set transformer function

- Example:

$S1 = \{ (L4, x, y, a, b) \mid \dots \}$ : set of concr. states



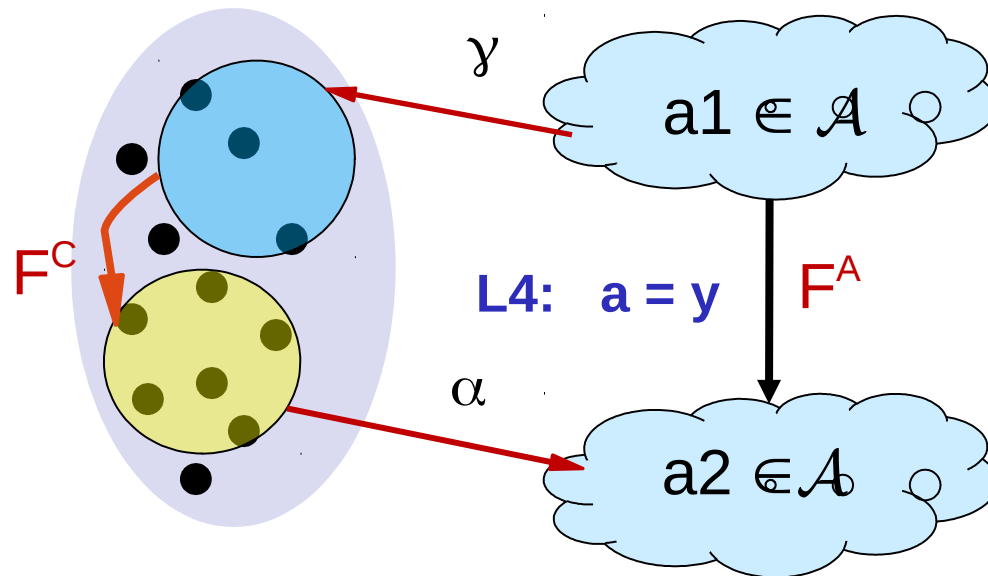
**Monotone** concrete state set transformer function for stmt at L4

$S2 = \{ (L6, x, y, a', b) \mid \exists (L4, x, y, a, b) \in S1, a' = y \}$   
 $= F^C(S1)$ : set of concrete states

# Computing Abstract State Transitions

- Abstract state transformer function
  - Example:

Set of concrete states



$a2 = \alpha( F^C ( \gamma ( a1 ) ) )$  ideally, but  $F^A(a1) \sqsupseteq \alpha( F^C ( \gamma ( a1 ) ) )$  often used

# Example Abstr State Transition

L0:  $x = 0$ ;  $y = 0$ ;

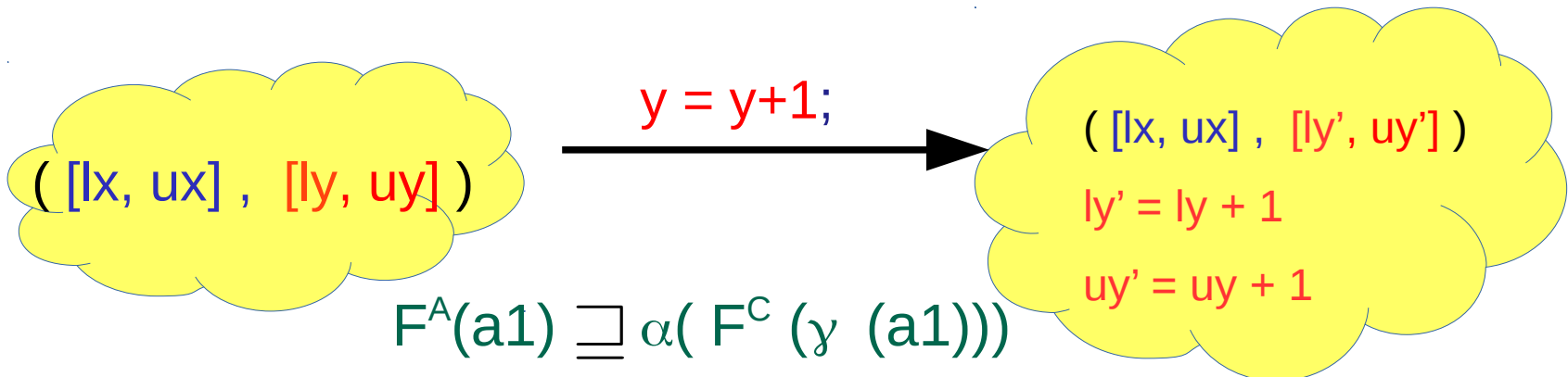
L1: while ( $x < 100$ ) do

    L2:  $x = x + 1$ ;

    L3:  $y = y + 1$ ;

L4: end while

Abstract states: pairs of intervals (one for  $x$ ,  $y$ ), pc implicit





# Example Abstr State Transition

L0:  $x = 0$ ;  $y = 0$ ;

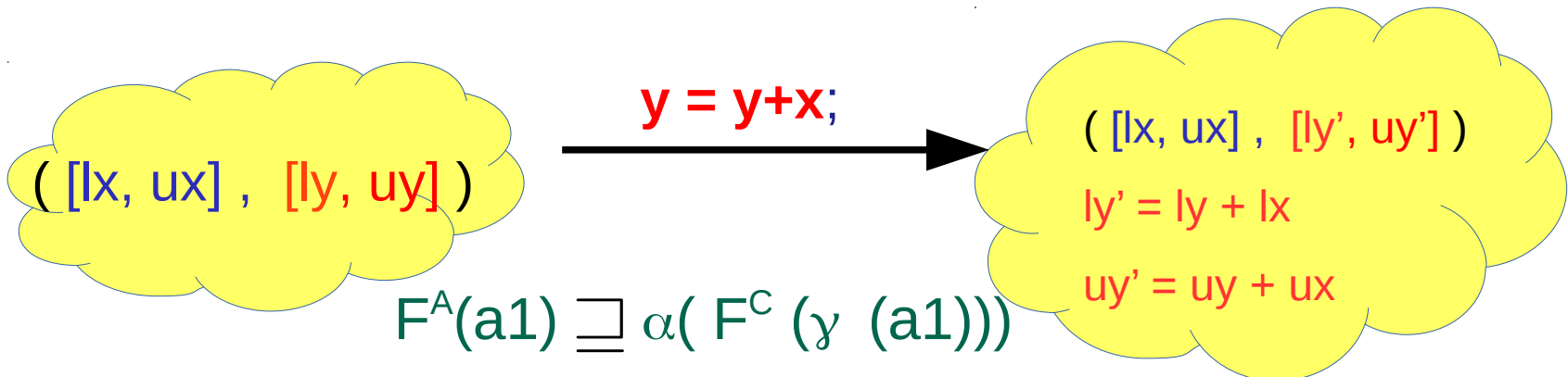
L1: while ( $x < 100$ ) do

L2:  $x = x + 1$ ;

L3:  $y = y + x$ ;

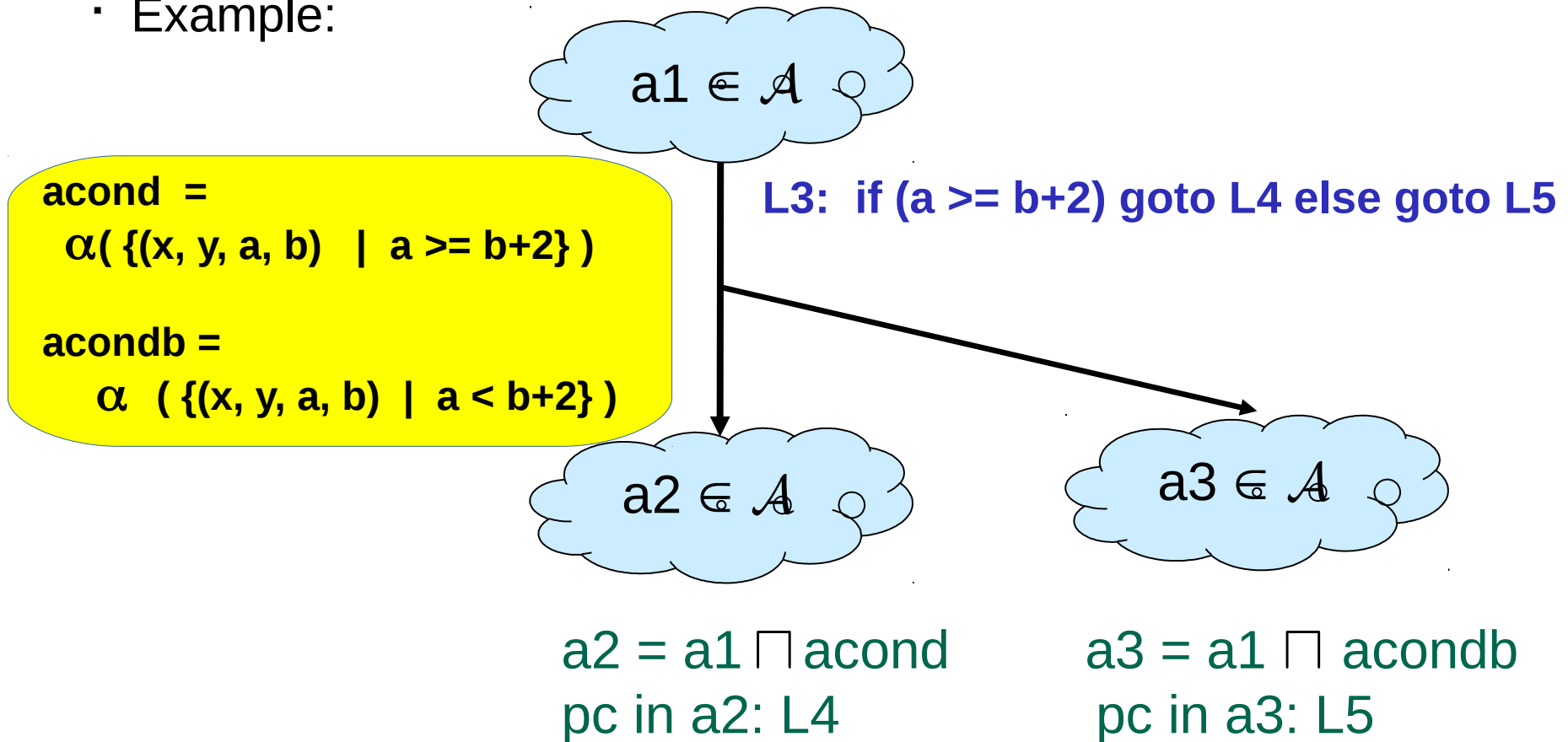
L4: end while

Abstract states: pairs of intervals (one for  $x$ ,  $y$ ), pc implicit



# Computing Abstract State Transitions

- Abstract state transformer for if-then-else
  - Example:



# Dealing with Loops

Abstract pre-cond: a0

L0: a = 0; b = 0;

L1: ..... ;

⋮

L7: while (a > b) do

L8: ..... ;

⋮

L19:..... ;

Loop Body

L20: end while

L21: ..... ;

⋮

L100: ..... ;

# Dealing with Loops

Abstract state:  $a1 = F_0^A(a0)$

L0:  $a = 0; b = 0;$

L1: ..... ;

⋮

L7: while ( $a > b$ ) do

L8: ..... ;

⋮

L19:..... ;

Loop Body

L20: end while

L21: ..... ;

⋮

L100: ..... ;

# Dealing with Loops

L0: a = 0; b = 0;

L1: ..... ;

⋮

L7: while (a > b) do

L8: ..... ;

⋮

L19:..... ;

Loop Body

L20: end while

L21: ..... ;

⋮

L100: ..... ;

Abstract state:  $a_7 = F_{1..7}^A(a_1)$

# Dealing with Loops

L0: a = 0; b = 0;

L1: ..... ;

⋮

L7: while (a > b) do

L8: ..... ;

⋮

L19: ..... ;

L20: end while

L21: ..... ;

⋮

L100: ..... ;

$\alpha(\dots) = \text{acond}$

Loop Body

Abstract state a20 ?  
Can't be computed as  
 $F_{8..19}^A(a7 \sqcap \text{acond})$

**Loop may iterate  
0,1,2,... times**

# Dealing with Loops

Calculate  
**abstract loop invariant  $a7^*$**  at L7.  
Whenever L7 is reached in program,  
corresponding abstr state  $\sqsubseteq$   **$a7^*$**

Abstract state  $a20 =$   
 **$(a7^* \sqcap acondb)$**

L0:  $a = 0; b = 0;$

L1: ..... ;

⋮

L7: while ( $a > b$ ) do

L8: ..... ;

⋮

L19:..... ;

Loop Body

L20: end while

L21: ..... ;

⋮

L100: ..... ;

$\alpha(\text{not } \dots) = acondb$

# Dealing with Loops

L0: a = 0; b = 0;

L1: ..... ;

⋮

L7: while (a > b) do

L8: ..... ;

⋮

L19:..... ;

Loop Body

L20: end while

L21: ..... ;

⋮

L100: ..... ;

Abstract state:  $a_{21} = a_{20}$



# Dealing with Loops

L0: a = 0; b = 0;

L1: ..... ;

⋮

L7: while (a > b) do

L8: ..... ;

⋮

L19: ..... ;

Loop Body

L20: end while

L21: ..... ;

⋮

L100: ..... ;

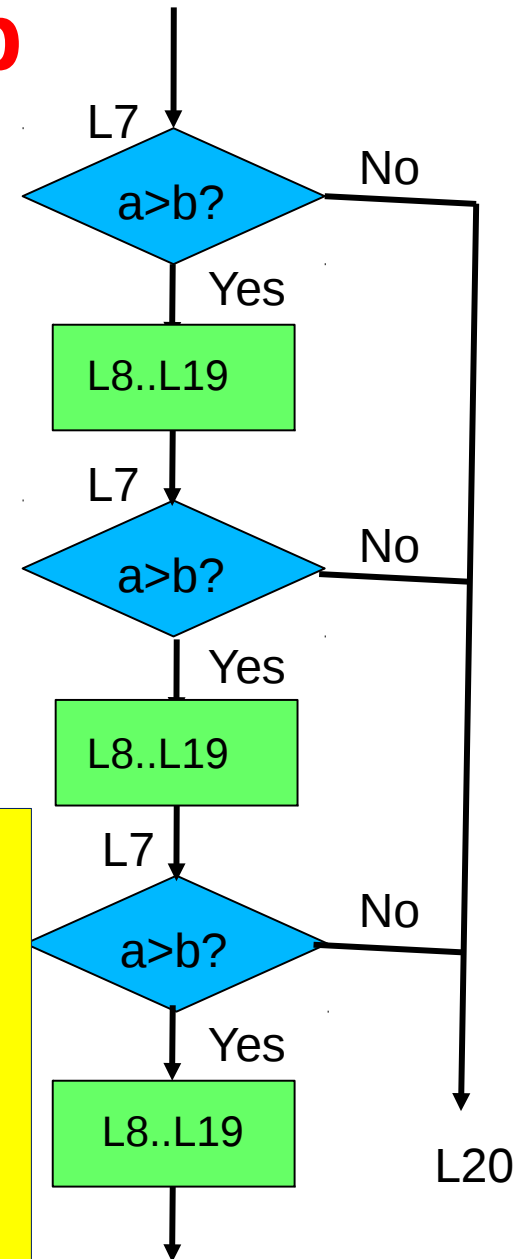
Abstract state:  
 $a_{100} = F_{21..100}^A(a_{21})$

**Loops can be handled if we know how to compute abstract  
loop invariants**

# Computing Abstract Loop Invariant

Example: ....  
L7 : while (a > b) do  
    L8: .....;  
    ⋮  
    L19: .....;  
L20: end while

Loop Body



Given

$F^A$  : abstr state transformer of loop body L8...L19

$a$  : abstr state at L7 the first time L7 is reached

What is the abstract loop invariant at L7?

# Computing Abstract Loop Invariant

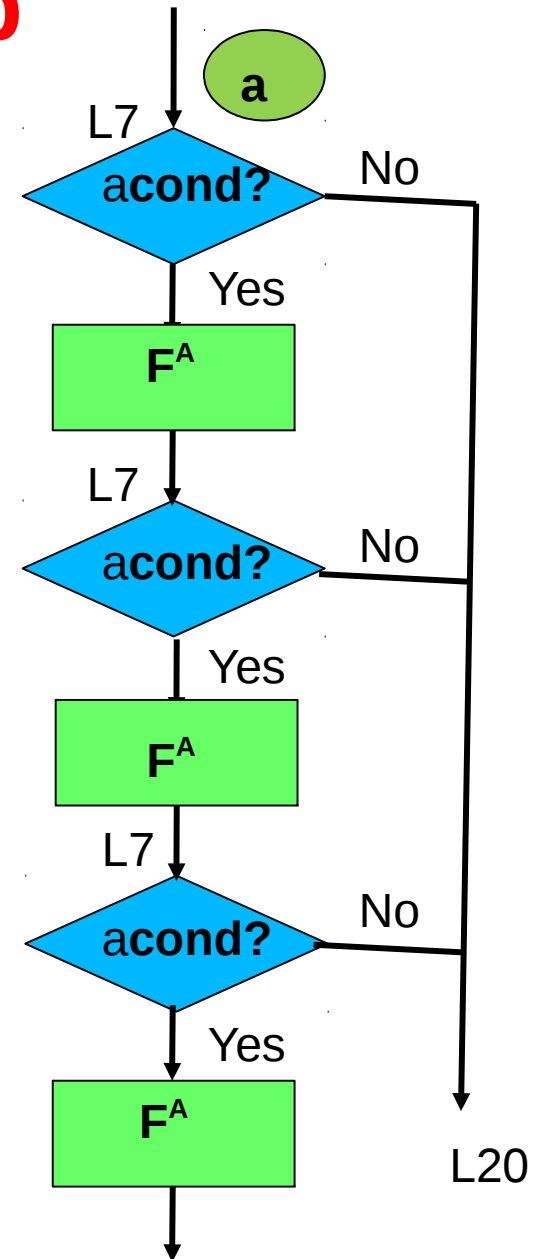
Given

$F^A$  : abstr state transformer of loop body,  
 $a$  : abstr state at L7 the first time L7 is reached

What is the abstract loop invariant at L7?

$acond = \alpha(\{s \mid s \text{ is a concrete state with } a > b\})$

Current view of abstract loop invariant



# Computing Abstract Loop Invariant

Given

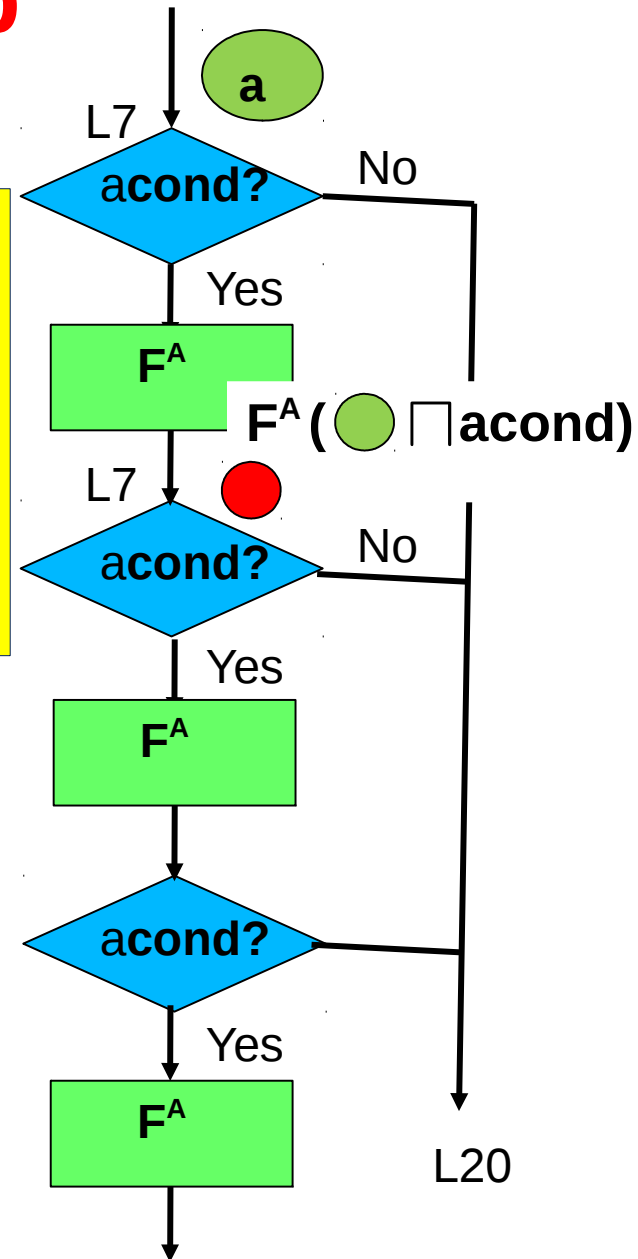
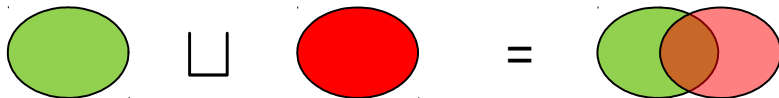
$F^A$  : abstr state transformer of loop body,

$a$  : abstr state at L7 the first time L7 is reached

What is the abstract loop invariant at L7?

$acond = \alpha(\{s \mid s \text{ is a concrete state with } a > b\})$

Current view of abstract loop invariant



# Computing Abstract Loop Invariant

Given

$F^A$  : abstr state transformer of loop body,  
 $a$  : abstr state at L7 the first time L7 is reached

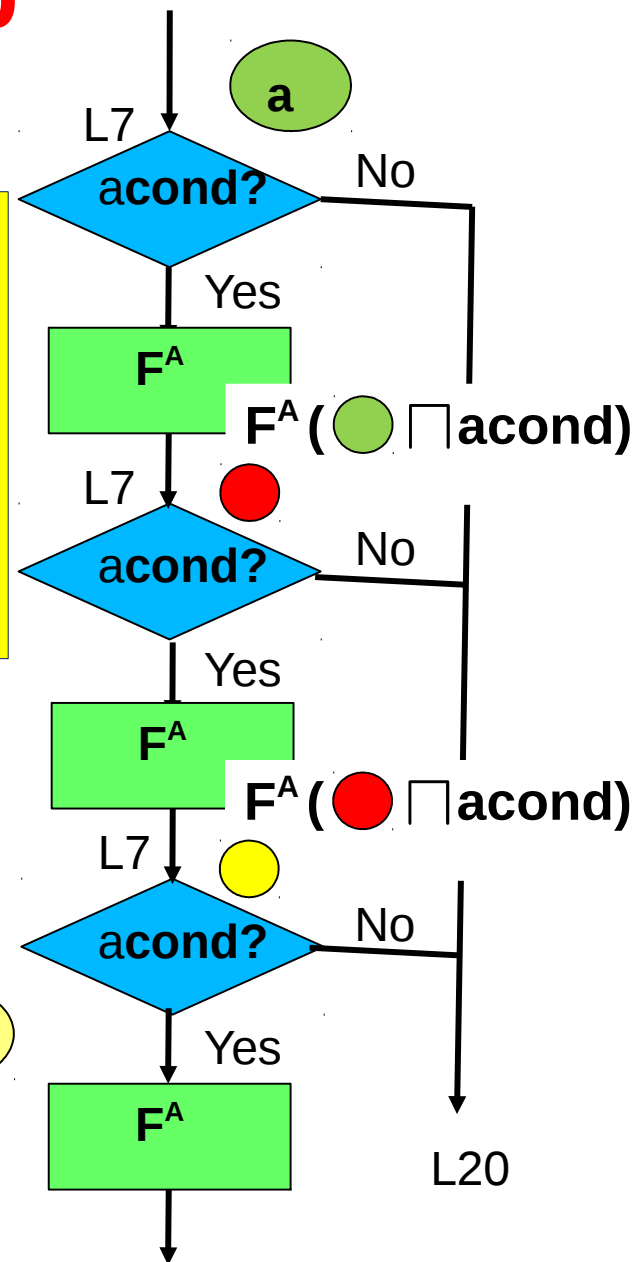
What is the abstract loop invariant at L7?

$acond = \alpha(\{s \mid s \text{ is a concrete state with } a > b\})$

Current view of abstract loop invariant



Recall: Meet-over-paths



# Computing Abstract Loop Invariant

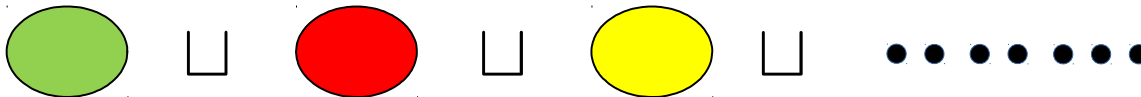
Given

$F^A$  : abstr state transformer of loop body,  
 $a$  : abstr state at L7 the first time L7 is reached

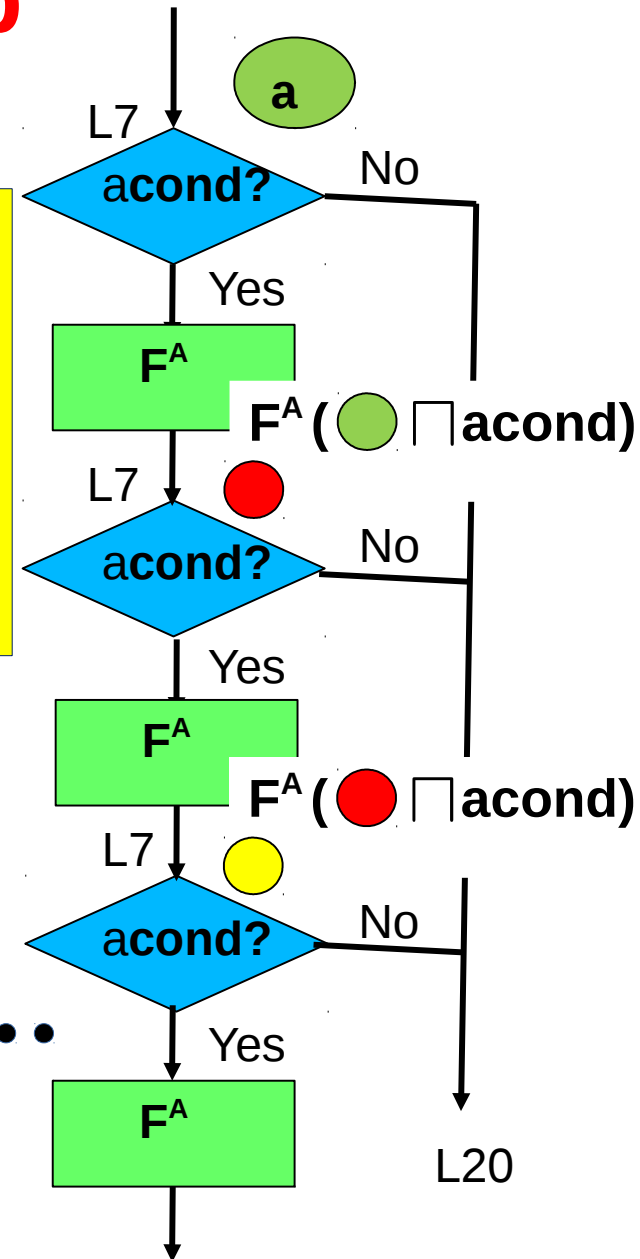
What is the abstract loop invariant at L7?

$acond = \alpha(\{s \mid s \text{ is a concrete state with } a > b\})$

Abstract loop invariant



How do we calculate this effectively without knowing bound of loop iterations?

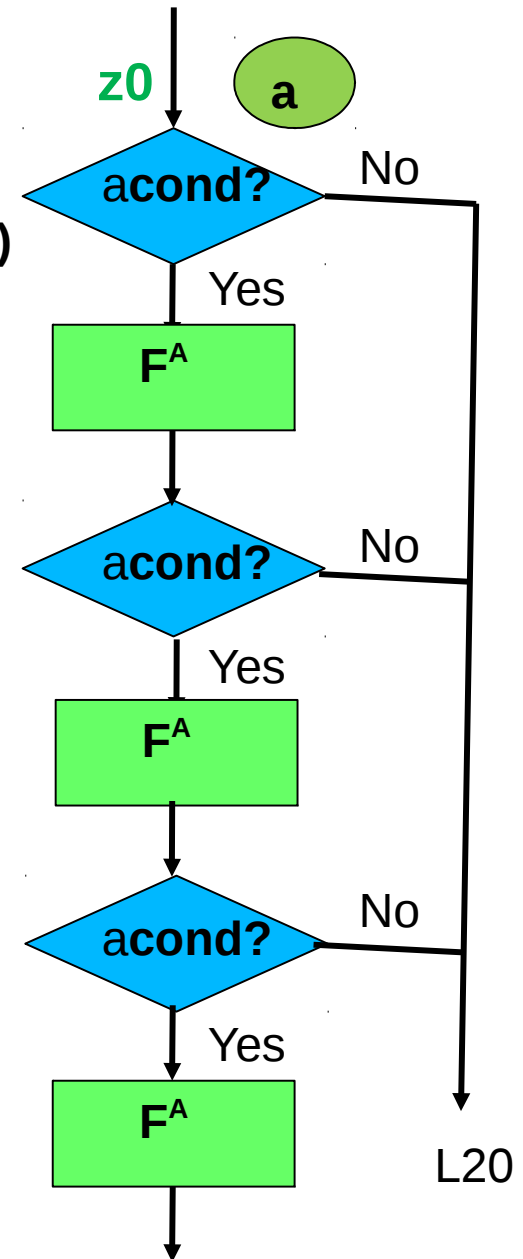


# Abstract Loop Invariant: Another view

$\text{acond} = \alpha (\{s \mid s \text{ is a concrete state with } a > b \})$

Successive views of of loop invariant at L7:

$z0 = a$



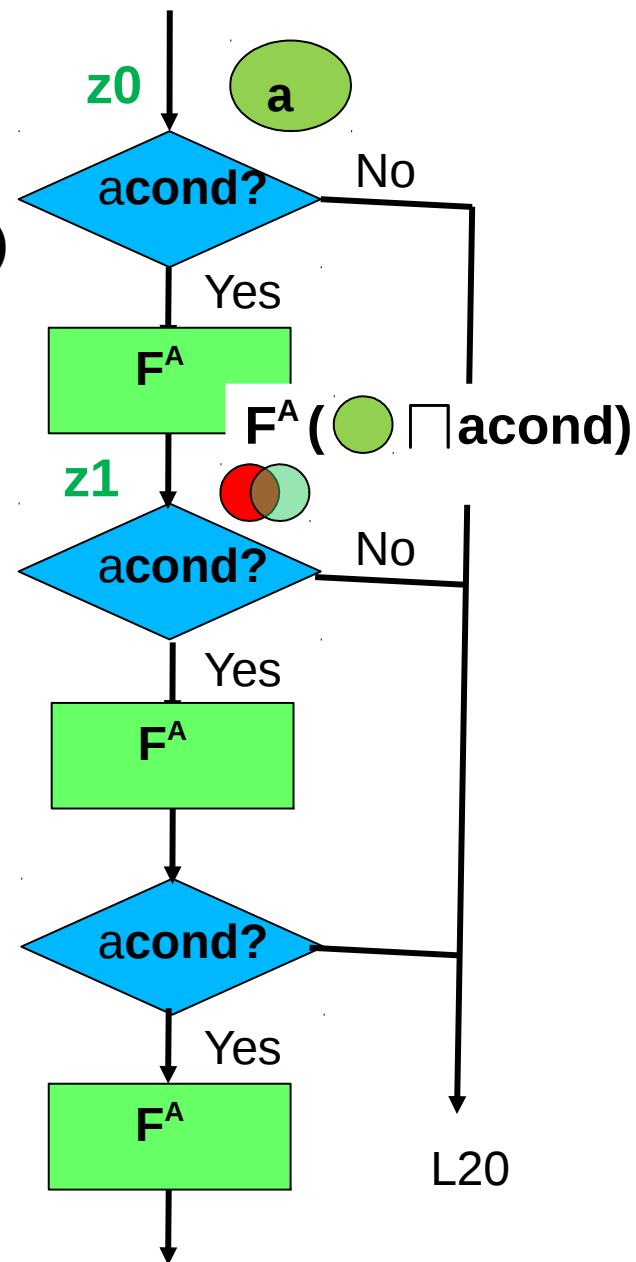
# Abstract Loop Invariant: Another view

$\text{acond} = \alpha (\{s \mid s \text{ is a concrete state with } a > b \})$

Successive views of of loop invariant at L7:

$z0 = a$

$z1 = a \sqcup F^A (z0 \sqcap \text{acond})$





# Abstract Loop Invariant: Another View

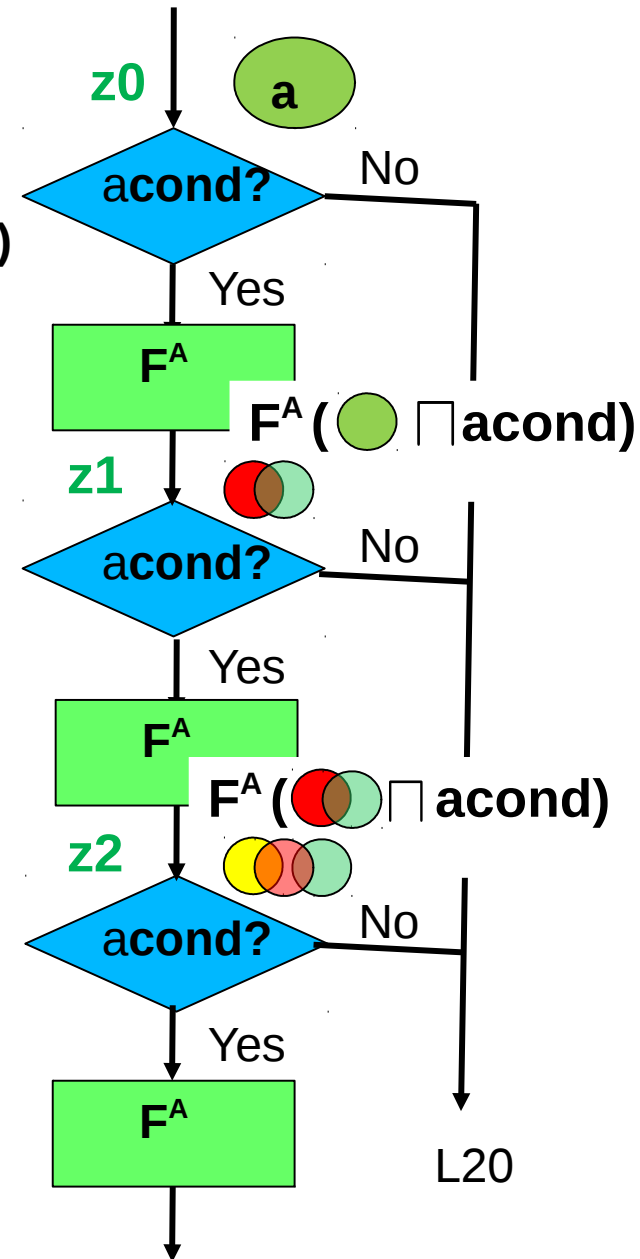
$acond = \alpha (\{s \mid s \text{ is a concrete state with } a > b \})$

Successive views of of loop invariant at L7:

$z0 = a$

$z1 = a \sqcup F^A (z0 \sqcap acond)$

$z2 = a \sqcup F^A (z1 \sqcap acond)$



# Abstract Loop Invariant: Another View

$\text{acond} = \alpha (\{s \mid s \text{ is a concrete state with } a > b \})$

Successive views of of loop invariant at L7:

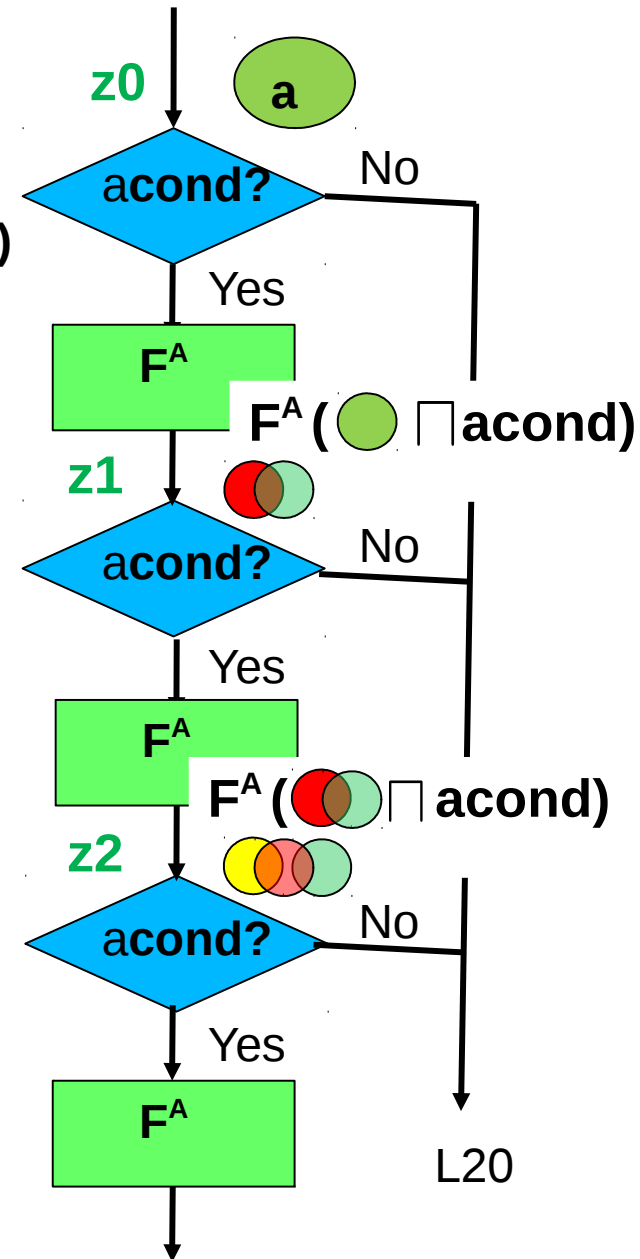
$$z_0 = a$$

$$z_1 = a \sqcup F^A (z_0 \sqcap \text{acond})$$

$$z_2 = a \sqcup F^A (z_1 \sqcap \text{acond})$$

.....

$$z_{i+1} = a \sqcup F^A (z_i \sqcap \text{acond})$$



# Abstract Loop Invariant: Another View

$acond = \alpha (\{s \mid s \text{ is a concrete state with } a > b \})$

Successive views of of loop invariant at L7:

$$z_0 = a = a \sqcup F^A (\perp \sqcap acond) = g(\perp)$$

$$z_1 = a \sqcup F^A (z_0 \sqcap acond) = g(g(\perp))$$

$$z_2 = a \sqcup F^A (z_1 \sqcap acond) = g(g(g(\perp)))$$

.....

$$z_{i+1} = a \sqcup F^A (z_i \sqcap acond)$$

$$z_0 \sqsubseteq z_1 \sqsubseteq z_2 \sqsubseteq \dots$$

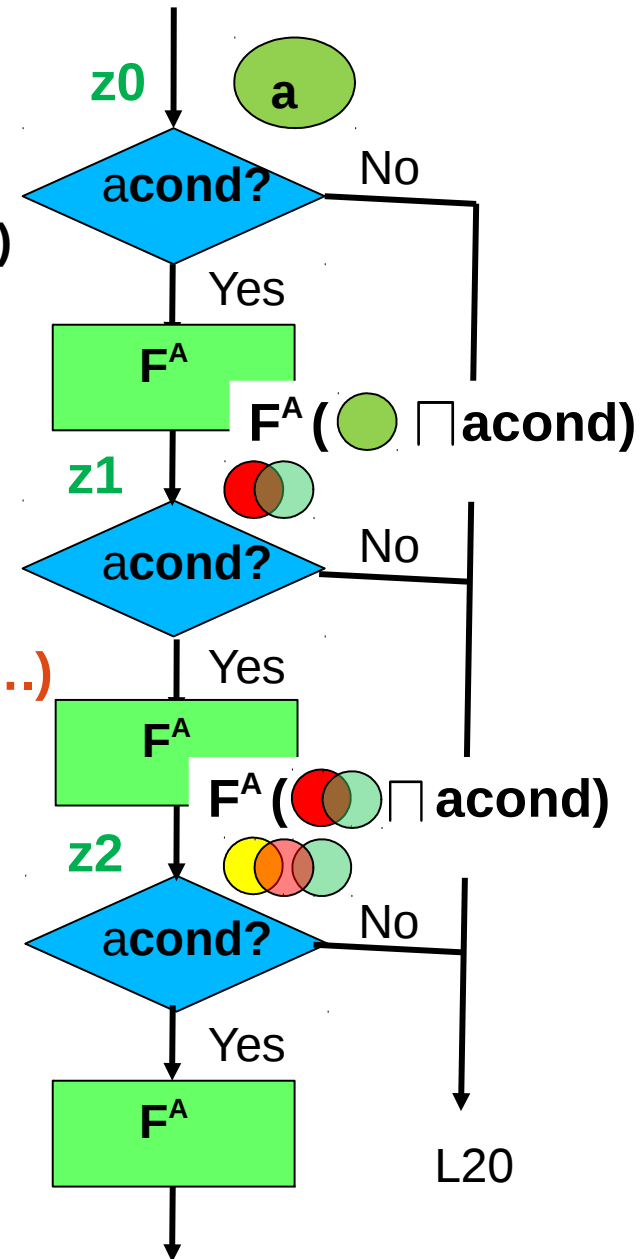
Reasonable requirements:

$$F^A(\perp) = \perp$$

$$\text{If } a_1 \sqsubseteq a_2 \text{ then } F^A(a_1) \sqsubseteq F^A(a_2)$$

$$g(z) = a \sqcup F^A (z \sqcap acond)$$

$g(\ )$  monotone



# Abstract Loop Invariant: Another View

$acond = \alpha (\{s \mid s \text{ is a concrete state with } a > b \})$

Successive views of of loop invariant at L7:

$$z_0 = g(\perp)$$

$$z_1 = g(g(\perp))$$

$$z_2 = g(g(g(\perp)))$$

.....

$$z_i = g(\dots g(\perp) \dots)$$

$$\text{Abstract loop invar} = \lim_{i \rightarrow \infty} g^{(i)}(\perp)$$

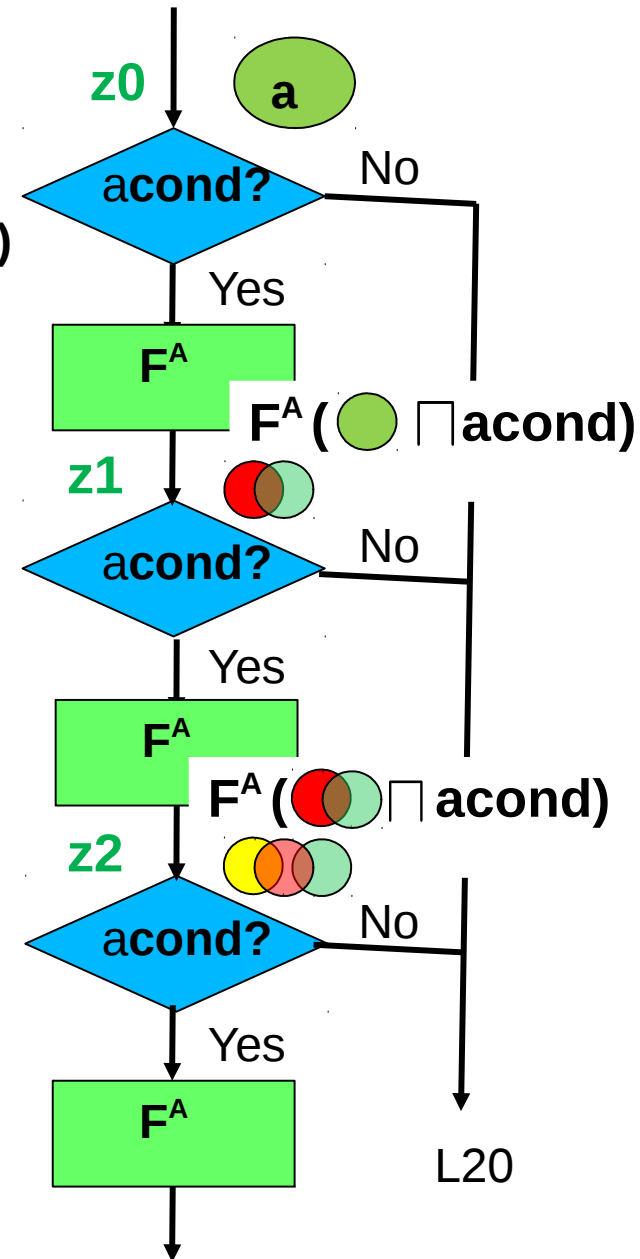
Reasonable requirements:

$$F^A(\perp) = \perp$$

$$\text{If } a_1 \sqsubseteq a_2 \text{ then } F^A(a_1) \sqsubseteq F^A(a_2)$$

$$g(z) = a \sqcup F^A(z \sqcap acond)$$

$g(\ )$  monotone

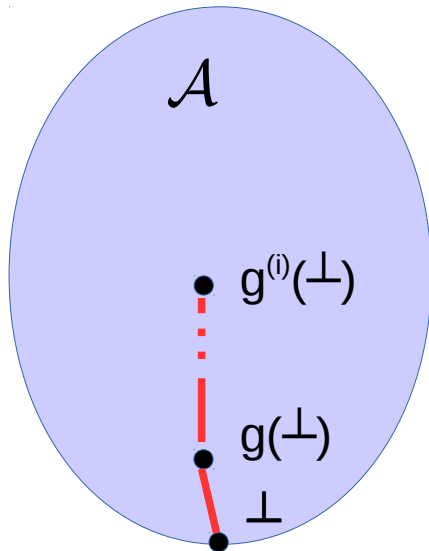


# Abstract Loop Invariant: Another View

Abstract loop invar =  $\lim_{i \rightarrow \infty} g^{(i)}(\perp)$

= smallest  $a^*$  s.t.  $g(a^*) = a^*$

= "least fixed point" of  $g()$



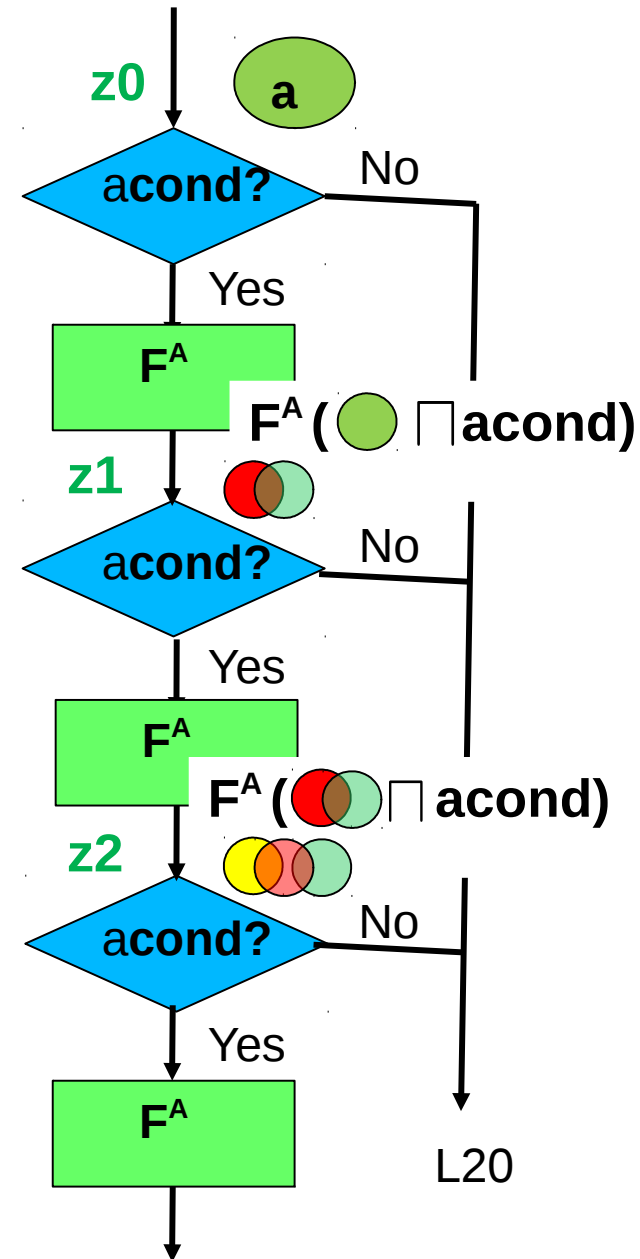
Reasonable requirements:

$$F^A(\perp) = \perp$$

$$\text{If } a1 \sqsubseteq a2 \text{ then } F^A(a1) \sqsubseteq F^A(a2)$$

$$g(z) = a \sqcup F^A(z \sqcap a\text{cond})$$

$g()$  monotone



# Abstract Loop Invariant: Least Fixed Point View

Abstract loop invar  $a^*$  computable if  $\mathcal{A}$  has  
no infinite ascending chains

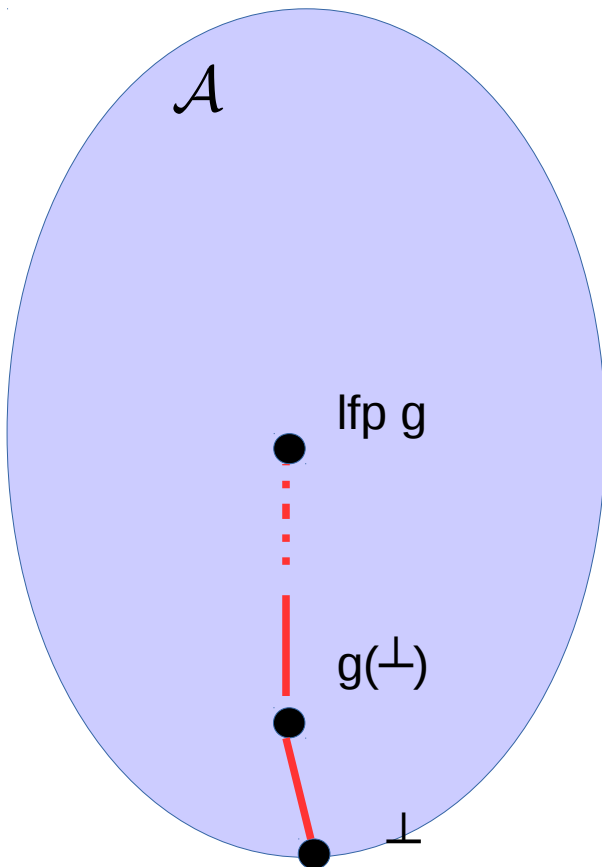
What if there are infinite ascending chains?  
Can we at least compute an overapprox of  $a^*$ ?

**Observe the sequence**

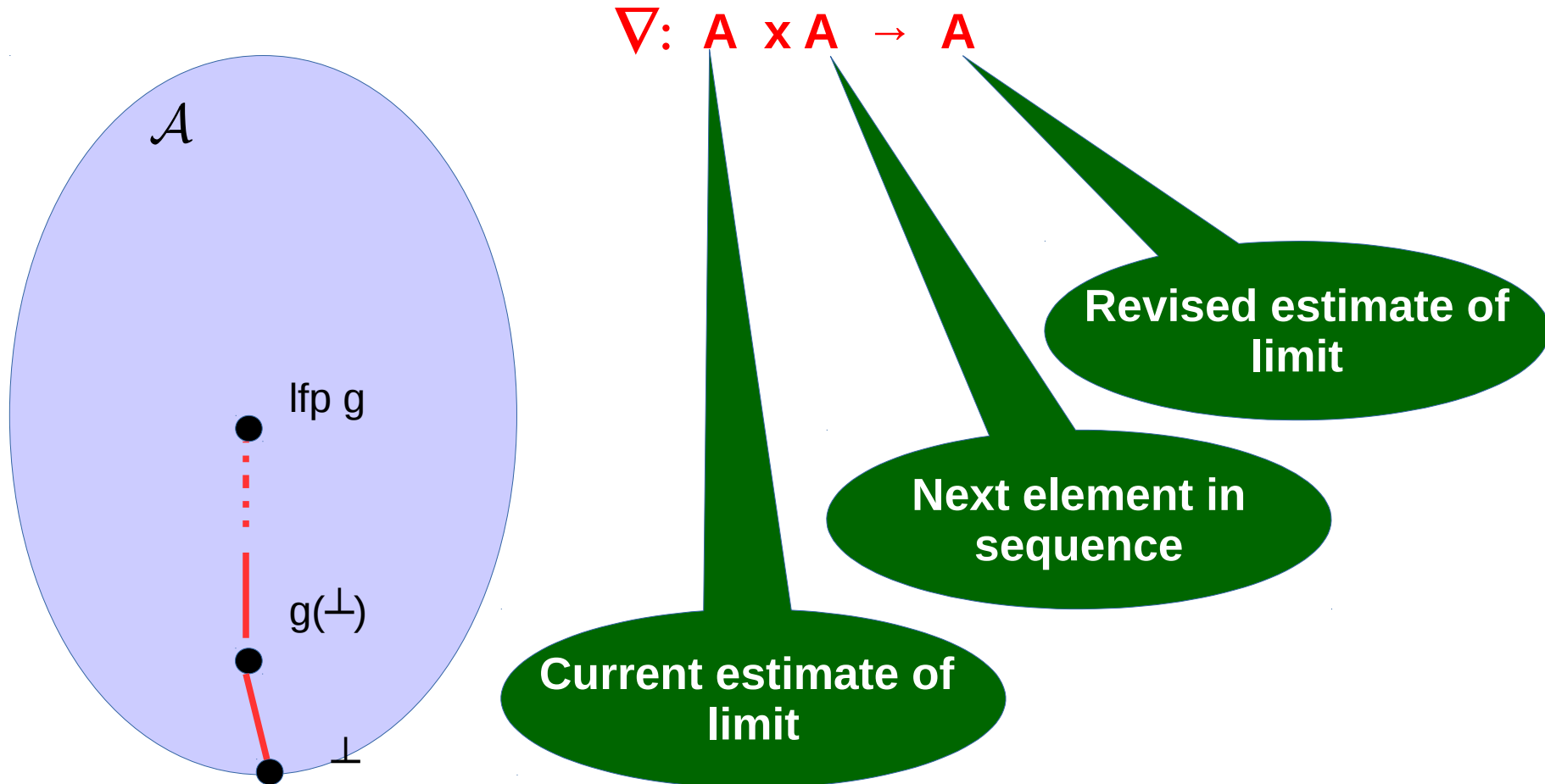
$$g(\perp) \sqsubseteq g^2(\perp) \sqsubseteq \dots \sqsubseteq g^{(i)}(\perp) \text{ upto } i \text{ terms}$$

**and extrapolate (“informed guess”) to a  
proposed overapprox of  $a^*$**

**Special extrapolation (widen) operator  $\nabla$**



# Abstract Loop Invariant: Widen Operator



# Abstract Loop Invariant: Widen Operator

$$\nabla: A \times A \rightarrow A$$

Required properties of  $\nabla$

For every  $a_1, a_2$  in  $A$

$$a_1 \nabla a_2 \sqsupseteq a_1 \quad \text{and} \quad a_1 \nabla a_2 \sqsupseteq a_2$$

For every  $a_0 \sqsubseteq a_1 \sqsubseteq a_2 \sqsubseteq \dots$ , the sequence

$$z_0 = a_0$$

$$z_1 = z_0 \nabla a_1$$

$$z_2 = z_1 \nabla a_2$$

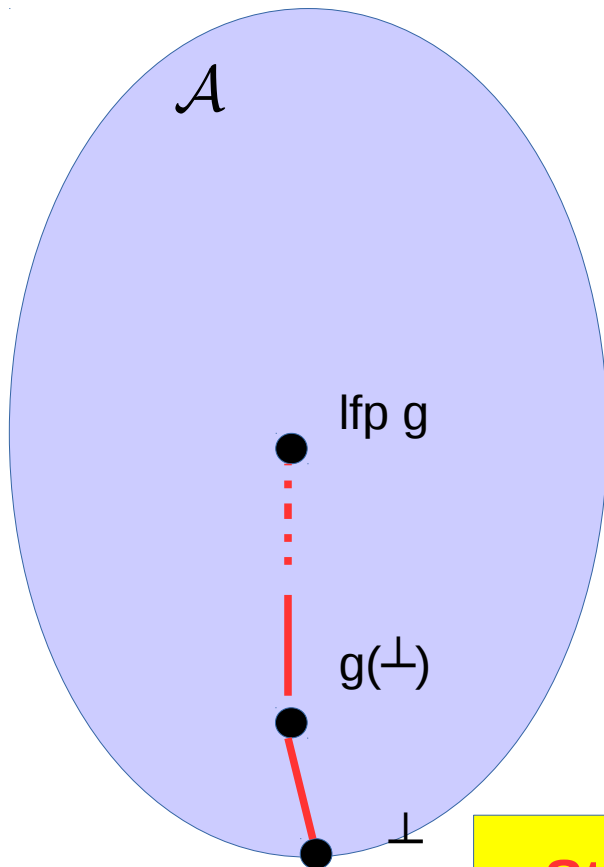
.....

$$z_{i+1} = z_i \nabla a_{i+1}$$

stabilizes, i.e.

There exists an  $i \geq 0$  s.t.  $z_i = z_{i+1} = z_{i+2} = \dots$

**Stabilized value  $z^* \sqsupseteq$  limit of  $a_0, a_1, a_2, \dots$**





# Abstract Loop Invariant: Widen Operator

$$\nabla: A \times A \rightarrow A$$

Compute  $g(\perp)$ ,  $g^2(\perp)$ , ...  $g^{(k)}(\perp)$  for parameter  $k > 0$

Define  $a_0 = g^{(k)}(\perp)$

$$a_1 = g(z_0)$$

$$a_2 = g(z_1)$$

.....

$$a_i = g(z_{i-1})$$

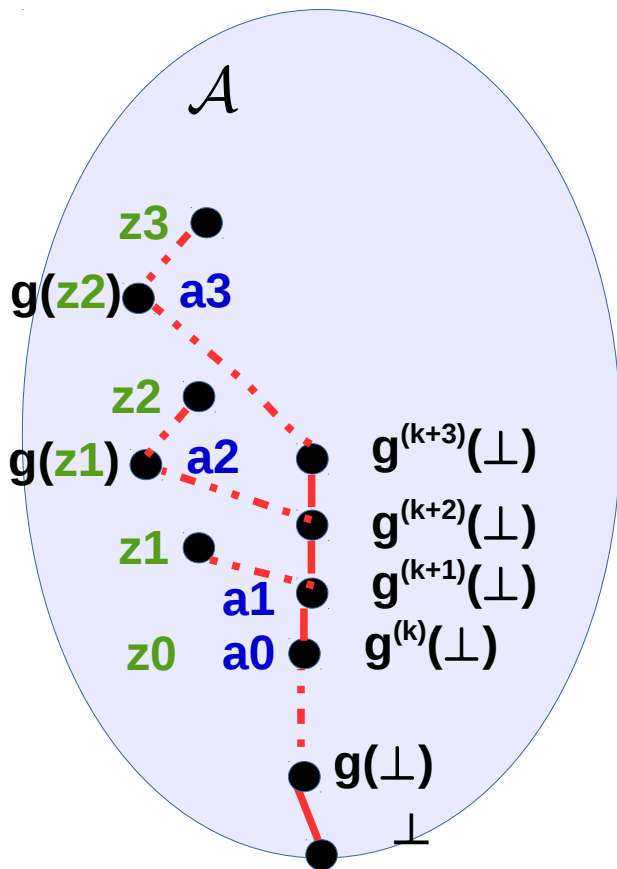
$$z_0 = a_0$$

$$z_1 = z_0 \nabla a_1$$

$$z_2 = z_1 \nabla a_2$$

.....

$$z_i = z_{i-1} \nabla a_i$$



**Fact :  $g^{(k+j)}(\perp) \sqsubseteq a_j \sqsubseteq a_{j+1}$  for all  $j \geq 0$**

**Recall  $g: A \rightarrow A$  is monotone**

# Abstract Loop Invariant: Widen Operator

$$\nabla: A \times A \rightarrow A$$

Compute  $g(\perp)$ ,  $g^2(\perp)$ , ...  $g^{(k)}(\perp)$  for parameter  $k > 0$

Define  $a_0 = g^{(k)}(\perp)$

$$a_1 = g(z_0)$$

$$a_2 = g(z_1)$$

.....

$$a_i = g(z_{i-1})$$

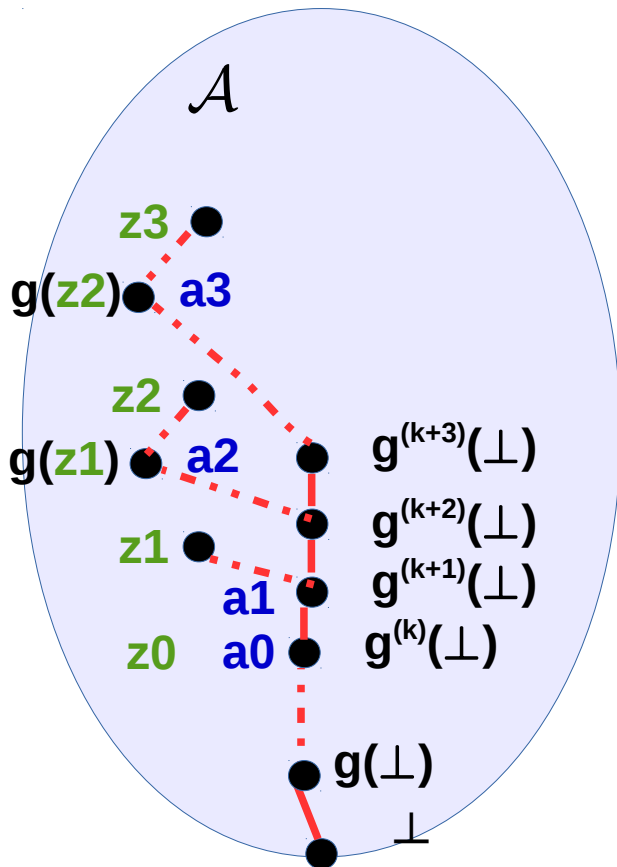
$$z_0 = a_0$$

$$z_1 = z_0 \nabla a_1$$

$$z_2 = z_1 \nabla a_2$$

.....

$$z_i = z_{i-1} \nabla a_i$$



**Fact :**  $g^{(k+j)}(\perp) \sqsubseteq a_j \sqsubseteq a_{j+1}$  for all  $j \geq 0$

If  $z_i = z_{i+1}$ , then

$$a_{j+1} = a_{i+1} \text{ for all } j \geq i$$

$$z_j = z_i \text{ for all } j \geq i$$

**Can detect when sequence stabilizes**

# Abstract Loop Invariant: Widen Operator

$$\nabla: A \times A \rightarrow A$$

Compute  $g(\perp)$ ,  $g^2(\perp)$ , ...  $g^{(k)}(\perp)$  for parameter  $k > 0$

Define  $a_0 = g^{(k)}(\perp)$

$$a_1 = g(z_0)$$

$$a_2 = g(z_1)$$

.....

$$a_i = g(z_{i-1})$$

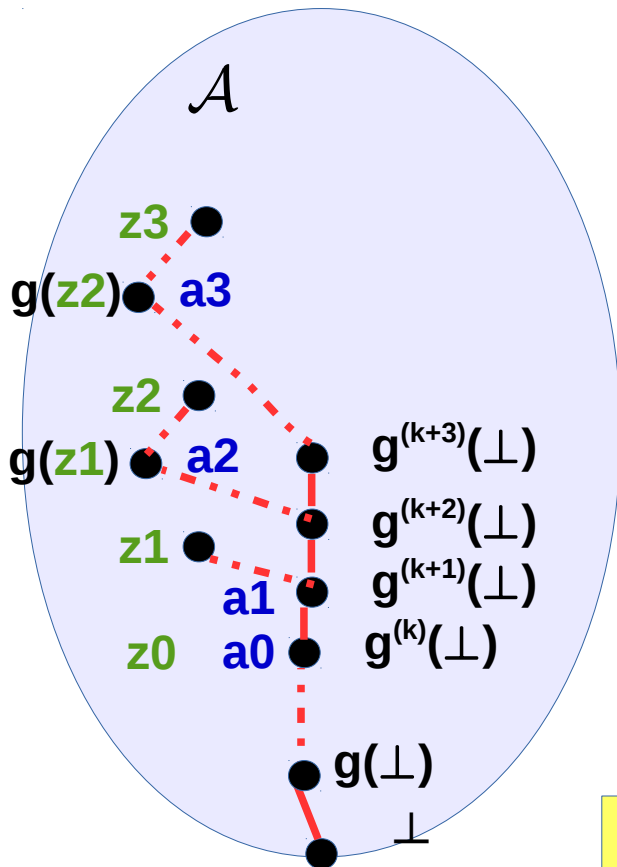
$$z_0 = a_0$$

$$z_1 = z_0 \nabla a_1$$

$$z_2 = z_1 \nabla a_2$$

.....

$$z_i = z_{i-1} \nabla a_i$$

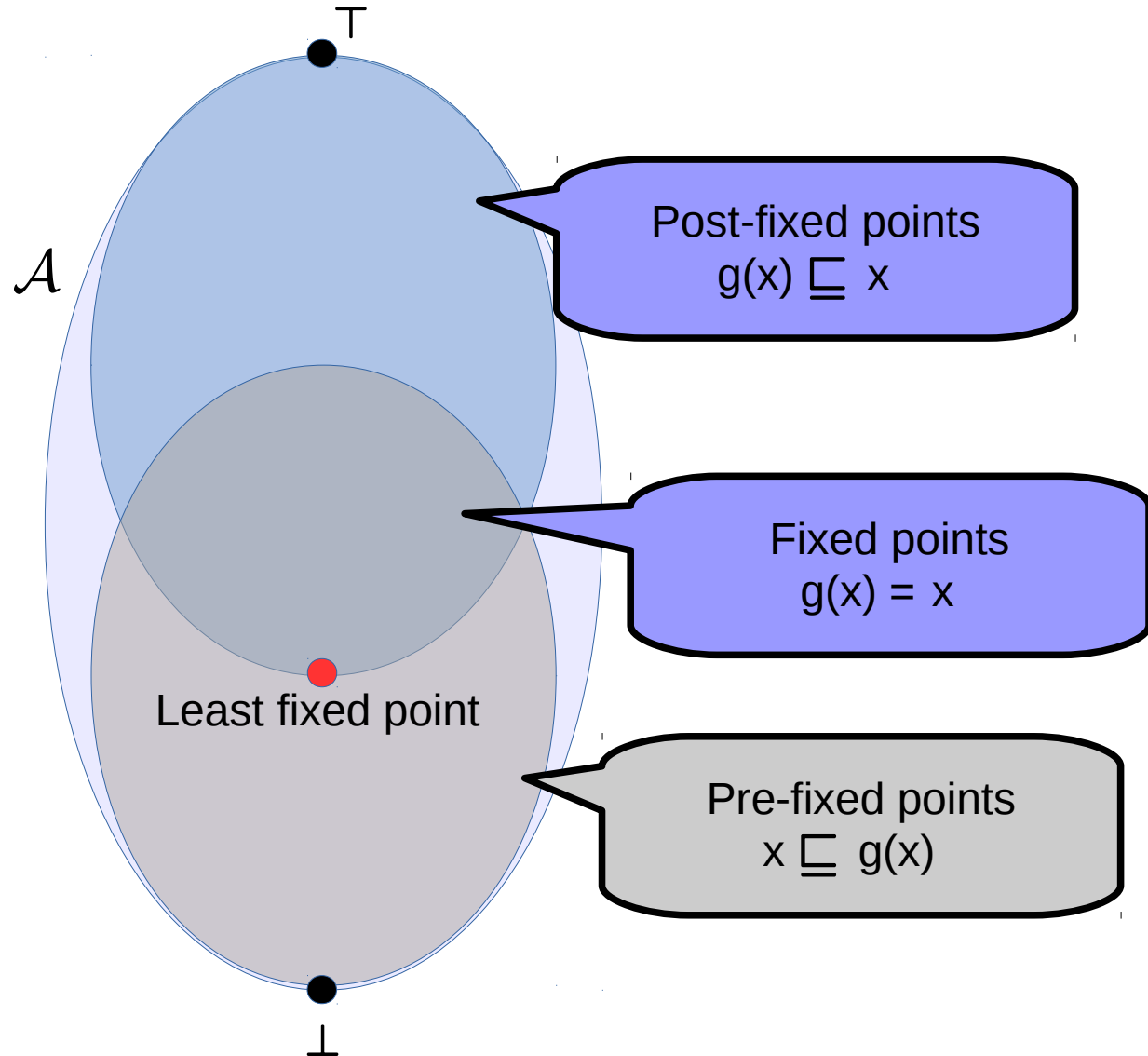


Stabilized value  $z^*$  overapproximates  
 $g^{(i)}(\perp)$  for all  $i \geq 0$

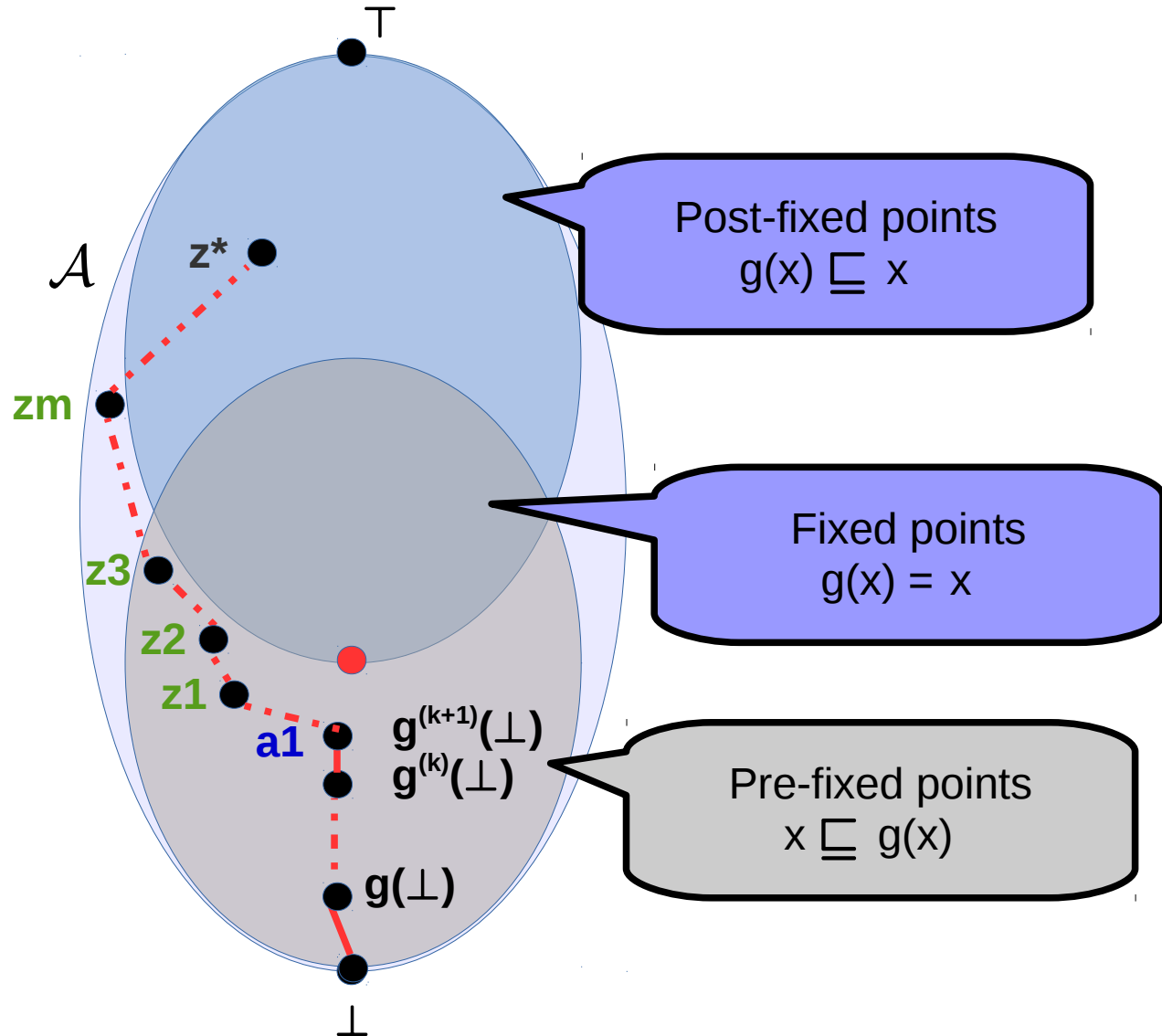
Abstract loop invariant

In fact,  $g^{(r)}(z^*)$  also overapproximates  
 $g^{(i)}(\perp)$  for all  $r \geq 0$

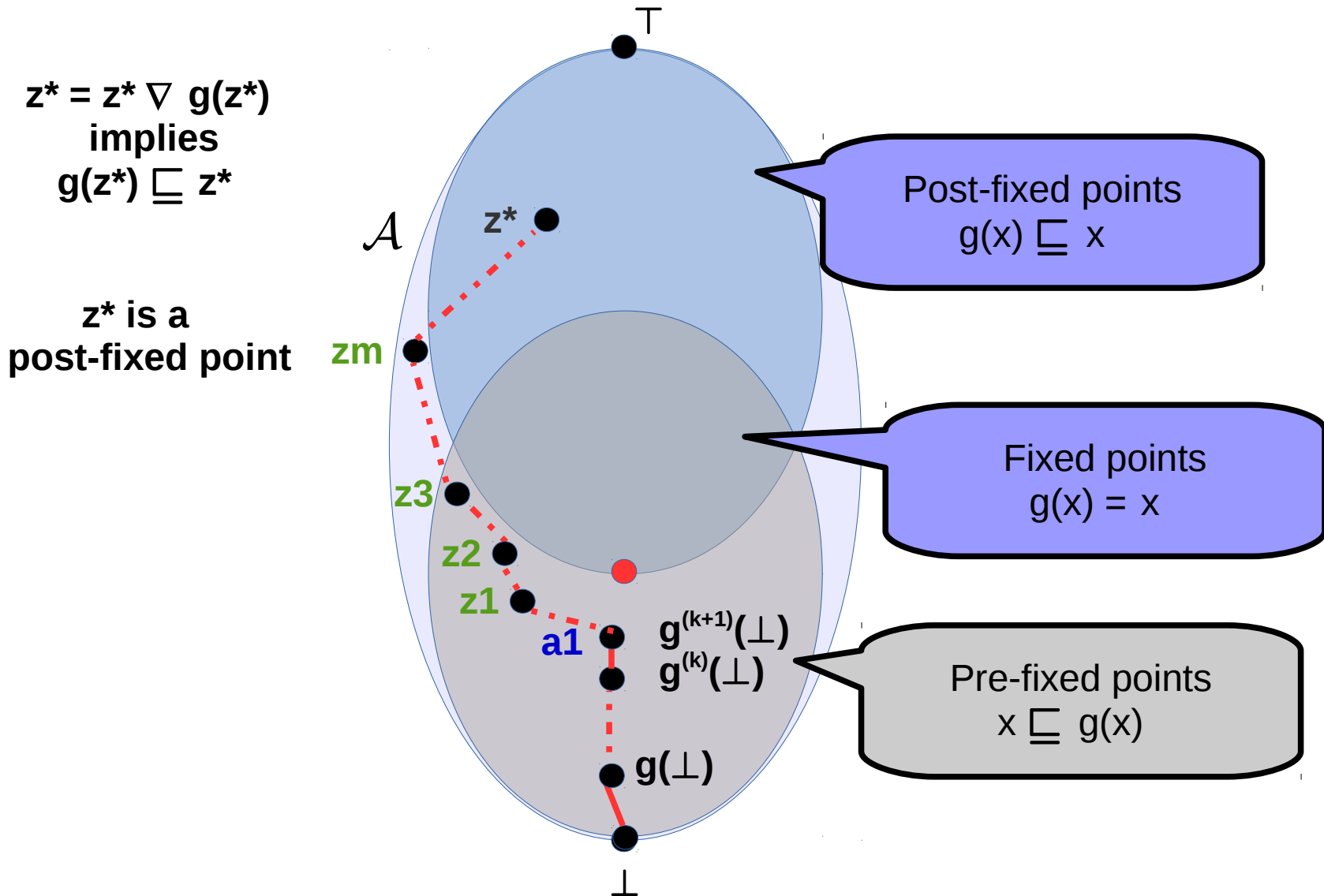
# Another View of Widening



# Another View of Widening



# Another View of Widening

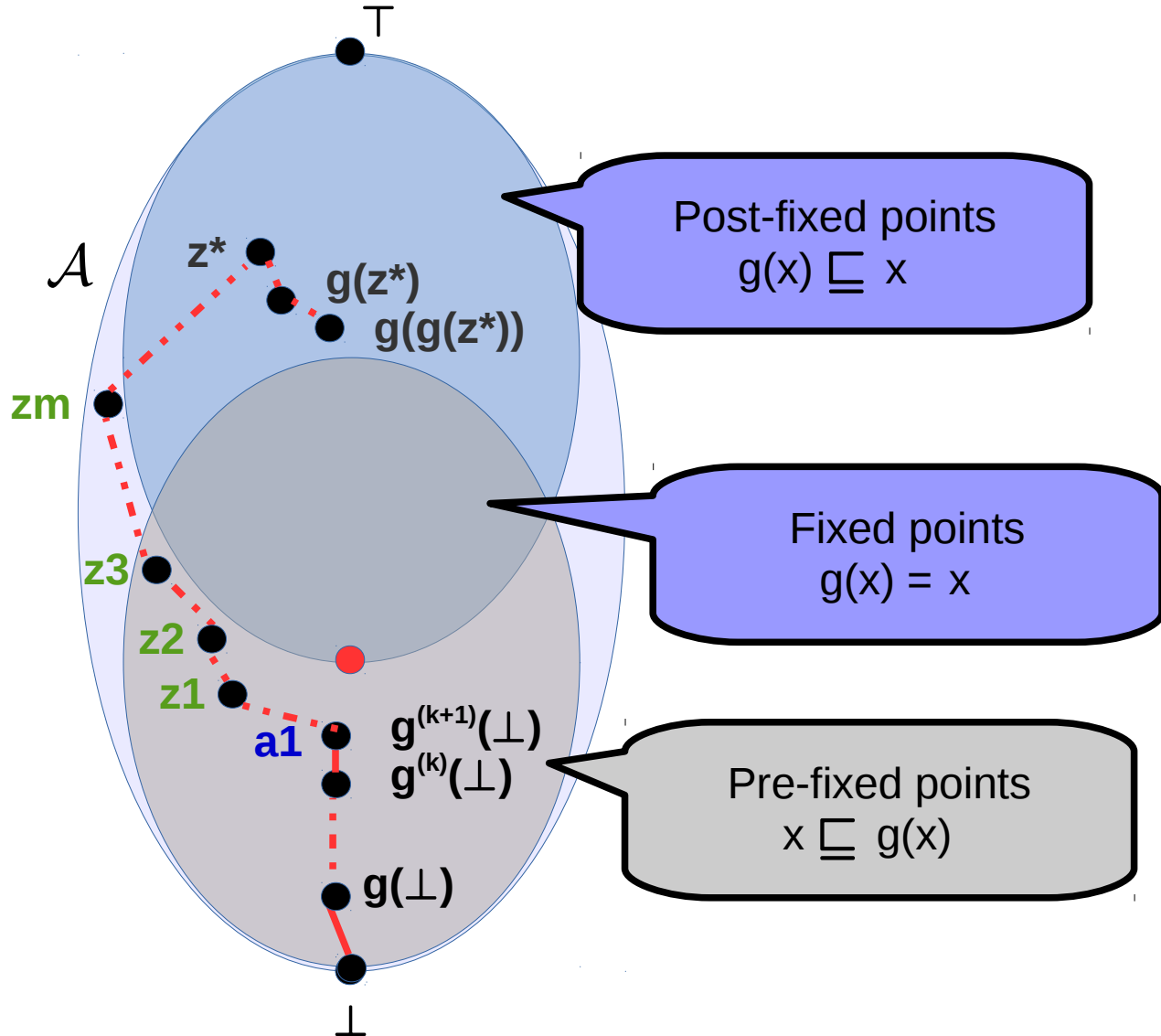


# Another View of Widening

$z^* = z^* \nabla g(z^*)$   
 implies  
 $g(z^*) \sqsubseteq z^*$

$z^*$  is a  
 post-fixed point

$g^{(r)}(z^*)$  is a  
 post-fixed point  
 and  
 $lfp \sqsubseteq g^{(r)}(z^*)$



# Putting It All Together

- Given a program  $P$  and an assertion  $\varphi$  at location  $L$ 
  - Choose an abstract lattice (domain)  $A$  with a  $\nabla$  operator
  - Compute abstract invariant at each location of  $P$
  - If abstract invariant at  $L$  is  $a_L$ , check if  $\gamma(a_L)$  satisfies  $\varphi$
  - The theory of abstract interpretation guarantees that
$$\gamma(a_L) \supseteq \text{concrete invariant at } L$$

**Bird's eye-view of program verification by  
abstract interpretation**



# Interval Abstract Domain

- Simplest domain for analyzing numerical programs
- Represent values of each variable separately using intervals
- Example:

L0:  $x = 0$ ;  $y = 0$ ;

L1: while ( $x < 100$ ) do

    L2:  $x = x + 1$ ;

    L3:  $y = y + 1$ ;

L4: end while

If the program terminates, does  $x$  have the value 100 on termination?

# Interval Abstract Domain

- Abstract states: pairs of intervals (one for each of  $x, y$ )
  - $[-10, 7], (-\infty, 20]$
  - $\sqsubseteq$  relation: Inclusion of intervals
  - $[-10, 7], (-\infty, 20] \sqsubseteq [-20, 9], (-\infty, +\infty)$
  - $\sqcup$  and  $\sqcap$ : union and intersection of intervals
  - $[a, b] \nabla_x [c, d] = [e, f]$ , where
    - $e = a$  if  $c \geq a$ , and  $e = -\infty$  otherwise
    - $f = b$  if  $d \leq b$ , and  $f = +\infty$  otherwise
  - $\nabla_y$  similarly defined, and  $\nabla$  is simply  $(\nabla_x, \nabla_y)$
  - $\perp$  is empty interval of  $x$  and  $y$
  - $\top$  is  $(-\infty, +\infty), (-\infty, +\infty)$

# Analyzing our Program

L0:  $x = 0$ ;  $y = 0$ ;

L1: while ( $x < 100$ ) do

    L2:  $x = x + 1$ ;

    L3:  $y = y + 1$ ;

L4: end while

# Some Concluding Remarks

- Abstract interpretation: a fundamental technique for analysis of programs
- Choice of right abstraction crucial
- Often getting the right abstraction to begin with is very hard
  - Need automatic refinement techniques
- Very active area of research
-