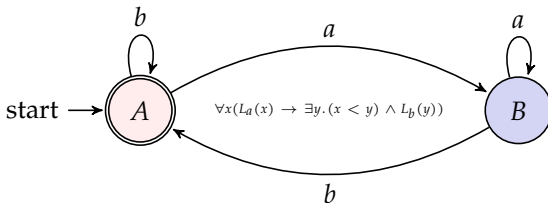


# CS 208: Automata Theory and Logic

## Lecture 1: An Introduction

Ashutosh Trivedi



Department of Computer Science and Engineering,  
Indian Institute of Technology Bombay.

# Logistics

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- Course Web-page:  
<http://www.cse.iitb.ac.in/~trivedi/courses/cs208.html>
- Instructor:
  - Ashutosh Trivedi (trivedi@cse)
- Lectures:
  - Monday (10:35am—11:30am)
  - Tuesday (11:35am—12:30am)
  - Thursday (8:30am—9:30am)
- Tutorials:
  - TBA
- Office hours:
  - Thursday (10:00am–11:00am)
- Venue
  - Lectures: LCC12
  - Tutorials: TBA
  - Office hours: SIA 108, 1st floor, 'A' Block, KReSIT building
- Prerequisite:
  - CS207 (Discrete Structures)
  - Programming experience

# Logistics: Contd.

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## Textbook:

- *E. Hopcroft, R. Motwani and J. D. Ullman. Introduction to Automata Theory, Languages and Computation.* Low priced paperback edition published by Pearson Education.
- *Michael Sipser. Introduction to the Theory of Computation,* PWS Publishing Company.
- *H. R. Lewis and C. H. Papadimitriou. Elements of the Theory of Computation,* Eastern economy edition published by Prentice Hall of India Pvt. Ltd.

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## Grading:

- End-Semester Exam: 50 %
- Mid-Semester Exam: 30 %
- Surprise Quizzes + Class Participation: 20%

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- Surprise Quizzes + Class Participation: 20%
- **Zero tolerance** (FR/DAC) for dishonest means like copying solutions from others and cheating.

# Introduction to Automata Theory and Logic

Break

Background

# Introduction

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## Dictionary Definition of an Automaton

noun (plural automata)

1. A moving mechanical device made in imitation of a human being.
2. A machine that performs a function according to a predetermined set of coded instructions.

# Introduction

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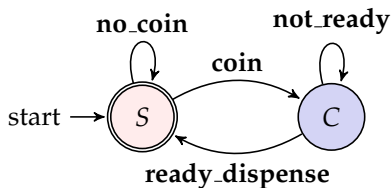
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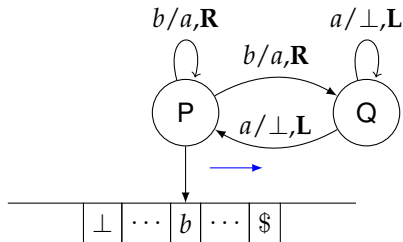


# Introduction

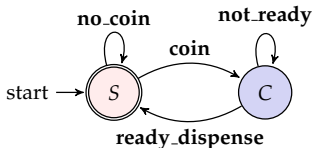
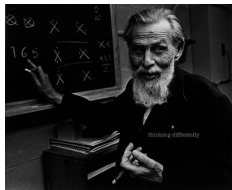
Finite instruction machine with finite memory (**Finite State Automata**)



Finite instruction machine with unbounded memory (**Turing machine**)

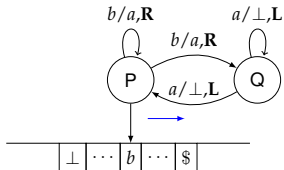


# Finite State Automata



- Introduced first by two neuro-psychologists [Warren S. McCulloch](#) and [Walter Pitts](#) in 1943 as a model for human brain!
- Finite automata can naturally model [microprocessors](#) and even [software programs](#) working on variables with bounded domain
- Capture so-called [regular](#) sets of sequences that occur in many different fields (logic, algebra, regex)
- Nice theoretical properties
- Applications in digital circuit/protocol verification, compilers, pattern recognition, etc.

# Turing Machine



- Introduced by [Alan Turing](#) as a simple model capable of expressing any imaginable computation
- Turing machines are widely accepted as a synonyms for algorithmic computability ([Church-Turing thesis](#))
- Using these conceptual machines Turing showed that first-order logic validity problem <sup>a</sup> is non-computable.
- I.e. there exists some problems for which you can never write a program no matter how hard you try!

<sup>a</sup>(Entscheidungsproblem—one of the most famous problem of 20th century posed by David Hilbert)

# What you will learn in this course

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- Understand the fundamental notion of computation
- Tools to show that for some problems there are no algorithms (**Undecidability**)
- Tools to classify practical problems among efficiently solvable versus intractable problems (**Complexity classes**)
- Ideas behind some of the very useful tools in computer science, like **efficient pattern matching**, **syntactic analysis** of computer languages, **verification** of programs (microprocessors, software programs, protocols, etc.)
- The connection between **formal logic** and **automata**
- A whole range of formal models of computations (e.g. **pushdown automata**) between finite state machines and Turing machines with varying expressiveness and efficiency of analysis

# Introduction to Automata Theory and Logic

Break

Background

# Videos

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- Turing Machine by Mike Davey
- LEGO based Turing Machine

# Introduction to Automata Theory and Logic

Break

Background

- A **set** is a collection of objects, e.g.
  - $A = \{a, b, c, d\}$  and  $B = \{b, d\}$
  - Empty set  $\emptyset = \{\}$  ( not the same as  $\{\emptyset\}$ )
  - $\mathbb{N} = \{0, 1, 2, 3, \dots\}$  and  $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$
  - $\mathbb{Q}$  is the set of rational number
  - $\mathbb{R}$  is the set of real numbers
- $a \in A$ : **elements** of a set, **belongs to**, or **contains**,
- subset of  $A \subseteq \mathbb{N}$ , proper subset of  $A \subset \mathbb{N}$
- Notion of **union**, **intersection**, **difference**, and **disjoint**
- **Power set**  $2^A$  of a set  $A$  is the set of subsets of  $A$
- **Partition** of a set



# Mathspeak: Contd.

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- **ordered pair** is an ordered pair of elements  $(a, b)$ , similarly ordered  $n$ -tuples, triplets, and so on.
- **Cartesian product**  $A \times B$  of two sets  $A$  and  $B$  is the set (of tuples)  $\{(a, b) : a \in A \text{ and } b \in B\}$ .
- A **binary** relation  $R$  on two sets  $A$  and  $B$  is a subset of  $A \times B$ , formally we write  $R \subseteq A \times B$ . Similarly  $n$ -ary relation.
- A **function** (or mapping)  $f$  from set  $A$  to  $B$  is a binary relation on  $A$  and  $B$  such that for all  $a \in A$  we have that  $(a, b) \in f$  and  $(a, b') \in f$  implies that  $b = b'$ .
- We often write  $f(a)$  for the unique element  $b$  such that  $(a, b) \in f$ .
- A function  $f : A \rightarrow B$  is **one-to-one** if for any two distinct elements  $a, a' \in A$  we have that  $f(a) \neq f(a')$ .
- A function  $f : A \rightarrow B$  is **onto** if for every element  $b \in B$  there is an  $a \in A$  such that  $f(a) = b$ .
- A function  $f : A \rightarrow B$  is called **bijection** if it is both one-to-one and onto  $B$ .
- **Reflexive, Symmetric, and Transitive** relations, and **Equivalence relation**.

# Cardinality of a set

- **cardinality**  $|S|$  of a set  $S$ , e.g.  $|A| = 4$  and  $|\mathbb{N}|$  is an infinite number.
- Two sets have same cardinality if there is a **bijection** between them.
- A set is **countably infinite** (or denumerable) if it has same cardinality as  $\mathbb{N}$ .
- A set is **countable** if it is either finite or countably infinite.
- A **transfinite** number is a cardinality of some infinite set.

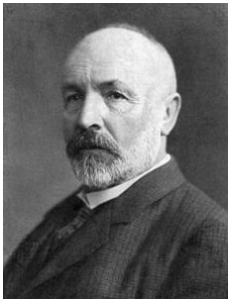
Let us prove the following.

## Theorem

1. *The set of integers is countably infinite.* (idea: interlacing)
2. *The union of a **finite number** of countably infinite sets is countably infinite as well.* (idea: dove-tailing)
3. *The union of a **countably infinite** number of countably infinite sets is countably infinite.*
4. *The set of **rational numbers** is countably infinite.*
5. *The **power set** of the set of **natural numbers** has a greater cardinality than itself.* (idea: contradiction, diagonalization)

# Cantor's Theorem

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## Theorem

*If a set  $S$  is of any infinite cardinality then  $2^S$  has a greater cardinality, i.e.  $|2^S| > |S|$ . (hint: happy, sad sets).*

**Corollary** (“Most admirable flower of mathematical intellect”—Hilbert.)

*There is an infinite series of infinite cardinals. Go figure!*

# Undecidability

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- An alphabet  $\Sigma = \{a, b, c\}$  is a **finite** set of letters,
- A **language** is a **set** of **strings** over some **alphabet**
- $\Sigma^*$  is the set of all strings over  $\Sigma$ , e.g.  $aabbaa \in \Sigma^*$ ,
- A language  $L$  over  $\Sigma$  is then a subset of  $\Sigma^*$ , e.g.,
  - $L_{\text{even}} = \{w \in \Sigma^* : w \text{ is of even length}\}$
  - $L_{a^n b^n} = \{w \in \Sigma^* : w \text{ is of the form } a^n b^n \text{ for } n \geq 0\}$
- We say that a language  $L$  is **decidable** if there exists a program  $P_L$  such that for every member of  $L$  program  $P$  returns “true”, and for every non-member it returns “false”.

# An intuitive proof for Undecidability

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## Theorem (Undecidability of Formal Languages)

*There are some language for which it is impossible to write a recognizing program, i.e. **there are some undecidable formal languages.***

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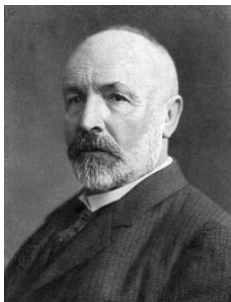
## Proof (Undecidability of formal languages).

- The number of programs are countably infinite, why?
- Consider the set of languages over alphabet  $\{0, 1\}$ .
- Notice that the set of all strings over  $\{0, 1\}$  is countably infinite.
- Hence the set of all languages over  $\{0, 1\}$  is the **power-set** of the set of all strings
- From **Cantor's theorem**, it must be the case that for some languages there is no recognizing program.



# Most Admirable, Indeed!

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Most admirable, indeed!

